

# Influence of high-resolution surface databases on the modeling of local atmospheric circulation systems

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## Abstract

Large-eddy simulations are performed using the Advanced Regional Prediction System (ARPS) code at horizontal grid resolutions as fine as 300 m to assess the influence of detailed and updated surface databases on the modeling of local atmospheric circulation systems of urban areas with complex terrain. Applications to air pollution and wind energy are sought. These databases are comprised of 3 arc-sec topographic data from the Shuttle Radar Topography Mission, 10 arc-sec vegetation type data from the European Space Agency (ESA) GlobCover Project, and 30 arc-sec Leaf Area Index and Fraction of Absorbed Photosynthetically Active Radiation data from the ESA GlobCarbon Project. Simulations are carried out for the Metropolitan Area of Rio de Janeiro using six one-way nested-grid domains that allow the choice of distinct parametric models and vertical resolutions associated to each grid. ARPS is initialized using the Global Forecasting System with 0.5°-resolution data from the National Center of Environmental Prediction, which is also used every 3 hours as lateral boundary condition. Topographic shading is turned on and two soil layers are used to compute the soil temperature and moisture budgets in all runs. Results for two simulated runs covering three periods of time are compared to surface and upper-air observational data to explore the dependence of the simulations on initial and boundary conditions, grid resolution, topographic and land-use databases. Our comparisons show overall good agreement between simulated and observational data, mainly for the potential temperature and the wind speed fields, and clearly indicate that the use of high-resolution databases improves significantly our ability to predict the local atmospheric circulation.

1

## 2 **1 Introduction**

3 Numerical modeling of unsteady three-dimensional turbulent atmospheric flow is a natural  
4 approach to describe mean properties of various physical processes that are not often captured  
5 by field measurements collected at a few scattered points in space. One of the most robust,  
6 versatile and modular mesoscale models designed to resolve atmospheric flows in many  
7 scales is the Advanced Regional Prediction System (ARPS; Xue et al., 1995, 2000, 2001). Its  
8 numerical code has been developed and disseminated freely in the scientific community to  
9 allow changes and developments in its subroutines. The ARPS code solves a set of Partial  
10 Differential Equations (PDE's) written for the three-dimensional compressible time-  
11 dependent atmospheric flow, under dry, moist and non-hydrostatic conditions. ARPS is a  
12 typical mesoscale model that can be run at fine space and time resolutions in order to be able  
13 to represent highly complex terrain based on the high-resolution surface databases that are  
14 available nowadays. ARPS incorporates heterogeneous land-surface conditions and time-  
15 dependent synoptic boundary forcing, but they are typically limited by outdated coarse  
16 resolution. As other current mesoscale-models, such as Weather Research and Forecasting -  
17 WRF (Skamarock et al., 2001, 2005), Fifth-Generation of National Center for Atmospheric  
18 Research - NCAR / Penn State Mesoscale Model - MM5 (Grell et al. 1993; Dudhia et al.,  
19 2005), Regional Atmospheric Modeling System – RAMS (Walko et al., 1995), Meso-Eta  
20 Model (Black, 1994), Mesoscale Non-Hydrostatic Model - Meso-NH (Lafore et al., 1998) and  
21 Aire Limitee Adaptation Dynamique Developement International - ALADIN (Bubnová et al.,  
22 1993; Radnóti et al., 1995; Horányi et al., 1996), ARPS allows significant refinement of the  
23 numerical grid to the point where LES can be used, since some turbulent parametric models  
24 developed for LES are available in the code. We chose the LES-ARPS model as our main tool  
25 because it is based on a 1.5-order TKE scheme and the Moeng and Wyngaard (1989)  
26 turbulence model and because it has been thoroughly tested (Chow, 2004; Chow et al., 2006)  
27 and used as a reference for the assessment of state-of-the-art mesoscale models such as WRF  
28 (Gasperoni, 2013). Among all turbulence parameterization schemes available in ARPS, the  
29 1.5-order TKE scheme and the Moeng and Wyngaard (1989) turbulence model is the best for  
30 this type of simulation. ARPS was formulated to be run in either a RANS or a LES code that  
31 solves the three-dimensional, compressible, non-hydrostatic, filtered Navier-Stokes equations.  
32 The relevant settings for our application requires the use of ARPS in the LES mode because

1 the length-scale is based on the grid spacing, as explained by Chow et al. (2006), and the  
2 difference between RANS and LES in this case is in the definition of the length-scale  
3 (Michioka and Chow, 2008). For the LES mode, the length-scale employed in the eddy  
4 viscosity equation is based on the grid size, whereas the length scale for the RANS mode is  
5 based on a PBL depth or distance from the ground. The differences between RANS and LES  
6 become small when similar space and time resolutions are used in numerical modeling. This  
7 is also one of the four concepts rated by Pope (2000) that characterize the LES model,  
8 indicating that the physical and numerical modeling must be deliberately combined. Then we  
9 prefer the LES procedure for our study because it is clear which physical features are  
10 resolvable and which must be modeled.

11 Several numerical studies available in the literature have adopted significant refinement of the  
12 grid in mesoscale simulations. As an example, we may cite the simulations carried out by  
13 Grell et al. (2000), who used MM5 to compute the atmospheric flow in some regions of the  
14 Swiss Alps with horizontal resolutions of up to 1 km. It's noteworthy that previous works,  
15 such as Lu and Turco's (1995), point out that the increase in the spatial resolution of the grid  
16 can generate more detailed and reliable solutions. In fact, most studies show that high-  
17 resolution numerical grids tend to improve the quality of numerically simulated data when  
18 compared to observed data (Revell et al. 1996; Grønås and Sandvik, 1999; Grell et al., 2000;  
19 Chow et al., 2006). However, in regions of steep and extensive slopes, the topography may be  
20 poorly represented because the ramps that form the slopes on the surface may become  
21 irregular as the grid resolution is increased. In this case, the coarse spatial resolution of the  
22 topographic database does not add any additional information to the simulations, since fine  
23 numerical grids require high surface information. The same concerns are expressed in Chow  
24 (2004). Usually, high-resolution numerical grids are often employed for simulations in small  
25 areas due to high computation cost. Revell et al. (1996) and Grønås and Sandvik (1999) used  
26 numerical grids with resolutions of 250 m to perform LES simulations, but the wind field was  
27 not reproduced accurately in the regions studied. The authors considered that the main source  
28 of inaccuracy was the absence of high-resolution surface data. On the other hand, Zhong and  
29 Fast (2003) were successful in capturing the general characteristics of the surface fluxes  
30 present in the Salt Lake Valley, in the state of Utah, using three of the mesoscale models cited  
31 above: RAMS, MM5, and Meso-Eta Model. All models were initialized using synoptic data  
32 and used horizontal grid resolutions of 560 and 850 m, which are close to the topographic  
33 database resolution of the models. Even so, the simulations were not able to capture the local

1 circulation and the surface fluxes. In order to improve the results, Zhong and Fast suggested  
2 changes in the vertical mixing terms, in the radiation model and in the parameterizations  
3 adopted for the surface fluxes. Chen et al. (2004) also used ARPS to simulate the atmospheric  
4 flow in the Salt Lake Valley. The results were more satisfactory because they increased the  
5 numerical domain size and the horizontal resolution to 250 m. Sensitivity tests were  
6 performed by Chow et al. (2006) running ARPS in LES mode to simulate the flow in the  
7 Riviera Valley, situated in the Swiss Alps, using five one-way nested grids at horizontal  
8 resolutions of 9 km, 3 km, 1 km, 350 m, and 150 m. Chow et al. (2006) concluded that,  
9 although sensitive to the soil temperature and moisture initialization, their numerical results  
10 were in good agreement with the field data recorded during the 1999 campaign of the  
11 Mesoscale Alpine Programme (MAP Riviera Project; Rotach et al., 2004). In simulations of  
12 the type discussed here, which are characterized by short spin-ups, the initialization of soil  
13 moisture and skin temperature may become one of the main issues of the modeling, since it  
14 may require off-line models to provide proper initial conditions. Chow et al. (2006) tried  
15 many different approaches to solve this problem, but the statistical indices were still lower  
16 than expected.

17 In the numerical studies performed by Hanna and Yang (2001), who used four different  
18 mesoscale-models in their simulations, the discrepancies that appeared in the calculation of  
19 the wind direction and speed were attributed to the misrepresentation of the turbulent fluxes,  
20 mainly due to the land-use database and the subgrid-scale parameterization adopted. On the  
21 other hand, Zängl et al. (2004) and Gohm et al. (2004) simulated foehn winds using MM5  
22 with two-way nested grids and found non-negligible differences between simulated and  
23 observed data even using a horizontal resolution of 267 m for the innermost grid. Based on  
24 that they concluded that the topographic data require high resolution and that the lateral BC's  
25 are poorly satisfied if the grid points are too far apart from each other. In contrast, Zängl et al.  
26 (2004) found that the effect of the horizontal computational mixing model was larger than the  
27 effect of grid resolution. Their model performed better with an improved computational  
28 mixing scheme at coarse resolution (3 km) than with the traditional mixing scheme at fine  
29 resolution (1 km). As a matter of fact, most studies also point out that the soil and the  
30 vegetation databases are also important sources of error. De Wekker et al. (2005), using  
31 RAMS, showed good agreement between numerical and observed data, but their modeling did  
32 not capture accurately the wind structure in a region characterized by valleys and mountains,

1 even though their grid resolution of 333 m was very fine. The probable cause was the bad  
2 representation of the topographic database provided by RAMS.

3 Many numerical weather- and climate-prediction models are sensitive to the heat and moist  
4 surface fluxes (Beljaars et al., 1996; Viterbo and Betts, 1999). Because these surface transport  
5 processes occur on the subgrid scales, they cannot be solved directly and, therefore, they need  
6 to be parameterized. In practice, the moist fluxes at the soil surface are estimated by soil and  
7 vegetation models (Pitman, 2003). The transfer of moisture is usually described by semi-  
8 empirical aerodynamic coefficients, which are based on the similarity functions presented by  
9 Businger et al. (1971) and Deardorff (1972). Recently, Weigel et al. (2007) showed that the  
10 moist flux from the soil surface to the atmosphere is not controlled by the turbulent eddies  
11 only. The authors note that other mechanisms are also important, such as the mass transport  
12 due to the geometry of the topography and the interactions that exist in the thermally induced  
13 circulations present in regions of valleys and mountains.

14 Recent studies have shown that LES has been adopted frequently, mainly due to increased  
15 computational power to solve high-resolution atmospheric flow. Wyngaard (2004) observed  
16 that LES is not restricted to applications where the flow occurs in the smallest resolvable  
17 turbulent scales. Chow et al. (2006) and Weigel et al. (2006, 2007) indicated that the complex  
18 thermal structure and dynamics of the atmospheric flow over the complex terrain present in  
19 the Swiss Alps may be reproduced in detail using ARPS with Moeng and Wyngaard's (1989)  
20 LES model turned on. Michioka and Chow (2008) also showed that ARPS performs well  
21 when configured to run in the LES mode. These authors coupled ARPS to a code that  
22 calculates the dispersion of passive pollutants and ran simulations in regions of highly  
23 complex terrain using one-way nested grids, where the highest resolution was 25 m in the  
24 horizontal directions. Recently, Chow and Street (2009) implemented a new turbulent-flux  
25 parameterization model in ARPS in the form of a Taylor-series expansion, which aims to  
26 reconstruct the resolved sub-filter-scale turbulent stresses. Variations of this series expansion  
27 are combined with dynamic eddy-viscosity models for the subgrid-scale stresses to create a  
28 Dynamic Reconstruction Model – DRM (Chow, 2004; Chow et al., 2005). The authors  
29 evaluated the performance of DRM computing the flow over the Askervein hill (Taylor and  
30 Teunissen, 1985, 1987) and found promising results. The Atmospheric Boundary Layer  
31 (ABL) flow over the Askervein Hill has also been studied by Chow and Street (2009), who  
32 added a new stress tensor in the ARPS code to run it in LES mode. The authors concluded

1 that the use of explicit filters and DRM avoids common problems in the ground-surface  
2 Boundary Conditions (BC's) that appear in the LES model and that the results were quite  
3 satisfactory when compared to other field data and numerical models (Castro et al. 2003;  
4 Lopes et al., 2007).

5 The main objective of this paper is to evaluate the local atmospheric circulation system of the  
6 Metropolitan Area of Rio de Janeiro (MARJ), Brazil, by setting up a high-resolution  
7 numerical model using ARPS in the LES mode as the main tool, and having detailed and  
8 updated topography and land-use databases incorporated into the model. New pre-processors  
9 are developed and incorporated into ARPS to input the information from the database files of  
10 the Shuttle Radar Topography Mission (SRTM; Farr and Kobrick, 2000) and the European  
11 Space Agency (ESA) GlobCover (Bicheron *et al.*, 2008) and GlobCarbon (Eyndt et al., 2007;  
12 Arino et al., 2008) projects in order to generate appropriate non-homogeneous surface BC's  
13 for the present model.

14

## 15 **2 Site characterization, period synoptic analysis and surface stations data**

16 In order to assess the capability of our high-resolution numerical model to compute the local  
17 atmospheric circulation over a complex-terrain region we perform numerical simulations for  
18 the Metropolitan Area of Rio de Janeiro (MARJ). The MARJ is characterized by complex  
19 topography, nonhomogeneous land-use and land-cover surfaces, and is surrounded by water  
20 bodies, such as the Sepetiba Bay, the Guanabara Bay and the Atlantic Ocean (see Zeri et al.,  
21 2011). Also, the MARJ is influenced by the South Atlantic Subtropical Anticyclone (SASA),  
22 by low frontal pressure systems on the synoptic scale, and by breeze systems on meso and  
23 local scales (sea/land and valley/mountain). The influence of the several atmospheric scales  
24 over the local circulation in this region becomes a major challenge for assessment of the  
25 performance of the mesoscale model ARPS. The MARJ is a high population-density area that  
26 accounts for about 80% of the population of the State of Rio de Janeiro, Brazil, and  
27 contributes the most to the emission of pollutants into the atmosphere. The main reasons for  
28 that are the existence of many industrial and mobile pollution sources that have emerged after  
29 new investments in infrastructure have been made that are associated with the expansion of  
30 the Itaguaí Harbor, the installation of the Steel Company of the Atlantic Ocean and the  
31 existing petrochemical industry. The high emission rates of pollutants in conjunction with the  
32 characteristics of the local atmospheric flow lead to the degradation of the air quality of the

1 MARJ's air basins (Figure 1) which are regions delimited as a function of the homogeneity of  
2 the areas, the topographic formation, the soil-type coverage, the climate characteristics, the  
3 mechanisms of pollutant dispersion and the airspace regions. There are a few World  
4 Meteorological Organization (WMO) standard surface weather-observation stations and just  
5 one upper-air station in the MARJ, indicating the need to use high-resolution simulated data  
6 of the atmospheric flow to provide support to the air quality modeling in the region.

