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WRFv3.2-SPAv2: development and validation of a coupled ecosystem-atmosphere model, scaling from surface fluxes of CO₂ and energy to atmospheric profiles

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Abstract

The Weather Research & Forecasting meteorological (WRF) model has been coupled to the Soil Plant Atmosphere (SPA) terrestrial ecosystem model, to produce WRF-SPA. SPA generates realistic land-atmosphere exchanges through fully coupled hydrological, carbon and energy cycles. The addition of a land surface model (SPA) capable of modelling biospheric CO₂ exchange allows WRF-SPA to be used for investigating the feedbacks between biosphere carbon balance, meteorology and land management/land use change. We have extensively validated WRF-SPA using multi-annual observations of air temperature, turbulent fluxes, net radiation and net ecosystem exchange of CO₂ at three sites, representing the dominant vegetation types in Scotland (forest, managed grassland and arable agriculture). WRF-SPA generates more realistic seasonal behaviour at the site level compared to an unmodified version of WRF, and produces realistic CO₂ exchanges. WRF-SPA is also able to realistically model atmospheric profiles of CO₂ over Scotland, spanning a 3 yr period (2004–2006), capturing both profile structure, indicating realistic transport, and magnitude indicating appropriate source sink distribution and CO₂ exchange. WRF-SPA makes use of CO₂ tracer pools and can therefore identify and quantify land surface contributions to the modelled atmospheric CO₂ signal at a specified location.

1 Introduction

The land surface is a key driver of climate and biogeochemical cycles at regional and global scales (Pielke et al., 1997; Cox et al., 2000; Esau and Lyons, 2002). Understanding land-atmosphere interactions for matter and energy is essential, as changes in global climate have likely led to significant but poorly understood changes in the global carbon balance (Forster et al., 2007). The direction and magnitude of future changes to the Earth system will depend on feedbacks between biogeochemistry and climate, linked to human modification of the land surface related to land use and land

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use change. However the importance of the land surface has been relatively poorly explored in terms of the climate-biogeochemical coupling (Betts et al., 2007).

Simulation models provide the only effective means to investigate the dynamics of land-atmosphere interactions. However, models typically have a genesis in either the atmospheric or in the terrestrial biogeochemistry/ecological communities. To overcome deficiencies arising from these alternate perspectives has required coupling the most advanced descriptions of atmospheric dynamics with ecosystem processes. Coupled land-atmosphere models are now used to investigate global and regional scale exchange between the land and atmosphere, and have highlighted the complex feedbacks that result (e.g. Ciais et al., 2005; Friedlingstein et al., 2006; Betts et al., 2007; Friedlingstein and Prentice, 2010).

Mesoscale models in particular are useful for studying regional scale processes due to their ability to operate at high spatial resolutions, allowing accurate prediction of mesoscale circulation that have a significant impact on regional transport e.g. coastal winds, katabatic winds (Nicholls et al., 2004; Riley et al., 2005; Ahmadov et al., 2009), and complex forcing of heterogeneous vegetation (Sarrat et al., 2007). Mesoscale models also provide a means to upscale land surface exchanges to observations within the planetary boundary layer (PBL), of regionally integrated exchange (e.g. tall towers or aircraft observations) (Ahmadov et al., 2009; Tolk et al., 2009). Atmospheric tracers of CO₂ for respiratory and photosynthetic exchange can be transported through the atmosphere making it possible to gain information on how variations in land use and ecosystem coverage contribute to observations of regional exchange of CO₂ (Tolk et al., 2009).

Over time land surface models (LSMs), used in coupled land-atmosphere models, have been upgraded to include more processes and improved parameterisation. The current generation of LSMs commonly represent both biogeophysical and biogeochemical processes (Bonan, 2008). For example the widely used mesoscale model weather research & forecasting (WRF, Skamarock et al., 2008) model uses the advanced NOAH-MP LSM (Niu et al., 2011). NOAH-MP includes detailed parameterisation for

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radiative transfer of sunlit and shaded leaf area for a big leaf canopy, a semi-empirical model of stomatal conductance and a carbon model allowing dynamic ecosystem phenology. However there remains uncertainty in the predicted response of the terrestrial ecosystem, net ecosystem exchange of CO₂ (NEE) and feedbacks mediated through the close coupling between the land surface and PBL processes (Friedlingstein et al., 2006; Bonan, 2008; Sitch et al., 2008; Friedlingstein and Prentice, 2010).

Feedbacks between the land and atmosphere are highly complex and non-linear, requiring an increasingly mechanistic approach to provide realistic responses of key ecosystem processes (i.e. photosynthesis, respiration and evapotranspiration) (Tuzet et al., 2003; Bonan, 2008; Sprintsin et al., 2012). Significant improvements in the prediction of photosynthesis and stomatal conductance are made by using a multi-layer canopy in radiative transfer, where direct and diffuse radiation, and sunlit and shaded leaf areas are modelled (Wang and Leuning, 1998; Dai et al., 2003; Sprintsin et al., 2012). Moreover stomatal conductance is a key determinant of land surface hydrological and carbon cycles, and energy balance (Avisar, 1998). Semi-empirical representations which link photosynthesis to atmospheric demand for water, such as Ball-Berry (Collatz et al., 1991) or its variants is widely used in LSMs (e.g. Sellers et al., 1996; Oleson et al., 2010; Best et al., 2011; Niu et al., 2011). Models such as Ball-Berry do not couple atmospheric demand for water to available water supply from the soil, thus lacking important ecophysiological processes (Tuzet et al., 2003).

Furthermore, land-atmosphere feedbacks are often distinct to each land cover type, particularly at longer time scales (Stoy et al., 2009). Therefore heterogeneity in the land surface needs to be realistically modelled to accurately predict regional scale exchange (Avisar, 1998; Schomburg et al., 2012). These feedbacks are mediated through biogeochemical and biogeophysical processes (Bonan, 2008) that are related to plant phenology (e.g. canopy height and leaf area index). Realistic modelling of phenology is particularly important as most land surface systems have a distinct annual cycle. Human intervention adds further complexity to annual cycles, for example in agricultural systems. Crop modelling in LSMs has received little attention until recently (Sus

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et al., 2010) and crops are often modelled as a natural grassland (Osborne et al., 2007). Croplands play a significant role in global biogeochemical and biogeophysical cycles (Bondeau et al., 2007; Denman et al., 2007), significantly impacting both turbulent fluxes (Van den Hoof et al., 2011) and surface albedo (Betts et al., 2007). Due to the importance of cropland a number of LSMs have been modified to include developmental crop models to improve the presentation of crop phenology and management (Lokupitiya et al., 2009; Sus et al., 2010; Van den Hoof et al., 2011; Levis et al., 2012).

