

1 **Global high-resolution simulations of CO₂ and CH₄ using**
2 **a NIES transport model to produce a priori concentra-**
3 **tions for use in satellite data retrievals**

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13 **Keywords:** Carbon dioxide (CO₂), Methane (CH₄), global tracer transport model,
14 high-resolution meteorological data, satellite retrieval

15

16 **Abstract**

17 The Greenhouse gases Observing SATellite (GOSAT) measures column-
18 averaged dry air mole fractions of carbon dioxide and methane (X_{CO_2} and
19 X_{CH_4} , respectively). Since the launch of GOSAT, model-simulated three-
20 dimensional concentrations from a National Institute for Environmental Stud-
21 ies offline tracer Transport Model (NIES TM) have been used as a priori con-
22 centration data for **operational near real-time retrievals of X_{CO_2} and X_{CH_4}**
23 **from GOSAT short-wavelength infrared spectra at NIES. Although a choice of**
24 **an a-priori profile makes minor effect on retrieved X_{CO_2} or X_{CH_4} , a realistic**
25 **simulation with minimal deviation from observed data is desirable.** In this pa-
26 per we describe the newly developed **version of NIES TM** that has been
27 adapted to provide global and near real-time concentrations of CO_2 and CH_4
28 using a high-resolution meteorological dataset, the Grid Point Value (GPV)
29 prepared by the Japan Meteorological Agency. The spatial resolution of the
30 NIES TM is set to $0.5^\circ \times 0.5^\circ$ in the horizontal in order to utilize GPV data,
31 which have a resolution of $0.5^\circ \times 0.5^\circ$, 21 pressure levels, and a time interval of
32 3 hours. GPV data are provided to the GOSAT processing system with a delay
33 of several hours, and the near real-time model simulation produces a priori
34 concentrations driven by diurnally varying meteorology. A priori variance-
35 covariance matrices of CO_2 and CH_4 are also derived from the simulation out-
36 puts and observation-based reference data for each month of the year at a
37 resolution of $0.5^\circ \times 0.5^\circ$ and 21 pressure levels. Model performance is assessed
38 by comparing simulation results with the GLOBALVIEW dataset and other
39 observational data. The overall root-mean-square differences between model
40 predictions and GLOBALVIEW analysis are estimated to be **1.45 ppm** and
41 **12.52 ppb** for CO_2 and CH_4 , respectively, and the seasonal correlation coeffi-
42 cients are **0.87** for CO_2 and **0.53** for CH_4 . The model showed good performance
43 particularly at oceanic and free tropospheric sites. The **high-resolution** model
44 also performs well in reproducing both the observed synoptic variations at
45 some sites, and stratospheric profiles over Japan. These results give us confi-
46 dence that the performance of our GPV-forced high-resolution NIES TM is ad-
47 equate for use in satellite retrievals.

48

49 1 Introduction

50 Global atmospheric transport models provide an effective means of quantifying
51 the global cycle of long-lived atmospheric trace gases such as carbon dioxide
52 (CO_2) and methane (CH_4). There is an increasing demand for high-resolution
53 models that simulate global tracer transport over synoptic and sub-daily time-
54 scales to reproduce observed variations more accurately. For example, the At-
55 mospheric Tracer Transport Model Intercomparison Project (TransCom) has
56 initiated simulations of hourly and synoptic CO_2 concentration (Law et al.,
57 2008; Patra et al., 2008), which will complement and make use of state-of-the-
58 art measurements of greenhouse gases. In this TransCom continuous experi-
59 ments, 25 transport models participated **with two running at $0.5^\circ \times 0.5^\circ$ resolu-**
60 **tion and the others running** at $1^\circ \times 1^\circ$ to $3.8^\circ \times 5.0^\circ$ resolutions. Patra et al.
61 (2008) concluded that increasing model horizontal resolution clearly improved
62 the synoptic-scale variations in simulated CO_2 . Maksyutov et al. (2008) com-
63 pared model CO_2 results at horizontal resolutions of 2.0° , 1.0° , 0.5° , and 0.25°
64 with continuous observations at a tower site in Japan and showed that in-
65 creasing the model's horizontal resolution greatly improved the match with ob-
66 servations. **However most model simulations** of these greenhouse gases **are**
67 **still carried out at horizontal resolutions of $1^\circ \times 1^\circ \sim 3.75^\circ \times 2.5^\circ$** (e.g. Allen et
68 al., 2012; Saito et al., 2011; Patra et al., 2011).

69 Another demand for the high-resolution models stems from a new ap-
70 proach in which model-predicted CO_2 and CH_4 concentrations are used to give
71 a priori concentrations for satellite spectroscopic data retrieval algorithms (e.g.
72 Saitoh et al., 2009; Yoshida et al., 2011; O'Dell et al., 2012). Satellite observa-
73 tions cover most of the globe in several days to a few weeks, and retrievals
74 based on satellite spectra require a priori concentrations of targeted gases.
75 Global transport models can provide simulated a priori concentration profiles
76 of those greenhouse gases in order to obtain optimal retrieval solutions and to
77 physically interpret satellite-derived data. Instantaneous fields of view of sat-
78 ellite instruments are of the order of 10–100 km; e.g., $30 \times 120 \text{ km}^2$ for the
79 SCanning Imaging Absorption spectroMeter for Atmospheric CHartographY
80 (SCIAMACHY) (Buchwitz et al., 2005), and a nadir circular footprint of about
81 10.5 km diameter for the Greenhouse gases Observing SATellite (GOSAT) (Yo-
82 shida et al., 2011). For GOSAT, the retrieval precisions are estimated to be

83 smaller than 3.5 ppm and 15 ppb for column-averaged dry air mole fractions of
 84 both carbon dioxide and methane (XCO₂ and XCH₄), respectively (Yoshida et
 85 al., 2011). Furthermore, future satellites that observe greenhouse gases target
 86 higher precision with less bias. For example, the Orbiting Carbon Observato-
 87 ry-2 (OCO-2) is designed to retrieve XCO₂ theoretically with 1–2 ppm (0.3–
 88 0.5%) precision for single-soundings with a small field of view with an area of 3
 89 km² in nadir (Boesch et al., 2011). Therefore, much effort is currently being
 90 devoted to the development of global high-resolution transport models with
 91 less model error that meet the demands from satellite observations of green-
 92 house gases. For various applications of the retrieved data such as observa-
 93 tions of strong CO₂ emissions by forest fire or volcano eruptions, users desire a
 94 near real-time data processing. To serve those needs, an operational retrieval
 95 in GOSAT data processing system is conducted at near real-time.

96 GOSAT is the first satellite to measure global distributions of XCO₂ and
 97 XCH₄ (Kuze et al., 2009; Yokota et al., 2009; Yoshida et al., 2011). At the Na-
 98 tional Institute for Environmental Studies (NIES), XCO₂ and XCH₄ are re-
 99 trieved from the short-wavelength infrared (SWIR) spectra obtained by the
 100 Thermal And Near infrared Sensor for carbon Observation-Fourier Transform
 101 Spectrometer (TANSO-FTS) on board GOSAT. An optimal estimation method
 102 is used to retrieve XCO₂ and XCH₄ which minimizes the cost function

$$103 \quad J(\mathbf{x}) = [\mathbf{y} - F(\mathbf{x})]^T \mathbf{S}_\varepsilon^{-1} [\mathbf{y} - F(\mathbf{x})] + [\mathbf{x} - \mathbf{x}_a]^T \mathbf{S}_a^{-1} [\mathbf{x} - \mathbf{x}_a] \quad (1)$$

104 where \mathbf{x} is the state vector to be retrieved, \mathbf{y} is the vector containing the ob-
 105 served spectrum, $F(\mathbf{x})$ is the forward model that relates the state vector to the
 106 observed spectrum, \mathbf{S}_ε is the error covariance matrix of the observed spectrum,
 107 \mathbf{x}_a is the a priori state of \mathbf{x} , and \mathbf{S}_a is the a priori variance–covariance matrix
 108 (VCM) (Yokota et al., 2009; Yoshida et al., 2011). A priori state \mathbf{x}_a includes a
 109 priori concentration profiles of CO₂ and CH₄. The retrieved XCO₂ and XCH₄ at
 110 NIES are available after April 2009 at GOSAT User Interface Gateway (GUIG;
 111 <http://data.gosat.nies.go.jp/>).

112 As a priori concentrations for NIES XCO₂ and XCH₄ retrievals, we use
 113 simulated data from a NIES atmospheric tracer transport model (hereafter
 114 NIES TM) (Japan Aerospace Exploration Agency, National Institute for Envi-
 115 ronmental Studies, and Ministry of the Environment, 2011 (hereafter

116 JAXA/NIES/MoE, 2011); Yoshida et al., 2011). The a priori VCMs, \mathbf{S}_a , for CO₂
117 and CH₄ are derived from simulated NIES TM data and some reference data
118 (Eguchi et al., 2010). **The chose of a** priori concentrations for satellite data re-
119 trievals **is** optional, and various a priori concentrations have been used to re-
120 trieve XCO₂ and XCH₄ from GOSAT SWIR spectra; e.g, constant a priori con-
121 centrations (Oshchepkov et al., 2011), monthly zonal means in 10° latitude
122 bands for land and ocean from a forward model run (O’Dell et al., 2012), or
123 model-simulated concentrations for the year 2007, 2008 (Butz et al. 2011) or
124 2009 that was extrapolated to 2010 (Schepers et al., 2012). However, as seen in
125 Eq. (1), when the diagonal elements of \mathbf{S}_a have small values, the a priori pro-
126 files largely constrain the retrieved results (e.g. Saitoh et al., 2009; Yoshida et
127 al., 2011). We thus aim to reduce errors in a priori CO₂ and CH₄ concentra-
128 tions for use in the NIES retrieval algorithm by using NIES TM at the rela-
129 tively high horizontal resolution of 0.5° × 0.5°.

130 **Distinctive** feature of the NIES a priori concentrations is that they are
131 created by NIES TM driven by a near real-time high-resolution meteorological
132 dataset at a horizontal resolution of 0.5° × 0.5° and 21 pressure levels. **As a re-**
133 **sult**, real-time SWIR retrieval processing is made available to create XCO₂ and
134 XCH₄ products at every observed day by using a priori concentrations that re-
135 flect daily meteorological variations at the observed days. Use of high-
136 resolution meteorological data would be expected to allow smaller-scale phe-
137 nomena to be represented in the model.

138 In this paper, we describe a newly developed high-resolution NIES TM
139 designed to provide near real-time global three-dimensional concentration
140 fields that reflect daily meteorological conditions for satellite retrieval algo-
141 rithms, which is currently implemented in the GOSAT Level 2 retrieval sys-
142 tem to derive XCO₂ and XCH₄ at NIES (Sect. 2). In Sect. 3, the simulated CO₂
143 and CH₄ concentrations are compared with an analysis of GLOBALVIEW-CO₂
144 (2009) and GLOBALVIEW-CH₄ (2009) data products (hereafter GV-CO₂, GV-
145 CH₄) and other observations to evaluate the model performance and investi-
146 gate potential biases arising from the model simulation. Our conclusions follow
147 in Sect. 4.

148

149 **2 NIES transport model and numerical experiments**

150 A NIES off-line global transport model (Maksyutov et al., 2008) has been used
151 to simulate seasonal and spatial distributions of long-lived atmospheric con-
152 stituents in the lower and mid-troposphere. The advection scheme of the model
153 was semi-Lagrangian, and a mass fixer was adopted **to conserve total mass of**
154 **tracers in the model for long-term simulation.** The vertical mixing in the model
155 was represented by cumulus convection and turbulent diffusion with explicitly
156 parameterized Planetary Boundary Layer (PBL) physical processes. **The de-**
157 **tails of the mass fixer, cumulus convection, and turbulent diffusion are de-**
158 **scribed in Appendix A.1 – A.3.**

159 The earlier version of the NIES transport model (denoted NIES-99) was
160 developed to simulate the seasonal cycles of long-lived tracer species at a rela-
161 tively coarse horizontal resolution (2.5° – 5.0° longitude–latitude), and to per-
162 form source–sink inversions of atmospheric CO_2 (e.g., Gurney et al., 2002,
163 2004; Patra et al., 2002, 2003, 2005a). Improvements to NIES-99 led to a re-
164 cent development of the model (NIES-05), which has a higher horizontal reso-
165 lution (tested on $2^{\circ} \times 2^{\circ}$ to $0.25^{\circ} \times 0.25^{\circ}$ in Maksyutov et al., 2008). NIES-05
166 was driven by the ECMWF 3-hourly PBL height data and the vertical resolu-
167 tion was enhanced to 47 levels (**Appendix A.4**) for better resolution of the mix-
168 ing processes in the boundary layer. For more details of NIES TM, see
169 Maksyutov et al. (2008). NIES-05 was able to simulate observed diurnal-
170 synoptic scale variability of tracers of interest, and participated in the Trans-
171 Com hourly CO_2 experiment (Law et al., 2008; Patra et al., 2008). The original
172 version of NIES-05 used meteorology datasets from NCEP final analyses
173 (<http://dss.ucar.edu/datasets/ds083.2/>).

174 We have recently upgraded NIES-05 to utilize a high-resolution meteorol-
175 ological dataset, the Japan Meteorological Agency (JMA) Grid Point Values
176 (GPV) product (Belikov et al., 2011). GPV/JMA data is created from JMA
177 Global Spectral Model, which is operated for short- and medium-range fore-
178 casts covering the entire globe with TL959 resolution and 60 vertical levels
179 from the surface to 0.1 hPa and assimilated by 4D-Var within a framework of
180 JMA’s numerical weather prediction system
181 (<http://www.jma.go.jp/jma/en/Activities/nwp.html>; JMA, 2007). Original GPV
182 data is provided **at** $0.5^{\circ} \times 0.5^{\circ}$ horizontal resolution from the surface to 100 hPa

183 and $1.0^\circ \times 1.0^\circ$ above 100 hPa to 10hPa ([http://www.jmbosc.or.jp/hp/online/f-](http://www.jmbosc.or.jp/hp/online/f-online0a.html)
184 [online0a.html](http://www.jmbosc.or.jp/hp/online/f-online0a.html)). For use in GOSAT project, GPV data is specially extended at
185 resolution of $0.5^\circ \times 0.5^\circ$ up to 10 hPa. Since November 2007, the extended GPV
186 has been supplied for the GOSAT Data Handling Facility (DHF;
187 JAXA/NIES/MoE, 2011) at NIES in near-real time (delay of several hours).
188 The spatial resolution of the GOSAT version of the GPV dataset is $0.5^\circ \times 0.5^\circ$
189 on 21 pressure levels (from 1000 to 10 hPa) and the time resolution is 3 hours.
190 We used both GPV objective analysis and forecasts. The GPV data and the
191 ECMWF 3-hourly PBL height data in GRIB2 format are automatically con-
192 verted to direct access binary for NIES TM input on DHF everyday. Data size
193 is about 1.1 G bytes per one model day.