7 Our simulations cover two time periods of 48 h between 00:00 UTC 06 and 00:00 UTC 08  
8 September 2007, and 00:00 UTC 06 and 00:00 UTC 08 February 2009, and one time period  
9 of 24 h on 08 August 2011. In the first period (SEP/2007), the synoptic analyses have  
10 indicated the dominance of the SASA over the MARJ. This is a system of semi-permanent  
11 high pressure, characterized by the presence of horizontal synoptic winds that rotate counter-  
12 clockwise, vertical subsidence wind that generates divergence near the surface, clear sky,  
13 calm weather, stable conditions, such that the SASA's location and intensity changes  
14 seasonally (Richter et al., 2008; Zeri et al., 2011). The influence of the SASA contributes to  
15 inhibit cloudiness and the advancement of high-latitude frontal-systems in the region of  
16 interest (Lucena et al. 2012). Meteorological mesoscale and microscale systems, as the sea-  
17 breezes that act in the MARJ, can be hidden by the SASA system, but they are not totally  
18 destroyed as is the case when fronts pass by the area. During this first period, the SASA  
19 system remained mostly over the Atlantic Ocean, between latitudes -50 and 0 arc-deg south  
20 and longitudes -50 and 0 arc-deg west, whereas the directions of prevailing synoptic-scale  
21 winds were northeast and east in the MARJ. In the second period (FEB/2009), the synoptic  
22 analyses have indicated that a moist mass of air was replaced by a drier high-pressure post-  
23 frontal mass of air over the MARJ after the passage of a frontal system. This drier mass of air  
24 joined the SASA hours later and moved further into the Atlantic Ocean (with respect to the  
25 first period). Although the MARJ was also dominated by the SASA circulation in the third  
26 period (AGU/2011), which is common in a month between the fall and the winter seasons, we  
27 note the occurrence of fog between dawn and morning.

28 For comparison and statistical analysis of the results, we use hourly observational data to  
29 calculate potential temperature, water-vapor mixing ratio at 2 m Above Ground Level (AGL),  
30 and to get wind direction and speed at 10 m AGL obtained from eleven available World  
31 Meteorological Organization (WMO) standard surface weather-observation stations located in  
32 different zones of the MARJ, as seen in Fig. 1 and Table 1. The data from Marambaia,

1 Ecologia Agricola, Vila Militar, Jacarepagua (JPA), Copacabana and Xerem surface stations  
2 were obtained from the Brazilian National Institute of Meteorology. The METeorological  
3 Aerodrome Report (METAR) code data, that may be decoded to get wind direction and speed,  
4 visibility, absolute temperature, dew point temperature, and atmospheric pressure, were  
5 produced at the aerodromes of Santa Cruz (SBSC), Campo dos Afonsos (SBAF), Jacarepagua  
6 (SBJR), Santos Dumont (SBRJ) and Galeão (SBGL), which are regulated by the Meteorology  
7 Network of the Brazilian Air Force Command. It's worth mentioning that SBGL has also  
8 sounding data available

9

### 10 **3 Numerical modeling setup**

11 The procedures we employed to run accurate numerical simulations of the atmospheric flow  
12 in the MARJ are described here. These procedures can also be applied to other regions of the  
13 Earth planet as well, since we have developed new subroutines to process all the satellite data  
14 needed. The steps taken include the setup of the numerical method employed on ARPS, the  
15 structure of a high-resolution one-way nested grid, the incorporation of a detailed and updated  
16 topography and land-use databases on ARPS, and the adequate selection of radiation,  
17 turbulence closure, microphysics and cumulus parameterizations based on ARPS user's guide  
18 (Xue et al., 1995). A control run (CTL) set up with the (outdated) original ARPS surface  
19 databases serves as a reference for comparison between the high-resolution (HR) surface  
20 database final runs and the field observational data.

21

#### 22 **3.1 Numerical schemes**

23 We set up ARPS to employ a fourth-order spatial differencing for the advection terms and a  
24 mode-splitting technique for the temporal discretization to accommodate high-frequency  
25 acoustic waves. Large time steps ( $\Delta t$ ) are chosen based on the leapfrog method. For the small  
26 time steps ( $\Delta \tau$ ) we use first-order forward-backward explicit time stepping, except for terms  
27 responsible for vertical acoustic propagation, which are treated semi-implicitly.

28

## 1 **3.2 Grid nesting and topography**

2 We adopt a one-way nested-grid structure that is set up based on the tutorials of Mesinger and  
3 Arakawa (1976) and Warner et al. (1997). An external grid (GEXT) is set up in order to pre-  
4 process the data from the 0.5°-Global Forecasting System analyses (0.5°-GFS; Kanamitsu,  
5 1989), and to produce the first Initial Conditions (IC's) and lateral BC's at 3-h intervals for  
6 the outermost domain (G1), which has a horizontal resolution of 27 km. Relaxation on the  
7 values of the BC's is applied to a 5-10 grid-cell zone around the domain boundary, depending  
8 on the grid. In all simulations were produced output data at hourly intervals and such that the  
9 data computed on any coarse grid is also employed as IC's and BC's at 3-h intervals for a  
10 subsequent fine-grid simulation in the one-way nested-grid domain. Namely, G1 produces  
11 data for G2, G2 for G3 and G3 for G4. Similarly, G4 produces data for both G5 and G6. In  
12 order to determine the horizontal resolutions for grids G2-G4 of the one-way nested-grid  
13 setup, we employ a ratio of one grid size to the next equal to 3, all centered with respect to the  
14 coarsest grid. The finest grids (G5 and G6) have a horizontal resolution of 300 m and are not  
15 nested to each other, as illustrated in Fig. 2. This setup has been chosen because we are  
16 interested in understanding how the atmospheric local circulations behave when they cross the  
17 boundaries of air basins I and II-III of the MARJ (Fig. 1), which are covered by the G5 and  
18 G6 domains, respectively. This grid setup also helps to reduce the computational cost that we  
19 would obtain if a single domain were used with the finest-grid resolution. It's important to  
20 remember that the higher-resolution grids process information coming from the 0.5°-GFS  
21 analyses and results of the previous simulation performed on the coarser-resolution grids,  
22 which embed physical effects of the various scales being modeled. When one-way nested  
23 grids are used, the energy transfer occurs from large to small scales. Nevertheless, this  
24 procedure used by ARPS allows that each vertical resolution be treated separately for each  
25 numerical grid, ensuring that the flow computation is performed in the LES mode, and which  
26 we found to be necessary to accommodate the steep terrains of the MARJ. Currently two-way  
27 nesting schemes are available in other codes, such as RAMS, that do not allow for vertical  
28 resolution changes, but the effect of two-way nesting will be explored in future work. The  
29 grid domains from GEXT to G4 are centered at a point located at -22.82 arc-deg south-  
30 latitude and -43.50 arc-deg west-longitude, whereas the center of grid G5 is slightly shifted to  
31 -22.88 arc-deg south-latitude and -43.72 arc-deg west-longitude and G6 to -22.80 arc-deg  
32 south-latitude and -43.27 arc-deg west-longitude, as can be seen in Fig. 2. A Mercator  
33 projection is used with the true latitude and longitude located at the center of each domain to

1 minimize distortion in the main area of interest, particularly in smaller areas that have the  
2 highest resolutions. For each nested subdomain, the terrain is smoothed next to its boundary  
3 to match the local neighboring grids that have lower resolution.

4 The ARPS original files of the 30 arc-sec USGS ( $\cong 900$  m) topography database are pre-  
5 processed for grids G1 to G3 in our simulations. Depending on the run configuration, the  
6 ARPS files for grids G4 and G6 are either pre-processed from the 30 arc-sec USGS ( $\cong 900$  m)  
7 topography database or the 3s-SRTM ( $\cong 90$  m) detailed and updated high-resolution  
8 topography database, which we have recently incorporated into ARPS. This incorporation  
9 procedure required substantial modifications to the arpstrn.f90 source file of the original  
10 ARPS code, which can be downloaded directly from [http://meteor.cefet-  
11 rj.br/leanderson/arp/](http://meteor.cefet-rj.br/leanderson/arp/) and run with the 3s-SRTM data on the ARPS model version 5.2.8.  
12 Details on how the arpstrn.f90 routine works can be seen in the file's comment lines and also  
13 Xue et al. (1995). In Figures 3a-b it's possible to compare these two distinct databases  
14 processed for G5, where we can easily notice that the topographic details are much better  
15 reproduced by the 3s-SRTM data, especially in regions where the topography exceeds 800 m  
16 AGL. The high-resolution topography database allows a much more appropriate definition of  
17 the surface boundary condition. Although a comparison between these databases for grid G6  
18 is not shown the east side of the G5 domain provides a good idea of what the topography  
19 maps look like for G6.

20

### 21 **3.3 Vertical resolution and grid aspect ratio**

22 ARPS incorporates a  $\sigma$ -coordinate system that follows the ground surface. The grids are  
23 stretched using a hyperbolic tangent function (Xue et al., 1995) that produces an average  
24 spacing  $\Delta z_{\text{med}}$  and a domain height  $H_z$  equal to  $(n_z - 3)\Delta z_{\text{med}}$ , where  $n_z$  is the number of the  
25 vertical grid points. The smallest vertical spacing  $\Delta z_{\text{min}}$  used for each grid and the number of  
26 grid points below ground level  $n_{zg}$  can be found in Table 2. To resolve the smallest structures  
27 of the atmosphere it is necessary to adopt high vertical resolutions, but the grid aspect ratio  
28 ( $\Delta/\Delta z_{\text{min}}$ , where  $\Delta = \Delta x = \Delta y$ ) should not be extremely large to avoid numerical errors,  
29 especially in the horizontal gradients (Mahrer, 1984), and also to avoid distortion of the  
30 resolvable turbulent structures when runs are carried out in LES mode (Kravchenko et al.,  
31 1996). Poulos (1999) and De Wekker (2002) have found that the aspect ratio of the grid

1 should be small, especially for terrains with steep topography. Following the tutorials of  
2 Mahrer (1984), Kravchenko et al. (1996), Poulos (1999) and De Wekker (2002) and similar  
3 procedures adopted by Chow et al. (2006) we set up grids G1 and G2 with grid aspect ratios  
4 of 540 and 180 near the surface, respectively, to represent the scales in the atmosphere. These  
5 choices are adequate because the characteristic scales of the topography and the resolvable  
6 flow are large enough for the G1 and G2 domains, in addition to the fact that the 1.5-TKE  
7 parameterization scheme for the closure of the turbulent fluxes are used in both grids. Tests  
8 with high values of  $\Delta z_{\min}$  for grids G1 and G2 degraded the representation of the synoptic  
9 structures in comparison with the analysis of the synoptic charts, especially when we were  
10 analysing the mean atmospheric sea-level pressure fields. The same proportion was used for  
11 the G3 and G4 aspect ratios, since their  $\Delta z_{\min}$  values are equal to the coarser grids.  
12 Particularly, for the G5 and G6 grids, we avoided to increase the aspect ratio more than  
13 necessary and impose a substantial decrease in the value of  $\Delta z_{\min}$ . Thus, we adopted an aspect  
14 ratio equal to 15, which results in a first level at 10 m AGL and  $\Delta z_{\min}$  equal to 20 m for the  
15 finest grids.

16

### 17 **3.4 Land-use databases**

18 In addition to the atmospheric model component, our simulations work together with the  
19 ARPS soil-vegetation model that has been constructed based on the parametric scheme  
20 developed by Noilhan and Planton (1989) and modified by Pleim and Xiu (1995). This  
21 scheme is a function of the soil and vegetation types, vegetation cover fraction and the  
22 Normalized Difference Vegetation Index (NDVI) and/or Leaf Area Index (LAI). Thus, it is  
23 important that the databases contain detailed updated information about urban and vegetated  
24 areas and water bodies with relatively high spatial resolution to allow more suitable non-  
25 homogeneous BC's to simulate accurately the atmospheric flow, mainly in LES mode. ARPS  
26 models physically 13 soil-types (including water and ice) and 14 vegetation-types, according  
27 to the classification of the United States Department of Agriculture (USDA).

28 For the soil-type representation, the original 30s-USGS database files are processed and  
29 mapped into the grid categories set up in ARPS by selecting values from the nearest data  
30 points. For the vegetation-type representation, original files of either the 30s-USGS database  
31 or the 10s-ESA ( $\cong 300$  m) GlobCover-project database that we incorporated into ARPS are

1 employed, depending on the run. The incorporation of the vegetation data into ARPS is  
2 carried out through the modifications that we have introduced to the original arpsfc.f90 and  
3 arpsfclib.f90 source files. Particularly, we have developed and added two new subroutines to  
4 the arpsfclib.f90 source file, referred to as GET\_10S and MAPTY10S, which are similar to  
5 the GET\_30S and MAPTY30S original subroutines. The GET\_10S subroutine reads 10 arc-  
6 sec or 300 m vegetation type data resolution files from the ESA GlobCover project. The  
7 MAPTY10S subroutine transforms the 22 categories from 10 arc-sec vegetation-type data  
8 into the simpler 14 original vegetation-type USDA/ARPS categories and feed them into the  
9 model domain by choosing the data values at the nearest grid points. Beyond that, the setting  
10 of the surface-roughness ( $z_0$ ) map is processed by choosing values associated to the  
11 vegetation-type classes, according to the same conversions shown in Table 3. Both the  
12 arpsfc.f90 and arpsfclib.f90 source files have been commented to explain the modifications  
13 and they can be downloaded directly from <http://meteoro.cefet-rj.br/leanderson/arps/>.

14 When the 30s-USGS vegetation-type database files are adopted in our runs, LAI is calculated  
15 from 30s-USGS monthly NDVI database for herbaceous vegetation and trees, respectively  
16 (Xue et al., 1995). The relation between the NDVI and LAI for herbaceous vegetation can be  
17 consulted in Asrar et al. (1984), and for trees in Nemani and Running (1989). Also, vegetation  
18 fraction data from the 30 arc-sec National Environmental Satellite Data and Information  
19 Service (30s-NESDIS), supported by the National Oceanic and Atmospheric Administration  
20 (NOAA), are derived from the same NDVI data using the methodology suggested by Gutman  
21 and Ignatov (1998). On the other hand, whenever the 10s-ESA vegetation-type database files  
22 are employed in our runs, LAI and vegetation fractions are directly obtained from the 30s-  
23 ESA GlobCarbon project database, and little corrections on the mapped 30s-USGS soil-type  
24 data are needed near the coastlines of the water bodies as illustrated by comparison in Figs. 4a  
25 and b for the G5 domain. We point out that we have developed the GETLAIGLOBCARBON  
26 and the GETFAPARGLOBCARBON subroutines and included them into the arpsfclib.f90  
27 source file of the ARPS code. These routines are able to read the LAI and calculate the  
28 vegetation-fraction values, respectively, from the GlobCarbon project database and interpolate  
29 them into the model domain. In addition, we have also developed the  
30 MAPTYLAIGLOBCARBON and MAPTYFAPARGLOBCARBON subroutines to transform  
31 the 30s-ESA LAI and the FAPAR data into the simpler LAI and vegetation-fraction data and  
32 feed them into the model domain by choosing the data values at the nearest grid points. For an  
33 adequate reproduction of our results we also provide the namelist files, i.e., the arps.input

1 files, and the SRTM and ESA databases at <http://meteoro.cefet-rj.br/leanderson/arps/>, in order  
2 to allow any set up we have used to run on all domain grids employed in this work.

3 Figures 5a-b highlight a comparison between the vegetation-type maps processed on G5 with  
4 the 30s-USGS and the 10s-ESA databases, respectively. It can be noticed that the 10s-ESA  
5 vegetation-type mosaic presents more detailed and smoothed areas than the 30s-USGS  
6 database. Analysis of Figs. 6a-b, which illustrate a comparison of the vegetation fraction maps  
7 on G5, indicates that the 30s-ESA vegetation fraction map presents a pattern that is in  
8 accordance with the pattern presented by the 10s-ESA vegetation-type map. However, the  
9 same does not exactly occur when we compare the 30s-USGS vegetation fraction map to the  
10 30s-USGS vegetation-type map. Therefore, we can safely consider that the ESA land-use  
11 database is better than the USGS database to be used in this work. The surface roughness  
12 maps are not shown here because they are closely related to vegetation-type information  
13 available on Table 3. Similarly, the LAI maps are also omitted because they are intimately  
14 related to vegetation-type and vegetation fraction information available on the maps of Figs.  
15 5a-b and 6a-b.