The Soil Plant Atmosphere (SPA, Williams et al., 1996) model is a mechanistic ecosystem model. SPA uses a vertically distributed canopy model allowing variation of photosynthetic parameters through the canopy, based on field measurements, and a multi-layer radiative transfer scheme that models the distribution of direct and diffuse radiation, and sunlit and shaded leaf areas (Williams et al., 1998). SPA also uses a mechanistic model of stomatal conductance linking atmospheric demand and water availability from the soil through the plant, explicitly coupling plant carbon and hydrological cycles (Williams et al., 1996, 2001). Unlike a Ball-Berry model, SPA is parameterised directly from ecophysiological measurements such as rooting depth, plant hydraulic conductance and canopy structure (e.g. Wright et al., 2012). A developmental crop model has also been included in SPA allowing for inclusion of this highly important ecosystem under human management (Sus et al., 2010).

In this paper we describe a novel coupling between the WRF and SPA, forming WRF-SPA. An overview of both models, their strengths, any modifications made to either code or their forcing data is described. WRF is a state-of-the-art non-hydrostatic mesoscale meteorological model (Skamarock et al., 2008), often considered to be one of the best models of its type available (Sarrat et al., 2007; Steeneveld et al., 2011). WRF-SPA uses a number of CO₂ tracer pools to upscale land surface processes photosynthesis and respiration, specific to each land surface type.

Here WRF-SPA is validated at both local and regional scales, using observations from Scotland over multiple years, to evaluate the model against a range of meteorological conditions. At the local scale surface observations of meteorological conditions

and fluxes of CO₂, heat and water have been used for validation. Regional scale validation used aircraft profile measurements of atmospheric CO₂ concentrations. The unmodified WRFv3.2 and WRF-SPA have been compared, at the local scale, to investigate the impacts of the addition of a new LSM. We aim to answer a number of specific questions:

- i. Can WRF-SPA realistically model surface meteorological variables and fluxes across a multi-annual period?
- ii. Does WRF-SPA scale realistically from surface measurements to regional scale observations, specifically aircraft profiles?
- iii. Does WRF-SPA lead to an improvement in surface fluxes compared to the unmodified WRFv3.2?

2 Model description: WRF

The Weather Research & Forecasting (WRFv3.2) (<http://www.mmm.ucar.edu/wrf/users/>, accessed 19 October 2009 15:00) model is a well supported and rapidly developing high resolution non-hydrostatic meteorological model (Skamarock et al., 2008). WRF is designed to be highly adaptable, with a portable code for use on massively parallel systems and a modular structure to allow for tailoring to specific uses. The model has been extensively validated over a range of locations around the world (Ahmadv et al., 2007; Ahmadov et al., 2009; Borge et al., 2008; Zhang, 2008; Wang et al., 2009) and performs favourably in comparison to other commonly used regional meteorological models (Sarrat et al., 2007; Steeneveld et al., 2011). Here we use the Advanced Research WRF (ARW) dynamical solver which uses non-hydrostatic equations, allowing horizontal resolutions of < 1 km.

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crops was needed as arable crops systems are annual with complete clearing of the biosphere at harvest and addition of labile carbon in the form of seed. DALEC provides a direct coupling between the carbon cycle and plant phenology, specifically foliar and fine root C, where foliar C determines leaf area index (LAI) and root C impacts water uptake potential. Crops have two additional C pools; storage organ C (i.e. harvestable C) and dead foliar C (still standing) (Sus et al., 2010). Urban cover is assumed to be a low density evergreen forest with a reduced emissivity to be consistent with urban construction materials.

The Farquhar model of photosynthesis (Farquhar and von Caemmerer, 1982), the Penman-Monteith model of leaf transpiration (Jones, 1992) and the leaf energy balance are coupled via a mechanistic model of stomatal conductance. SPA maximises carbon assimilation per unit nitrogen but within a minimum leaf water potential to prevent cavitation via a series of bisection procedures. Leaf water potential links atmospheric demand for water and soil water supply, by including the effects of soil and stem hydraulic resistance on water transport to the leaves (Williams et al., 1996).

The soil surface energy balance is solved following the approach by Hinzman et al. (1998) and the soil temperature profile is updated by an implicit method of Crank Nicholson (Farlow, 1993). Soil hydrology is calculated through determining soil surface evaporative flux and water movement within the soil profile due to gravity, root uptake and thermal distribution through the profile. Soil hydraulic parameters are calculated using equations from Saxton et al. (1986).

SPA uses a detailed radiative transfer scheme which models the absorption, transmittance and reflectance of near infra-red (NIR), direct and diffuse photosynthetically active radiation (PAR) and long wave radiation for both sunlit and shaded fractions of each canopy level (Williams et al., 1998). Albedo is calculated from the overall reflectance and absorption of NIR and PAR from the canopy and soil surfaces.

The biological components of SPA remain unchanged in WRF-SPA. Modifications to the physical processes include updates to the canopy interception of precipitation, water storage and drainage calculations; a new aerodynamic scheme for momentum

decay above and within the canopy; leaf level conductance calculating both free and forced convective exchange; an integrative procedure for calculating turbulent exchange of soil surface through the canopy; inclusion of dew formation and wet surface canopy evaporation within the canopy energy balance and addition of dead foliage LAI and post harvest litter within the radiative transfer scheme. These are described in turn, below.