194 We employed the NIES-05 model driven by GPV data to simulate atmos-
195 pheric CO₂ and CH₄ concentrations for use as a priori concentrations for GO-
196 SAT SWIR Level 2 processing at NIES. The horizontal resolution was 0.5°
197 with 47 sigma vertical levels from the surface to 0.02 sigma, and simulated
198 CO₂ and CH₄ concentrations were calculated for every observed day. **The 21-**
199 **level GPV data is interpolated to the model sigma levels just after reading**
200 **GPV data every time step, and the model results are outputted every 3-hour**
201 **time after interpolating 21 pressure levels.** Flux climatologies were prepared
202 because no real-time fluxes were available. The climatological CO₂ flux dataset
203 was that prepared for the TransCom model inter-comparison studies (Gurney
204 et al., 2004), which consisted of four components: (1) annual constant fossil fuel
205 emissions with a spatial resolution of $0.5^\circ \times 0.5^\circ$ (Brenkert, 1998); (2) **hourly**
206 **terrestrial biosphere flux** obtained using the Carnegie-Ames-Stanford-
207 Approach (CASA) model (Randerson et al., 1997); (3) monthly varying ocean
208 flux (Takahashi et al., 2002); and (4) monthly flux corrections obtained using
209 the cyclostationary inversion approach (Gurney et al., 2004) with NIES-99.
210 **The annual total net flux of CO₂ to the atmosphere is 4.32 GtC.** For CH₄, we
211 used the monthly varying flux for 2000 (**575 Tg/yr**), as obtained from Patra et
212 al. (2009). This CH₄ flux is based on the Emission Database for Global Atmos-
213 pheric Research (EDGAR) version 32FT2000 (Olivier and Berdowski, 2001) for
214 anthropogenic CH₄, and on GISS emissions (Fung et al., 1991) for natural CH₄.
215 The chemical destruction of CH₄ by OH radicals was calculated based on cli-
216 matological monthly mean OH radical concentrations (Spivakovsky et al.,

217 2000) and a temperature-dependent rate constant. All these flux datasets were
218 prepared at a spatial resolution of $1^\circ \times 1^\circ$, except for the CO₂ fossil fuel emis-
219 sion.

220 Stratospheric CO₂ and CH₄ variations are poorly understood due to a lack
221 of precise observations over the globe. In addition, it is difficult to reproduce
222 accurately transport and chemical processes in the stratosphere in NIES TM.
223 This is a common problem in many transport models, where the model age of
224 air in the stratosphere tends to be younger than that observed (Saito et al.,
225 2011, and references therein). To reduce model biases in the stratosphere, the
226 model stratospheric concentrations above the diagnosed tropopause are
227 nudged towards the zonal-mean climatological concentrations based on obser-
228 vations. The tropopause in the model is determined from the gradient of poten-
229 tial temperature versus geopotential height at every model grid box at every
230 time step. Zonal-mean climatologies for CO₂ and CH₄ were prepared at 2.5°
231 resolution for every month. CO₂ monthly climatological concentrations in the
232 stratosphere were constructed using the Gap-filled Ensemble Climatology
233 Mean (GECM; Saito et al., 2011). GECM is a three-dimensional daily CO₂ con-
234 centration generated by combining information from in situ measurements
235 and multi-model means, carried out in the framework of the TransCom satel-
236 lite experiment in which six models participated. The mean age of air in the
237 GECM stratosphere has been corrected using in situ profiles of SF₆. The latest
238 version of GECM was used here with further corrections in the stratosphere;
239 i.e., the vertical gradient in GECM CO₂ concentration at northern mid-
240 latitudes from 30 hPa to 10 hPa was corrected to match CO₂ observations
241 (Aoki et al., 2003; Engel et al., 2009). The climatological stratospheric CO₂
242 values were prepared from 2007 to 2015 with interannual variations for CO₂.
243 **Extension of CO₂ climatology has been done by using average trend plus aver-**
244 **age seasonal cycle obtained from fitting GV-CO₂ data (Masarie and Tans,**
245 **1995) and by using stratospheric age of air (Saito et al., 2011). This strato-**
246 **spheric corrections was about -0.5 ppm for XCO₂ in the northern mid latitudes.**

247 For CH₄, monthly climatological values in the stratosphere were derived
248 from satellite measurements by the Halogen Occultation Experiment (HA-
249 LOE) (Russell et al., 1993), averaged over the period 1994–2005 to exclude the
250 period of the Pinatubo eruption in 1991. HALOE provides a long time series of

251 data, from October 1991 to November 2005 (Russell et al., 1993). Park et al.
252 (1996) validated HALOE CH₄ data against the following correlative data from
253 space-borne infrared spectroscopy: the Atmospheric Trace Molecule Spectros-
254 copy Experiment; the MARK IV balloon-borne Fourier transform spectrometer;
255 rocket-based cryogenic whole air sampler, balloon-borne laser in situ sensor;
256 and the Kernforschungsanlage cryogenic whole air sampler. They concluded
257 that the total error for the 0.3 to 50 hPa region was less than 15% and the pre-
258 cision was better than 7%. Thus, we consider the HALOE CH₄ data set would
259 be suitable for making climatological stratospheric CH₄ distributions. No clear
260 trend had been seen in HALOE time series; thus, we repeatedly used the same
261 monthly concentrations every year. **This stratospheric corrections was about –**
262 **50 ppb for XCH₄ in the northern mid latitudes.**

263 The model was initialized with zonal-mean concentration fields on 1 Jan-
264 uary 2007 derived from GECM for CO₂ and GV-CH₄ (GLOBALVIEW-CH₄,
265 2009) for CH₄. As GPV data are only available after November 2007, the model
266 for 2007 was forced with GPV 2008 data. CH₄ emissions were scaled to repro-
267 duce the 2007–2008 CH₄ trend at the South Pole (SPO) observed by the Na-
268 tional Oceanic and Atmospheric Administration/Earth System Research La-
269 boratory (NOAA/ESRL) in the WMO World Data Centre for Greenhouse Gases
270 (WDCGG) database (<http://ds.data.jma.go.jp/gmd/wdcgg/wdcgg.html>). After 2
271 years of spin-up with 2008 meteorological data, simulated concentrations at
272 the model’s southernmost grid box at 1 February 2009 were readjusted by off-
273 sets to the observed NOAA/ESRL CO₂ and CH₄ values at the South Pole from
274 the WDCGG dataset. The model was then handed over for operational pro-
275 cessing on the GOSAT DHF after February 2009. The JMA provides the GO-
276 SAT DHF with GPV data within a day and the near real-time model simula-
277 tion has been performed for every observation day. The simulated CO₂ and
278 CH₄ concentrations at 21 pressure levels have been provided as a priori con-
279 centrations to the GOSAT Level 2 data processing to retrieve XCO₂ and XCH₄
280 from SWIR spectra at NIES. Model integration time for one day for two tracers
281 (CO₂ and CH₄) is about 530 seconds by wall-clock time on a single CPU in the
282 NIES Supercomputer System (NEC SX-8R/128M16).

283 To produce a priori VCMs of CO₂ and CH₄ in Eq. (1), we used the simu-
284 lated concentrations for the year 2008, GV-CO₂, GV-CH₄ and observational da-

285 ta. The details of the procedure to produce VCMs were described in Eguchi et
286 al. (2010). In brief, the VCM was defined as the sum of the bias and noise com-
287 ponents, where the bias was obtained from the difference in seasonal cycle be-
288 tween simulated results of NIES TM and GV data, and the noise components
289 consist of synoptic and interannual variations. The synoptic term was calcu-
290 lated from NIES TM results and interannual variations are derived from GV
291 datasets over a few decades. The VCMs of CO₂ and CH₄ were prepared at each
292 grid box of 0.5° × 0.5°, on 21 pressure levels over the globe for each month. In
293 this study we modified the stratospheric part of the previous version of VCMs
294 to obtain more realistic values: i.e., stratospheric CO₂ and CH₄ seasonal biases
295 were set to the standard deviations of balloon-borne observations over Japan
296 (Aoki et al., 2003; T. Nakazawa and S. Aoki, unpublished data, 2009), and
297 HALOE, respectively. The resulting variances (diagonal elements) in the
298 stratosphere were about 2–3 ppm² for CO₂ and about 500–10,000 ppb² for CH₄.
299 Figure 1 shows VCMs for CO₂ and CH₄ over Sanriku, Japan (141.8°E, 39.2°E)
300 in August. The values of diagonal elements are large near the surface and de-
301 crease with height. They show positive correlations, particularly near the sur-
302 face. The off-diagonal elements are positive around the diagonal elements,
303 while there are negative correlations between some pressure levels. Correla-
304 tions in the stratosphere (200–10 hPa) are **close to zero**, indicates a weak corre-
305 lation between tropospheric and stratospheric time series.

306

307 **3 Results and discussion**

308 In the following subsections, we evaluate the model performance against the
309 analyzed data from GV-CO₂ and GV-CH₄, and other observations. Annual
310 mean and monthly biases of the simulated CO₂ and CH₄ are examined in Sects.
311 3.1 and 3.2, respectively. Synoptic variations that include annual trends are
312 validated against some sites in the WDCGG dataset in Sect. 3.3. Balloon-borne
313 observations in the stratosphere are used to validate the simulated vertical
314 profiles in Sect. 3.4. Finally, the simulated surface CO₂ and CH₄ concentra-
315 tions and their column-averaged dry air mole fractions are presented in Sect.
316 3.5. For all the comparisons, the nearest horizontal and vertical model grid box
317 to the observation location is selected. **For comparison, simulations with lower**
318 **horizontal resolution of 2.0° were performed with the same simulation set-up.**

319 3.1 Comparison with observations: Annual-mean biases

320 Annual means of simulated CO₂ and CH₄ concentrations are compared with
321 those from GV analysis (GLOBALVIEW-CO₂, 2009; GLOBALVIEW-CH₄,
322 2009) for the year 2008 at 155 (CO₂) and 123 (CH₄) GV sites (Fig. 2) because
323 no GV-CH₄ dataset is available for the years 2009 and 2010. Active sites in
324 2008 were used for comparisons. Annual mean biases of the simulated concen-
325 trations against GV analysis are shown in Table 1 and Fig. 3. Simulated re-
326 sults averaged over 13:00–16:00 LT were used for the comparison. In general,
327 the annual mean model biases (difference between simulations and GV analy-
328 sis) are found to be less than ~1 ppm for CO₂ and ~10 ppb for CH₄ at oceanic
329 and Southern Hemisphere sites. The model also reproduces the GV pole-to-
330 pole gradients very well for both CO₂ and CH₄. In general, CO₂ might be ex-
331 pected to reproduce the GV data better than CH₄ because the CO₂ climatologi-
332 cal flux data set includes flux correction by an inversion while CH₄ does not.

333 For CO₂, the average annual mean bias and its standard deviation are –
334 0.25 ± 1.47 ppm and RMSD of 1.45 ppm (Table 1), which shows good agree-
335 ment with less than 1 % between the model prediction and the GV data at
336 most of the sites. Some inland or near-continental sites show significant posi-
337 tive biases (e.g., LJO, La Jolla, California, 32.90°N, 117.30°W, 10 m a.s.l.; HUN,
338 Hegyhatsal, Hungary, 46.95°N, 16.65°E, 248 m a.s.l.) or negative biases (BSC,
339 Black Sea, Constanta, Romania, 44.17°N, 28.69°E, 3 m a.s.l.). LJO is located on
340 the west coast of California and the GV dataset shows a clear seasonal cycle
341 with small synoptic variations, which only involve differing oceanic air masses.
342 However a large point source with CO₂ 15 ppm higher than the surroundings
343 appears on the model grid close to LJO, and it is clear that in the model LJO is
344 affected by plumes from this point source. This may explain the large model–
345 observation mismatch at LJO. This might be caused by marine-only selection
346 of LJO observations, climatological CO₂ fluxes and the high variability observ-
347 ed near source regions, which is not expected to be captured by global scale
348 transport models. Even with the NIES TM 0.5° grids, such sub-grid scale dy-
349 namics could not be represented in the model. The BSC site is located in a
350 coastal region of the Black Sea. Pérez-Landa et al. (2007a, b) studied the effect
351 of regional and local meteorological conditions on CO₂ transport in the coastal

352 area of Valencia, Spain, and concluded that coastal circulation and strong local
353 flux gradients introduced large biases against observations in a model. When a
354 digital filter is applied to the BSC record in the GV data, large irregular sea-
355 sonal variations of about -5 to $+5$ ppm are found, against regular seasonal
356 peak-to-peak amplitudes of 14.8 ppm. BSC appears to be affected by local-scale
357 circulation and/or local fluxes, and this may explain the failure of the model to
358 reproduce BSC variations, as is the case also with HUN. The HUN site, a tall
359 tower site, has the largest model–observation mismatch in the TransCom 3
360 seasonal experiment (Gurney et al., 2004). The model tends to overestimate
361 GV data at some of the tower sites (e.g., [AMT012 and AMT107, Argyle, Maine,](#)
362 [United States, 45.03°N, 77.53°W, 50 m a.s.l.](#)), mainly because of a failure to re-
363 produce the large seasonal amplitudes and interannual variations, due to their
364 location in areas affected by biogenic CO₂, such as forest or inland plain, [where](#)
365 [it is difficult to fully represent by the hourly climatological biogenic flux used](#)
366 [in the model.](#)

367 The simulated CH₄ mostly agree well with the GV data, with an average
368 annual mean bias and standard deviation of -0.31 ± 12.57 ppb and RMSD of
369 [12.52](#) ppb (Table 1). Significant positive or negative biases are found in Eura-
370 sia (TAP, Tae-ahn Peninsula, Republic of Korea, 36.73°N, 126.13°E, 20 m a.s.l.),
371 and North America (BNE010, Beaver Crossing, Nebraska, airborne observa-
372 tion, 40.80°N, 97.18°W, 0-2000 m) with maximum 76 ppb and minimum -49
373 ppb. TAP, on the western edge of Korea, is influenced by seasonally varying
374 wind direction, and local and remote CH₄ sources such as wetland
375 (Dlugokencky et al., 1993), and this causes large interannual and seasonal var-
376 iations, which the model finds difficult to reproduce. Located in the American
377 Prairie, CH₄ at BNE010 exhibits scattered and large interannual variations
378 and seasonal cycles, which is also difficult to reproduce by the model.