16 Additionally, we use two soil layers with depths of 0.01 m and 1 m for the computation of the  
17 temperature and moisture balances according to the ARPS soil-vegetation model. The Sea  
18 Surface Temperature (SST) and the soil skin temperature and moisture initial databases for  
19 the G1 grid are obtained from the 0.5°-GFS analyses. For the subsequent grids, initial values  
20 of these surface characteristics are obtained by numerical interpolation performed in each  
21 preceding grid. We also point out that, for all grids, we adopted the Colette et al. (2003)  
22 topography shading scheme, the Chou (1990) and Chou and Suarez (1994) short and long-  
23 wave radiation schemes, the Kain and Fritsch (1990, 1993) microphysics scheme and the 1.5-  
24 Turbulent Kinetic Energy (TKE) Moeng and Wyngaard (1989) turbulence model. The Kessler  
25 (1969) and Lin et al. (1983) cumulus scheme is turned on only for the G1 and G2 synoptic  
26 grids. Table 4 summarizes the differences adopted in our one-way nested-grid runs.

27

## 28 **4 Results and discussion**

29 The simulations are performed in parallel on a cluster comprised of three identical machines  
30 with Intel Xeon E5450 processor of 3.0 GHz of RAM and cache size of 6144 KB. Our short  
31 spin-up runs cover two periods of 48 h and one of 24 h, which are long enough to infer the  
32 dependence of the simulations on initial and boundary conditions, grid resolution and

1 topographic and land-use databases, and to compare the results to surface and upper-air  
 2 observational data for distinct days. We anticipate that, in the absence of frontal systems and  
 3 depending on the positioning of SASA in the southeastern region of Brazil, the prevailing  
 4 winds that blow in the region of interest are the result of mesoscale and microscale  
 5 mechanisms that occur as a function of the land-sea contrast, mountain-valley and land-use.

6

#### 7 **4.1 Statistics indexes, meteograms and upper-air profiles**

8 Analogously to Chow et al. (2006), Table 5 illustrates the mean errors (bias) and the Root-  
 9 Mean-Square Errors (RMSE) computed for the potential temperature  $\theta$ , water-vapor mixing  
 10 ratio  $q_v$  and wind direction and speed for both runs of all periods. The results completely  
 11 exclude the fast spin-up time of the indices and maintain only the computationally-stable time  
 12 results of the daily-cycles periods. The bias and RMSE are computed as follows

$$13 \quad bias = \frac{1}{N} \sum_{i=1}^N \phi'_i, \quad (1)$$

$$14 \quad RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^N (\phi'_i)^2}, \quad (2)$$

15 where  $\phi' = \phi_f - \phi_o$  represents the difference, or deviation, between any forecasted and  
 16 observed variable, and  $N$  is the total number of verifications. For wind direction, a positive  
 17 deviation means that the simulated wind vector deviates clockwise in relation to observed  
 18 wind vector. Because the largest possible error in wind direction is 180 arc-deg, the definition  
 19 of the deviation  $\phi'$  needs to be changed according to

$$20 \quad \phi' = (\phi_f - \phi_o) \left( 1 - \frac{360}{|\phi_f - \phi_o|} \right), \text{ if } |\phi_f - \phi_o| > 180 \text{ arc-deg.} \quad (3)$$

21 Analysis of the statistical indexes from Table 5 clearly summarizes that the HR-run results are  
 22 much better than the CTL-run results. From the data displayed in Table 5, we see that the HR-  
 23 run statistics are worse only in 6 out of the 22 statistics for potential temperature, and only in  
 24 2 out of 22 statistics for the wind speed. We consider these statistical indexes to be very  
 25 appealing. Specifically in the case of the wind speed, which is a very important quantity, the  
 26 improvement obtained in its calculation can be quantified by looking, for example, at the

1 Marambaia and Ecologia Agrícola stations (located in the west zone). At Marambaia, Table 5  
2 shows that there is a decrease of the bias from  $2.32 \text{ ms}^{-1}$  to  $1.66 \text{ ms}^{-1}$ , whereas at Ecologia  
3 Agrícola the decrease goes from  $3.18 \text{ ms}^{-1}$  to  $2.69 \text{ ms}^{-1}$ . Also, the RSME goes from  $3.02 \text{ ms}^{-1}$   
4 to  $2.42 \text{ ms}^{-1}$  at Marambaia, and from  $4.26 \text{ ms}^{-1}$  to  $3.59 \text{ ms}^{-1}$  at Ecologia Agrícola. To give  
5 support to this line of reasoning, we built Table 6, which summarizes the statistics by  
6 classifying the stations into three zones as seen in Table 1. Table 6 shows that the wind speed  
7 results are better for the HR runs in the west and center-south zones, which adds up to 14  
8 improvements out of 14 statistics.

9 From Tables 5 and 6, the statistical indexes for the potential temperature show significant  
10 improvement over the CTL run results when HR databases are employed. All cases are better  
11 for the HR runs in the east zone, and 4 out of 6 are better in the west zone. Considering all  
12 zones, only 6 cases out of 22 presented worse results with HR databases. Although we had 4  
13 worse cases out of 8 in the center-south zone, the calculated bias for both the CTL and the HR  
14 runs are small (less than 1.8 K for the west and center-south zones) compared to potential  
15 temperature values of the order 300.0 K, which were calculated from observational measured  
16 values. In the east zone, where we had 8 improvements out of 8 statistics, the bias values are  
17 also small (in the range 1.5-2.6 K). This set of results indicates that ARPS, overall, is doing a  
18 good job in the prediction of the time and space variation of this quantity in the simulations,  
19 although the computed values tend to underestimate the observational data.

20 When we consider the statistical indexes for the vapor-mixing ratio, we see from Table 5 that  
21 there is no significant difference between the bias values calculated from the HR and the CTL  
22 runs. Although this result indicates that there is no clear advantage of using HR databases  
23 over low-resolution databases, we point out that the bias values are small (less than 1.7 g/kg)  
24 compared to measured values of the order of 15 g/kg (which sets the scale for this quantity),  
25 except at the SBJR and SBRJ stations. In other words, the flow model is predicting correctly  
26 the time and space variation of this quantity in ARPS simulations, and there is relatively little  
27 to improve on the calculation of the vapor-mixing ratio from a statistical point of view.  
28 Therefore, the statistical indexes that compare HR and CTL runs results should not be used  
29 directly to assess the advantage of the HR simulation over the CTL simulation. It's  
30 worthwhile to note that we compared the observational and model results at the same height  
31 by extrapolating the ARPS results from first grid point at 10 m down to 2 m.

1 In the case of the wind direction, large deviations between the ARPS runs are observed  
2 against observational data. Despite the fact that the wind direction is probably the most  
3 difficult quantity for any model to forecast accurately, the HR run shows significant  
4 improvement over the CTL run at the SBAF and JPA stations. At the SBAF station, the wind  
5 direction bias discrepancy goes from  $-16^\circ$  to  $2^\circ$ , approximately, whereas, at the JPA station,  
6 the bias discrepancy goes from  $-17^\circ$  to  $6^\circ$ , approximately. Also, we must be careful to  
7 interpret the statistics indexes when, for example, bias is calculated for time cross-sections  
8 that remain lagged during the entire period of the simulation. For the SBSC station, the bias  
9 for the wind direction indicates values about  $20^\circ$ , but the RMSE confirms that errors are as  
10 large as we see in the time cross-sections (not shown). At the SBJR the differences indicated  
11 by the RMSE values are small too, although the statistical indices were not calculated  
12 between 00:00 and 09:00 UTC, i.e., night to dawn and early morning. We should also  
13 emphasize that the data at the observation level closest to the ground may be influenced by  
14 surface effects due to the plant canopy, which is not totally represented in mesoscale models  
15 due to difficulties with the turbulence parameterization schemes, incipient grid resolution,  
16 poor urban soil-type database, and low resolution of the topography database outside of the  
17 fine-resolution domains. These effects imply that the model is unable to provide accurate  
18 lateral BC forcing for the finest grids, as discussed by Gohm et al. (2004). Regardless of these  
19 issues, our results indicate that the scales modeled in the finest grids (G5 and G6), based on  
20 “Terra Incognita” (Wyngaard, 2004), are still accurate enough to provide encouraging results.

21 Figures 7 to 12 show the time cross-section data, or meteograms, of potential temperature and  
22 wind speed that compare the simulated data from the CTL runs (open triangle) and the HR  
23 runs (open square) with the observational data (closed circle) for some surface weather  
24 stations where the HR runs perform better than CTL ones. We can see from these figures that  
25 the CTL and the HR results underestimate the potential temperature in most of the analyzed  
26 periods and overestimate the wind speed data in comparison with the observational data. This  
27 is in accordance with the analysis above based on the statistical indexes shown in Table 5.  
28 Specifically at the Marambaia station, the potential-temperature daily cycle is well simulated  
29 for both runs in both days of the first period (SEP/2007), when compared to the observational  
30 data (Fig. 7a-b). Good agreement between the simulated and the observational data for the  
31 wind speed can be noticed at almost all times, mainly for the HR run (Fig. 7c-d). We also note  
32 the occurrence of large speed values in the periods 18:00-21:00 UTC 06 September and  
33 15:00-17:00 UTC 07 September 2007, which are associated with the sea-breeze winds

1 coming from the Atlantic Ocean. The simulation results show just a slight discrepancy with  
2 respect to this behavior on the second day, since ARPS does not compute adequately the  
3 speed decrease from 18:00 UTC on, showing a possible influence of the synoptic forcing in  
4 the modeling process of the ABL and hiding the real effect of the sea-breeze coming from the  
5 Atlantic Ocean.

6 The observed daily cycles for the potential temperature are also represented qualitatively well  
7 by the simulation for both runs at the Ecologia Agricola station (Fig. 8a-b). The computed  
8 results for the HR run present better agreement with the observational data than the CTL run  
9 during 08:00 and 14:00 UTC for both days, just when the Convective Mixed Layer is in  
10 development. The results illustrated by time cross-section of the wind speed computed from  
11 the HR run present better agreement with the observational data than the CTL run, although  
12 the results show a systematic trend to overestimate the wind speed (Fig. 8c-d). The Ecologia  
13 Agricola station is positioned relatively far from the Sepetiba Bay (Fig. 1), in a direction  
14 transverse to the coastline. Thus, the sea-breeze is sometimes the driving force of the wind  
15 speed and direction, as it probably occurred on 07 September 2007. Based on these results  
16 and similar results obtained by Chow et al. (2006) for other regions, we infer that the poor  
17 representation of the 30s-USGS soil-type can greatly influence the results of the simulation, in  
18 spite of the best representation of the topography and vegetation-type provided in the HR run.

19 The numerical results for the SBSC station present good agreement with the observational  
20 data in terms of potential temperature, mainly on the second day of the simulation (Fig. 9a-b).  
21 On the first day, although the CTL and the HR runs underestimate the potential temperature in  
22 the period 12:00 and 21:00 UTC, the HR run performs better than the CTL run from 04:00 to  
23 12:00 UTC 06 September 2007, which represents a good short spin-up simulation. Overall,  
24 negligible differences are found when simulated values are compared with the observational  
25 data (Fig. 9a-b). With respect to the wind speed, both runs present large discrepancies when  
26 compared to observational data from the beginning of the run until 14:00 UTC 06 September  
27 2007, approximately. After that, both runs predict well the wind speed decrease at 18:00 UTC  
28 06 September 2007, probably because of the turn of the wind (not shown), and the HR run  
29 performs well in the detection of the few wind speed changes. Although the wind direction  
30 meteograms are not shown for the SBSC station, in particular, the simulations seem to detect  
31 when the sea-breeze wind starts to turn coming from the Sepetiba Bay direction, but it fails to  
32 detect when the wind turns completely from the southwest direction. These results indicate

1 that the fine settings proposed for the databases are not yet fully appropriate to represent the  
2 wind speed and direction behavior at the SBSC station. Even with the good performance of  
3 the computed wind-speed daily cycle for the HR run on the second day analyzed (Fig. 9d), we  
4 highlight that there are combined effects due the synoptic scale and the sea-breeze coming  
5 from the Atlantic Ocean and the Sepetiba Bay. The low resolution of the soil-type database  
6 associated to soil temperature and moisture initialization data may be affecting the modeled  
7 results in this region too.

8 The daily cycles for the potential temperature computed at the SBAF station (Fig. 10a-b) also  
9 presented successful results on the second period (FEB/2009), i.e., in the period 00:00 UTC  
10 06 and 00:00 UTC 08 February 2009, as observed for other stations. The CTL run performs  
11 better than the HR run only at some periods of the days, showing in a convincing way that HR  
12 run presents important results for a region characterized by valley-mountain effects (see east-  
13 side of Fig. 3a-b). We note that the ARPS results tend to overestimate the observational data  
14 for the potential temperature at night, dawn and early morning (about 21:00 to 09:00 UTC),  
15 and tend to underestimate them in the period morning-afternoon (about 11:00 to 15:00 UTC).  
16 In general, the calculated wind speeds values are lower than the observational data between  
17 06:00 and 15:00 UTC 06 February 2009 and 00:00 and 12:00 UTC 07 February 2009, mainly  
18 due to the SBAF location, where the wind direction can be driven by a sum of two factors: the  
19 weak catabatic winds from the Pedra Branca (see the mountain in the northeast of Fig. 3a-b)  
20 and Tijuca Massifs (see the mountain in the southeast of Fig. 3a-b); and the weak land-breeze.  
21 At the same time intervals, we note from the wind direction meteograms (not shown) that the  
22 wind blows (with variation) from N/NE (land and mountain-breeze) and S/SE (mountain-  
23 breeze). For both days (Fig. 10a-b), the flow accelerates slightly when the wind blows from S  
24 and SE (not showed) in the period 15:00 and 21:00 UTC, approximately, suggesting the  
25 occurrence of a canalized jet due the sea-breeze effect from Atlantic Ocean. In general, the  
26 HR run overcomes the CTL run for most of the time when we compare the wind speed results  
27 to the observational data, as illustrated in Figures 10c-d.

28 At the Jacarepagua (JPA) station, qualitative and quantitative agreement is observed between  
29 the observational and the simulated data values for the potential temperature (Fig. 11a-b).  
30 There are few discrepancies between the CTL and the HR runs at all times, but the HR run  
31 performs better than the CTL run mainly in the period of full development of the Convective  
32 Mixed Layer. In general, Figures 11a-b indicate that the land-use database did not change too

1 much the characteristics of the JPA region and vicinity. On the other hand, the HR run  
2 performs well on both days analysed when we compare the simulated values for the wind  
3 speed with the observational data. It's worth mentioning that the maximum values of wind  
4 speed occurs around 15:00-21:00 UTC, when the wind blew from S and SE under the  
5 influence of the sea-breeze from the Atlantic Ocean, as discussed for the SBAF station,  
6 although not shown in the wind direction meteograms. At these times, although the ARPS  
7 results overestimate the observational data for the wind speed, the HR run presents a decrease  
8 in the wind speed values when compared to the CTL ones, indicating a positive influence of the  
9 updated surface databases on the HR simulation.

