

**Response to Anonymous Referee #2**

*The paper presents a first attempt to develop a software tool to generate daily stream flow time series at an arbitrary location on a stream network. In my opinion, such a tool will be an extremely useful addition to the “hydrology toolbox” currently used by scientists and water practitioners. The paper is generally well written and structured. However, I do see the need for a number of adjustments to improve its clarity and comprehensibility (not only to scientists but also to general water planners), before it is published, as set out below.*

Thank you for your comments and review. We have revised the manuscript substantially to address these concerns, including adding new sections to the text, expanding existing sections and revising the figures to provide more detail and clarity. We address your general and specific comments related to these points below.

*General Comments*

*1. As far as I understand, the tool, as it is currently applied in the Connecticut River Basin consists of two parts: (a) The StreamStats tool to delineate watershed boundary and basin characteristics, and (b) The spreadsheet tool which performs the rest of the estimation procedure. Currently only (a) works online (web-based) while (b) works offline (non- web-based), whereas the title of the paper suggests the existence of a fully “web-based” operational tool. It is not clear whether the intention of the authors is to develop the current tool into a fully web-based tool in the future. If so, this is not stated in the text. The tool in its present form appears to be a “work in progress” towards a fully web-based tool. Hence I suggest that the title of the paper is amended to read as “Developing a web-based software tool. . . . .” or “Towards a webbased software tool. . . . .”*

We agree and revised the titled as, “Towards a publicly-available, map-based regional software tool to estimate unregulated daily streamflow at ungauged rivers.”

*2. The StreamStats tool contains information limited to US watersheds and only those in some states at that. The authors do not sufficiently explain how the tool may be practically implemented in any other part of the world, including the underlying data of the StreamStats tool, the components, applications and functionality expected in such a tool, and what data is output by it (See specific comment on “basin Characteristics” given below). The CRUISE worksheet tool too is specific to the Connecticut River Basin as far as I understand. What features should be included in this tool if it is to be implemented in any other region of the world? It would also be useful to know how practical it is to build the two separate components into one compact standalone software (whether web-based or not).*

We agree this is not clear. We have added additional text to the methods section (Section 2) to describe how the methods can be applied to other regions as well as text as to how the tool can be viewed as a framework. Specifically, we add in Section 3:

“The software tool can be considered a general framework to provide daily streamflow time series at ungauged locations in other regions of the United States and possibly other areas. Furthermore, all data and methods underlying tool are freely available. Whereas the tool is a general framework for providing a map-based, “point-and-click” approach to estimate daily streamflow at an ungauged river location of interest, the underlying data, including the river network and catchment characteristics, are specific to the region of interest. Much like other modeling frameworks, the software tool must be calibrated based on the data available in the region of interest. Details of the functionality of the regional tool presented in this study follow. Additional details on the customization of the catchment delineation for application to other regions is discussed in Section 4.”

We also added a new Discussion section to the text with a paragraph to describe the underlying data and methods needed to develop a watershed delineation tool for other regions across the globe.

*3. The paper is written assuming that the reader is familiar with all the methods mentioned in it. For example the “map-correlation” method is referred to in several places, but nowhere is it explained. The authors also state that the FDC at the ungauged site is estimated using regional regression equations based on basin characteristics, but do not elaborate further on what specific characteristics are considered or what the form and type of the regression equations are (Also see specific comments below). 4. The text does not sufficiently explain the information presented in figures and tables leaving it to the reader to figure them out, which makes the reader’s life extremely difficult. All figures are too small and it is next to impossible to read some of them (especially Figures 3 and 4). Also see some specific comments below on figures.*

We agree with this comment. We have substantially expanded the methods sections to provide equations and text for the regression equations and map correlation method used in the software tool. We have added a new section (now Section 3.2) to the text titled “Estimation of daily streamflow in the demonstration area.” This section describes how the methods introduced in Section 2 were implemented for the demonstration area. Figure 3 has been broken into two figures (now figures 3 and 4) and figure 4 (now figure 6) has been reworked so that the hydrographs are larger and more visible. Figure 1 has also been completely revised to show additional detail on the software methods.