379 **3.2 Comparison with observations: Monthly biases**

380 To assess the ability of the model to reproduce seasonal variations, the simu-
381 lated monthly mean concentrations of CO₂ and CH₄ for the year 2008 were
382 compared with the analyzed seasonal [cycles](#) at GV sites (Fig. 4). Analyzed sea-
383 sonal [cycles](#) at GV sites were taken from “seas” files stored in the dataset. The
384 simulated results were detrended and monthly means of 13:00–16:00 LT con-

385 concentrations used for the comparison.

386 The characteristics of the observed seasonal variability at oceanic sites
387 and free troposphere sites (above 3000 m altitude) are generally reproduced
388 fairly well by the model for both CO₂ and CH₄, but relatively large biases are
389 found at tower sites and some of the land sites. Standard deviations over all
390 GV sites are 1.47 ppm for CO₂ and 12.57 ppb for CH₄. Large seasonal biases
391 over 10 ppm in CO₂ are seen at tower sites in mid-northern latitudes such as
392 AMT and LEF (Park Falls, Wisconsin, United States, 45.95°N, 90.27°W, 472 m
393 a.s.l.); both sites provide continuous measurements and are located near for-
394 ested areas on the eastern coast of the United States. Seasonal biases at AMT
395 and ITN show that the model seasonal amplitudes are smaller than those in
396 the GV analysis; i.e., the model overestimates the observed summer minima in
397 July and August and underestimates winter maxima. At continental sites with
398 quasi-continuous measurements such as the towers, both the regional-local
399 transport and daily flux variability, including temporal resolution of the bio-
400 spheric fluxes, are found to be important in simulating such high-frequency
401 CO₂ behavior (Geels et al., 2004, 2007; Patra et al., 2008; Wang et al., 2007).
402 Patra et al. (2008) also found that at lower levels some models overestimated
403 the magnitudes of synoptic variations at high-frequency observational sites at
404 tall towers such as LEF. These small-scale phenomena may influence model-
405 observation mismatches at tower sites even though the comparisons are on a
406 monthly basis. Except for such tower sites and inland sites, the model succeeds
407 in capturing the GV seasonal variations with biases smaller than 5 ppm at
408 most sites and for most months.

409 For CH₄, the monthly model biases fall within about 20 ppb at oceanic
410 and free troposphere sites (mostly airborne observational points). In particular,
411 there appear to be no significant monthly model biases in the Southern Hemi-
412 sphere. The seasonal biases for oceanic sites increase as move north-poleward
413 with a maximum bias about 30 ppb. At continental sites such as TAP (Korea)
414 and BSC (Romania), the agreement was poorer, mainly due to the influences of
415 strong sources located near the sites and extreme climate conditions such as a
416 strong inversion layer in a cold winter.

417 Statistics of monthly CO₂ and CH₄ biases against the GV analysis for the
418 year 2008 are shown in Table 1 and Fig. 5. The overall correlation coefficients

419 between the observed and modeled seasonal patterns at the GV sites are **0.87**
420 for CO₂ and **0.53** for CH₄, which shows a high degree of consistency between
421 the model and the GV analyses. High correlations are found particularly at
422 oceanic sites: correlation coefficients are 0.97 and 0.70 for CO₂ and CH₄, re-
423 spectively. Total model–observation differences (CRMSD in Table 1) are **0.50**
424 and **1.08** for CO₂ and CH₄, respectively, and better performance are found at
425 oceanic sites. Generally, the model underestimates the GV CO₂ amplitudes (to-
426 tal RSTD **0.78**) and overestimates the GV CH₄ amplitudes (total RSTD **1.20**).
427 Simulated CO₂ and CH₄ at the tower sites show relatively poorer performance,
428 particularly for the CH₄ case with correlations of **0.65** for CO₂ and **0.025** for
429 CH₄. Tower sites are typically located near source regions on land. The use of
430 the climatological flux dataset might make it difficult for the model to repro-
431 duce the large variations observed at the tower sites. Model transport errors
432 such as PBL height, vertical diffusion **may affect the model’s ability to repro-**
433 **duce** the CO₂ concentration observed at tower sites. Except for the tower sites,
434 the model shows statistically good performance at the oceanic, land, and free
435 tropospheric sites. **The performance of the higher-resolution model is not im-**
436 **proved from the lower-resolution model, thus the simulated monthly seasonal**
437 **variations are mostly limited by the climatological fluxes.**

438 **3.3 Comparison with observations: Synoptic variations**

439 Daily averages from the simulated results and observations were compared at
440 Mauna Loa, Hawaii (MLO; 19.5°N, 155.6°W, 3397 m a.s.l), South Pole, Antarc-
441 tica (SPO; 89.98°S, 24.8°W, 2810 m a.s.l.), and Hateruma, Japan (HAT;
442 24.05°N, 124.8°E, 47 m a.s.l.), **Cape Ochi-ishi, Japan (COI; 43.15°N, 145.50°E,**
443 **96 m a.s.l.)**. CO₂ and CH₄ data at MLO and SPO were provided by NO-
444 AA/ESRL in the WDCGG dataset (Dlugokencky 2012a, 2012b; Thoning 2012a,
445 2012b). Daily CO₂ data at HAT **and COI** were available from the Greenhouse
446 Gases Trend Update (<http://db.cger.nies.go.jp/g3db/ggtu/index.html>) operated
447 by the Center for Global Environmental Research (CGER), NIES. CH₄ data at
448 HAT are hourly data (Tohjima et al., 2002, 2010; T. Tohjima, unpublished data,
449 2011). CH₄ observations at SPO are discrete observations and the other obser-
450 vations are continuous measurements. The continuous data and the 3-hourly
451 model output were daily averaged based on local time for each site. As de-

452 scribed in Sect. 2, GPV data are only available after December 2007, so the
453 year 2008 meteorological data were used from January to November 2007 for
454 the simulation.

455 Comparisons with continuous measurements of CO₂ and CH₄ show that
456 the model was able to capture the observed synoptic and seasonal variations at
457 each monitoring station (Fig. 6). Simulated CO₂ at MLO shows good agree-
458 ment with the observed CO₂ though the model underestimates the observed
459 spring maxima in 2008 and 2010, while simulated CH₄ at MLO slightly under-
460 estimates the observed CH₄ by about 20 ppb after 2009. MLO is remote from
461 the large source regions, which are mainly on land, and transport is therefore
462 a dominant factor for CO₂ variability. Unlike CO₂, CH₄ reacts with OH radi-
463 cals during transport, which affects CH₄ variability. The interannual variabil-
464 ity of atmospheric circulation is also important for the growth rate at MLO be-
465 cause transport determines the area the air mass come from, such as boreal
466 Asia, the North Pacific, or the tropical Atlantic (Higuchi et al., 2002; Patra et
467 al., 2005b). In this mean, the model transport reproduces the overall features
468 of observed CO₂ and CH₄ at MLO, but the use of climatological fluxes in this
469 study gives some discrepancies. At SPO, another remote site away from strong
470 source regions, the observed CO₂ has very small seasonal variations. A gap in
471 the simulated CO₂ at the end of January 2009 is due to the offset correction
472 described in Sect. 2. Differences between the model and the observed small
473 variations in the first half of 2010 are slightly large at 2 ppm, **which might be**
474 **due to climatological CO₂ fluxes and model transport error**. Otherwise, the
475 simulated CO₂ trend generally matches the observed one. In spite of the OH
476 sink, the simulated CH₄ reproduces the observed CH₄ at SPO very well except
477 for the second half of 2010, where there occurs a large increase in observed
478 CH₄.

479 HAT is an island located on the East-Asian continental margin and is in-
480 fluenced by air masses transported from the Pacific Ocean in summer and
481 from the continent in winter (Tohjima et al., 2002, 2010). Larger seasonal am-
482 plitudes and larger synoptic events are therefore observed here, relative to the
483 background sites MLO and SPO. Though the CO₂ fluxes in the model are cli-
484 matological, the model can simulate the observed seasonal pattern and occa-
485 sional synoptic events such as the low concentrations in August 2008 and 2009.

486 High-CO₂ events in winter are difficult to represent perfectly in the model,
487 possibly due to transport of CO₂ from continental urban areas, but the model
488 successfully simulates observed spring maxima. CH₄ at HAT is also well re-
489 produced by the model; i.e., the model shows a clear seasonal pattern of sum-
490 mer and winter air mass exchanges. The model sometimes even captures sud-
491 den summer high CH₄. Basically HAT is covered by oceanic air mass and the
492 CH₄ fluxes in the model are climatological; thus, these high-CH₄ events are
493 thought to be CH₄ transported from continental CH₄ source regions.

494 COI is located in the eastern part of Hokkaido, Japan. The monitoring
495 station fronts onto the northwest Pacific Ocean and is influenced generally by
496 northwesterly winds in winter and southwesterly winds in summer (Tohjima
497 et al., 2002). Reflecting seasonal variations of seasonally-varying air mass
498 from Japan and East Asia, CO₂ concentration at COI shows larger seasonal
499 variation than that at HAT. The model captures overall features of CO₂ trend
500 and seasonal variations at COI.

501 Table 2 lists the statistics (ratio of standard deviations, correlation coeffi-
502 cients, overall biases, centered pattern root-mean-square differences) between
503 daily averaged modeled and observed CO₂ and CH₄ at the three sites. As de-
504 scribed above, only CH₄ at SPO is discrete data and the modeled CH₄ is taken
505 from the same date and time as the observation. The statistics suggest that
506 the model can simulate the observed daily CO₂ and CH₄ variations fairly well
507 with a correlation coefficient (r) > 0.8 at the three sites, except for CH₄ at MLO
508 ($r = 0.59$). The calculated RSTDs are nearly 1 and overall biases are less than
509 1 ppm for CO₂ and 10 ppb for CH₄. CRMSDs are also below 1, indicating that
510 the model performs reasonably well.

511 Figure 7 illustrates synoptic-scale variations of CO₂ and CH₄ at HAT and
512 CO₂ at COI. Synoptic variations were deseasonalized and detrended variations
513 which were extracted from the observed and simulated time series (Fig. 6) by
514 using a digital filter technique (Nakazawa et al., 1997). The synoptic variation
515 in CO₂ at HAT is larger in summer than in winter due to air mass from East
516 Asia. CH₄ at HAT observed numerous peaks throughout the year, which
517 reaches at about 150 ppb. CO₂ at COI has large synoptic variability in summer
518 time. Table 3 lists statistics of the model performances of 0.5° and 2.0° simula-
519 tions against the observed synoptic variations. The ratio of standard devia-

520 tions range from 0.73 to 1.10, and the difference between 0.5° and 2.0° simula-
521 tions are small, while the correlation coefficients for 0.5° simulation show bet-
522 ter performance than those for 2.0° simulation. The high-resolution model cor-
523 relates well with the observations.

524 **3.4 Comparison with observations: Stratospheric profiles**

525 There are few periodical high-precision observations of CO₂ and CH₄ in the
526 stratosphere, but observations are made over Japan about once a year using a
527 balloon-borne cryogenic sampler operated by Tohoku University, Japan
528 (Nakazawa et al., 2002; Aoki et al., 2003). In this study we compare the simu-
529 lated stratospheric profiles of CO₂ and CH₄ with the observed mean profiles
530 from the balloon-borne data over Japan. The observed mean profiles and their
531 standard deviations are obtained as follows: first the observed tracer concen-
532 trations over Sanriku (39.17°N, 141.8°E), Japan from 1985 to 2007 are aver-
533 aged in each of five height bins: below 15 km, 15–20 km, 20–25 km, 25–30 km,
534 above 30 km; then the concentrations at the highest level are shifted to match
535 observations carried out on 22 August 2012 over Taiki-cho (42.48°N, 143.42°E),
536 Japan (Nakazawa et al., 2002; Aoki et al., 2003; T. Nakazawa and S. Aoki, un-
537 published data, 2010). The simulated profiles on the same day over Sanriku
538 are compared with the averaged observed profiles (Fig. 8). The simulated pro-
539 file of CO₂ (corrected by age of air in the stratosphere, Sec. 2) is a close match
540 to the observations with no bias on the day, and the difference between simu-
541 lated and observed CO₂ profiles is within the standard deviation of the ob-
542 served CO₂. The simulated CH₄ profile also shows very good agreement with
543 the observed profiles within the observed standard deviation, though the simu-
544 lated profile tends to be smaller than the observed one at a height of about
545 100–20 hPa. Recently, De Mazière et al. (2008) compared CH₄ profiles from the
546 Atmospheric Chemistry Experiment–Fourier Transform Spectrometer (ACE–
547 FTS) launched on August 2003 with those from HALOE. They found both in-
548 struments showed similar average profiles and variability from 15 km to 70
549 km, and though ACE–FTS showed slightly higher biases compared with HA-
550 LOE, they concluded that their differences were of the order of 5% below 35
551 km (the target region of the present study) and were not significant because
552 the error bars overlapped.

553 Though the model–observation comparison is for only one profile due to
554 the lack of stratospheric observations, the agreement between the simulated
555 and observed profiles shows that nudging the model stratosphere to the clima-
556 tology field of CO₂ (corrected by age of air) and CH₄ (HALOE) works quite well
557 with no bias on the observed day or within the observed standard deviations.