3.1 Canopy hydrological parameters

Canopy interception, water storage and drainage have a significant impact on potential wet canopy evaporation, dew formation (and therefore on the canopy energy balance), and soil surface water. Canopy interception of precipitation (I ; fraction) and maximum canopy water storage (C_{\max} ; mm) are related to LAI by coefficients α (0.5) and μ (0.2), respectively. α has been selected to generate canopy interception fractions which are consistent with Rutter et al. (1975). Canopy storage coefficient μ is intended to calculate values which are consistent with canopy storage values used in a previous SPA study (Williams et al., 2001).

$$I = \alpha \text{LAI} \quad (1)$$

and

$$C_{\max} = \mu \text{LAI} \quad (2)$$

Canopy drainage rate is calculated using an empirical relationship derived by Rutter et al. (1975), with an LAI adjustment factor.

$$D = \exp(a + bC_{\text{stor}}) \quad (3)$$

where D is drainage rate (mm min^{-1}), b is a empirical coefficient ($b = 3.7$), C_{stor} is the current canopy storage of water (mm) and a which accounts for the canopy water

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content relative to C_{\max} .

$$a = \ln(D_c) - bC_{\max} \quad (4)$$

D_c is the rate of drainage on a canopy were $C_{\text{stor}} = C_{\max}$. D_c is adjusted via a proportional relationship to C_{\max} (Rutter et al., 1975).

$$D_c = 0.002(C_{\max}/1.05) \quad (5)$$

3.2 Aerodynamic scheme: canopy exchange

SPA models both the above and within canopy momentum decay. Wind speeds are used in determining leaf level and soil surface conductance (described later). Above canopy momentum decay follows the standard log law decay with Monin–Obukov similarity theory stability correction (Garratt, 1992).

$$\frac{dU}{dz} = \frac{u_*}{\kappa(z+d)} \Phi_m \quad (6)$$

Where dU/dz is the gradient of wind speed decay above the canopy at height z (m), κ is Von Karman constant (0.41), d is the canopy zero plane displacement height (m), u_* is the friction velocity (m s^{-1}) and Φ_m is the Monin–Obukov stability correction coefficient. The gradient of wind speed decay is integrated over the vertical distance between the wind speed at the reference height to the canopy top. It is important to note that currently the roughness sub-layer is not included in decay calculations.

The displacement height and roughness length (z_0) are calculated based on canopy structure (height z_h and LAI) as described in Raupach (1994).

$$d = z_h \left[1 - \frac{1 - \exp(-(C_{d1} \text{LAI})^{0.5})}{(C_{d1} \text{LAI})^{0.5}} \right] \quad (7)$$

and

$$z_o = \left(1 - \frac{d}{z_h}\right) \exp\left(-\kappa \frac{u_h}{u_*} - \Psi_h\right) z_h \quad (8)$$

Where z_h is the canopy height (m), C_{d1} is an empirically fitted parameter (7.5) and Ψ_h parametrises the effect of the roughness sub-layer on roughness length (0.193) (Raupach, 1994).

Within canopy momentum decay is carried out using the method described in Harman and Finnigan (2007). Decay within the canopy is assumed to be exponential, where $U_{(z)}$ is the wind speed (m s^{-1}) at height z within the canopy. Decay is dependent on the canopy mixing length (l_m) and ratio of $\frac{u_*}{u_h} = u_r$ (where u_h is the wind speed at canopy top).

$$U_{(z)} = u_h \exp\left(\frac{u_r(z - z_h)}{l_m}\right) \quad (9)$$

The canopy mixing length is described by u_r and the canopy length scale L_c (m),

$$l_m = 2u_r^3 L_c \quad (10)$$

where the length scale is calculated assuming a uniform canopy.

$$L_c = \frac{4z_h}{\text{LAI}} \quad (11)$$

The resulting within-canopy wind speed profile is used in the calculation of canopy layer specific boundary layer conductance (m s^{-1}) of heat and water vapour. Boundary layer conductance at each canopy layer is assumed to be the maximum conductance between free and forced convection at that layer. A detailed description of the leaf level conductance is given in Nikolov et al. (1995):

$$g_h = \frac{D_h S_h}{d_o} \quad (12)$$

where g_h is the leaf level conductance for heat (m s^{-1}), D_h is the molecular diffusivity of heat ($\text{m}^2 \text{s}^{-1}$), S_h the Sherwood number and d_o is the leaf or needle (cone if free convection) diameter. For calculation of water vapour conductance, g_{wv} , the molecular diffusivity of water, D_{wv} , is used.

5 3.3 Aerodynamic scheme: soil exchange

The bare soil surface conductance (g_{soil} ; m s^{-1}) for heat and water vapour are assumed to be equal.

$$g_{\text{soil}} = U_{\text{soil}} \frac{\kappa^2}{\left(\ln \left(\frac{z_{\text{ref}}}{z_{\text{soil}}} \right) \right)^2} \quad (13)$$

10 where U_{soil} is the wind speed near the soil surface, z_{ref} is the reference height of the lowest model level to which the soil is exchanging. The soil surface roughness length (z_{soil} ; m), is assumed to be equal to 0.01 m.

When the soil is under a canopy, the soil conductance is first calculated as a resistance. Soil resistance is integrated through the canopy based on the turbulent eddy diffusivity following Niu and Yang (2004).

$$15 \quad r_{\text{soil}} = \int_{z_{\text{soil}}}^{d+z_o} dz / K_h(z) \quad (14)$$

where dz is the vertical step size (m) through the canopy and K_h is the eddy diffusivity at z position (m) within the canopy. Eddy diffusivity (K_h ; $\text{m}^2 \text{s}^{-1}$) is assumed to have an exponential decay through the canopy (as with momentum). Eddy diffusivity at the canopy top is estimated as specified in Kaimal and Finnigan (1994).

$$20 \quad K_h(z_h) = \kappa u_* (z_h - d) \quad (15)$$

K_h is decayed through the canopy as described below.

$$K_h(z) = K_h(z_h) \exp(-f(1 - z/z_h)) \quad (16)$$

$$f = (c_d z_h \text{LAI}/l_m)^{0.5} (\Phi_m)^{0.5} \quad (17)$$

- 5 The coefficient of momentum decay f is dependant on c_d the coefficient of drag for foliage (0.2), LAI, canopy mixing length l_m and soil surface Monin–Obukov stability correction (Φ_m). Φ_m was calculated as described in Garratt (1992).

When $\zeta > 0$

$$\Phi_m(\zeta) = (1 - \gamma\zeta)^{0.25} \quad (18)$$

- 10 when $\zeta < 0$

$$\Phi_m(\zeta) = (1 + \beta_1\zeta) \quad (19)$$

Where $\zeta > 0$ conditions are unstable, while $\zeta < 0$ are stable conditions, with coefficients $\gamma = 16$ and $\beta_1 = 5$. $\zeta = z/L$ is described in Qin et al. (2002).

$$z/L = \frac{\kappa z g H_{\text{soil}}}{\rho c p_{\text{air}} u_*^3} \quad (20)$$

- 15 where z is the height above the soil, L is the Obukov length, g is acceleration due to gravity (9.81 m s^{-2}), $c p_{\text{air}}$ is the specific heat capacity of dry air ($1004.6 \text{ J kg}^{-1} \text{ K}^{-1}$), ρ is the density of the air (kg m^{-3}). H_{soil} is the sensible heat flux from the soil in the previous time step.