10 Satisfactory results for the daily cycle of the potential temperature in the third period  
11 (AGU/2011), i.e., between 00:00 UTC 08 and 00:00 UTC 09 August 2011, can be seen in  
12 Figs. 12a-b for the Xerem station. However, the ARPS results for the potential temperature  
13 overestimates a little the potential temperature obtained with the observational data at all  
14 times. Small discrepancy between the CTL and the HR runs are detected after 13:00 UTC,  
15 however the HR run overcomes clearly the CTL run between 02:00 and 12:00 UTC. The main  
16 cause of the discrepancies found in the wind direction (not shown) is the occurrence of calm  
17 winds, which reach a maximum of 9 m/s at 21:00 UTC. We highlight the occurrence of calm  
18 winds between 00:00 and 12:00 UTC and moderate winds in the afternoon and night (between  
19 13:00 UTC 08 August and 00:00 UTC 09 August 2011), just when the sea-breeze flow from  
20 the Atlantic Ocean is completely developed in conjunction with anabatic wind effects from  
21 the Tijuca Massif (Fig. 12c-d). In general, wind speed values computed by the HR run are a  
22 little better than the CTL run when compared to observational data. The discrepancy is larger  
23 after 21:00 UTC. But in such situations, when the wind speed is very calm, the turbulence  
24 parameterization schemes typically show considerable difficulties in modeling the flow near  
25 the ground under the referred conditions, i.e., calm winds, intermittent flow and normally  
26 when mesoscale models are downscaling to the LES domains. However, it is important to  
27 point out that the results obtained from the simulations reproduce qualitatively the observed  
28 maximum wind speed in the afternoon and the minimum wind speed in the morning.

29 The upper-air or vertical profiles of potential temperature, water-vapor mixing ratio and wind  
30 direction and speed computed for the SBGL upper-air sounding station show encouraging  
31 results when compared to the observed data collected at the SBGL station (see Figs. 13a-d  
32 and 14a-d) in the first 1.6 km AGL at 12:00 UTC for both days. Despite the trend of the

1 model to underestimate the observational data, analysis based on the potential temperature  
2 profile at 12:00 UTC 06 September (Fig. 13a) shows good agreement between the  
3 observational data and the CTL and HR results, since a stable layer predominates in the whole  
4 atmosphere. The water-vapor ratio distribution calculated by ARPS underestimates the  
5 observed data up to 1,600 m AGL, maybe due to the initialization used, but there is good  
6 agreement above this height (Fig. 13b). In the ABL region the variation of the wind direction  
7 with height is not well represented by the ARPS results (Fig. 13c). The ARPS results do not  
8 reproduce adequately the height AGL where the wind speed maximum occurs and the CTL  
9 run overestimates more than the HR run the wind speed value up to 100 m AGL (Fig. 13d).  
10 From 100 m up to 800 m AGL the CTL run performs better than the HR run. The comparison  
11 of simulated meteorological variables with observational data on the second day present a few  
12 differences between the CTL and the HR runs and overall better agreement between the runs  
13 and the observational data (Fig. 14a-d). In general, the ARPS results reproduce correctly the  
14 physical behavior of the atmosphere when compared to the observed data at the SBGL  
15 station, mainly with respect to the atmospheric stability, the wind shear, and the occurrence of  
16 low wind speed near the ABL and higher wind speed until 1,600 m.

## 17 **4.2 Difference of potential temperature fields**

18 In order to show the spatial discrepancies between the HR and the CTL runs on the G5  
19 domain, we present the horizontal cross-section of the difference of potential temperature  
20 between the two runs, defined as  $\theta(\text{HR}) - \theta(\text{CTL})$ , for the period from 14:00 to 17:00 UTC 07  
21 September 2007, as illustrated in Figs. 15a-d. These figures highlights the areas where there  
22 are visible discrepancies between both runs These results also indicate that changes by itself  
23 on the vegetation-type and not merely on the soil-type provide meaningful differences on the  
24 air flow, as we can see from the contrast between the continent and the sea. We inserted a  
25 dashed line in each figure to indicate the vertical cross section which we considered in the  
26 analysis of the sea-breeze front based on the TKE distribution that we present in section 4.3.  
27 At 14:00 UTC (11:00 Local Time - LT), we can clearly observe that the major discrepancies  
28 are on the west side and over the water bodies, mainly in the vicinity of a water reservoir  
29 located in the continent. Overall the potential temperature values of the HR run tend to be  
30 higher than the CTL one, except around the entrance of the Sepetiba Bay, where a colder air  
31 parcel due to the HR run appears (Fig. 15a). In this case, the results for the HR run present an  
32 accentuated differential heating between the sea and the continent, which is able to turn on an

1 efficient thermodynamic trigger to start the sea-land breeze mechanism. Thus, it is possible  
2 that the vegetation-type database changes the heat and water-vapor fluxes in order to represent  
3 more adequately the local circulation. At 15:00 UTC (Fig. 15b) the discrepancy increases  
4 slightly and the cool air parcel moves from southeast to northwest, indicating that the sea-  
5 breeze front penetrates perpendicularly into the continent with respect to the grid east side  
6 shoreline (around between -43.60 and -43.40 arc-deg west-longitude). During this motion, the  
7 breeze does not feel the change in direction that the Sepetiba Bay shoreline presents after -  
8 43.60 arc-deg west-longitude, approximately, which the dashed line crosses. Likewise, this  
9 behavior indicates that the soil-type database that represents this change in direction of the  
10 Sepetiba Bay shoreline is not influencing the flow enough to capture the wind direction  
11 suitably in this area. At 16:00 and 17:00 UTC (Fig. 15c-d) we note the same discrepancy  
12 areas between the runs as seen in the previous hour, but the potential temperature difference  
13 values are smaller. The cool air parcel moves towards the grid west side at 16:00 UTC (Fig.  
14 15c), and practically disappears at 17:00 UTC (Fig. 15d). This behavior shows the importance  
15 of increasing the density of surface-weather observation stations at MARJ in order to evaluate  
16 whether the physical trend captured with the high-resolution ARPS modeling is in agreement  
17 with the sea-breeze front advance analyzed.

18

### 19 **4.3 Vertical-latitude cross-section analysis**

20 Figures 16a-d illustrate the vertical-latitude cross-section distribution of TKE, potential  
21 temperature and meridional-vertical wind vector components only for the HR run, computed  
22 at -43.60 arc-deg west-longitude (Marambaia longitude location) in the period 14:00 to 17:00  
23 UTC 07 September 2007, since the HR results are better than the CTL ones. The scale goes  
24 from 0 to 1,000 m AGL and the color scale ranges between 0.05 - 3.0  $\text{m}^2\text{s}^{-2}$ . The dashed line  
25 which crosses each one of Figs. 16a-d indicates where the land and sea cross-sections are  
26 located in order to support our analysis. The calculations were performed in this period  
27 because we are interested in highlighting the TKE distribution close to the sea-breeze front  
28 indicated by the meteogram analysis from the Marambaia station (Fig. 7). One can see that  
29 the TKE distribution in the north part of the continental region (between -22.90 and -22.65  
30 arc-deg south-latitude) may be associated, at all the times, to the near-surface vertical shear  
31 and buoyancy effects caused mainly by the mountain waves (see topographic elevation maps  
32 in Figs. 3a-b), as also suggested by the numerical results for the wind velocity vector and

1 vertical potential temperature gradient. In this region of the cross-section, the TKE intensity  
2 presents higher values at 15:00 and 16:00 UTC (see Figs. 16b-c) due to the higher  
3 temperatures and wind shear computed. At 14:00 UTC (Fig. 16a), a stably stratified ABL  
4 occurs over the ocean region and near the coastline (between -23.11 and -23.00 arc-deg south-  
5 latitude), and one can see a northerly wind component blowing along the vertical-latitudinal  
6 cross-section beyond 200 m AGL. Below this level, on the surface layer, there is evidence  
7 that a southerly wind component starts the sea-breeze flow, which is associated with a weak  
8 horizontal gradient and a relative intense TKE distribution at the sea-breeze front near the  
9 coastline.

10 At 15:00 UTC (Fig. 16b) the horizontal gradient of temperature increases and the sea-breeze  
11 front reaches -22.96 arc-deg south-latitude, approximately, characterized by a very near-  
12 surface southerly wind component which turns to the north direction about 150 m AGL.  
13 However, the wind is still weak and some vertical motion is generated, even near the  
14 horizontal limit of the sea-breeze flow. In a shallow layer, the gradient of TKE increases in  
15 the HR run, leaving a TKE trail in the onshore region. From 16:00 to 17:00 UTC (Figs. 16c-d)  
16 we can see that air rises over the warm land near the shoreline and into the continent (near -  
17 22.95 arc-deg south-latitude), and cooler air from the water is advected by the southerly wind  
18 component to replace it. The noticeable temperature drop observed in Fig. 16c is typical of a  
19 mesoscale cold front, as observed by Bastin and Drobinski (2006). The HR run predicts that  
20 the low-level convergence occurs into the continent, around 10 km from the shore, at 16:00  
21 UTC (Fig. 16c). Also, the HR run presents an adequate wind speed prediction when compared  
22 to the observed data at the Marambaia station (see also Fig. 8). In the HR run results, a return  
23 circulation (the anti-sea-breeze) of about  $5 \text{ m s}^{-1}$  brings warmer air back to the sea, which  
24 descends towards the sea surface and closes the circulation circuit. We can also note that, at  
25 14:00 and 15:00 UTC (Figs. 16a-b), the speed of the following sea breeze is a little faster than  
26 the speed of the sea-breeze front, and a light wave propagates upstream of the sea-breeze front  
27 as the southerly flow component collides with the adverse northerly flow component. When  
28 the following sea-breeze reaches the front, convergence results in a “head-shaped” updraft, as  
29 also seen by Reible et al. (1993) and Bastin and Drobinski (2006). This “head” is a zone of  
30 intense mixing, which is supported by the significant values of the TKE shown in Fig. 16b.  
31 We also observe that the highest TKE values occur in the mesoscale cold-front region around  
32 450 m AGL and near the ground-surface. The vertical motion of the air is evident in the HR  
33 run at 14:00-15:00 UTC (see Figs. 16a-b). The maximum depth of the sea-breeze is observed

1 to be around 300 m AGL at all times. After 17:00 UTC the magnitude of the updraft  
2 decreases quickly, as well as its vertical extent, and the TKE intensity decreases slowly with  
3 time near the surface. Also, the northerly component of the upper-level wind strengthens,  
4 whereas the TKE decreases and remains confined near the surface, where some shear appears.

5

## 6 **5 Summary and conclusions**

7 Numerical simulations of the ABL flow are strongly influenced by several factors, namely the  
8 parametric models adopted in the boundary value problem that represents the physical  
9 situation, the numerical methods applied to solve the conservation equations, the numerical-  
10 grid scheme, and the boundary conditions related to the synoptic forcing and surface  
11 databases. In order to reduce the influence of these factors, we incorporate into ARPS the 3s-  
12 SRTM topographic database, the 10s-ESA vegetation-type database and the 30s-ESA LAI  
13 and FAPAR databases, which are preprocessed by subroutines we developed for the ARPS  
14 architecture. These subroutines are available to the scientific community. The numerical  
15 simulations are carried out in the LES mode for three time periods, running on six one-way  
16 nested-grids that are setup separately, such that different vertical resolutions and  
17 parameterizations are chosen for each scale being modeled.

18 Our results clearly show that the use of high-resolution surface databases improves  
19 significantly our ability to predict the local atmospheric circulation based on the ARPS model.  
20 We observed satisfactory agreement between numerical results and field data for some  
21 periods of the days we investigated, particularly the results that we obtained with the high-  
22 resolution model proposed in this work. Overall, the slight discrepancies between the field  
23 data and the simulated potential temperature and wind speed results observed for the HR run  
24 presents significantly lower errors than for the CTL run, but do not lead necessarily to better  
25 simulation results in all variables. This fact indicates that additional improvement will depend  
26 on other factors, such as the local surface characterization, the turbulence closure and other  
27 microphysics parameterizations associated to the numerical mesoscale model employed.

28 For the cases we studied, our simulations showed that there is no significant difference  
29 between the bias values calculated from the HR and the CTL runs for the vapor-mixing ratio.  
30 Although this result may inconclusively support that the use of HR databases over low-  
31 resolution databases is advantageous, we point out that the bias values we obtained are small  
32 compared to the observation data. In the case of the wind direction, which is probably the

1 most difficult quantity to accurately forecast with any model, large deviations between the  
2 ARPS runs were observed against observational data. However, the HR runs show significant  
3 improvement over the CTL run at the SBAF and JPA stations. From a statistical point of  
4 view, the HR flow model is performing very well in the prediction of the time and space  
5 variation of these quantities using ARPS simulations.

6 A closer look at the results obtained for some specific surface stations, we infer that, at the  
7 SBAF station for example, both runs forecasted well the canalized jet triggered by the sea-  
8 breeze effect. On the other hand, at the SBSC and Xerem stations, the wind speed presents  
9 increased discrepancies in some periods of time due to the occurrence of calm winds in the  
10 simulation. As Hanna and Yang (2001) pointed out, the turbulence parameterization schemes  
11 typically have difficulties in modeling the flow near the ground under conditions of calm,  
12 intermittent flow and when mesoscale models are downscaling to LES domains. Equivalent  
13 statistics results and conclusions were obtained by Chow et al. (2006), demonstrating again  
14 the difficulties in modeling the wind field. Some clear discrepancies were observed, mainly at  
15 the moments when a flow transition occurs to form the sea-breeze. However, the remarkable  
16 TKE distribution on the sea-breeze front shows a pattern very similar to the one found by  
17 Bastin and Drobinski (2006), which is evidence of a consistent physical behavior. In  
18 accordance with the literature, our results indicate that an improved representation of the  
19 properties and characteristics of the land-use can dramatically influence the calculation of the  
20 momentum, heat and moisture fluxes between the surface and the atmosphere, and they may  
21 significantly affect the calculation of the meteorological field quantities. This suggests a need  
22 for improving our soil-type databases, soil moisture and temperature initialization.

23

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2 Table 1. Location of the surface and <sup>1</sup>upper-air weather observation stations. <sup>2</sup>AMSL: Above  
3 Mean Sea Level.

Weather Stations	Identification Code	Latitude	Longitude	Elevation ( <sup>2</sup> AMSL)	Geographic Zone
<sup>3</sup> Marambaia	A602	23.05°S	43.60°W	09.70 m	West
<sup>3</sup> Ecologia Agricola	A601	22.80°S	43.68°W	34.00 m	
<sup>4</sup> SBSC	83741	22.93°S	43.72°W	03.00 m	
<sup>3</sup> Vila Militar	A621	22.86°S	43.41°W	45.00 m	South-Central
<sup>3</sup> JPA	A654	22.99°S	43.37°W	03.00 m	
<sup>4</sup> SBAF	83748	22.87°S	43.38°W	34.00 m	
<sup>4</sup> SBJR	83111	22.97°S	43.37°W	03.00 m	
<sup>3</sup> Copacabana	83111	22.97°S	43.37°W	03.00 m	East
<sup>3</sup> Xerem	A603	22.58°S	43.27°W	33.00 m	
<sup>4</sup> SBRJ	83755	22.88°S	43.17°W	03.00 m	
<sup>1,4</sup> SBGL	83746	22.82°S	43.25°W	06.00 m	

4 Source: <sup>3</sup>Brazilian National Institute of Meteorology and <sup>4</sup>Meteorology Network of the  
5 Brazilian Air Force Command.