558 **3.5 Global distributions**

559 Overall, the model successfully reproduces the observed variations of CO₂ and
560 CH₄ as described in the previous sections. In this section, we present the lati-
561 tude–longitude distributions for both the model surface level and the column-
562 averaged dry air mole fractions. Column-averaged dry air mole fractions, XCO₂,
563 was obtained by weighting the concentration in each layer by the air mass in
564 that layer from the model output at 21 pressure levels; thus,

$$565 \quad XCO_2 = \sum_{n=L}^{21} CO_2^n \times \frac{\Delta P_n}{P_{srf}}, \quad (2)$$

566 where CO₂ⁿ is the CO₂ concentration in layer *n* defined at a layer center in the
567 pressure level coordinate, *L* is the lowest level of the grid (surface level), *P*_{srf} is
568 surface pressure, and Δ*P*_{*n*} is the thickness of layer *n* in pressure. XCH₄ is cal-
569 culated in the same way.

570 The temporal and spatial patterns of CO₂ and CH₄ are primarily gov-
571 erned by seasonal cycles of the sources, sinks, and atmospheric transport.
572 Column abundances are also sensitive to changes in surface pressure and the
573 tropopause height (e.g., Washenfelder et al., 2003). Figures 9 and 10 show the
574 simulated surface CO₂ and CH₄ concentrations, column CO₂ and CH₄, and
575 their differences for January and July 2010 all at 13:00 LT, almost the same
576 time as the GOSAT orbit descending node around 12:48 LT (Yoshida et al.,
577 2011). Surface CO₂ concentrations in January are highest over high-emission
578 areas such as Europe, northeast America, Siberia and Asia in the northern
579 mid and high latitudes, and also over the equatorial regions (Africa, East
580 South Asia, South America). Strong sinks in July are found in mid and high
581 latitudes in Siberia and North America due to photosynthesis by land biomass
582 in summer. Compared with the continental regions, the marine boundary lay-
583 ers exhibit low concentrations because of the absence of strong sources. In the

584 Southern Hemisphere, the CO₂ concentrations are relatively homogenous, ex-
585 cept for some limited continental areas such as South America. XCO₂ has less
586 variation than surface CO₂, as expected. Strong sources over China, India, and
587 Equatorial Africa and a strong sink in Siberia are still recognizable in XCO₂,
588 particularly in July. Their difference (XCO₂ – surface CO₂) is generally smaller
589 in equatorial regions due to the high tropopause height, and larger in northern
590 high latitudes due to strong sources/sinks, low tropopause height, and PBL
591 height. In July their difference is positive over mid and high northern latitudes
592 due to large sinks at the surface. Nakazawa et al. (1993) found that the ob-
593 served seasonal variation of CO₂ concentration showed a phase delay of about
594 1 month between the lower and upper troposphere by using long-term airborne
595 observations over Japan. This fact supports the positive difference between
596 XCO₂ and surface CO₂ over strong sink regions in mid and high northern lati-
597 tudes.

598 High-CH₄ regions are simulated at the surface over land both in January
599 and July, and CH₄ is higher in the Northern Hemisphere than in the Southern
600 Hemisphere throughout the year due to large CH₄ emission in the Northern
601 Hemisphere. XCH₄ exhibits the same trend as surface CH₄: relatively high in
602 the Northern Hemisphere and low in the Southern Hemisphere. High-XCH₄
603 regions, which appear over south and eastern Asia and equatorial Africa, are
604 associated with deep convection over these areas. Xiong et al. (2009) found a
605 high-CH₄ plume over south Asia in the middle to upper troposphere associated
606 with the monsoon season during July–September. These convective flows
607 transport surface CH₄ to the upper tropopause and the model can capture such
608 characteristics. While CO₂ is stable in the atmosphere, CH₄ reacts with O(¹D)
609 and Cl in the stratosphere in addition to chemical loss with OH radicals, creat-
610 ing a significant vertical decrease in the stratospheric concentration (Fig. 6).
611 These atmospheric sinks for CH₄ create a negative difference in (XCH₄ – sur-
612 face CH₄) except for the equatorial region where the tropopause tends to be
613 high due to strong convection.

614 Figure 11 shows the simulated surface CH₄ concentrations around Japan.
615 The high-resolution model (0.5° × 0.5°; Fig. 11a) simulates a much clearer
616 land–ocean contrast in CH₄ concentrations and synoptic-scale motions than
617 the model with a resolution of 2° × 2° (Fig. 11b). An intrusion of air mass with

618 low CH₄ concentrations from the Pacific is more sharply resolved in the 0.5°
619 simulation than at 2° resolution. The 0.5° model appears to be able to resolve
620 point sources of CH₄, such as highly populated urban areas (e.g., Tokyo), as
621 shown by Maksyutov et al. (2008) for CO₂.

622 Figure 12 shows monthly zonal-mean latitudinal distributions of surface
623 CO₂ and XCO₂, and their standard deviations at 13:00 LT, and Figure 13 those
624 of CH₄. Zonal-mean surface CO₂ shows a strong sink centered on about 60°N in
625 July, which then spreads toward high and low latitudes in August. The sea-
626 sonal amplitude for XCO₂ is about 9 ppm, which is about half of that for sur-
627 face CO₂, 15 ppm. This tendency is consistent with previous modeling studies
628 (e.g., Olsen and Randerson, 2004). Variances are large in northern mid and
629 southern low latitudes, reflecting activity of land biosphere. The longitudinal
630 variations in XCO₂ are about 2 ppm at maximum.

631 The north-to-south gradient of the concentrations modeled at the surface
632 level and that of XCH₄ concentrations simulated at 13:00 LT were similar in
633 trend; i.e., the concentrations in the Northern Hemisphere are higher than
634 those in the Southern Hemisphere. XCH₄ values at every latitude are shifted
635 to lower concentrations due to chemical OH loss in the tropopause and the
636 stratosphere. Small peaks in XCH₄ are found in equatorial regions throughout
637 the year, possibly due to strong vertical transport of surface CH₄ by deep cu-
638 mulus convection (e.g., Patra et al., 2009; Terao et al., 2011). CH₄ variance at
639 the surface is high over most of the latitude band, reflecting the various CH₄
640 sources over land. This tendency is the same for XCH₄ but the variance is less
641 than half the surface value. Relatively large variances in southern high lati-
642 tudes might be due to the high elevation of the Antarctic Continent at over
643 3000 m, which makes this region susceptible to seasonal variations in tropo-
644 pause height, as the stratospheric partial column of low CH₄ has a large
645 weight.

646

647 **4 Conclusions**

648 We have developed the NIES transport model at a resolution of 0.5° × 0.5° × 47
649 sigma levels, driven by high-resolution meteorological data, GPV, with 0.5° ×
650 0.5° resolution and 21 pressure levels (1000–10 hPa). This GPV-forced NIES
651 TM has been designed to provide global high-resolution and near real-time a

652 priori CO₂ and CH₄ concentrations for the GOSAT data retrieval algorithm to
653 derive XCO₂ and XCH₄ at NIES. Since real-time fluxes of CO₂ and CH₄ are not
654 available, the flux climatologies were used with the trend adjustment to the
655 observed background concentrations. To overcome the problem of stratospheric
656 transport in the model, the stratospheric part of the model was nudged to cli-
657 matological values using three-dimensional CO₂ climatology that was adjusted
658 to observed age of air for CO₂ and long-term satellite observations from HA-
659 LOE for CH₄. We also updated the stratospheric part of the earlier version of a
660 priori error variance–covariance matrices for CO₂ and CH₄ to give more realis-
661 tic stratospheric values.

662 The model performance was assessed by comparing the model outputs
663 with available observational records of atmospheric CO₂ and CH₄ concentra-
664 tions. A large-scale, latitudinal distribution of the simulated annual mean CO₂
665 and CH₄ concentrations is found to be in good agreement with the analysis of
666 GV sites, with overall annual biases and standard deviations of -0.25 ± 1.47
667 ppm and -0.31 ± 12.57 ppb, and with RMSDs of 1.45 ppm and 12.52 ppb at 155
668 CO₂ sites and 123 CH₄ sites, respectively. In particular, pole-to-pole gradients
669 of CO₂ and CH₄ are reproduced exactly by the model with almost no biases.
670 Comparison between monthly GV CO₂ and CH₄ and the model output showed
671 that, despite large model–observation mismatch in monthly seasonal varia-
672 tions at some tower sites and some inland sites where large seasonal varia-
673 tions were observed, the model seasonal variations generally agreed well, par-
674 ticularly at oceanic and free tropospheric sites, with GV values with averaged
675 correlation coefficients of 0.87 for CO₂ and 0.53 for CH₄ in terms of seasonal
676 variations. The observed daily or discrete time series at MLO, SPO, HAT, and
677 COI are generally well reproduced by the model with statistically good perfor-
678 mance, though some discrepancies were found, possibly due to the use of cli-
679 matological fluxes. The synoptic variations at HAT and COI were reproduced
680 better by the higher-resolution model (0.5°) than the coarser-resolution model
681 (2.0°), that is, the correlation coefficients between the observation and the
682 higher-resolution model were significantly higher than those for the lower-
683 resolution model. In the stratosphere, the simulated vertical profiles and
684 growth rates agree well with the average profiles from balloon-borne observa-
685 tions over Japan within the observed standard deviations.

686 The global CO₂ and CH₄ distributions, and XCO₂ and XCH₄ obtained are
687 in qualitative agreement with previous studies. The 0.5° model can **resolve**
688 synoptic-scale motions and point sources **better** than the 2.0° model does. Sea-
689 sonal amplitudes in zonal-mean XCO₂ are found to be almost half those for
690 surface CO₂. Zonal-mean XCH₄ shows different features from XCO₂ because of
691 the chemical sinks in the atmosphere and its sensitivity to tropopause height.

692 These validations suggest that the model is able to reproduce fairly rea-
693 sonable global concentrations as well as synoptic variations and give confi-
694 dence in quantitative analysis of CO₂ and CH₄ cycles using the model, and its
695 use in providing a priori concentrations for satellite retrievals. This model has
696 been implemented on the GOSAT DHF system and has been run every ob-
697 served day, and the simulated results have been used for a priori concentra-
698 tions for GOSAT XCO₂ and XCH₄ retrievals. As future satellite instruments
699 like OCO-2 are expected to have smaller footprints or higher precision to ob-
700 serve greenhouse gases more precisely, the ability to simulate a priori concen-
701 trations with a higher-resolution model would be useful in reducing error in a
702 priori concentrations. Thus the updated high-resolution concentrations and
703 VCMs provided by the developed model have the potential to be powerful tools
704 for a priori of satellite data retrievals as well as for the high-resolution global
705 modeling of greenhouse gases.

706

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723

724 5 Appendix A

725 A.1 Mass fixer

726 The mass correction is distributed proportionally to local advection tendencies
 727 to conserve total tracer mass M_q

$$728 \quad M_q = \int_0^1 \int_{-1}^1 \int_0^{2\pi} p_s \cdot (1 - 0.61 \cdot q_w) \cdot q \cdot d\lambda \cdot d(\sin\phi) \cdot d\sigma \quad (\text{A1})$$

729 where p_s is surface pressure, q_w is mixing ratio of water vapor, λ and ϕ indicate
 730 the position in the polar coordinate system. The mass fixer constrains tracer
 731 tendencies

$$732 \quad \frac{\partial}{\partial t} M_q = 0 \quad (\text{A2})$$

733 on each time step. Hence the corrected tendency \tilde{q} for each tracer is

$$734 \quad \tilde{q} = \dot{q} \cdot [a_p \cdot \theta(\dot{q}) - a_n \cdot \theta(-\dot{q})] \quad (\text{A3})$$

735 where a_p and a_n are multipliers for positive and negative tendencies, and $\theta(\dot{q})$
 736 is the step function which $\theta(x)=1$ for $x \geq 0$, and $\theta(x)=0$ for $x < 0$. The condition
 737 $\max(a_p, a_n)$ is enforced to keep the solution monotonic.

738

739 A.2. Cumulus convection

740 The cumulus convection is based on cumulus mass fluxes calculated in a Kuo-
 741 type scheme (Grell, 1995) and modified to include entrainment and detrain-
 742 ment processes on convective updrafts and downdrafts proposed by Tiedtke
 743 (1989).

744 First the cloud base level σ_c is calculated by adding small perturbation to
 745 humidity and temperature to levels below the σ level corresponding to 700 hPa
 746 and adiabatically lifting the air parcel until the condensation occurs. The cloud
 747 base σ_c is set to the lowest level where condensation would occur.

748 Then, we estimate the supply rate of moisture available for penetrative con-

749 vection. The horizontal moisture divergence is evaluated from winds and water
 750 vapor content. Low-level moisture convergence is calculated by integrating the
 751 horizontal moisture convergence below cloud base level and the surface evapo-
 752 ration. The moisture divergence term is corrected for non-zero divergence of
 753 the air mass in order to take account for deviation from the mass conservation
 754 in the wind data.

755 The mass flux in updraft is set to low-level moisture convergence divided by
 756 water vapor mixing ratio at cloud base. The vertical profiles of entrainment
 757 and detrainment rates are set proportional to the updraft mass flux followed
 758 by Tiedtke (1989). In the updraft air, virtual potential temperatures are esti-
 759 mated from the cloud base level to cloud top level. The cloud top is determined
 760 by comparing the virtual potential temperatures in the updraft and environ-
 761 ment, for which an overshoot of 3 degrees K is allowed.

762 The cloud with thickness of thinner than $\Delta\sigma = 0.1$ are excluded. The
 763 downdraft mass flux is set to 0.2 of that in the updraft, which is same as in
 764 Tiedtke (1989).

765 The tracers are transported vertically by applying a simplified explicit
 766 scheme. We assumed that the updrafts and downdrafts make only a negligibly
 767 small part of a grid column; the rest is designated as environment air. First
 768 the vertical profiles of the concentrations in the updraft and downdraft air are
 769 calculated by taking into account rates of mixing with environment air by en-
 770 trainment and detrainment, and then the concentration tendencies in envi-
 771 ronment air are obtained from entrainment and detrainment rates.