3.4 Leaf energy balance

- 20 SPA uses an iterative procedure to solve stomatal conductance, and as part of this procedure, the leaf energy balance is solved. Net radiation is partitioned between latent and sensible heat fluxes (W m^{-2}); the metabolic storage term is assumed to be

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small and is neglected. Evaporation is calculated from the Penman–Monteith equation; sensible heat is based on the temperature difference between the leaf surface and surrounding air. The radiative transfer scheme initially distributes long wave radiation assuming that the canopy and surrounding air are in isothermal net radiation balance (Φ_{ni} ; W m^{-2}). Φ_{ni} is updated to the net radiation (Φ_n ; W m^{-2}) in the first iteration.

$$\Phi_n \simeq \Phi_{ni} + 4\epsilon\sigma T_a^3(\Delta T) \quad (21)$$

The Φ_n correction is based on the temperature difference (ΔT) between the input leaf temperature T_{leaf} , in the first iteration, and the absolute air temperature (T_a ; K). T_{leaf} is solved by balancing the canopy energy balance Eq. (22).

$$\Phi_n = E_{\text{leaf}}\lambda + H_{\text{leaf}} + E_{\text{wet}}\lambda \quad (22)$$

$$E_{\text{leaf}} = \frac{[\epsilon\Phi_n/\lambda] + g_{\text{wv}}\delta c_w}{\epsilon + 1 + (g_{\text{wv}}/g_s)} \quad (23)$$

E_{leaf} is transpiration ($\text{kg m}^{-2} \text{s}^{-1}$), δc_w is the absolute humidity deficit (kg m^{-3}) and $\epsilon = s/\gamma$. s (Pa K^{-1}) is the slope of curve that relates saturation vapour pressure with air temperature and γ is the psychrometer constant (Pa K^{-1}). The conductance terms are boundary layer conductance for water vapour (g_{wv} ; m s^{-1}) and stomatal conductance (g_s ; m s^{-1}), and λ is the latent heat of vapourisation (J kg^{-1}).

$$H_{\text{leaf}} = 2g_h c_{\text{p,air}}\rho(\Theta_{\text{leaf}} - \Theta_{\text{air}}) \quad (24)$$

Sensible heat (H_{leaf} ; W m^{-2}) is calculated based on the difference between potential leaf (Θ_{leaf}) and air temperatures (Θ_{air}). $c_{\text{p,air}}$ is the specific heat capacity of dry air ($1004.6 \text{ J kg}^{-1} \text{ K}^{-1}$), ρ is the density of the air (kg m^{-3}). The factor 2 is to account for the two different sides of the leaf.

Wet canopy evaporation is calculated via a multi-stage process. The Penman–Monteith equation is used to calculate the potential evaporation or dew formation, i.e.

with aerodynamic conductance only.

$$E_{\text{pot}} = \frac{s\Phi_n + c p_{\text{air}} \rho g_{\text{wv}} \delta e}{\lambda(s + \gamma)} \quad (25)$$

E_{pot} ($\text{kg m}^{-2} \text{s}^{-1}$) is potential evaporation or dew formation and δe is the vapour pressure deficit (Pa). If dew is forming this is restricted to no larger than C_{max} . Dew mass is added to the canopy in the following time step, while the energy exchange is added to Φ_n for the next iteration of the canopy. Wet evaporation (E_{wet} , $\text{kg m}^{-2} \text{s}^{-1}$) is restricted by the amount of water stored on the canopy (C_{stor} , Eq. 26) and by transpiration (E_{leaf} ; $\text{kg m}^{-2} \text{s}^{-1}$) that has occurred Eq. (27). Note that potential evaporation is first converted to W m^{-2} by multiplication by λ .

$$E_{\text{pot}} = \frac{C_{\text{stor}}}{C_{\text{max}}} E_{\text{pot}} \quad (26)$$

$$E_{\text{wet}} \lambda = E_{\text{pot}} \lambda - E_{\text{leaf}} \lambda \quad (27)$$

An iterative solution is used to model both wet canopy evaporation, (or) dew formation and update the canopy distribution of long wave radiation. (i) Leaf temperature is calculated solving the canopy energy balance; (ii) long wave radiation distribution is updated based on current leaf temperature values; (iii) leaf temperature is re-solved; (iv) canopy net radiation is used to calculate wet evaporation or dew; (v) the available net radiation for the canopy energy balance is adjusted based on wet evaporation or dew. The procedure is iterated up to 10 times; steady state of the canopy balance typically occurs by the 4th iteration.

3.5 Modifications to the crop model in SPA

The crop development model developed for SPA is described and validated in Sus et al. (2010). This allows SPA to model the growth of both winter wheat and winter barley. While only modelling winter cereals will introduce a bias, it is a reasonable

assumption as winter wheat and barley are the dominant arable crops in the UK. The model represents crop development from sowing through vegetative growth, flowering and maturity through to harvest.

Sowing is assumed to occur once the daily mean temperature has dropped below 10 °C for winter crops. Van den Hoof et al. (2011) demonstrated that this assumption produces realistic sowing times. Harvest is assumed to occur once the developmental crop model reaches the mature development stage, which typically coincides with the storage organ (the crop yield) reaching its peak value and complete foliage senescence.

The albedo of crops changes as it matures, this change leads to a significantly greater albedo in mature crops compared to during vegetative growth. Seasonal shifts in albedo significantly alters the surface energy balance, changing both surface temperature and turbulent fluxes (Betts et al., 2007). To account for this, foliage which has senesced is retained within the canopy as a non-photosynthetically active (non-transpiring) dead LAI. In addition to decoupling dead foliage from the plant hydrological cycle, dead LAI is assigned its own NIR and PAR reflectance values (Nagler et al., 2003). Assigning dead LAI reflectance allows for inclusion of their effect on the surface energy balance, impacting both leaf level processes and radiative transfer.

Post harvest, litter also plays an important role in the surface energy balance by increasing surface albedo relative to the underlying soil. As with dead foliage, post harvest surface litter is prescribed a separate albedo which is weighted with that of the underlying soil, based on fractional area cover. Litter fractional cover is estimated based on the mass of surface litter (C_{sflit}) mass present. Surface litter from both wheat and barley are assumed to have the same mass area relationship as wheat from Nagler et al. (2003).

$$\%cover = -0.0007C_{\text{sflit}}^2 + 0.5053C_{\text{sflit}} + 7.4017 \quad (28)$$

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4 Model domain and validation datasets

4.1 Model domain

WRF-SPA was run over two domains with 2-way nesting; the outer domain has a resolution of 18 km × 18 km and the inner 6 km × 6 km (Fig. 1). Model output from the inner domain only was used in the validation. Scotland provides a highly complex topography and land use heterogeneity, with a longitudinal gradient from dominantly forested areas in the north west to pasture in the central and south west and arable cropland in the east.