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2 Table 2. Structure of the one-way nested numerical grids. Given the number of grid points  $n_x$ ,3  $n_y$  and  $n_z$ , the physical domain size can be calculated as  $L_x \times L_y \times H_z$ , where  $L_x = (n_x - 3)\Delta x$ ,  $L_y = (n_y -$ 4  $3)\Delta y$ , and  $H_z = (n_z - 3)\Delta z_{med}$ .

Grids	$n_{zg}$	$n_x \times n_y \times n_z$	$\Delta x, \Delta y$	$\Delta z_{min}$	$\Delta z_{med}$	$L_x \times L_y \times H_z$	$\Delta t / \Delta \tau$
GEXT	2	39×39×53	55 km	50 m	500 m	1980×1980×25 km <sup>3</sup>	---
G1	2	51×51×53	27 km	50 m	500 m	1296×1296×25 km <sup>3</sup>	15s/15s
G2	2	95×78×53	9 km	50 m	500 m	828×675×25 km <sup>3</sup>	8s/8s
G3	2	99×78×53	3 km	50 m	500 m	288×225×25 km <sup>3</sup>	2.5s/2.5s
G4	2	171×111×63	1 km	50 m	400 m	168×108×24 km <sup>3</sup>	2s/1.5s
G5	2	227×177×63	300 m	20 m	350 m	67.2×52.2×21 km <sup>3</sup>	1s/0.2s
G6	2	187×187×63	300 m	20 m	350 m	55.2×55.2×21 km <sup>3</sup>	1s/0.2s

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Table 3. Conversion of the original 30s-USGS and updated 10s-ESA categories of vegetation-type to the USDA classification that is adopted by ARPS. See tables in Xue et al. (1995) and Bicheron et al. (2008) user guide for more information on the vegetation-type categories.

ARPS	Definition	Categories	$z_0$ (m)	USGS	ESA
01	Desert	Bare Grnd. Tundra	0.011	23	200, 201, 202, 203
02	Tundra	Herb. Tundra, Wooden Tundra Mixed Tundra	0.076	20, 21, 22	145
03	Gassland	Crop./Grs. Mosaic, Grassland	0.030	5, 7	140, 141, 143
04	Grassland with shrub cover	Mix Shrb./Grs. Savana	0.238	9, 10	142, 130, 131, 132, 134, 135, 136
05	Grassland with tree cover	Crop./Wood Mosc	0.563	6	110, 120, 144, 30, 32
06	Deciduous forest	Decids. Broadlf., Decids. Needlf, Mixed Forest	0.826	11, 12, 15	50, 60, 80, 90, 91, 100, 101, 102, 16
07	Evergreen forest	Evergrn. Braodlf, Evergrn. Needlf.	1.089	13, 14	41, 42, 70, 92
08	Rain forest		2.653		40
09	Ice	Snow or Ice	0.011	24	220
10	Cultivation	Drylnd Crop. Past., Irrg. Crop. Past., Mix. Dry/Irrg. C.P.	0.075	2, 3, 4	10, 14, 15, 20, 21, 22, 31
11	Bog or marsh	Herb. Wetland, Wooded wetland	0.100	17, 18	11, 12, 13, 160, 161, 162, 170, 180, 181, 182, 183, 184, 185, 186, 187, 188
12	Dwarf shrub	Shrubland	0.853	8	133
13	Semidesert	Urban Bar. Sparse Veg.	1.500	1, 19	150, 151, 152, 153, 190
14	Water	Water Bodies	0.002	16	210

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2 Table 4: Summarized surface database configuration of the one-way nested numerical grids.

Grids		Topography	Soil-type	Vegetation-type	LAI	Vegetation Fraction
G1 to G3		30s-USGS	30s-USGS	30s-USGS	30s-USGS	30s-NESDIS
G4 to G6	CTL	30s-USGS	30s-USGS	30s-USGS	30s-USGS	30s-NESDIS
	HR	3s-SRTM	30s-USGS	10s-ESA	30s-ESA	30s-ESA

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Table 5: Mean errors (bias) and Root-Mean-Square Errors (RMSE) for potential temperature  $\theta$ , water-vapor mixing ratio  $q_v$ , wind direction and speed.

Variables and statistics Weather stations and runs		$\theta$ (K)		$q_v$ (g/kq)		Direction (arc-deg)		Speed (m s <sup>-1</sup> )	
		bias	RMSE	bias	RMSE	bias	RMSE	bias	RMSE
Marambaia	CTL	-0.44	2.46	-1.29	1.77	13.57	76.67	2.32	3.02
	HR	0.31	2.69	-1.27	1.77	20.22	76.75	1.66	2.42
Ecologia Agricola	CTL	-0.23	2.20	-1.69	2.29	-36.16	69.50	3.18	4.26
	HR	-0.09	2.28	-1.75	2.32	-36.47	72.90	2.69	3.59
SBSC	CTL	-0.29	2.60	-1.04	1.92	-25.35	79.48	0.71	2.60
	HR	-0.07	2.53	-1.06	1.94	-23.96	81.77	0.73	2.50
Vila Militar	CTL	-0.28	2.48	-0.87	1.87	-13.14	102.51	0.48	1.13
	HR	-0.86	2.34	-0.82	1.87	16.06	101.04	0.39	1.12
JPA	CTL	0.04	1.92	-0.70	1.46	-17.38	59.73	1.29	2.36
	HR	-0.08	1.85	-0.65	1.46	-6.36	67.48	1.00	1.90
SBAF	CTL	-0.08	3.33	-1.07	2.29	-16.17	89.74	0.37	1.79
	HR	-0.83	3.14	-0.93	2.23	2.56	93.40	-0.13	1.68
SBJR	CTL	-1.74	3.01	-3.70	3.40	-3.60	60.04	1.43	2.31
	HR	-1.87	2.87	-3.58	3.85	5.74	62.42	1.30	2.08
Copacabana	CTL	-1.49	2.92	-1.43	2.00	-3.09	86.16	-0.32	2.13
	HR	-1.26	2.75	-1.44	2.04	-4.78	93.01	-0.15	2.43
Xerem	CTL	2.62	5.00	-1.56	2.30	-26.49	105.11	2.35	3.68
	HR	2.22	4.38	-1.49	2.33	-20.50	104.13	1.80	2.99
SBRJ	CTL	-1.53	2.00	-2.15	2.42	10.36	84.30	0.03	1.67
	HR	-1.33	1.89	-2.19	2.46	-8.79	84.94	0.22	1.86
SBGL	CTL	-1.44	2.59	-0.45	1.75	11.22	90.45	-0.16	1.66
	HR	-1.22	2.59	-0.48	1.77	14.54	84.50	-0.16	1.64

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Table 6: Summary of the statistical indexes. Cases where the HR run is worse than the CTL

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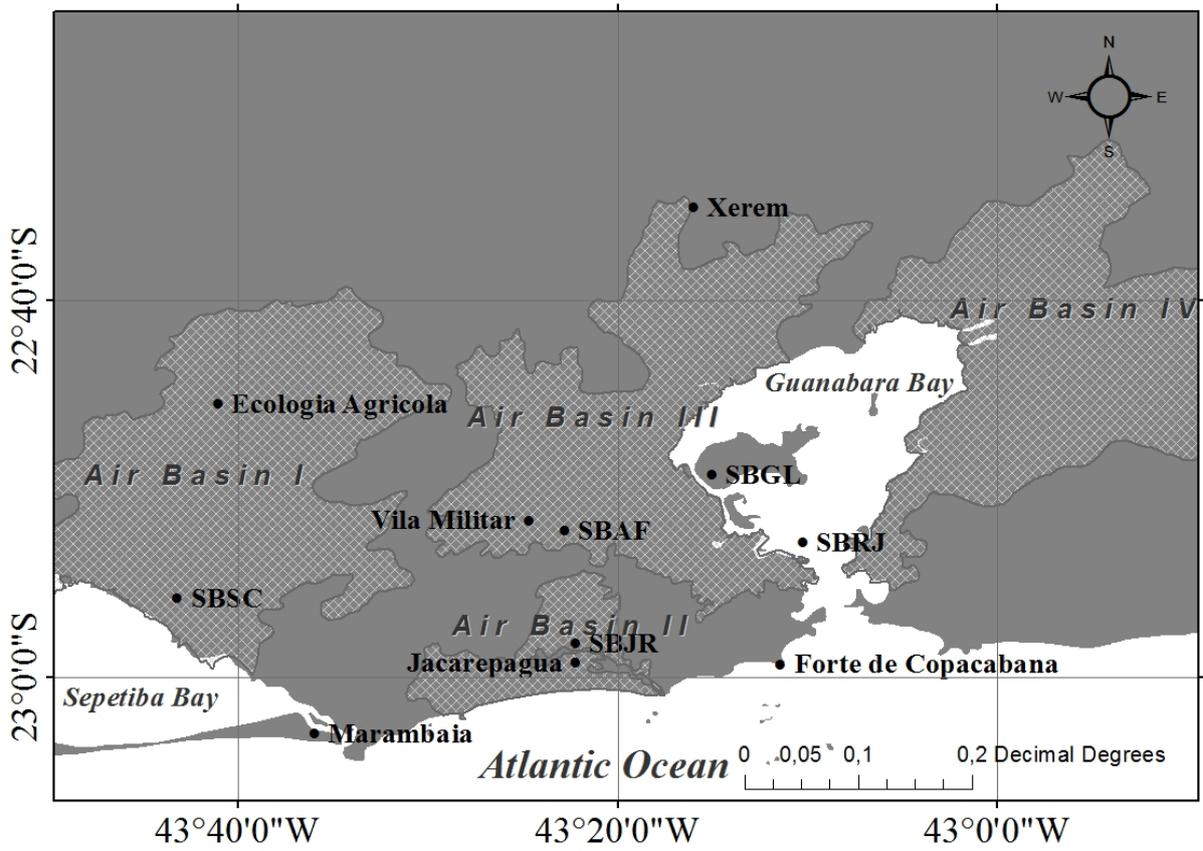
run, with respect to the total number of cases.

<i>Zones</i> \ <i>Variables</i>	<i><math>\theta</math></i>	<i>Speed</i>
West	2/6	0/6
South-Central	4/8	0/8
East	0/8	2/8
Total	6/22	2/22

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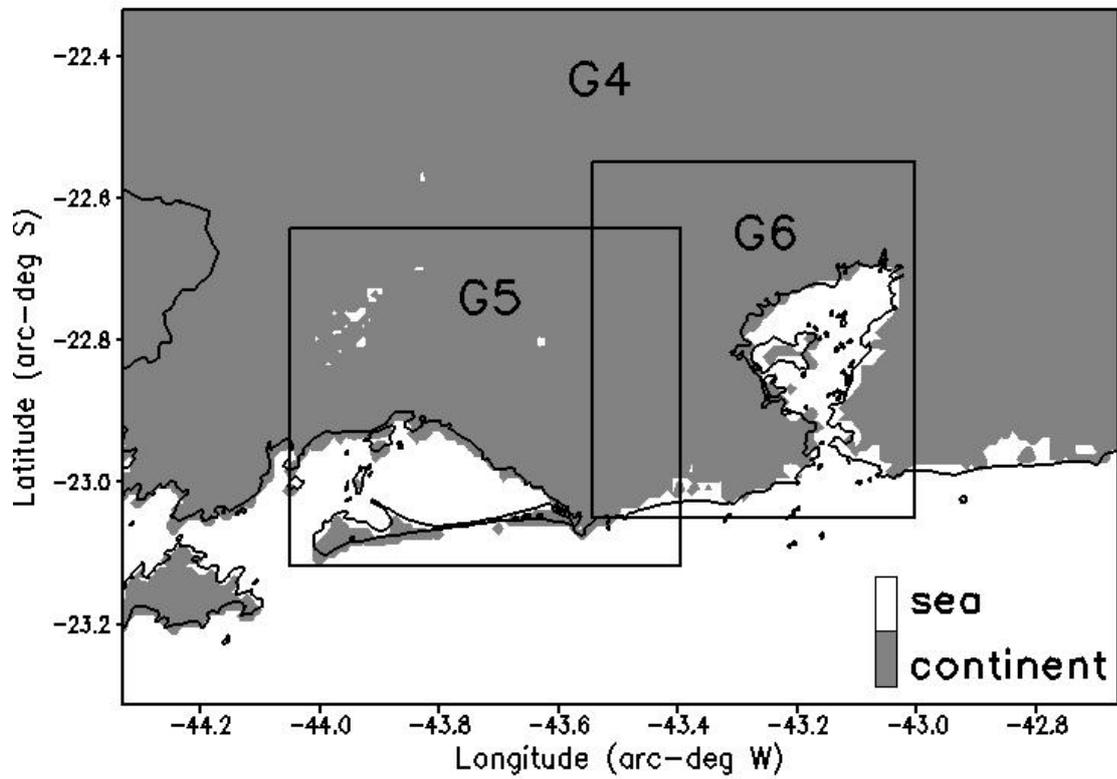
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3 Figure 1. The Metropolitan Area of Rio de Janeiro's (MARJ) air basins and the location of the  
4 surface weather-observation stations.

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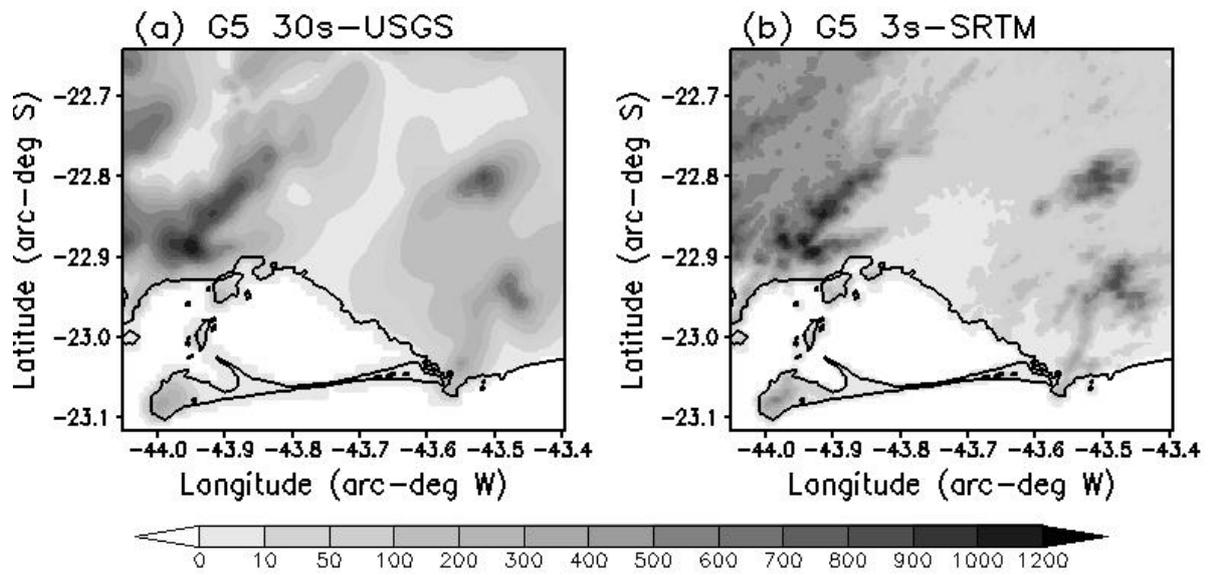
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3 Figure 2. The limited areas of G4 (resolution of 1 km), and the G5 and G6 innermost grids  
4 (resolution of 300 m).