772

773 A.3. Turbulent diffusion

774 Turbulent diffusion is temperature dependent (stability function) and is de-
 775 fined as follows: below PBL top, the turbulent diffusivity is set to constant val-
 776 ue of $40 \text{ m}^2 \text{ s}^{-1}$, and above PBL, the turbulent diffusivity (K_T) is calculated by
 777 using local stability function following Hack et al. (1993):

$$778 \quad K_T = \ell^2 S F_s(Ri), \quad (\text{A4})$$

779 where $\ell = 30\text{m}$ is mixing length, $S = \left| \frac{\rho g}{P_s} \frac{\partial \mathbf{V}}{\partial \sigma} \right|$ is the vertical wind shear, Ri is local

780 Richardson number:

781
$$Ri = -\frac{\rho g^2}{P_s} \left(\frac{1}{S^2} \cdot \frac{\partial \ln \theta_v}{\partial \sigma} \right), \quad (\text{A5})$$

782 which is a function of the virtual potential temperature (θ_v) and the accelera-
 783 tion of gravity (g). Then stability dependent function $F_s(Ri)$ is defined as:

784
$$F_s(Ri) = (1 - 18Ri)^{1/2} \quad (Ri < 0),$$

785
$$F_s(Ri) = 1 - \frac{Ri}{Ri_c} \quad (0 < Ri < Ri_c = 0.2), \quad (\text{A6})$$

786
$$F_s(Ri) = 0 \quad (Ri > Ri_c = 0.2).$$

787

788 A.4. Model vertical sigma levels

789 The 47 vertical sigma levels of the model are defined as slab centers of slab in-
 790 terface below:

791 1.000, 0.996, 0.988, 0.978, 0.968, 0.955, 0.940, 0.920, 0.900, 0.875, 0.850, 0.825,

792 0.800, 0.775, 0.750, 0.725, 0.700, 0.675, 0.650, 0.625, 0.600, 0.575, 0.550, 0.525,

793 0.500, 0.475, 0.450, 0.425, 0.400, 0.375, 0.350, 0.325, 0.300, 0.275, 0.250, 0.225,

794 0.200, 0.175, 0.150, 0.125, 0.100, 0.085, 0.070, 0.060, 0.050, 0.040, 0.030, 0.020.

795

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1074

1075 **Table 1.** Statistics to show the model performance against GLOBALVIEW
 1076 analyses of CO₂ and CH₄: annual-mean biases and seasonal variations.

Tracer	Site type ^{*1}	Number of sites	Annual mean		Seasonal variation		
			Biases and standard deviations	RMSD ^{*2}	RSTD ^{*3}	Correlation coefficients ^{*4}	CRMSD ^{*5}
CO ₂	Oceanic	56	-0.04 ± 1.16	1.15	0.83	0.97	0.28
	Land	45	-0.31 ± 1.74	1.74	0.82	0.92	0.41
	Tower	9	1.80 ± 2.24	2.77	0.66	0.65	0.76
	Free tropos.	45	-0.95 ± 0.38	1.02	0.80	0.94	0.36
	Total	155	-0.25 ± 1.47	1.45	0.78	0.87	0.50
CH ₄	Oceanic	46	0.72 ± 8.20	8.15	1.14	0.70	0.84
	Land	45	2.05 ± 17.66	17.58	0.43	0.43	1.21
	Tower	1	16.13	16.13	1.58	0.025	1.83
	Free tropos.	31	-5.79 ± 5.86	8.17	1.07	0.63	0.89
	Total	123	-0.31 ± 12.57	12.52	1.20	0.53	1.08

1077 *1 Site types: the same as those defined in Fig. 2.

1078 *2 Root-mean-square differences (RMSD)

1079 *3 Ratio of standard deviation (SD), which is calculated by dividing the model
 1080 SD by the observed SD.

1081 *4 Pearson's correlation coefficient.

1082 *5 Centered pattern root-mean-square differences (CRMSD), which is a
 1083 measure of the distance between model and observation.

1084

1085 **Table 2.** Statistics to show the model performance against continuous meas-
 1086 urements for daily-mean CO₂ and CH₄ at HAT (Hateruma), **Cape Ochi-ishi**
 1087 **(COI)**, MLO (Mauna Loa), and SPO (South Pole). Observed CH₄ data at SPO
 1088 are discrete data, and the simulated results corresponding to the measurement
 1089 date are used for the comparisons. Statistics are defined as in Table 1.

Tracers	Site	Time in- terval of compari- sons	Period of comparisons	Number of data	RSTD	Corre- lation coeffi- cients	Overall bias	CRMSD
CO ₂	HAT	Daily	1 Jan. 2007 – 30 Aug. 2011	1638	0.82	0.90	−0.29	0.44
	COI	Daily	1 Jan. 2007 – 31 Aug. 2011	1644	0.59	0.73	−0.44	0.70
	MLO	Daily	1 Jan. 2007 – 31 Dec. 2010	1351	0.88	0.96	−0.41	0.28
	SPO	Daily	1 Jan. 2007 – 31 Dec. 2010	1439	1.12	0.98	0.73	0.25
CH ₄	HAT	Daily	1 Jan. 2007 – 31 Dec. 2010	1421	0.95	0.83	2.44	0.57
	MLO	Daily	1 Jan. 2007 – 31 Dec. 2010	1377	0.82	0.59	−9.83	0.84
	SPO	Event	1 Jan. 2007 – 31 Dec. 2010	191	0.92	0.96	−1.19	0.30

1090

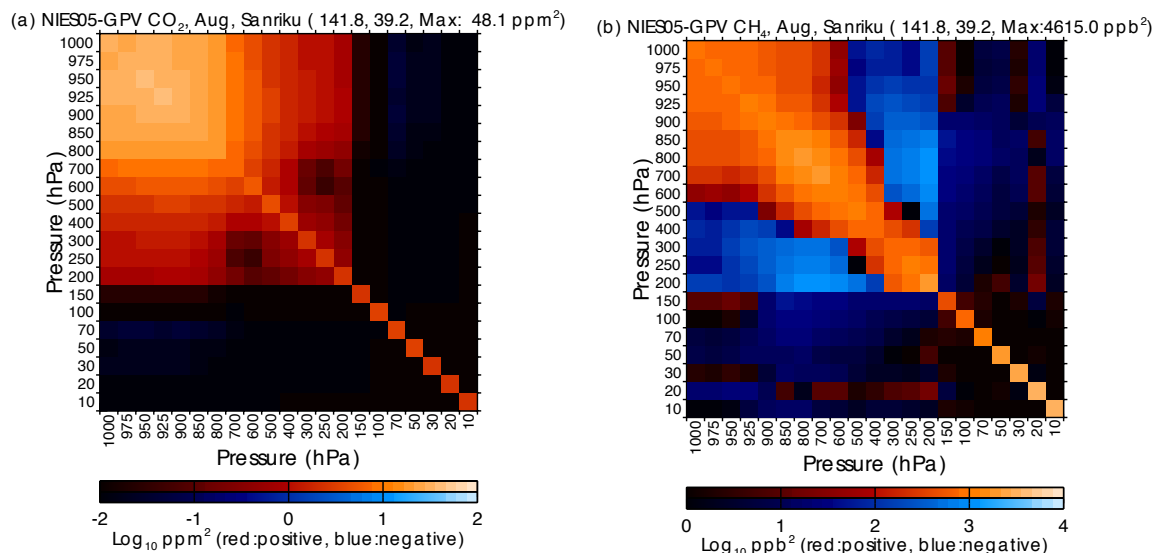
1091

1093 **Table 3.** Correlation coefficients and Ratio of standard deviation between
 1094 synoptic variations in observed and simulated CO₂ and CH₄ concentrations at
 1095 HAT (Hateruma), Cape Ochi-ishi (COI). “0.5°” and “2.0°” indicate 0.5-degree
 1096 simulation and 2.0-degree simulation, respectively. Statistics are defined as in
 1097 Table 1.

Tracers	Site	Time interval of comparisons	Period of comparisons	Number of data	Correlation coefficient		RSTD	
					0.5°	2.0°	0.5°	2.0°
CO ₂	HAT	Daily	1 Jan. 2008 – 31 Dec. 2010	1064	0.72	0.41	0.73	0.82
	COI	Daily	1 Jan. 2008 – 31 Dec. 2010	1644	0.62	0.55	0.85	0.71
CH ₄	HAT	Daily	1 Jan. 2008 – 31 Dec. 2010	1057	0.85	0.56	1.08	1.10

1098

1099

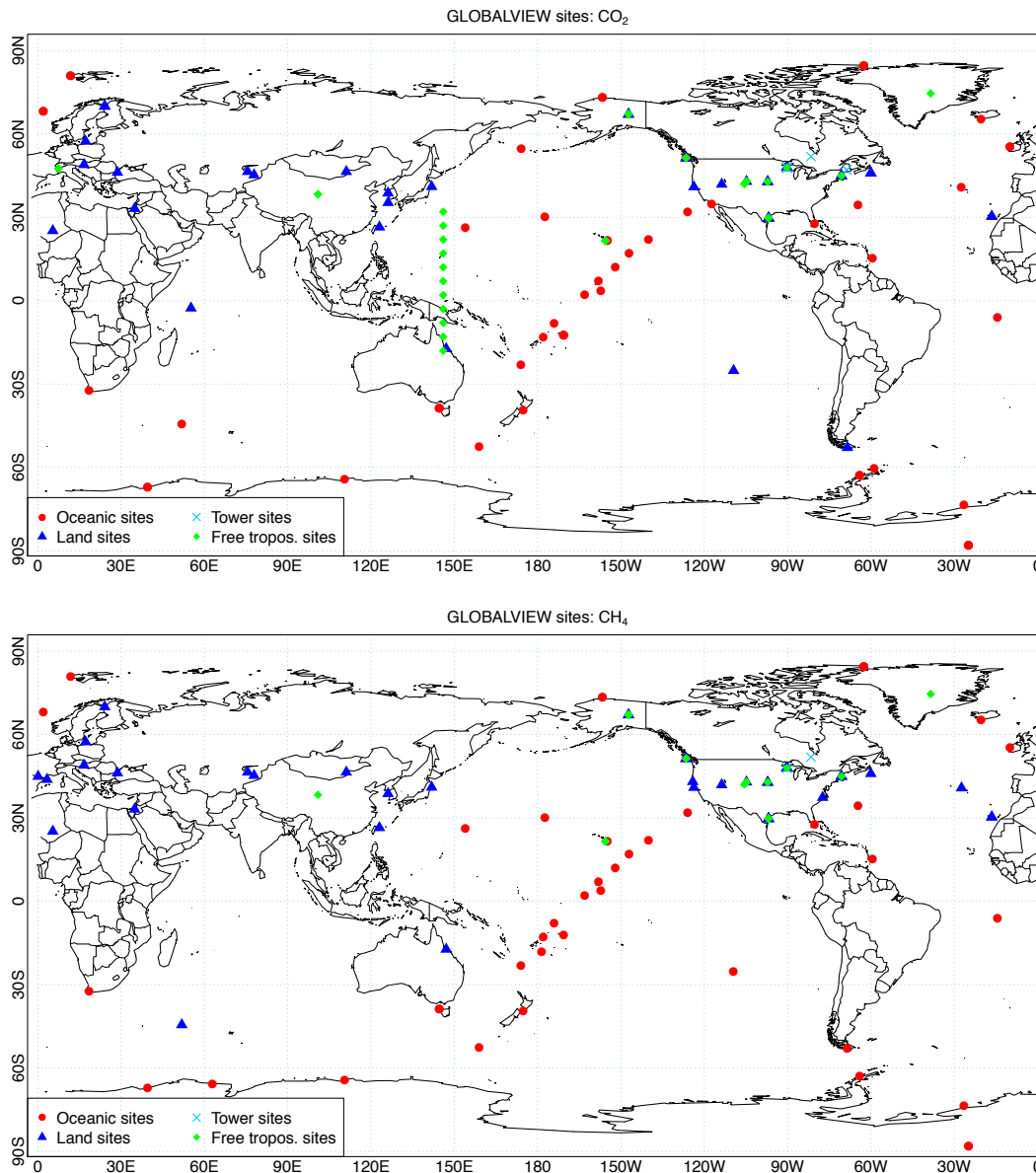


1100

1101 **Fig. 1.** A priori error variance–covariance matrices of (a) CO₂ and (b) CH₄
 1102 over Sanriku, Japan (141.8°E, 39.2°E) in August at 21 pressure levels from
 1103 1000 to 10 hPa. Color scales are logarithmic, with ranges of 0.01–100 ppm² for
 1104 CO₂ and 1–10000 ppb² for CH₄. Warm and cold colors indicate positive and
 1105 negative correlations, respectively. Dark and light colors indicate small and

1106 large variance–covariance values, respectively.