A 5 yr period (2002–2006) was simulated for use in a multi-annual validation of the model at different spatial scales, from surface measurements to vertical aircraft profiles of CO₂ atmospheric concentrations. The first two years were considered to be a spin up period to allow for differentiation of the vegetation phenology. The main features of the model set up are presented in Table 2.

All meteorological data required for the ICs and LBCs are from the Global Forecasting System (GFS) reanalysis product (<http://www.emc.ncep.noaa.gov/>) with 1° × 1° longitude/latitude resolution at 6 h time steps (available from <http://rda.ucar.edu/datasets/ds083.2/>).

4.2 Validation data

The surface validation used surface observations of net radiation, turbulent fluxes (latent and sensible), net ecosystem exchange of CO₂ (NEE) and air temperature from three sites in Scotland. The sign convention used for NEE is negative fluxes represent net sequestration of carbon and positive fluxes represent a net source. Observations used were averaged to hourly time step. The three sites are important as they are representative of the dominant land cover types in Scotland outside of the central northern mountains ranges (Fig. 1).

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The sites are (i) Griffin forest, an intensively managed Sitka Spruce plantation (LAI $\sim 6 \text{ m}^2 \text{ m}^{-2}$) in central Scotland (56.61° N , 3.80° W , 340 m a.s.l.). Established in 1982, the site has an mean annual air temperature of $\sim 6.6^\circ \text{ C}$ and precipitation of $\sim 1126 \text{ mm yr}^{-1}$ (Clement et al., 2012). (ii) East Saltoun, has mixed use with a spring barley crop and grassland (for silage) (55.91° N , 2.85° W , 73 m a.s.l.). A mean annual air temperature of $\sim 8.5^\circ \text{ C}$ and precipitation of $\sim 700 \text{ mm yr}^{-1}$. (iii) Easter Bush, a managed grassland (55.86° N , 3.21° W , 190 m a.s.l.). Management varies each year including both grazing and cutting. The site has a mean annual air temperature of $\sim 7.8^\circ \text{ C}$ and precipitation of $\sim 978 \text{ mm yr}^{-1}$. All three sites are part of the CarboEurope network (www.carboeurope.org/).

An aircraft collected vertical profiles of atmospheric CO_2 concentrations over Griffin forest between 2004 and 2006. Air samples were collected at a range of altitudes above sea level (m, a.s.l.), 800, 1100, 1600, 2100, 2600 and 3100. Sampling (all day-time) occurred throughout each year covering the whole seasonal cycle. This provides information at all development stages of the vegetation and includes a range of meteorological conditions. The profiles provide integrated regional scale information allowing for validation at the regional scale of WRF-SPA's carbon balance.

5 Results

5.1 Surface validation

Statistical validation (analysed in R 2.15.2 R Core Team, 2012) against hourly observations are presented for WRF-SPA and WRF (Table 3). Seasonal behaviour is explored by comparing monthly mean (and standard error) values for observations and the models. To ensure comparability, the model means are calculated using only values where a corresponding observation is available.

Both models demonstrate good skill in predicting surface observations, particularly air temperature. R^2 and biases are similar (Table 3); on average the differences

between the modelled biases are 0.16°C and 4.2 W m^{-2} for temperature and turbulent fluxes, respectively. There is a tendency for latent and sensible fluxes to be positively biased, except at Griffin forest where WRF underestimates latent heat. The overestimation of turbulent fluxes is in part due to overestimation of net radiation, typically $10\text{--}15\text{ W m}^{-2}$ for both models (Table 3). However errors in partitioning to ground heat flux are also likely to play a significant role. In WRF-SPA, ground heat flux is relatively insensitive seasonally; underestimating the magnitude of ground heat flux may lead to a bias in energy partitioning to turbulent fluxes during summer (data not shown). WRF-SPA tends to have larger RMSEs for latent (5–20 %) and sensible heat fluxes (5–92 %) than WRF, while for temperature RMSEs are similar with a maximum difference of 0.2°C . The largest RMSEs are for East Saltoun which are discussed later.

Air temperature is well predicted by both models with a typical annual absolute bias of $< 1^{\circ}\text{C}$ and RMSE of $\sim 2^{\circ}\text{C}$. This is broadly consistent across all sites at the annual time scale, representing skill in modelling a range of highly distinct vegetative systems. At seasonal scales, more significant differences are apparent between the two models. WRF produces a consistent over-estimation of monthly mean temperatures at Griffin and East Saltoun, while during winter both models overestimate air temperature by a similar amount (Fig. 2). WRF-SPA more closely predicts monthly mean temperatures during the majority of the year, except at Easter Bush during the spring and summer months where WRF-SPA underestimates temperature. At Griffin forest both models realistically predict seasonal behaviour, while at East Saltoun and Easter Bush WRF-SPA more accurately predicts the observed seasonality than WRF. Including accurate prediction of peak summer monthly mean temperature in August for both East Saltoun and Easter Bush during 2004.

NEE is reasonably well predicted by WRF-SPA at each site, particularly at the seasonal time scales (Fig. 2). However hourly RMSEs are high, typically $\sim 6\text{ }\mu\text{mol C m}^{-2}\text{ s}^{-1}$ while biases remain relatively small, usually $< 1\text{ }\mu\text{mol C m}^{-2}\text{ s}^{-1}$ (Table 3). Seasonally, each of the sites show different biases throughout the year from each other. WRF-SPA underestimates net carbon sequestration at both Griffin forest

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and Easter Bush over the observation period, including during winter indicating an over-estimation of respiration in the model. The WRF-SPA model-observation mismatch at Griffin forest varies between years. WRF-SPA overestimates peak sequestration (NEE is more negative) during 2005 and fails to capture a peak summer reduction in carbon sequestration shown in the observations (Fig. 2), whereas in 2006 WRF-SPA captures the seasonal behaviour of the forest but there is some underestimation in fluxes (Fig. 2).

At East Saltoun, NEE appears to be poorly modelled at the hourly level with an R^2 of 0.32. While at seasonal time scales we can see that WRF-SPA models NEE reasonably well, however the phenology is out of phase (Fig. 2). Despite this the growing season peak sink strength and post harvest respiration peaks are of appropriate magnitude. In both years WRF-SPA predicts peak sequestration two months early and overestimates early season sequestration. The model observation mismatch is consistent with WRF-SPA modelling winter barley at East Saltoun, while the crop planted during 2004 and 2005 is spring barley.