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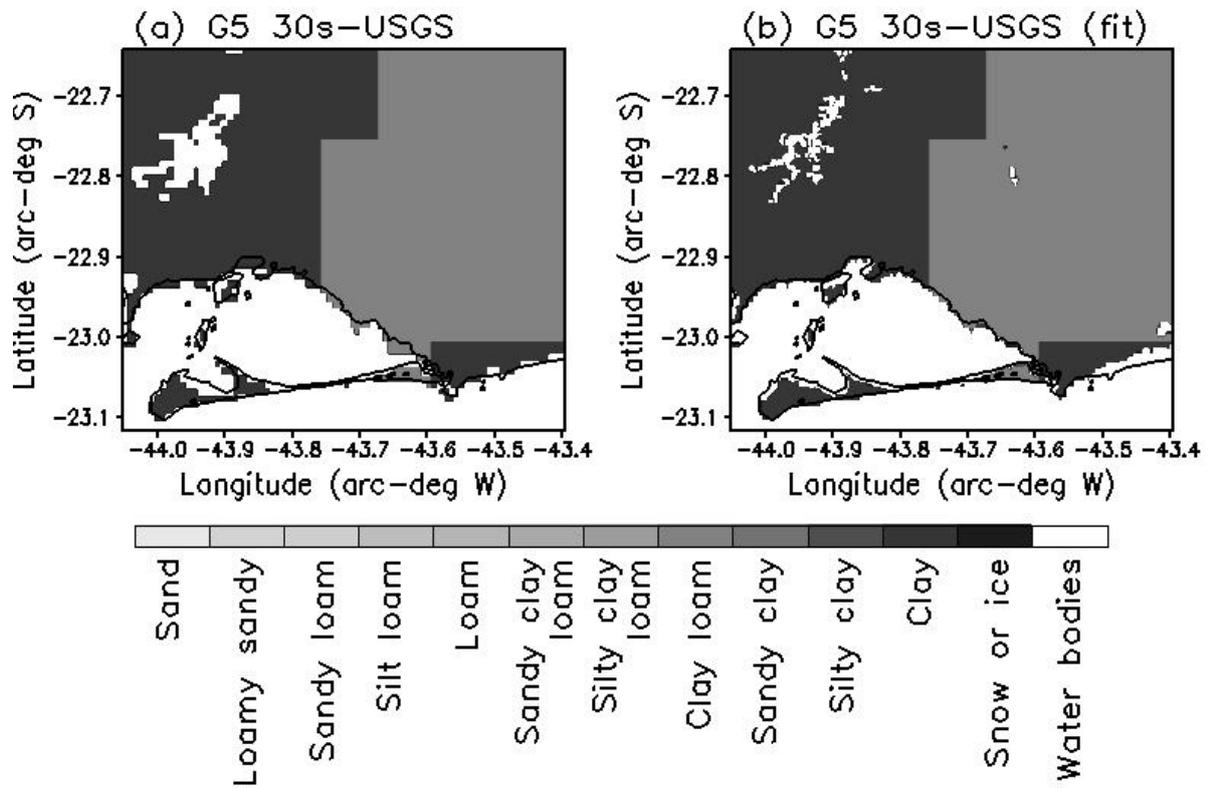
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3 Figure 3. Shaded-topographic elevation maps for G5, processed with (a) 30s-USGS and (b)  
4 3s-STRM databases.

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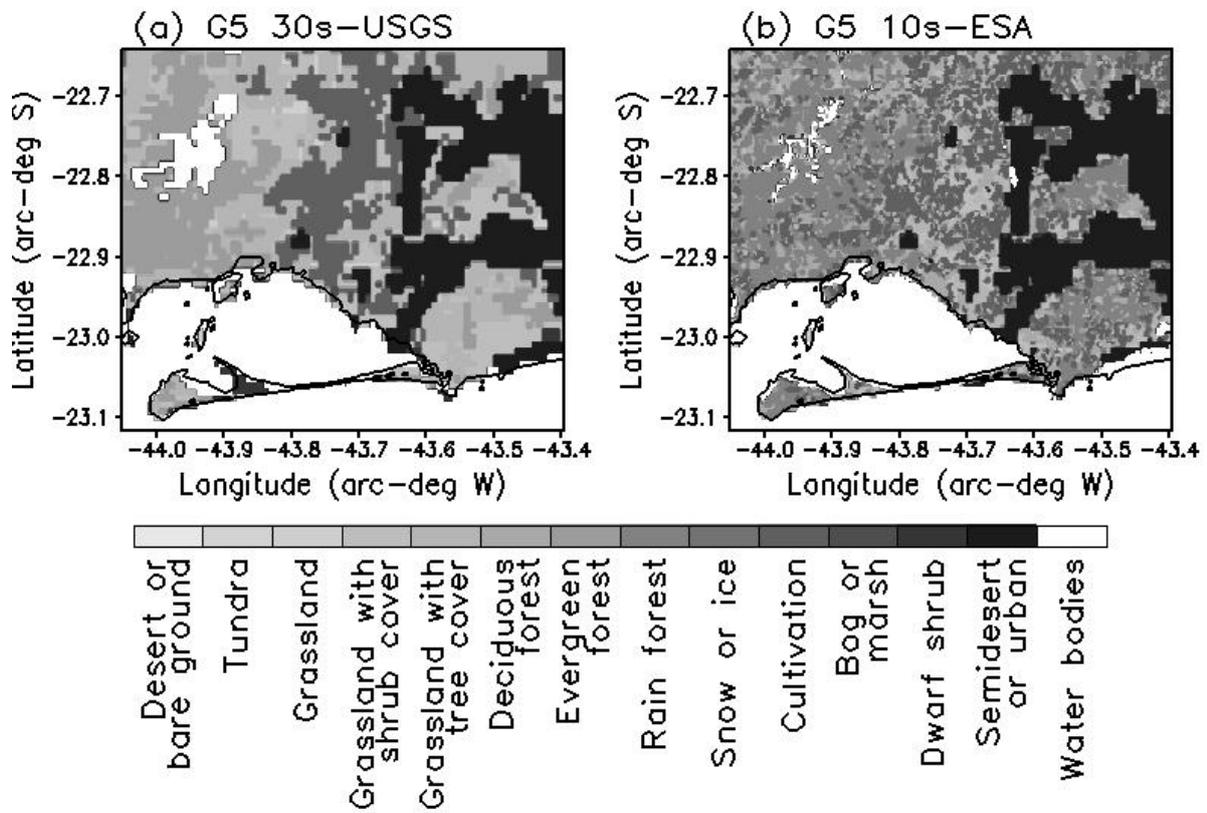
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3 Figure 4. Soil-type shaded maps for G5, processed with 30s-USGS database (a) not-fit and (b)  
4 fit.

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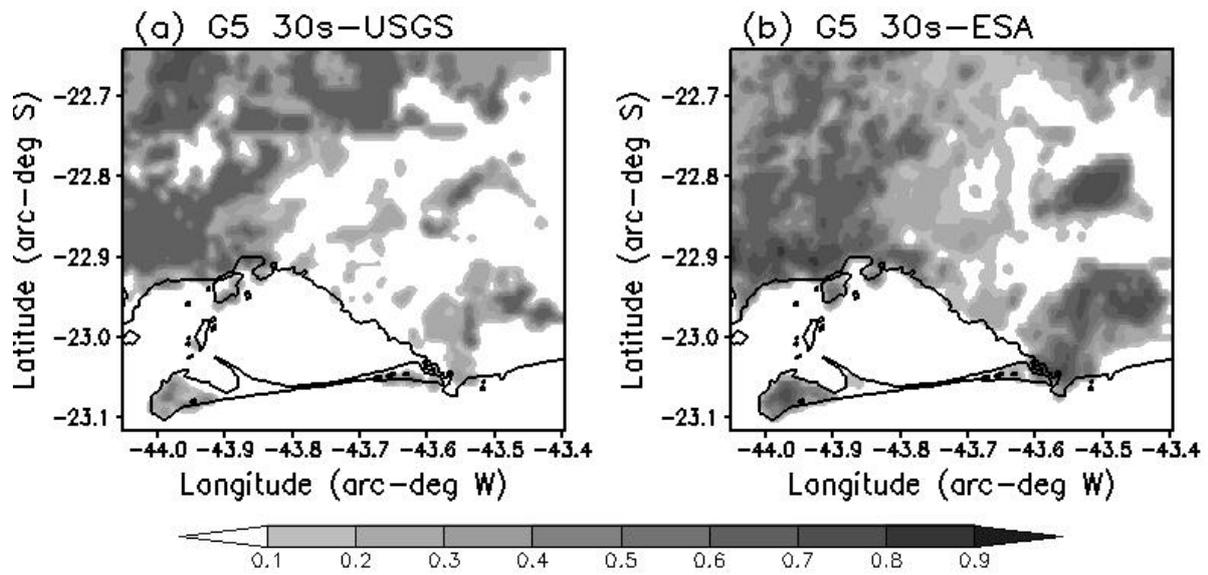
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3 Figure 5. Vegetation-type shaded maps for G5, processed with (a) 30s-USGS and (b) 10s-  
4 ESA databases.

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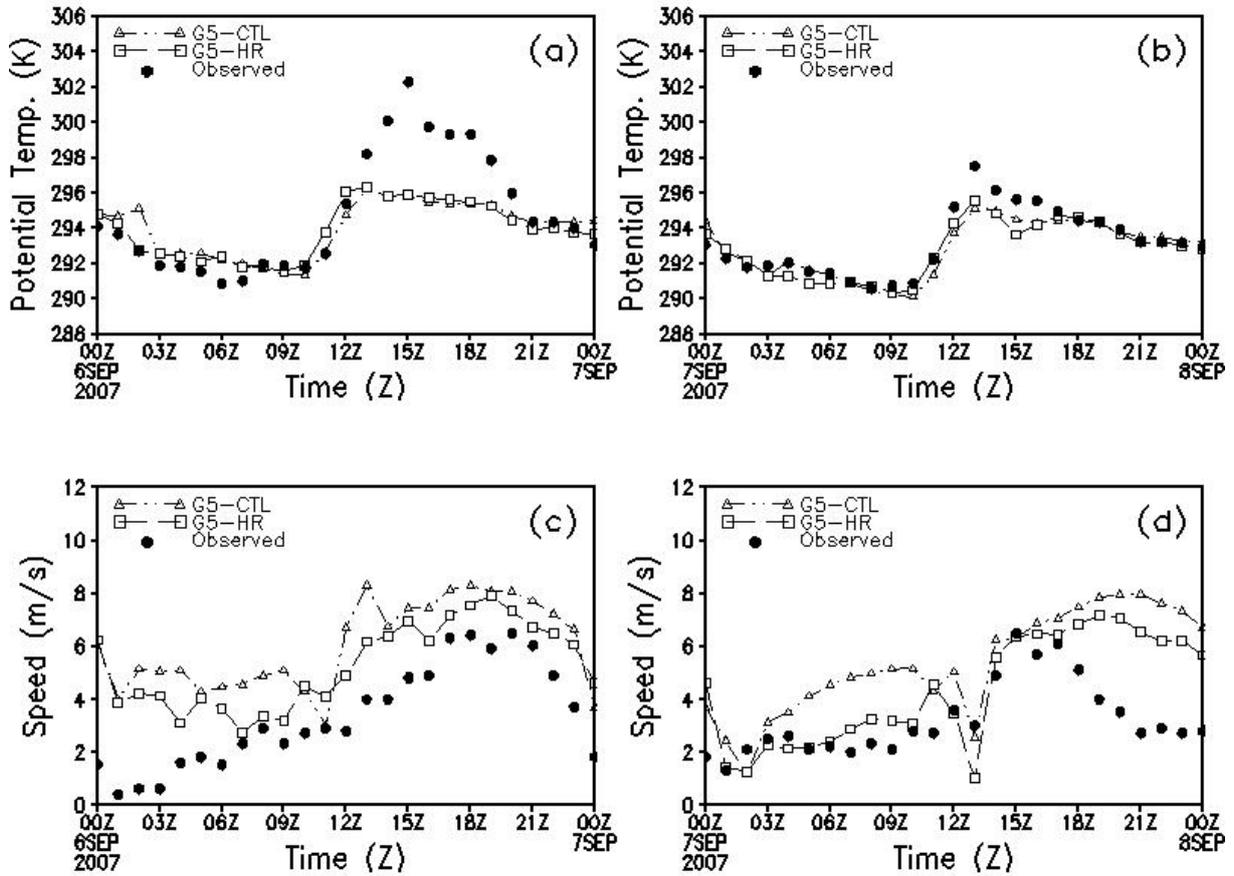
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3 Figure 6. Vegetation cover fraction shaded maps for G5, processed with (a) 30s-USGS and  
4 (b) 30s-ESA databases.

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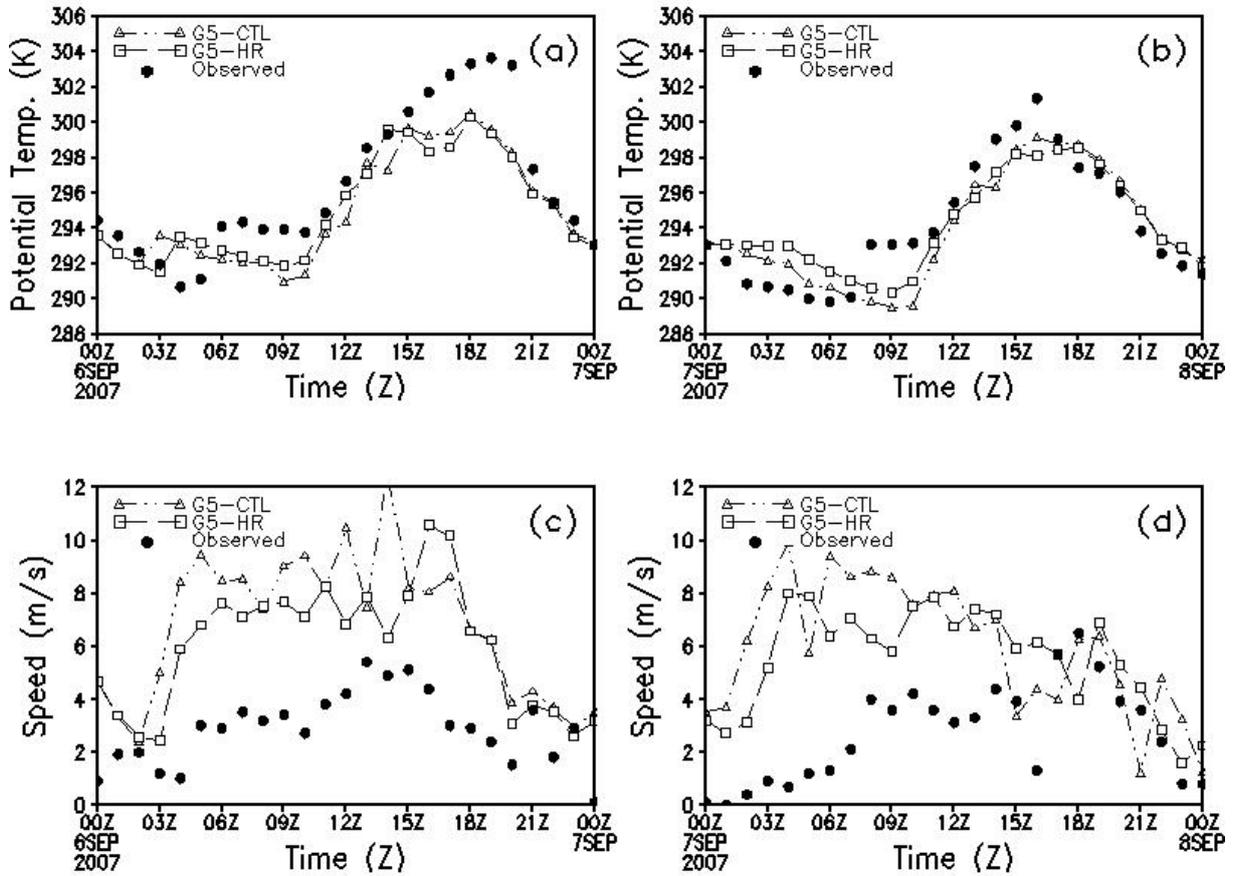
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3 Figure 7. Time cross-section data of (a-b) potential temperature  $\theta$  (K), and (c-d) wind speed  
4 ( $\text{m s}^{-1}$ ) observed (closed circle) and simulated by G5 of CTL (open triangle) and HR (open  
5 square) runs for 00:00 UTC 06 September to 00:00 UTC 08 September 2007 at Marambaia  
6 surface weather-observation station.