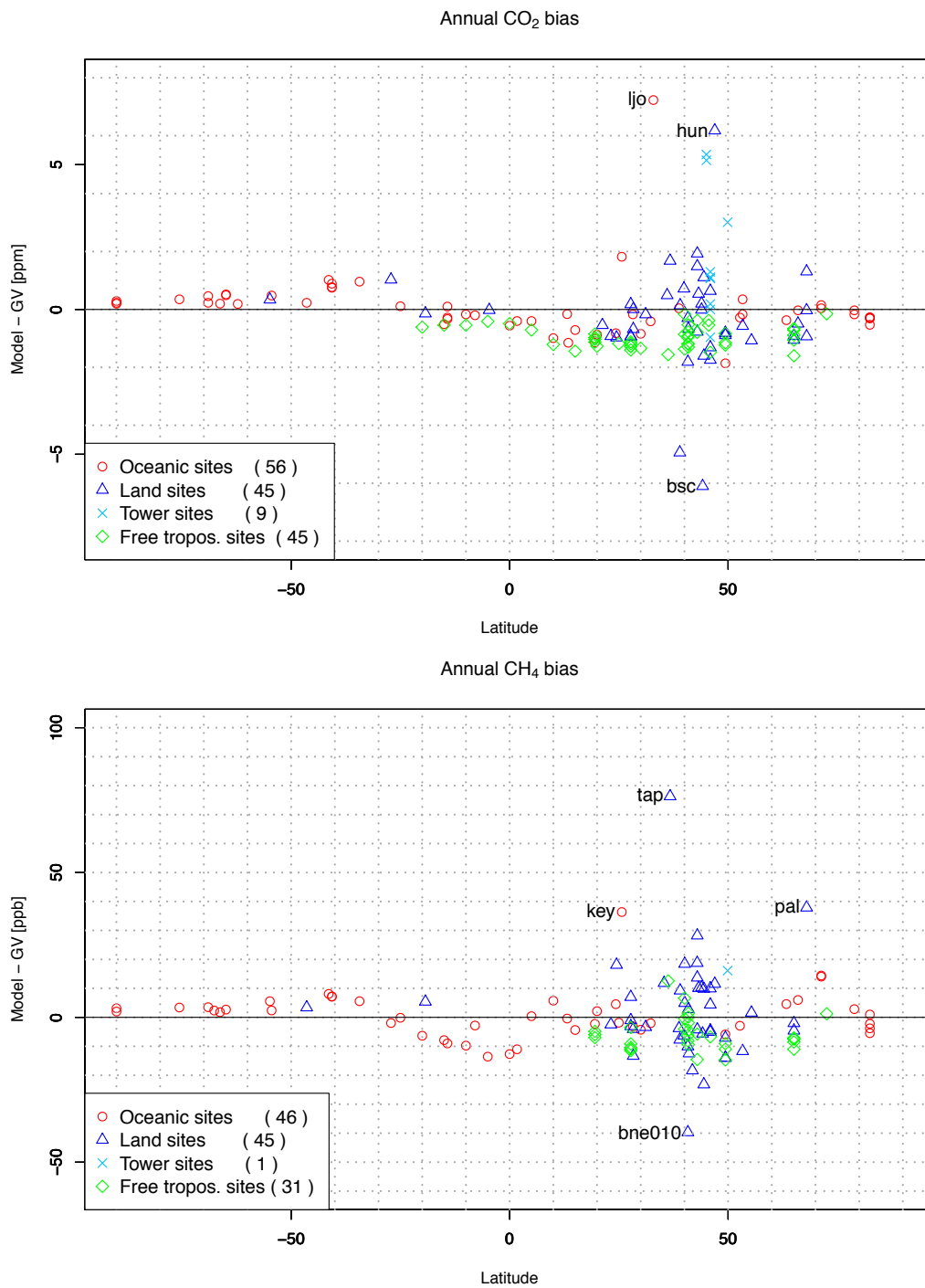
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1109 **Fig. 2.** GLOBALVIEW site locations used for the comparisons of CO₂ (top)
 1110 and of CH₄ (bottom) **for the year 2008.** “Oceanic”; sites with marine-boundary-
 1111 layer (MBL) marks in the GV dataset (gv_table.co2 and gv_table.ch4); “land”,
 1112 sites below 3000 m which are neither MBL sites nor tower sites; “tower”, tower
 1113 sites with sampling platform code “3” in GV file names; “free tropos.”, free
 1114 troposphere sites located above 3000 m and marked as non-MBL sites, mostly
 1115 airborne observational points.

1116

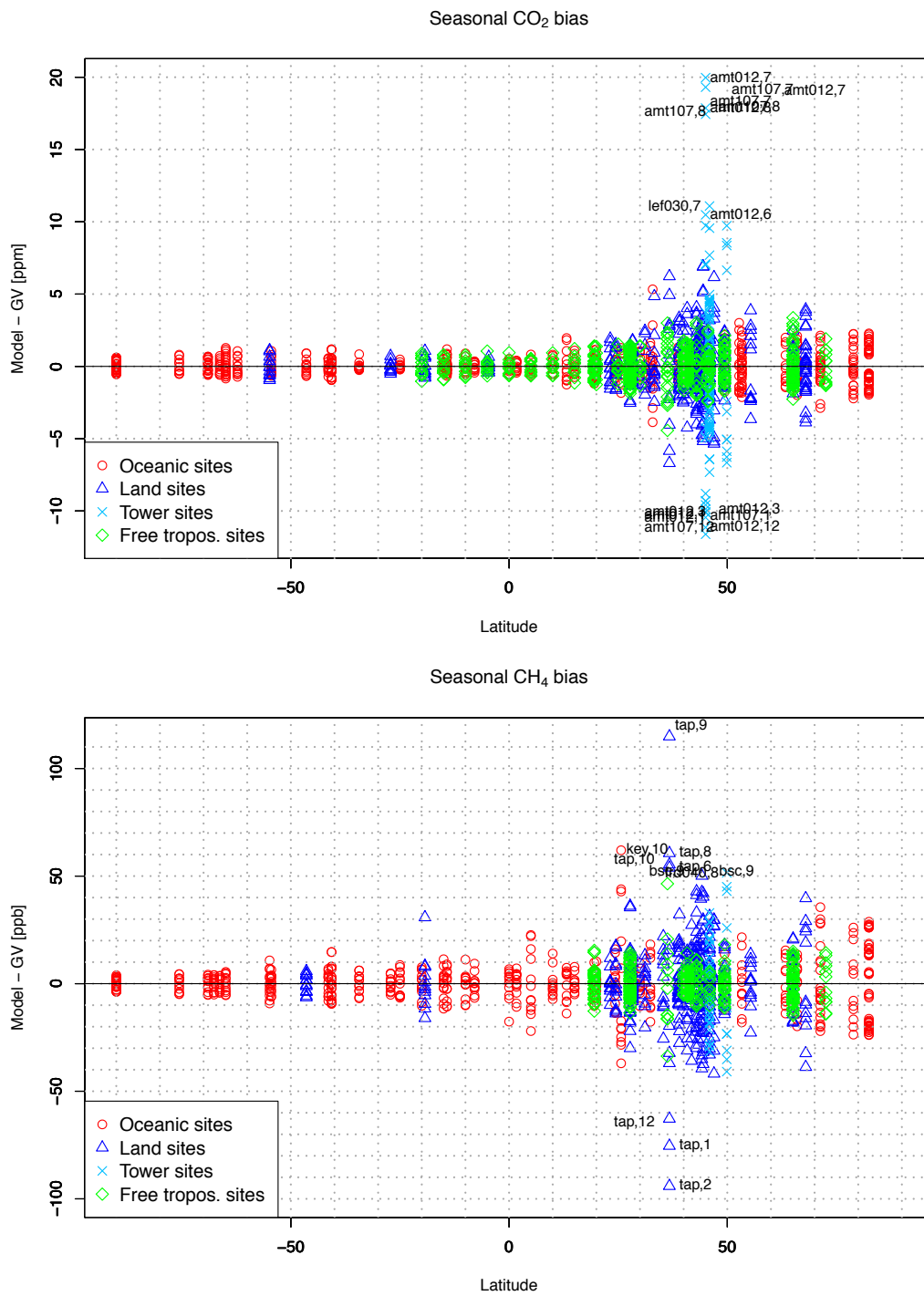


1117

1118 **Fig. 3.** Latitudinal distributions of differences in annual mean between the
 1119 simulated and GV data for CO₂ (top) and CH₄ (bottom) at GV monitoring sites

1120 **for the year 2008**. The simulated results at 13.00–16.00 LT were used for the
1121 comparison. Letters in the plot represent the GV site code. Site types in the
1122 legend are the same as those defined in Fig. 2. Numbers in legend parentheses
1123 indicate the numbers of GV sites used for the analysis.

1124



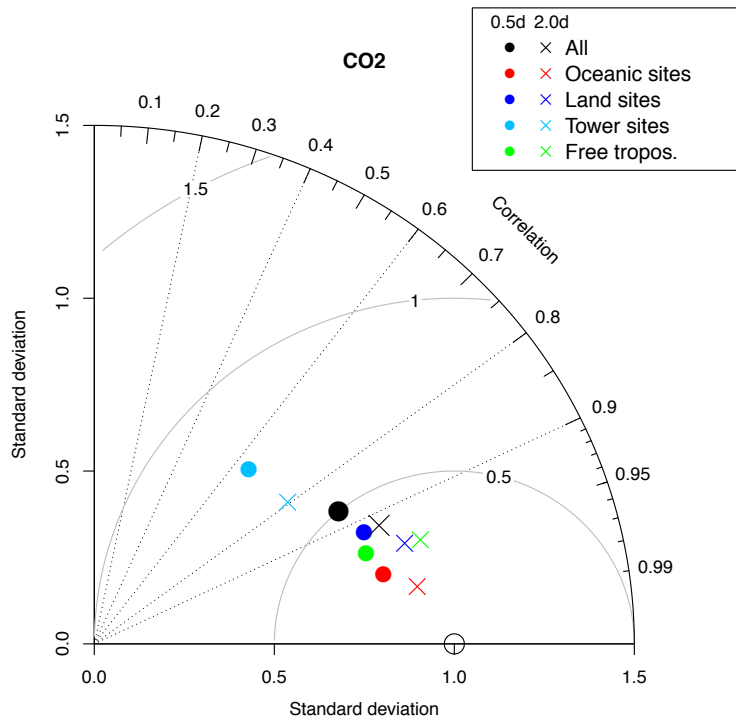
1125

1126 **Fig. 4.** Same as Fig. 3, but for monthly biases for CO₂ (top) and CH₄ (bottom).

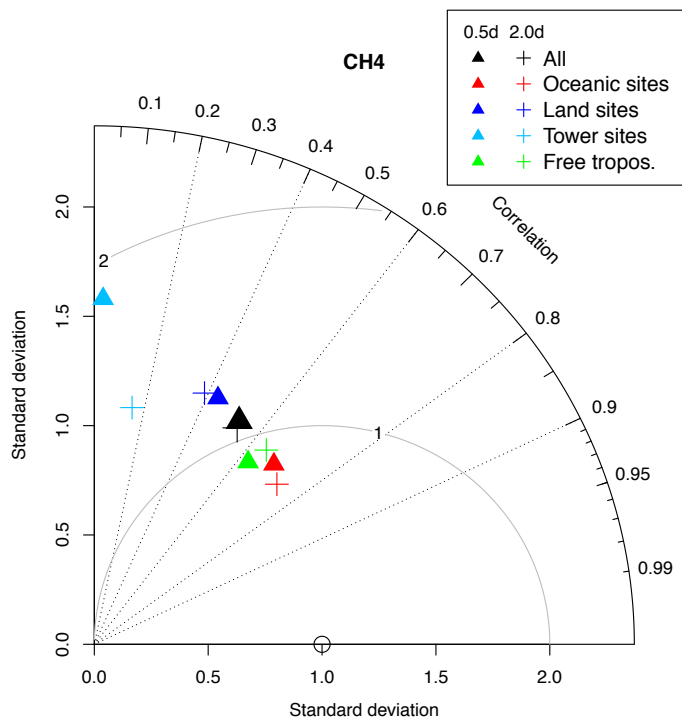
1127 Letters and numbers in the plot represent GV site code and month, respective-

1128 ly.