WRF-SPA overestimates latent heat fluxes at all three sites, particularly during the summer when more energy is available. WRF overestimates at both East Saltoun and Easter Bush, and underestimates latent heat at Griffin Forest (Fig. 3). Seasonally we can see that WRF-SPA performs better, particularly in modelling the transitions into and out of summer. This is most evident during 2004 at East Saltoun where, while the peak latent heat flux is too high, the peak period timing and duration is considerably better represented than by WRF.

A similar situation is observed for sensible heat (Fig. 3) with particularly good agreement at Griffin Forest by WRF-SPA while less so by WRF. Seasonal transition periods are well captured (Fig. 3), which is expected due to the coupling between latent and sensible heat fluxes. WRF-SPA captures the bimodal peaks during both years, which is at least partly driven by harvest. When the crop is harvested this removes much of the high albedo mature vegetation and exposes the low albedo soil, resulting in a higher net radiation. Removal of crop vegetation also restricts evaporation to water available

in the upper soil layers. This has a significant impact on partitioning of net radiation into sensible and ground heat fluxes.

5.2 Scaling to aircraft profiles

Aircraft profiles were compared to WRF-SPA modelled profiles of CO₂ to provide validation of regionally integrated measurement of CO₂ exchange (Fig. 4). Profiles include both summer and winter flights throughout the simulation period, to investigate model performance both seasonally and multi-annually. Figures show two modelled profiles, (i) total atmospheric concentration and (ii) “forcings only” profiles. The “forcings only” pool, contains CO₂ which originates from external forcings only, including LBC nudging, oceanic fluxes and anthropogenic emissions (i.e. total CO₂ minus the modelled biospheric fluxes). This allows us to visually show the impact of the “simulated biosphere” on atmospheric CO₂ concentrations within the domain.

The modelled profiles of total CO₂ compare well with observations. All predicted profiles are typically within 2–4 ppm of observations, a selection of which are shown in Fig. 4. The profile structure and PBL heights of modelled total CO₂ profiles compare well with observations indicating that regional transport and distribution of biospheric sources and sinks is broadly realistic. “Forcing only” CO₂ profiles show distinctly different structures and CO₂ concentrations within the PBL, indicating that the good agreement we find in the total CO₂ pool is due to the modelling of the biosphere by WRF-SPA.

There are differences in model performance across seasonal scales. During peak growing season, WRF-SPA overestimates regional biosphere sequestration, leading to up to 4 ppm underestimation in the modelled profile (e.g. July 2004 and June 2006; Fig. 4). This is different to the observed underestimation of carbon sink strength at Griffin forest (Table 3). The likely reason for this is that forest cover is over-represented within the model domain. At the resolution used in WRF-SPA, the MODIS land cover map used in WRF-SPA predicts forest type land covers cover 43 % of the total land cover. Significantly greater than the estimate of Scottish forest cover at ~ 17.8 % of forest cover (National Forest Inventory, 2011).

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6 Discussion and conclusions

The purpose of this study is to present and validate the novel coupled model WRF-SPA. Validation of WRF-SPA was conducted at both local (site level) and regional (aircraft profiles) scales, against a range of observations relevant to CO₂ exchange and validation of the meteorological capability of the WRF-SPA.

WRF-SPA demonstrated that it can produce comparable statistics to those of WRF (Table 3) at the site level against hourly observations. WRF-SPA tends to have lower annual bias, however WRF-SPA has higher RMSEs. Higher RMSEs are not unexpected due to the greater level of complexity of SPA compared to the default WRF LSM, Noah. Since WRF is often considered to be one of the best mesoscale models available WRF-SPA is also comparable to many other models that are currently used in regional scale research.

At seasonal time scales WRF-SPA shows realistic behaviour across each of the land cover types presented here. Air temperature was consistently better modelled by WRF-SPA at both Griffin forest and East Saltoun, while summer peak temperatures were better captured at Easter Bush by WRF-SPA. NEE is well modelled by WRF-SPA, particularly seasonality (Fig. 2). There remain, however, several issues including an overestimation in winter respiration at Griffin forest and Easter Bush, which may be linked to an overestimation of soil carbon stocks or an overestimation of soil organic matter turnover. Given Scotland's high soil organic matter content it is likely that WRF-SPAs modelling of soil processes as inorganic is a significant component of this error.

There remain several model-observation mismatches at Griffin forest during the growing season (Fig. 2). Where WRF-SPA overestimates carbon sequestration in 2005 but underestimates sequestration in 2006. Further, there presence of a lag in simulated NEE, particularly evident in 2006. Underestimating sequestration in 2006 is consistent with WRF-SPA underestimation of LAI at Griffin forest (modelled $\sim 4 \text{ m}^2 \text{ m}^{-2}$, obs $\sim 6 \text{ m}^2 \text{ m}^{-2}$). Griffin forest underwent selective logging in 2004 ($\sim 37\%$ reduction in above ground biomass), therefore during 2005 the forest is likely in the process of

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recovery before returning to pre-harvest sequestration levels in 2006. The early sea-
son lag is a known issue with the evergreen carbon model in SPA, where the evergreen
model lacks a labile carbon pool. As a result needle growth is limited in spring time to
carbon available from photosynthesis at that time, rather than rapid release of carbon
stored from the previous year (Williams et al., 2005).

Seasonal changes in latent and sensible heat fluxes are well predicted by WRF-SPA
compared to WRF. Transition periods in particular from winter to spring and summer to
autumn are well captured by WRF-SPA compared to WRF, e.g. latent heat fluxes ob-
served at East Saltoun during 2004 (Fig. 3). As the bimodal peak in sensible heat flux
at East Saltoun appears to be driven by human intervention on the ecosystem, demon-
strating it is important to include the impacts of human management of ecosystems.
This is consistent with other studies which have previously highlighted agricultural land
as a significant component of the European and global energy and carbon balance
(Betts et al., 2007; Denman et al., 2007). WRF also displays a bimodal peak during
2006, the cause of this is unclear but appears to be linked to a larger amount of incom-
ing short wave radiation in WRF than WRF-SPA during the mid to late summer. The
differences in incoming radiation between the models is likely as a result of combina-
tion of feedbacks where in WRF-SPA the higher evaporation rate and sensible heat flux
leading to increased cloud cover and atmospheric albedo.