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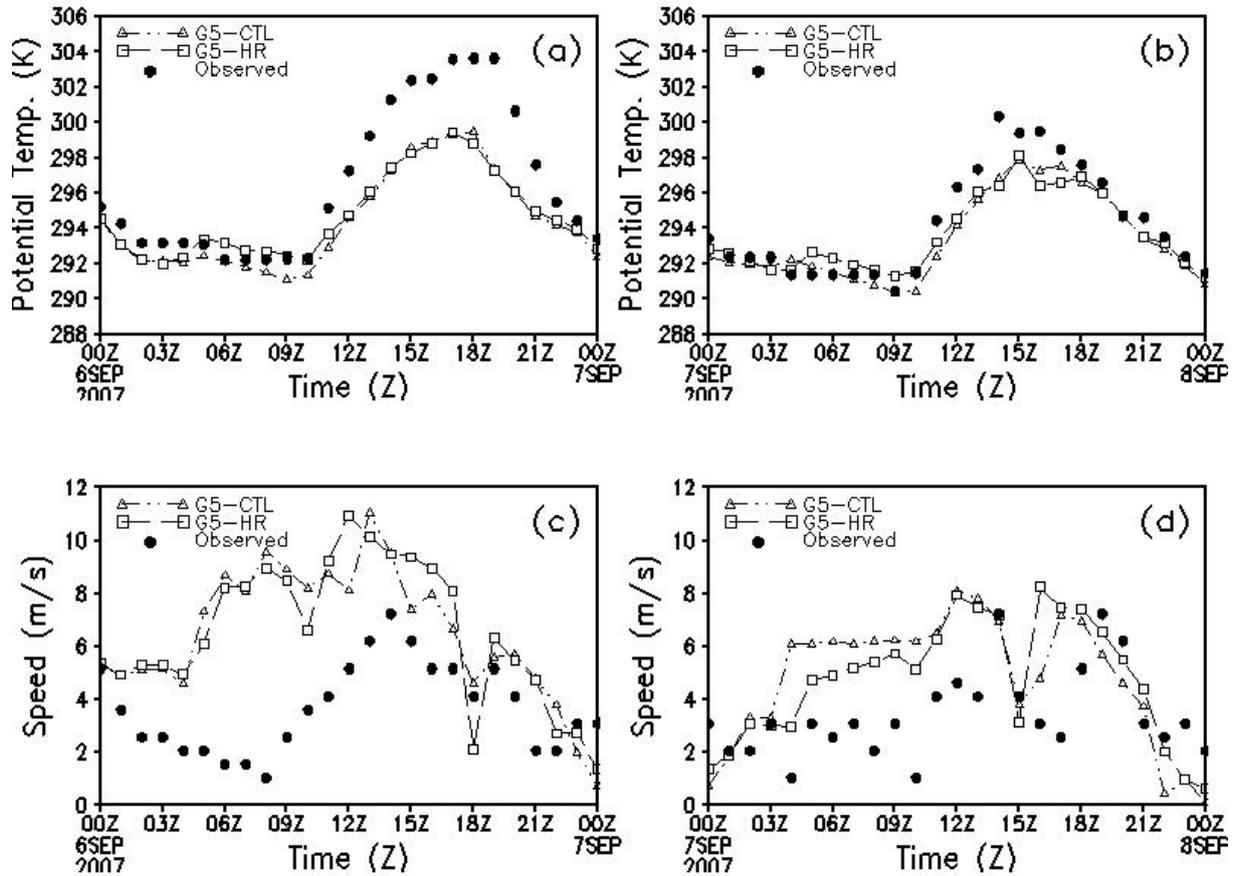
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3 Figure 8. Time cross-section data of (a-b) potential temperature  $\theta$  (K), and (c-d) wind speed  
4 ( $\text{m s}^{-1}$ ) observed (closed circle) and simulated by G5 of CTL (open triangle) and HR (open  
5 square) runs for 00:00 UTC 06 September to 00:00 UTC 08 September 2007 at Ecologia  
6 Agricola surface weather-observation station.

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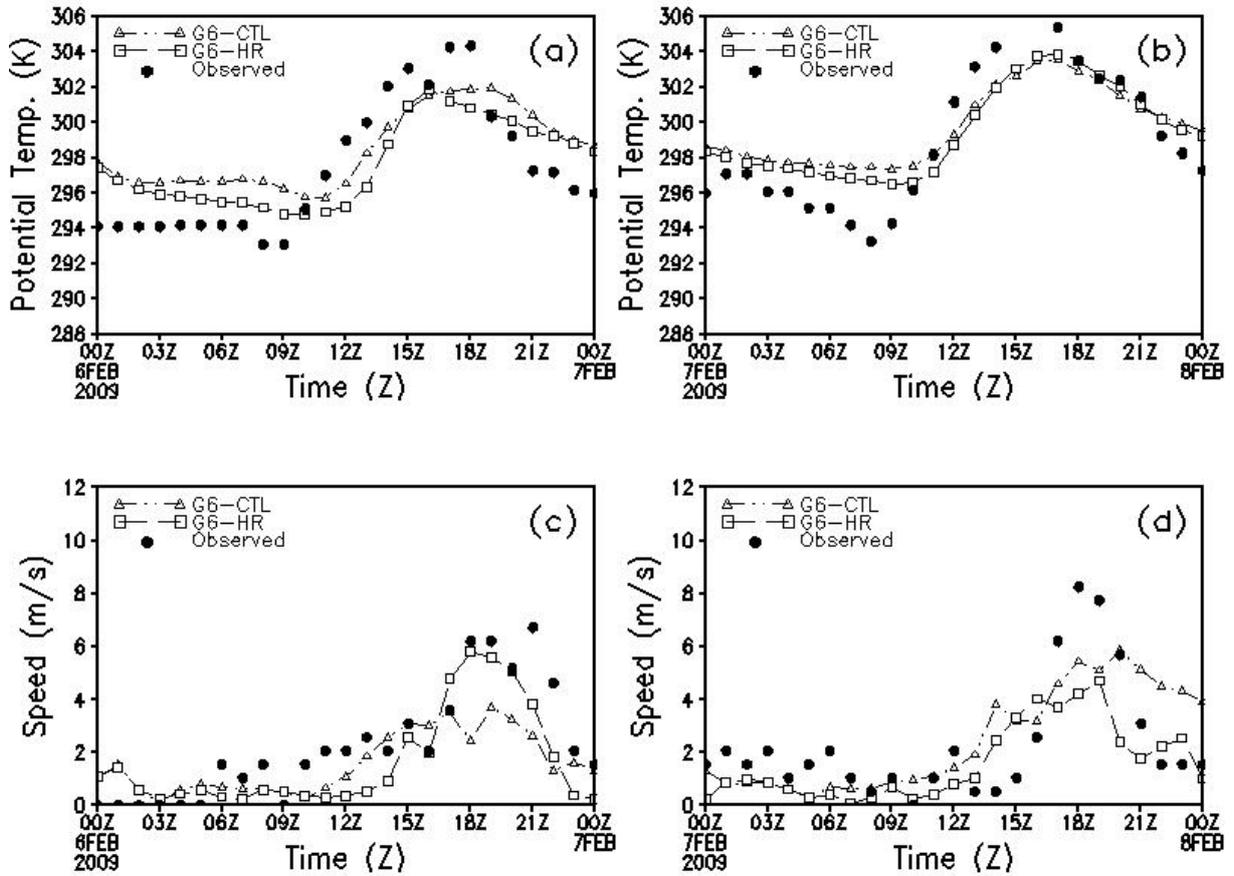
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3 Figure 9. Time cross-section data of (a-b) potential temperature  $\theta$  (K), and (c-d) wind speed  
4 ( $\text{m s}^{-1}$ ) observed (closed circle) and simulated by G5 of CTL (open triangle) and HR (open  
5 square) runs for 00:00 UTC 06 September to 00:00 UTC 08 September 2007 at SBSC surface  
6 weather-observation station.

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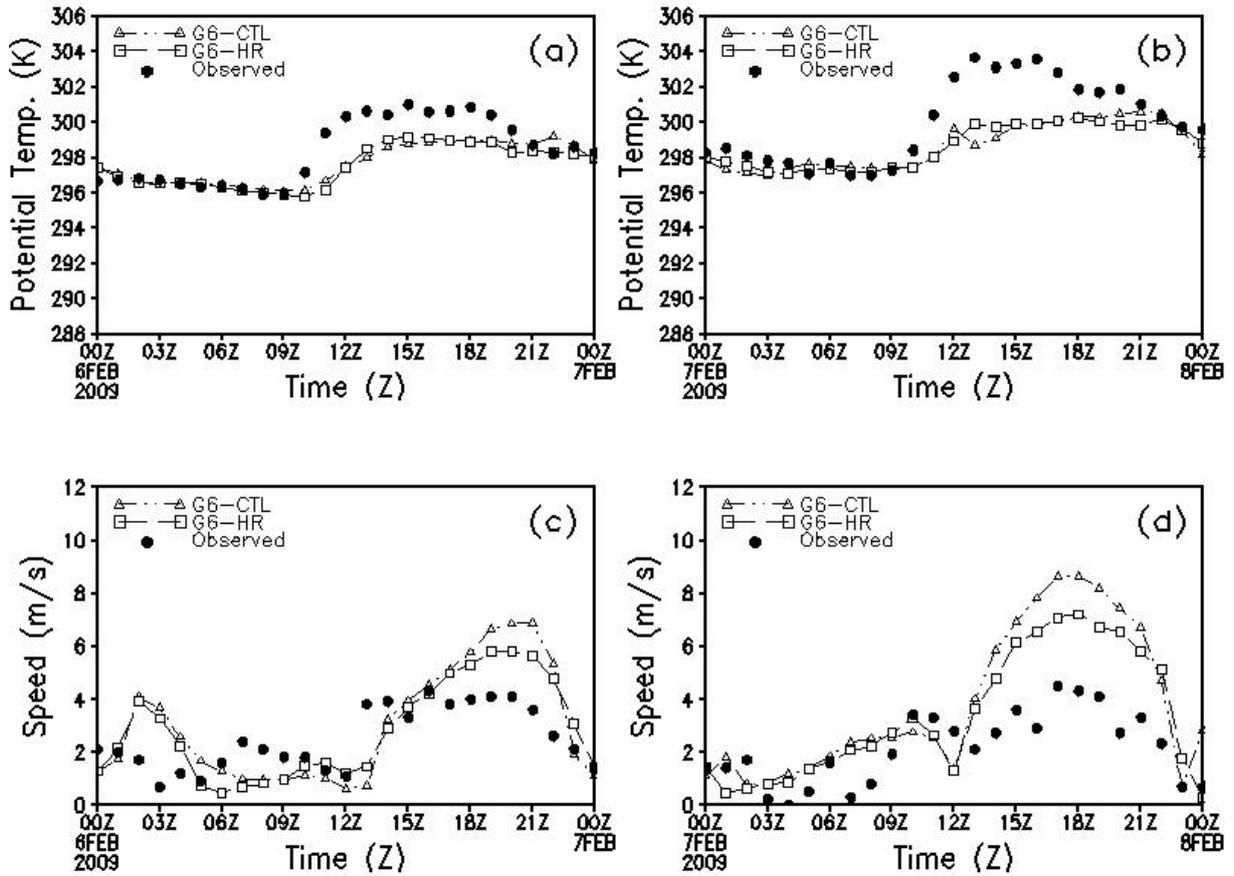
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3 Figure 10. Time cross-section data of (a-b) potential temperature  $\theta$  (K), and (c-d) wind speed  
4 ( $\text{m s}^{-1}$ ) observed (closed circle) and simulated by G5 of CTL (open triangle) and HR (open  
5 square) runs for 00:00 UTC 06 February to 00:00 UTC 08 February 2009 at SBAF surface  
6 weather-observation station.

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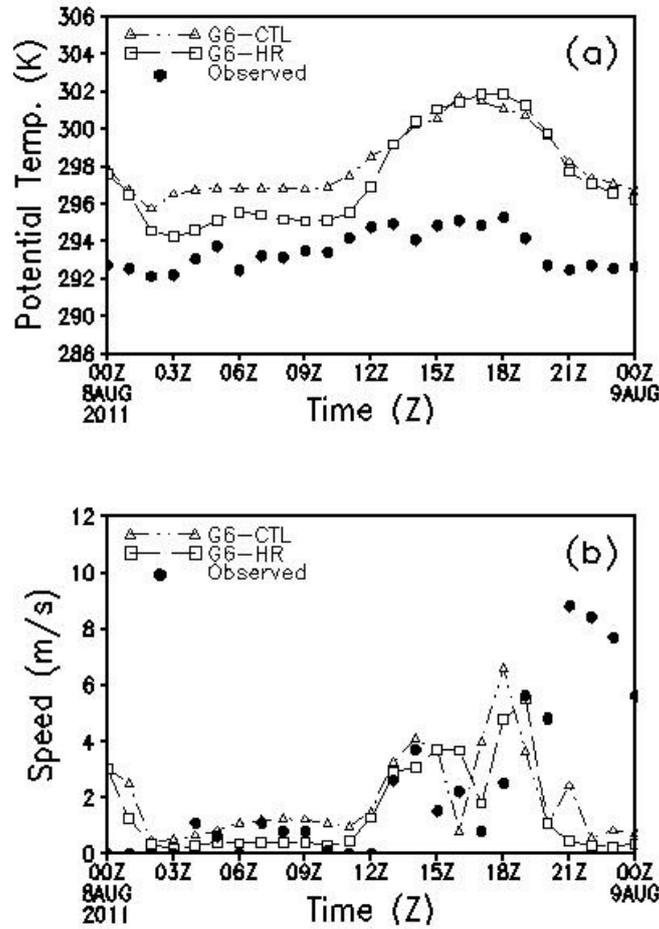
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3 Figure 11. Time cross-section data of (a-b) potential temperature  $\theta$  (K), and (c-d) wind speed  
4 ( $\text{m s}^{-1}$ ) observed (closed circle) and simulated by G5 of CTL (open triangle) and HR (open  
5 square) runs for 00:00 UTC 06 February to 00:00 UTC 08 February 2009 at Jacarepagua  
6 (JPA) surface weather-observation station.