1129

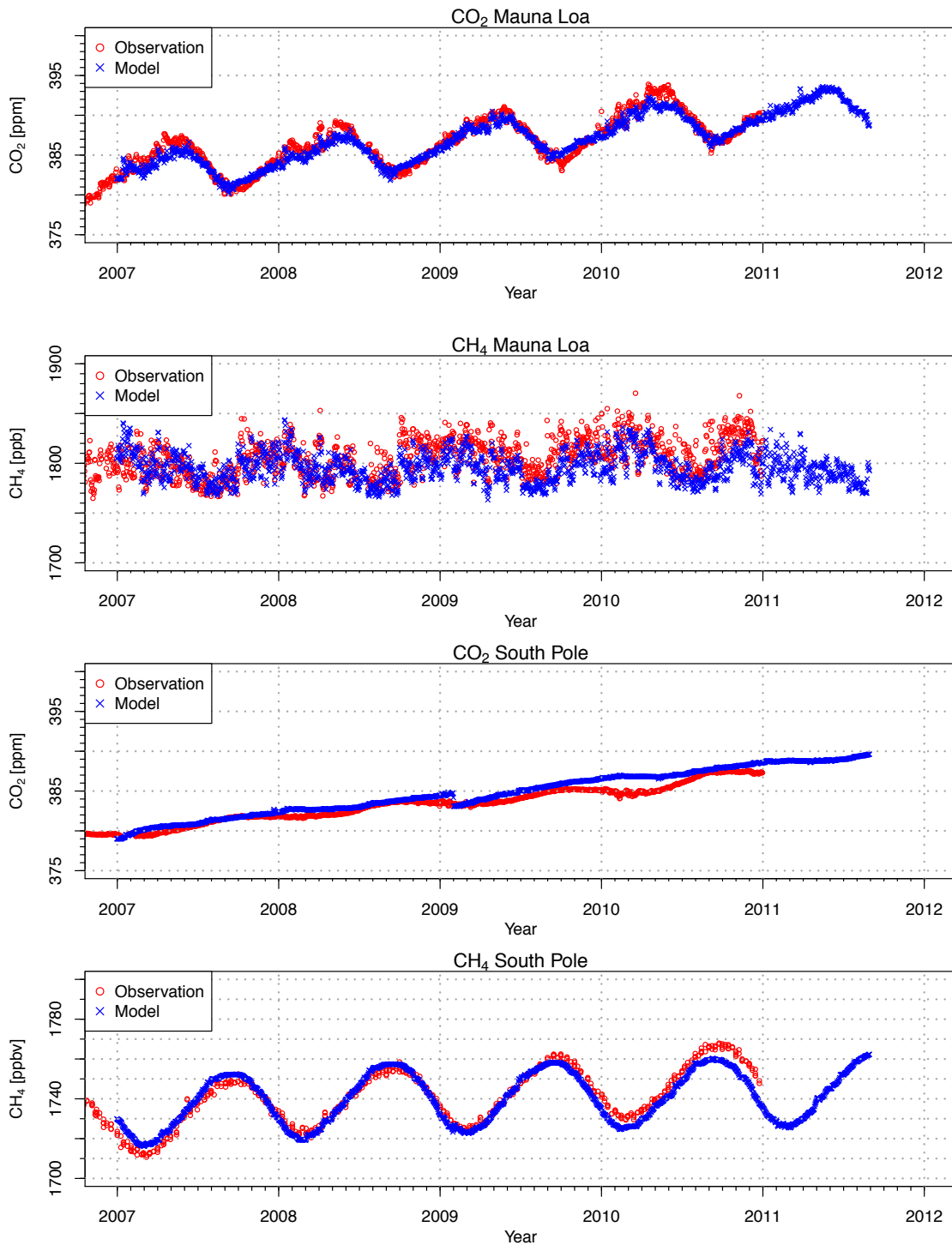


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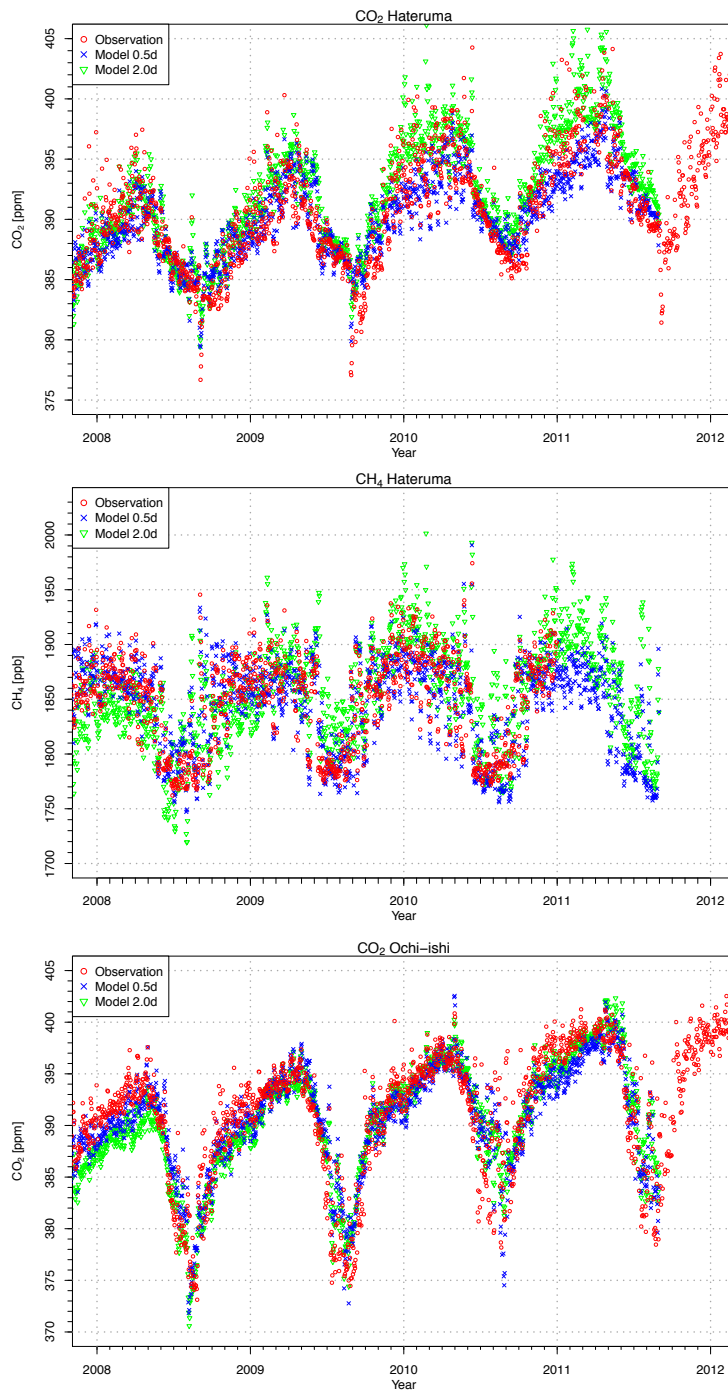
1132 **Fig. 5.** Normalized Taylor diagram showing a statistical comparison (Pearson's correlation coefficient, normalized standard deviation, and centered root-mean-square difference) between simulated CO₂ (top) and CH₄ (bottom), and GV analysis, for monthly seasonal variations. "0.5d" and "2.0d" in legends indicate 0.5-degree simulation and 2.0-degree simulation, respectively.



1138

1139 **Fig. 6.** Observed and simulated time series of daily mean CO₂ and CH₄ con-
 1140 centrations at Mauna Loa, South Pole, and Hateruma sites, and CO₂ concen-
 1141 tration at Ochi-ishi sites. CH₄ observations at South Pole are discrete data and
 1142 are plotted directly; quasi-continuous data at the other sites and 3-hourly

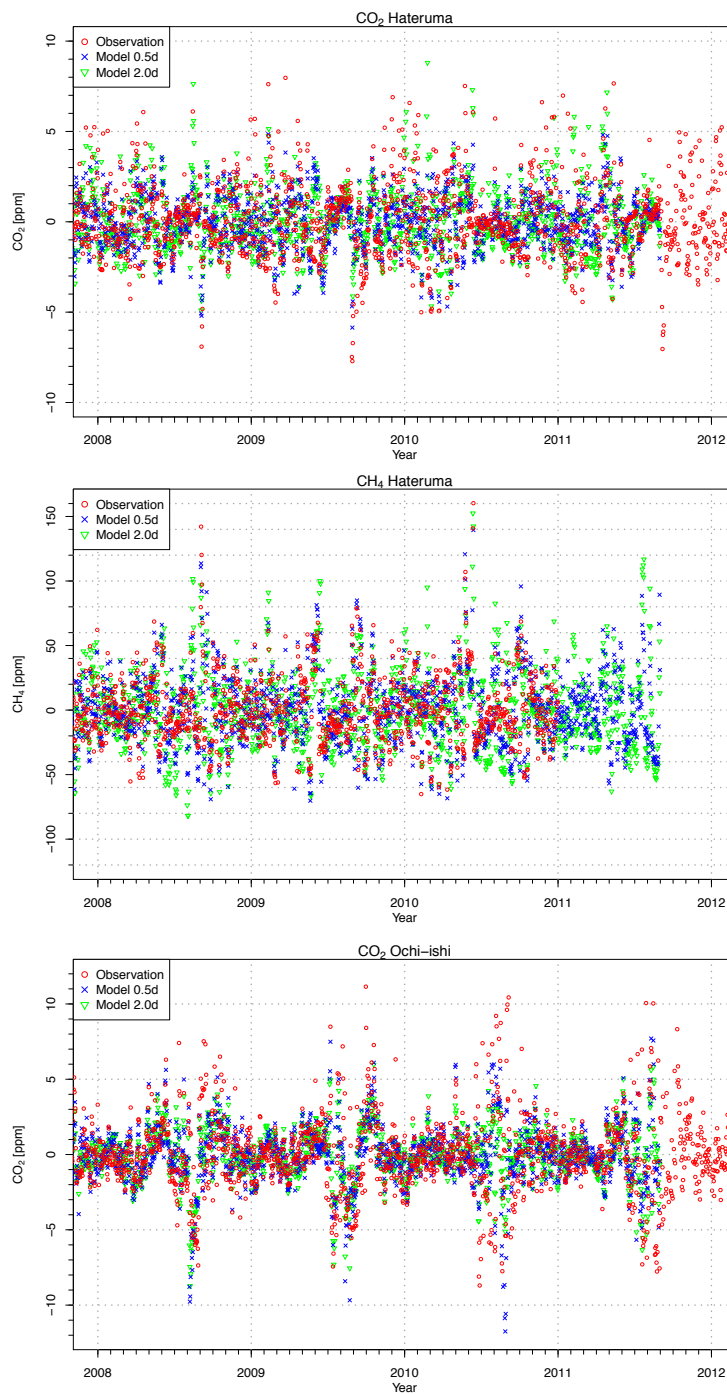
1143 model output are daily averages. “0.5d” and “2.0d” in legends for Hateruma
1144 and Ochi-ishi sites indicate 0.5-degree simulation and 2.0-degree simulation,
1145 respectively. A gap in the simulated CO₂ at SPO at the end of January 2009 is
1146 due to the offset correction (see text).



1147

1148 **Fig. 6.** Continued

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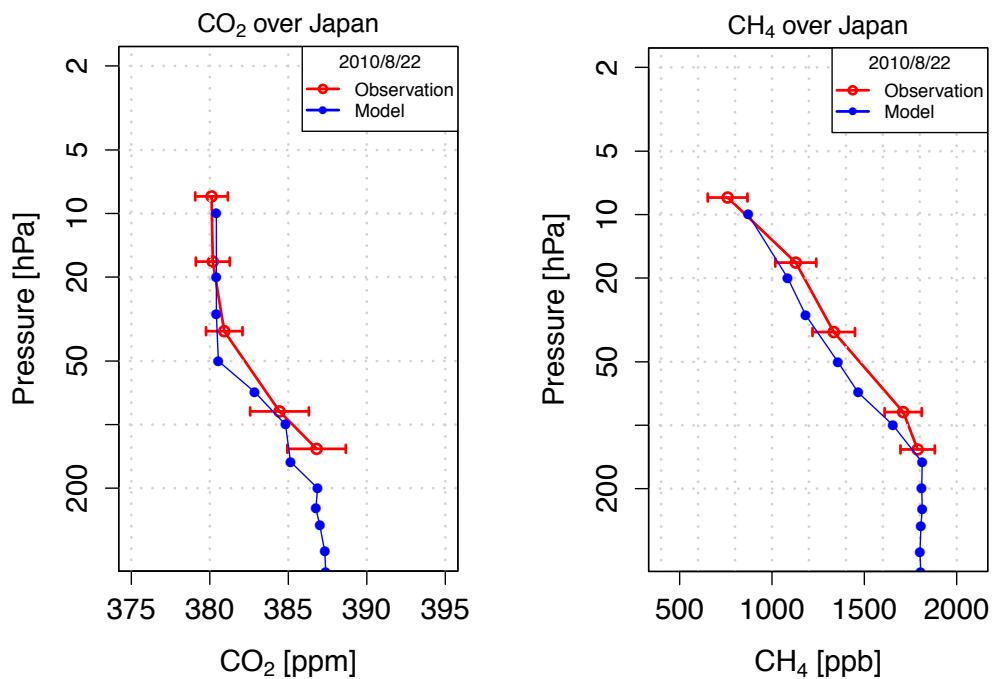


1150

1151 **Fig. 7.** Synoptic variations in observed and simulated time series of daily
 1152 mean CO₂ and CH₄ concentrations at Hateruma site and CO₂ concentration at

1153 Ochi-ishi site. “0.5d” and “2.0d” in legends indicate 0.5-degree simulation and
1154 2.0-degree simulation, respectively.
1155

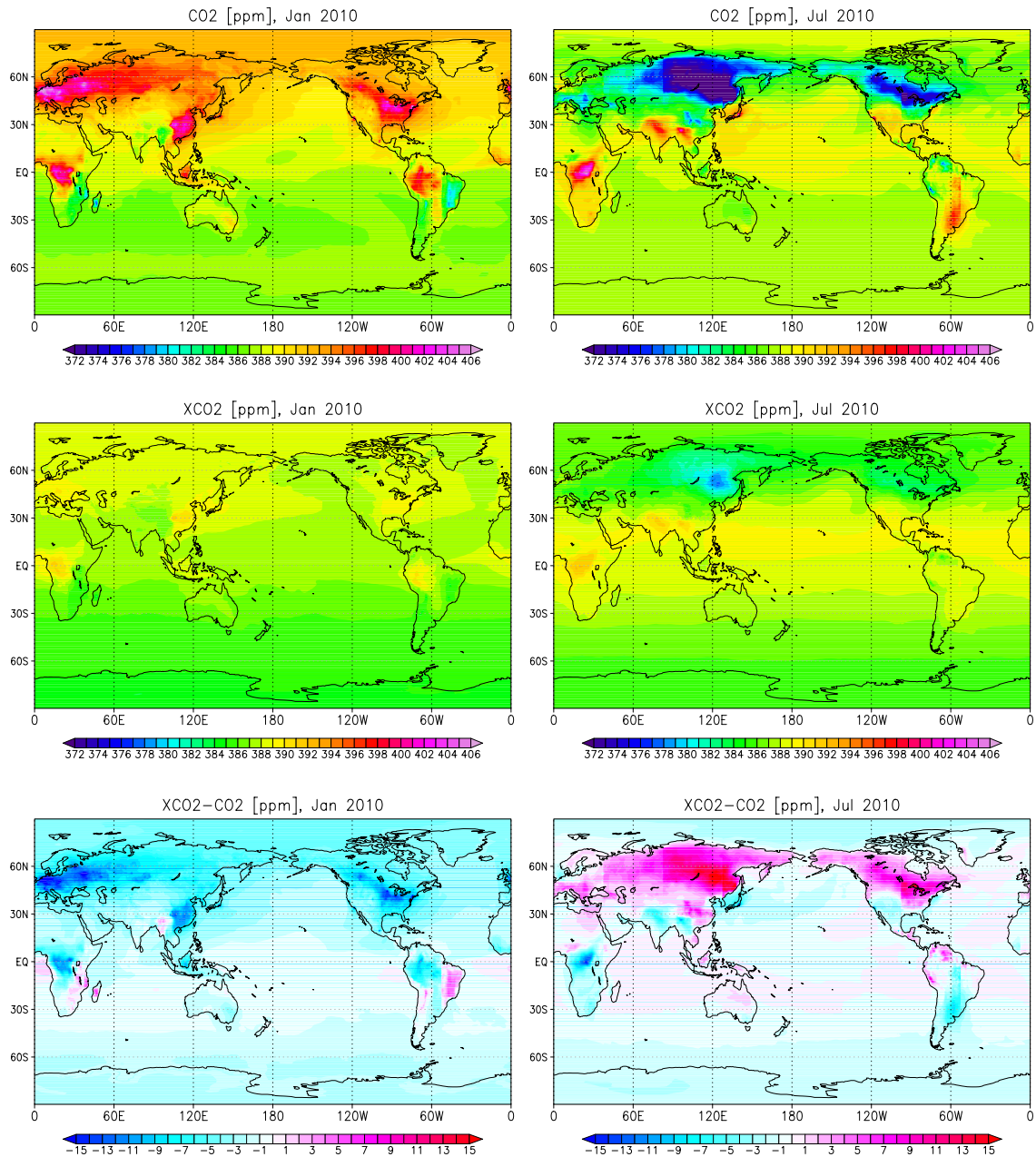
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1157

1158 **Fig. 8.** Vertical profiles of CO₂ (left) and CH₄ (right) in the stratosphere over
1159 Japan. The blue lines indicate NIES TM simulated data on 22 August 2010.
1160 The red lines are observed average profiles and their standard deviations de-
1161 rived from balloon-borne measurements over Sanriku, Japan (141.8°E,
1162 39.17°N).