Comparison with aircraft profiles show that WRF-SPA is capable of upscaling
to regional measurements of atmospheric CO₂. Indicating that the modelled CO₂
sources/sink distribution is well modelled as is transport within the atmosphere. The
absolute errors of the modelled profiles are comparable to other studies (e.g. Aham-
dov et al., 2007; Ter Maat et al., 2010). What is unique about our study is that we
carried out this analysis over a several year period showing multi-annual consistency.
WRF-SPA successfully predicts PBL height for the observed profiles which is critical to
achieve realistic regional scale mixing and meteorological variables (Steenefeld et al.,
2011; Xie et al., 2012). Steeneveld et al. (2011) showed in a comparison between dif-
ferent PBL parameterisations, using WRF and RAMS, that correct modelling of PBL

structure and temperature profiles was only achieved with significant overestimation of sensible heat fluxes of up to 50 %, which is consistent with the overestimate of sensible heat fluxes predicted by WRF-SPA.

WRF-SPA has demonstrated that it is capable of realistically predicting exchange of CO₂ at the regional scale. Further work will look at using CO₂ tracers to de-construct observations of regional exchange to investigate how each vegetative ecosystem contributes to the regional signal. In particular a currently unexplored multi-annual dataset of continuous measurement of CO₂ from tall tower Angus, Scotland.

In conclusion three specific questions were asked of this validation. (i) Can WRF-SPA realistically model surface meteorological variables and fluxes across a multi-annual period? We have shown that WRF-SPA can realistically model surface observations at hourly time scales which are comparable to WRF. (ii) Does WRF-SPA scale realistically from the surface measurements to regional scale observations, specifically aircraft profiles? We have shown across multiple years and across seasons that WRF-SPA up-scales to observed atmospheric CO₂ concentration profiles. (iii) Does WRF-SPA lead to an improvement in surface fluxes compared to the unmodified WRFv3.2? We have demonstrated that at monthly means WRF-SPA predicts more realistic seasonal behaviour than WRF.

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Table 1. Tracer pools and definitions used by WRF-SPA.

Tracer ID	Description
1_CO ₂	Total CO ₂ concentration, includes all sources and sinks of CO ₂ , for comparison to observations
2_CO ₂	Forest assimilation
3_CO ₂	Anthropogenic emissions
4_CO ₂	Anthropogenic emissions, ocean sequestration, initial and lateral boundary conditions only
5_CO ₂	Crop assimilation
6_CO ₂	Ocean sequestration
7_CO ₂	Forest respiration
8_CO ₂	Crop respiration
9_CO ₂	Managed grassland respiration
10_CO ₂	Other vegetation respiration
11_CO ₂	Managed grassland assimilation
12_CO ₂	Other vegetation assimilation

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Table 2. Parameter and model options used in both WRF-SPA and WRF.

Basic equations	Non-hydrostatic, compressible Advanced Research WRF (ARW)
Radiative transfer scheme	Rapid Radiative Transfer Model for GCMs (RRTMG) for both long wave and short wave
Planetary boundary layer scheme	Yonsei University
Surface scheme	Monin–Obukov
Land surface scheme	Noah (WRFv3.2 only)
Microphysics scheme	WSM 3-class simple ice
Cumulus parameterisation	Grell 3D ensemble scheme (coarse domain only)
Nesting	Two-way nesting
Domain, resolution	44 × 47, 18 km 48 × 54, 6 km 35 vertical levels
Domain centre	56.63° N, 3.35° W

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Table 3. Summary of WRF-SPA and WRF multi-annual statistics for surface validation sites during 2004–2005 (East Saltoun and Easter Bush) and 2005–2006 (Griffin Forest). Statistics are for hourly observations the surface air temperature, net ecosystem exchange (NEE) (WRF-SPA only), latent (LH) and sensible heat (SH) fluxes. Statistics are mean annual bias, root mean square error and R^2 values.

	WRF-SPA			WRFv3.2		
	Bias	RMSE	R^2	Bias	RMSE	R^2
Easter Bush						
Air temperature ($^{\circ}\text{C}$)	−0.30	2.7	0.73	-3.7×10^{-6}	2.6	0.74
LH (W m^{-2})	10.3	43.1	0.41	7.9	37.6	0.43
SH (W m^{-2})	7.4	55.5	0.49	8.2	38.4	0.43
Net Radiation (W m^{-2})	11.3	86.4	0.61	16.0	85.2	0.63
NEE ($\mu\text{mol C m}^{-2} \text{s}^{-1}$)	0.81	4.6	0.54	–	–	–
East Saltoun						
Air temperature ($^{\circ}\text{C}$)	0.46	2.2	0.82	0.73	2.3	0.81
LH (W m^{-2})	5.8	42.7	0.36	11.2	40.2	0.41
SH (W m^{-2})	25.7	90.9	0.43	14.6	47.3	0.53
Net Radiation (W m^{-2})	11.4	113.7	0.55	3.1	102.8	0.58
NEE ($\mu\text{mol C m}^{-2} \text{s}^{-1}$)	−0.25	6.9	0.32	–	–	–
Griffin Forest						
Air temperature ($^{\circ}\text{C}$)	0.88	1.7	0.92	1.4	1.9	0.93
LH (W m^{-2})	8.8	65.0	0.54	−22.9	54.9	0.54
SH (W m^{-2})	5.4	68.3	0.51	24.2	65.3	0.57
Net Radiation (W m^{-2})	8.8	86.5	0.70	13.6	89.8	0.69
NEE ($\mu\text{mol C m}^{-2} \text{s}^{-1}$)	1.1	6.2	0.51	–	–	–