*Specific Comments*

*1. The one before the last sentence in the abstract reads as “For the demonstration region. . . . .with efficiency values computed from observed and estimated streamflows ranging from 0.69 to 0.92”. I suggest that the term “efficiency” here is qualified as “Nash-Sutcliff Efficiency” or the sentence is reworded in another way to indicate that the values presented are goodness of fit statistics, in order not to confuse readers by using the term “efficiency” which could mean any number of things. Also it is noted that the 0.69 to 0.92 values have been obtained using natural logarithms of generated streamflows while the same for untransformed streamflows is 0.04 to 0.92. Generally, goodness of fit statistics are evaluated against untransformed streamflows, and presenting the statistics for the transformed streamflows in the abstract might mislead*

*readers about how good the suggested methods are. I suggest that the actual (0.4 to 0.92) values are reported in the abstract and that the authors try to identify the reasons behind this large variation (for example the method may work well for only a certain range in watershed area).*

We agree and changed this sentence in the abstract to read:

“For a demonstration region in the northeast United States, daily streamflow was, in general, shown to be reliably estimated by the software tool, with more difficulty estimating the highest and lowest streamflows that occurred over the historical period from 1960 through 2004.”

*2. Line 8-9 on page 2507 reads as “. . . . .first developing regional regressions relating catchment characteristics to selected FDC quantiles. . . . .”, but does not elaborate on what type of catchment characteristics are considered here, leaving the reader guessing. Neither are they explained later, apart from within the section on the CRB where only three characteristics are discussed. The authors should present a broad range of possible characteristics which may be adopted in any other part of the world if the tool is to be reproduced.*

We agree. To address this comment, we have added more detail to this section on the regression approach, including how catchment characteristics are selected as well as present the general form of the regression equation. We also added the statement:

“In this approach, catchment characteristics (the independent variables) are regressed against the streamflow quantiles (the dependent variable) to determine which catchment characteristics have a statistically significant relation with each streamflow quantile. The catchment characteristics tested for inclusion in the regression equations are based on the availability of the spatial data layers in the particular study area of interest and, therefore, vary from region to region.”

We also now added a new section (now Section 3.2), which details the specific methods used to develop the regression equations for the demonstration area. We discuss in this section the rationale for the specific basin characteristics used in the demonstration area and the details of the regression fits.

*3. Line 13 page 2507: “. . . . .selected quantiles on the FDC are estimated from regional regression equations and a continuous. . . . .”. What is the form of these regression equations and how are the catchment characteristics related to FDC quantiles in these equations? Without this knowledge, the tool cannot be reproduced anywhere else. Although these regression equations are mentioned even later in the text at several places, nowhere are they presented. Merely referring to another paper where the method has been applied is not sufficient for a reader of this paper to understand the procedures presented here.*

*4. Tables 2 and 3 do present information purported to be on these regression equations, however, they are not at all helpful since (a) the equations themselves are not explained in the text, and (b) the tables are utterly confusing leaving the reader guessing as to what most of these columns stand for. The tool should be understandable to*

*any interested party who wants to reproduce it for water management purposes.*

We completely agree and address these two comments together. We have substantially expanded the text in Section 2.1 to include the general form of the regression equations and additional text to describe the regressions, included in this section is text such as:

“In this approach, catchment characteristics (the independent variables) are regressed against the streamflow quantiles (the dependent variable) to determine which catchment characteristics have a statistically significant relation with each streamflow quantile. The catchment characteristics tested for inclusion in the regression equations are based on the availability of the spatial data layers in the particular study area of interest and, therefore, vary from region to region.”

In the new section 3.2, we describe the reasons for why certain catchment characteristics were selected for the demonstration region:

“Previous work in the southern portion of the study area by *Archfield et al.* [2010] showed that, from a larger set of 22 catchment characteristics, the contributing area to the streamgauge, percent of the contributing area with surficial sand and gravel deposits, and mean annual precipitation values for the contributing area are important variables in modeling streamflows at ungauged locations. For this reason, these characteristics were summarized for the study streamgauges and used in the streamflow estimation process.”