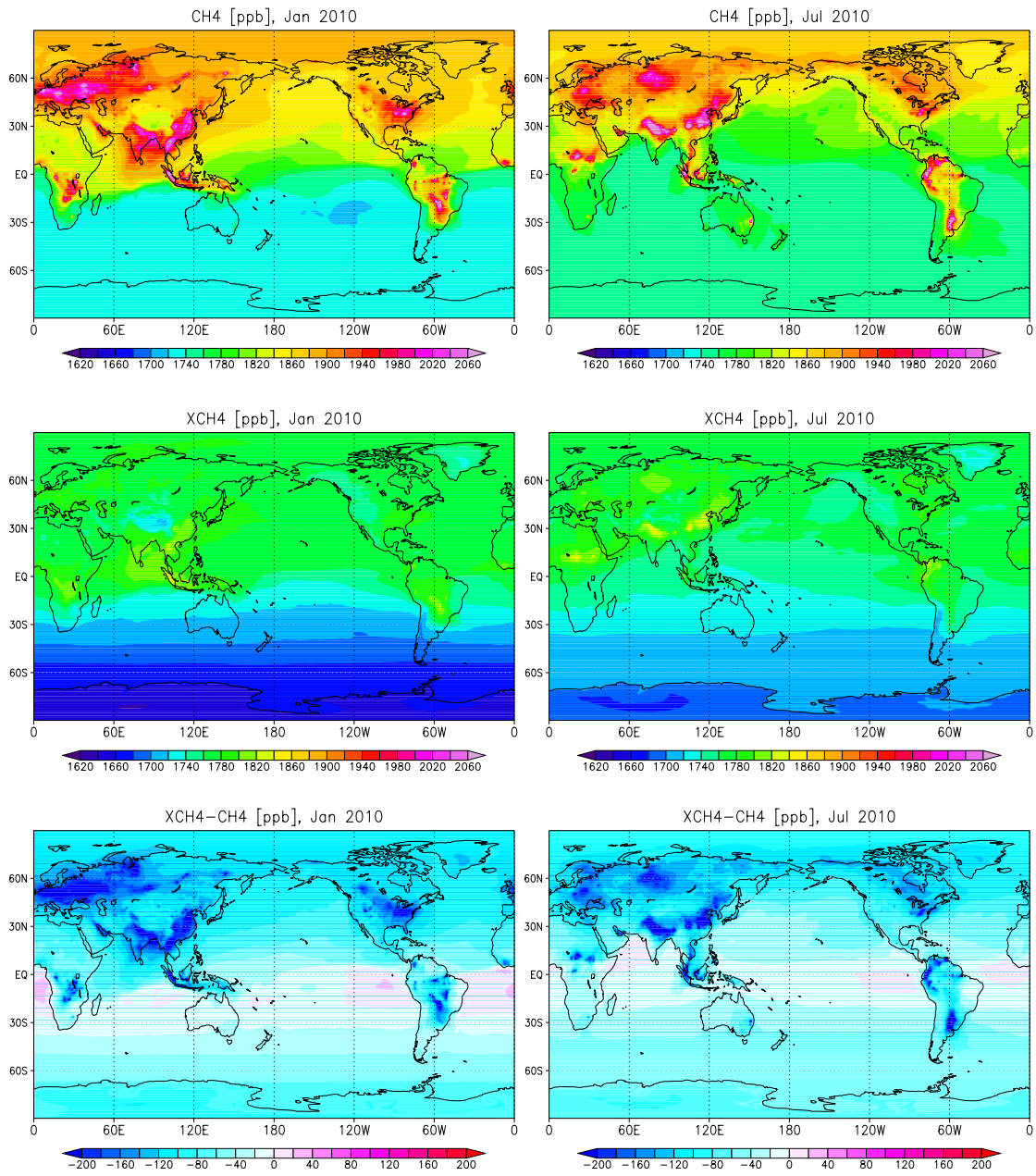
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1164

1165 **Fig. 9.** Simulated monthly mean surface CO₂ (top), XCO₂ (middle), and their
 1166 differences (bottom) at 13:00 LT in January (left column) and July (right col-
 1167 umn) 2010. Ranges of color scales are 372–406 ppm for CO₂ and XCO₂, and –15
 1168 to 15 ppm for the differences.

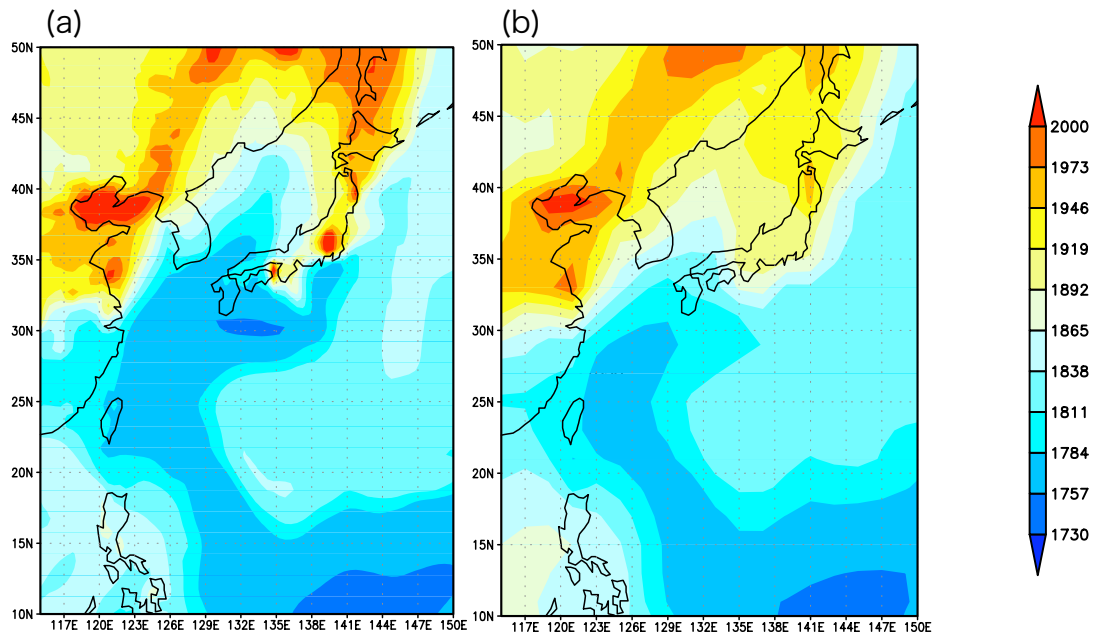
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1170

1171 **Fig. 10.** Same as Fig. 7, but for CH₄, XCH₄, and their differences. Ranges of
 1172 color scales are 1620–2060 ppb for CH₄ and XCH₄, and –200 to 200 ppb for the
 1173 differences.

1174

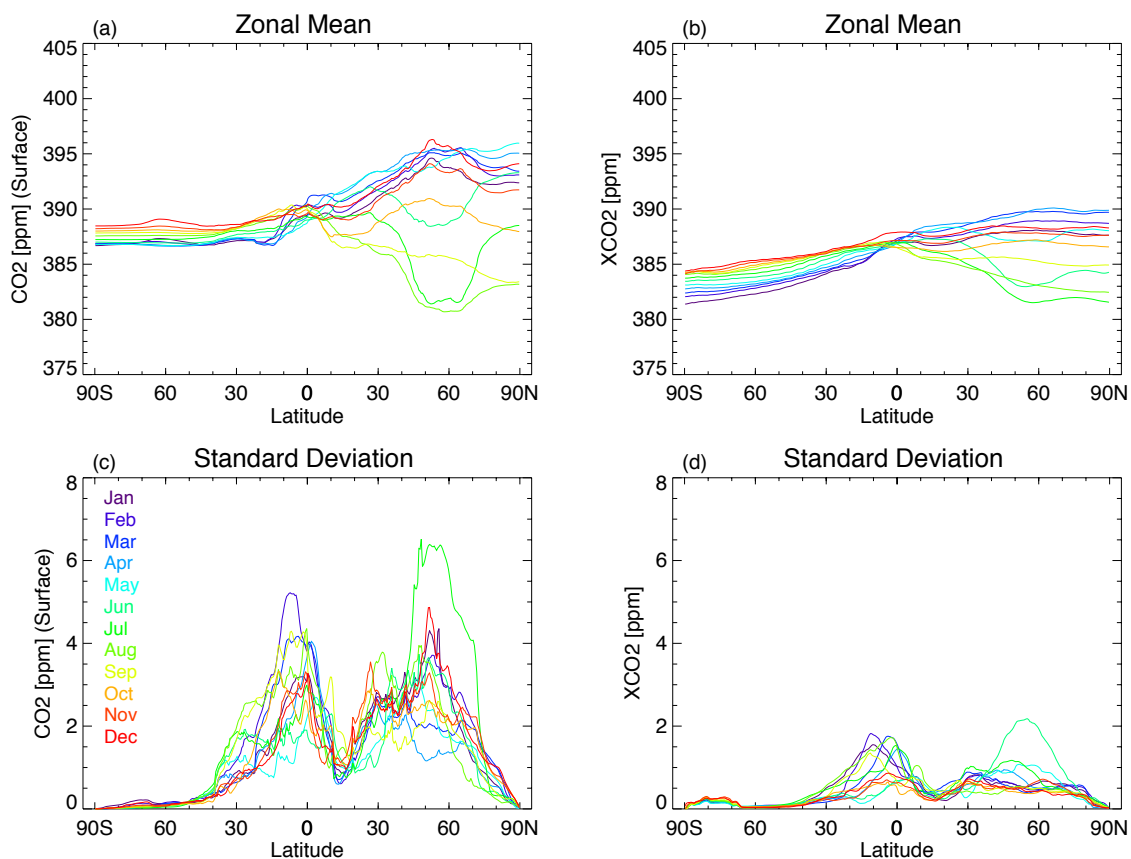


1175

1176 **Fig. 11.** Simulated surface CH₄ concentrations [ppb] around Japan at 13:00
 1177 JST on 6 July 2008 with a horizontal resolution of (a) 0.5° and (b) 2.0°.

1178

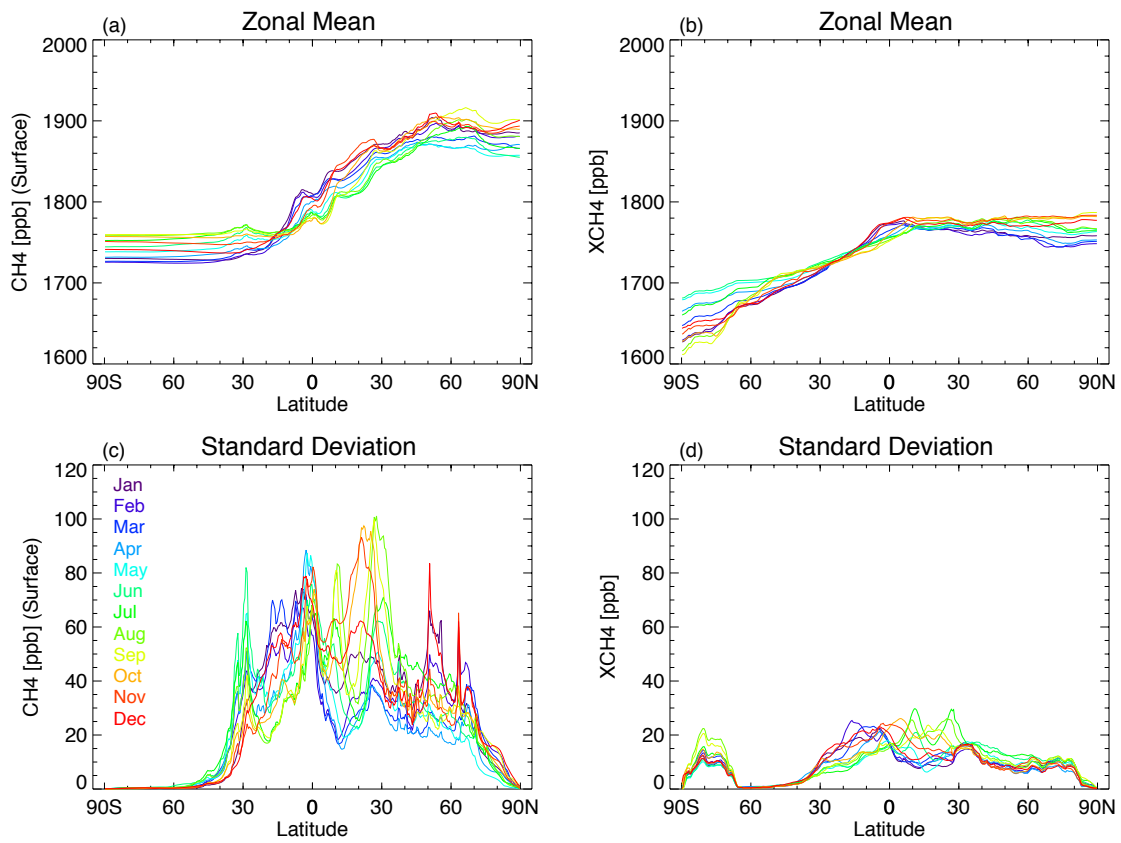
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1180

1181 **Fig. 12.** Monthly zonal-mean latitudinal distributions of (a) CO₂ and (b) XCO₂
1182 for 2010 and (c–d) their standard deviations against longitudinal variation.

1183



1184

1185 **Fig. 13.** Same as Fig. 11, but for CH₄ and XCH₄.

1186