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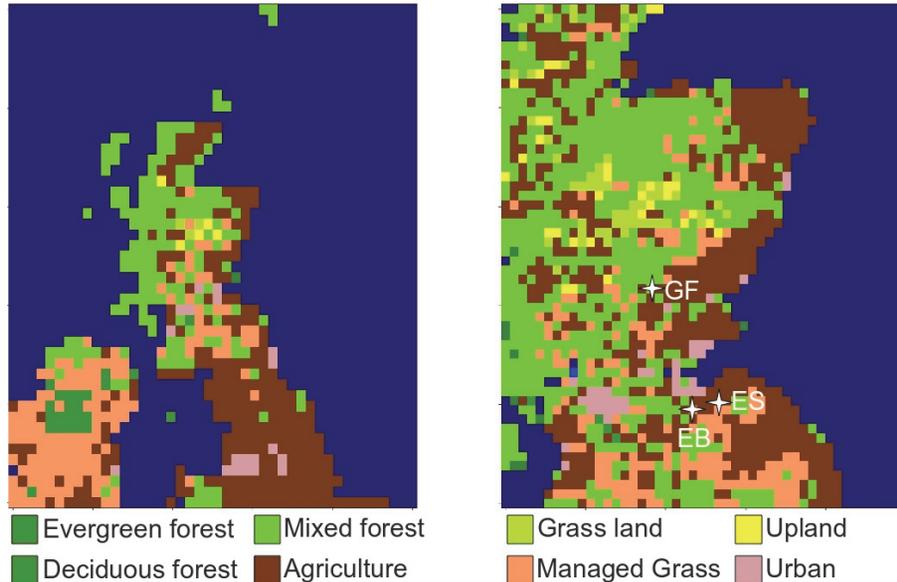


Fig. 1. Land classification map covering the spatial extent of the model domain, the left panel is the parent domain at 18×18 km, right panel is nested domain at 6×6 km resolution. The field sites are marked with a star Griffin forest (GF), East Saltoun (ES) and Easter Bush (EB). The maps used in WRF is a modified modis land cover map provided with the WRF model.

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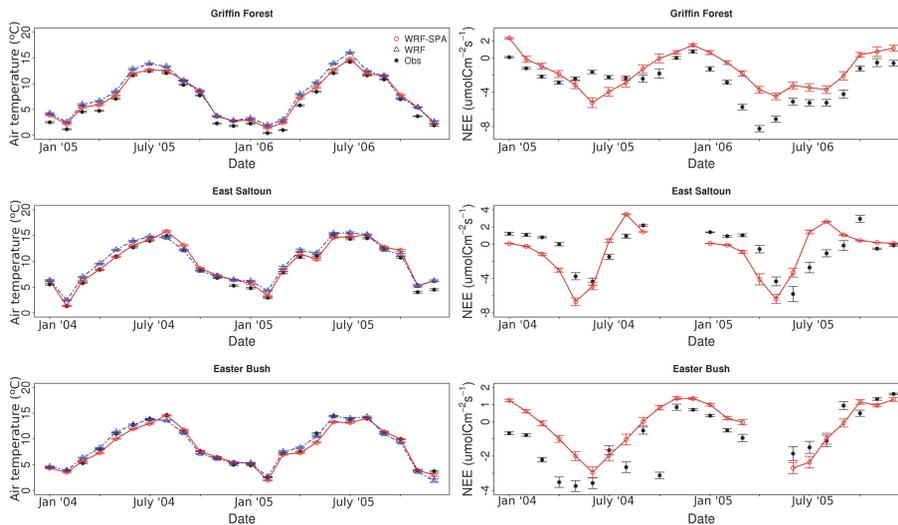


Fig. 2. Monthly mean values for air temperature and net ecosystem exchange by observations, WRF-SPA and WRF. Error bars are ± 1 standard error, accounting for temporal averaging only.

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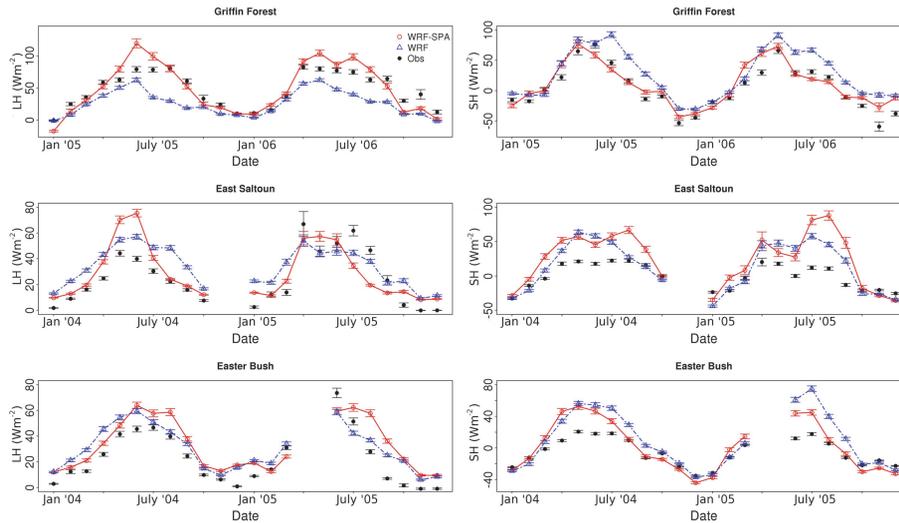


Fig. 3. Monthly mean values for latent (LH) and sensible (SH) heat flux by observations, WRF-SPA and WRF. Error bars are ± 1 standard error, accounting for temporal averaging only.

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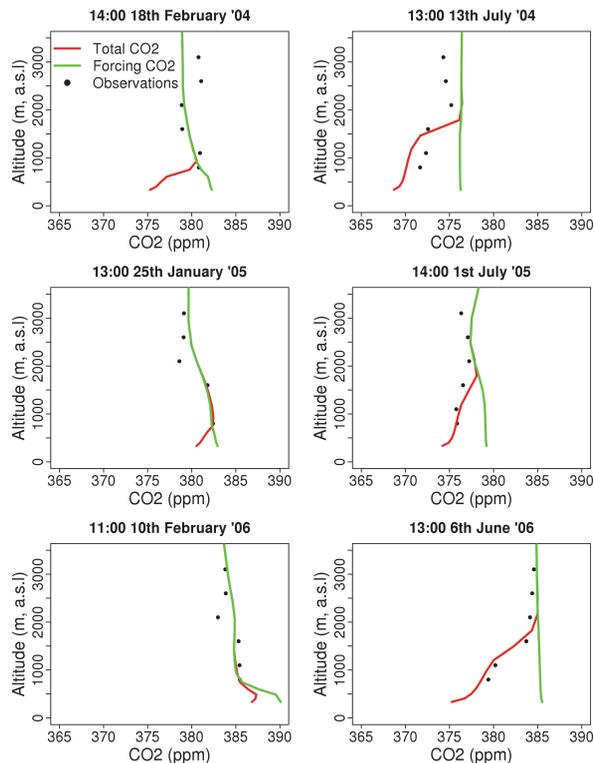


Fig. 4. Observed and WRF-SPA modelled profiles of CO₂ above Griffin forest. Modelled profiles include the total atmosphere profile CO₂ and “forcings only” CO₂ to show the impact of the modelled biosphere.

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