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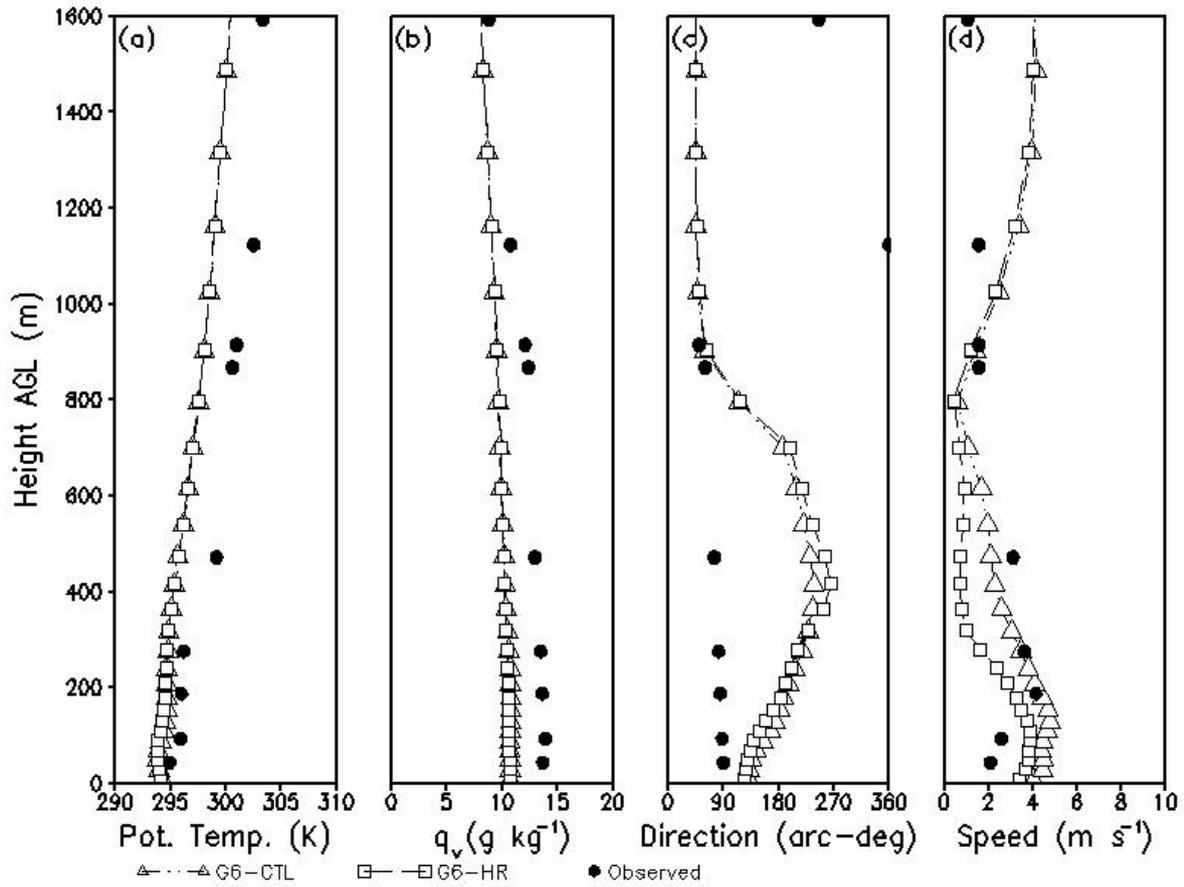
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3 Figure 12. Time cross-section data of (a) potential temperature  $\theta$  (K), and (b) wind speed (m  
4  $s^{-1}$ ) observed (closed circle) and simulated by G6 of CTL (open triangle) and HR (open  
5 square) runs for 00:00 UTC 08 August to 00:00 UTC 09 August 2011 at Xerem surface  
6 weather-observation station.

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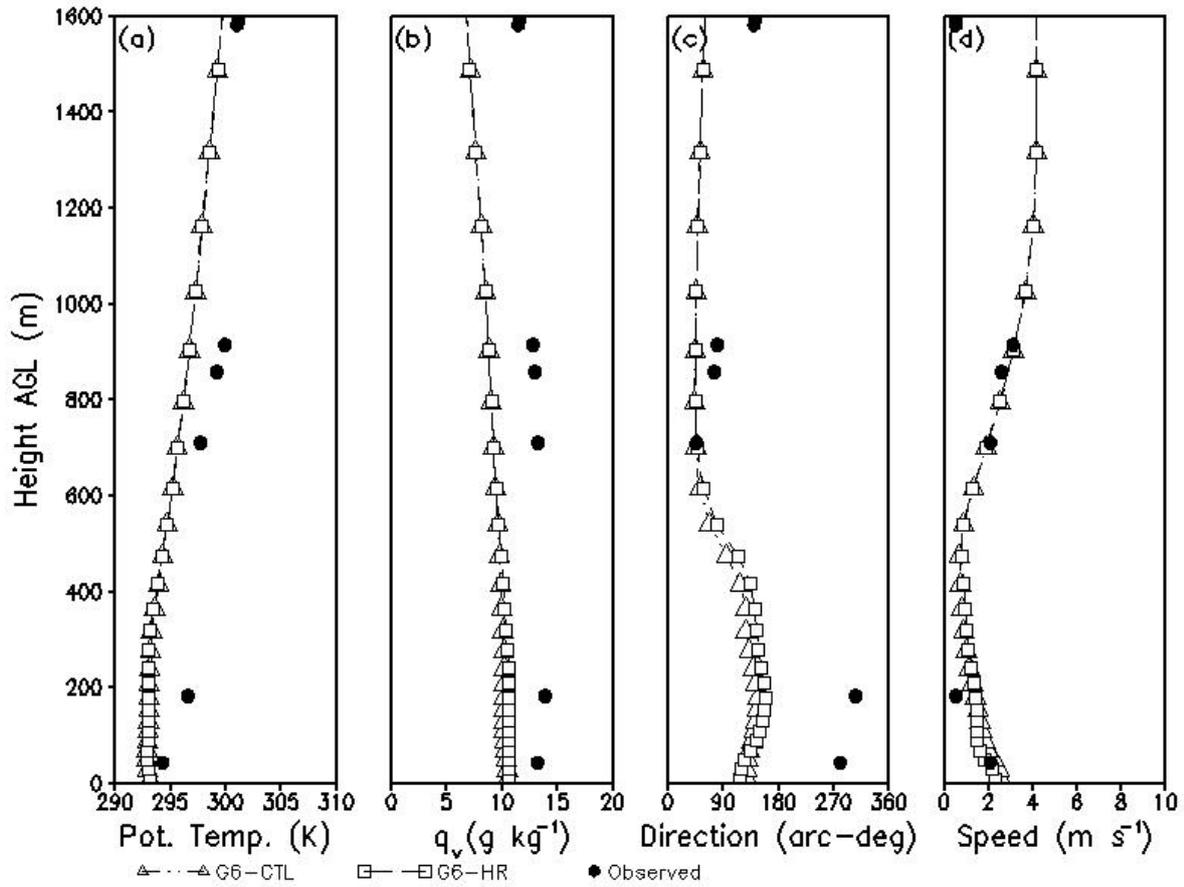
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3 Figure 13. Vertical data profiles of (a) potential temperature  $\theta$  (K), (b) water-vapor mixing  
4 ratio  $q_v$  ( $\text{g kg}^{-1}$ ), (c) wind direction (arc-deg) and (d) speed ( $\text{m s}^{-1}$ ) observed (closed circle) and  
5 simulated by G6 of CTL (open triangle) and HR (open square) runs for 12:00 UTC 06  
6 September 2007 at SBGL upper-air weather-observation station.

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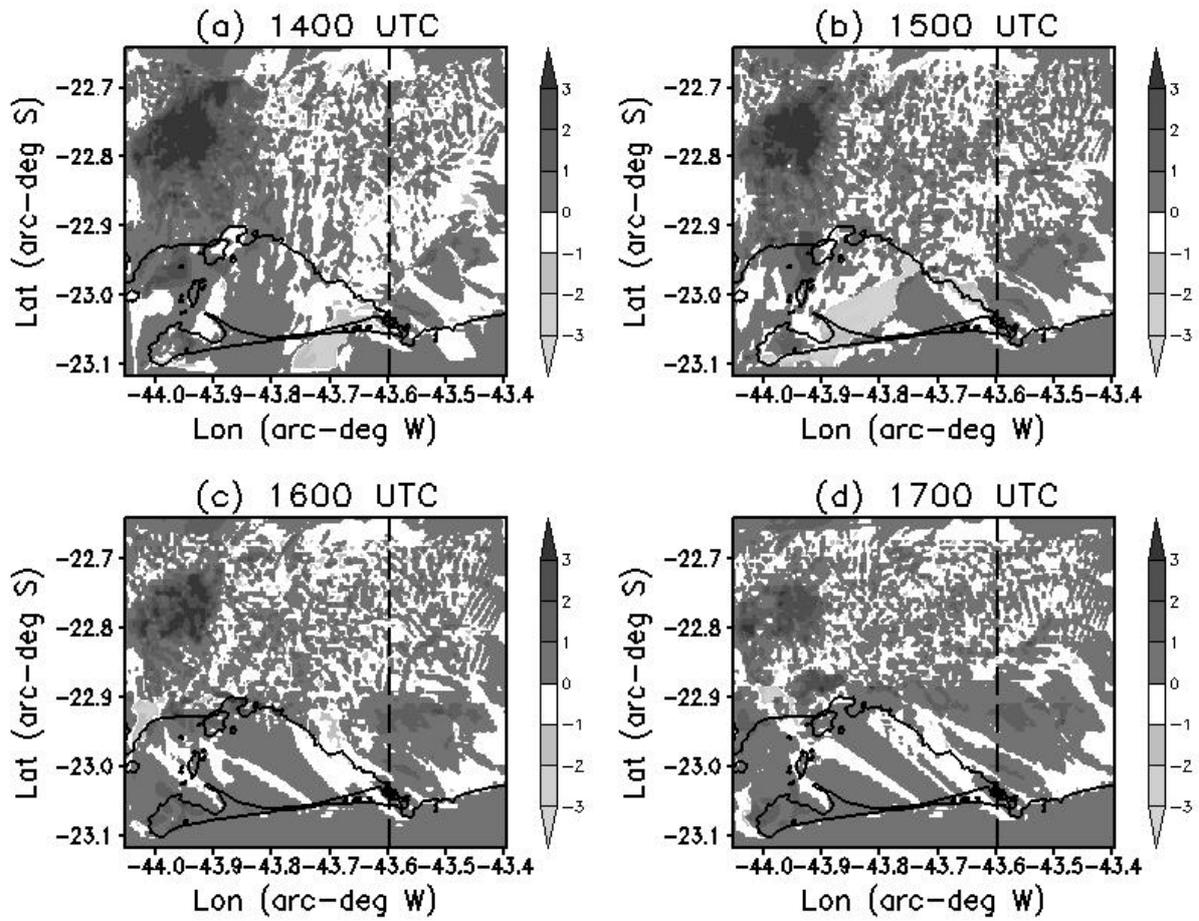
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3 Figure 14. Vertical data profiles of (a) potential temperature  $\theta$  (K), (b) water-vapor mixing  
4 ratio  $q_v$  ( $\text{g kg}^{-1}$ ), (c) wind direction (arc-deg) and (d) speed ( $\text{m s}^{-1}$ ) observed (closed circle) and  
5 simulated by G6 of CTL (open triangle) and HR (open square) runs for 12:00 UTC 07  
6 September 2007 at SBGL upper-air weather station.

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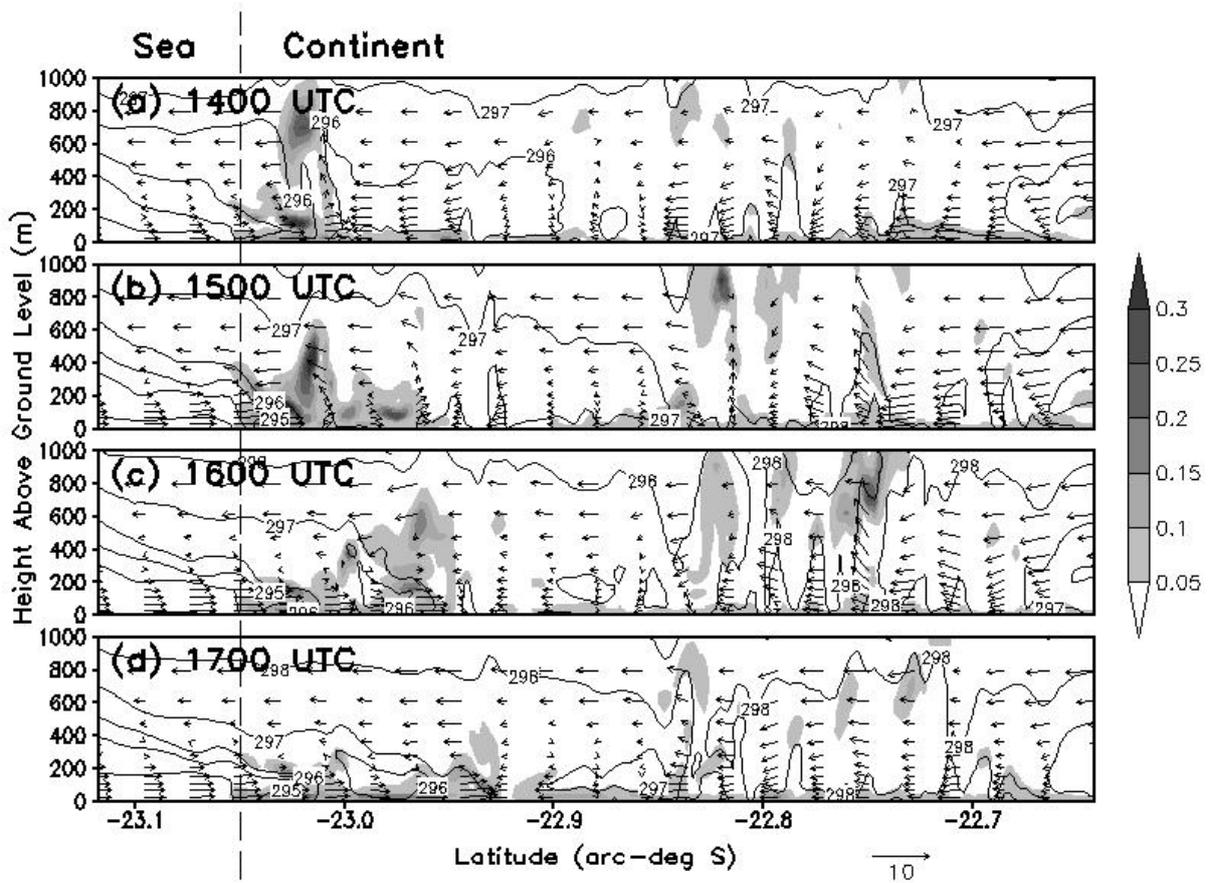
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3 Figure 15. Horizontal cross-section of the difference of potential temperature (K - shaded  
4 areas) between runs for the G5 domain, calculated as  $\theta(\text{HR}) - \theta(\text{CTL})$ , for (a) 14:00 UTC, (b)  
5 15:00 UTC, (c) 16:00 UTC and (d) 17:00 UTC 07 September 2007.

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3 Figure 16. Vertical-latitude cross-section of Turbulent Kinetic Energy (TKE –  $\text{m}^2 \text{s}^{-2}$  –  
4 shaded areas), potential temperature (K – solid line) and meridional-vertical wind vector  
5 components ( $\text{m s}^{-1}$  - vectors) up to 1.0 km AGL at -43.60 arc-deg west-longitude (Marambaia  
6 longitude location). Values calculated on G5 for the HR run at (a) 14:00 UTC, (b) 15:00  
7 UTC, (c) 16:00 UTC and (d) 17:00 UTC 07 September 2007.

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