We also broke out the lower portion of Figure 2 into a new figure (now Figure 5), which shows the relations between the streamflow quantiles for the high exceedence probabilities and combines table 3 and Figure 2. We simplified Table 2 and spelled out abbreviations in the column headings to make the table easier to read.

*5. Line 1 in Page 2507 first mentions the “map-correlation” method, but does not explain how the cross correlation takes place between the ungauged site and the index stream gauge. For example, what specific characteristics are correlated, and what equations are used?*

We agree. We have substantially expanded this section and the description of the method, adding equations to the text and further justification for its use in the software tool.

*6. Line 23 in page 2513 refers to “leave-one-out” cross validation, but does not explain the rationale behind it. I suggest that it is explained at least in broad terms, since this is not a standard term that one comes across every day.*

We agree. We have added a new paragraph to describe this validation approach:

“To evaluate the utility of the underlying methods to estimate unregulated, daily streamflow at ungauged locations, a leave-one-out cross validation for 31 study streamgauges (fig. 6) was applied in conjunction with the methods described in Sections 2 and 3.2. These 31 study streamgauges were selected because they have observed streamflow covering the entire 44-yr historical period of streamflow estimated by the CRUISE tool. In the leave-one-out cross

validation, each of the 31 study streamgauges was assumed to be ungauged and removed from the methods described in Sections 2 and 3.2. The methods were then reapplied without inclusion of the removed site. Using the catchment characteristics of the removed site, daily streamflow was determined and compared to the observed streamflow data at the removed streamgauge. This cross-validation procedure ensured that the comparison of observed and estimated streamflow at each of the study streamgauges represented the truly ungauged case because the streamgauge was not used in any part of the methods development. This procedure was repeated for each of the 31 validation streamgauges to obtain 31 estimated and observed streamflow time series from which to assess the performance of the study methods.”

*7. Line 14, page 2514 says “. . . . . from the Cruise tool at high streamflow values is more of a challeng. . . . .” I am not sure how the difference between goodness of fit values for the transformed and untransformed streamflows explains that only high values (or both high and low values for that matter) are a challenge. Might not this difference be caused by discrepancies in mid-range values too?*

We agree that it is not clear why this is the case. We removed this statement from the manuscript.

*8. In Figure 2, text on the top graph which reads as “Flow quantiles greater than 0.01” should read as “Flow quantiles less than 0.01” if I understand the text correctly. Figure 3 is extremely small and none of the screen shots are clearly visible. I think it is better to break this figure into 2 and expand the size of each screen-shot to have more clarity. All numbers and lettering in Figure 4 is too small to read, while the comparisons between observed and generated streamflows (graphs) are not at all visible to the naked eye. However, I think the figure itself represents a neat way of summarizing the goodness of fit information, if it can be made larger and the signs for different efficiency ranges are made distinct from each other.*

We agree with this comment and thank the reviewer for their suggestions. We made the suggested changes to the text on Figure 2. We also broke Figure 3 into two figures (now figures 3 and 4). We have now broken Figure 2 into two figures (Figures 2 and 5) – the first is a more general figure used in the methods section to describe how the flow-duration curve is estimated. The second figure (now figure 5) is referenced in the new section (Section 3.2) titled “Estimation of daily streamflow in the demonstration area,” which uses the data from the demonstration area to show the relation between the streamflow quantiles for the demonstration area.

*9. Line 15 on page 2510 refers to a “Microsoft Excel” spreadsheet. However, as far as I understand the spreadsheet doesn’t necessarily have to be a “Microsoft Excel” one. Any spreadsheet program with capability to run macros, or perhaps a standalone code to perform the underlying procedures may be used instead. Perhaps the authors need to qualify that they have currently used a “Microsoft Excel” spreadsheet (If this journal is okay with the use of brand names), but the same functionality may be obtained by other means.*

We agree and added text to clarify that any macro-enabled spreadsheet program will suffice (see Section 3, end of paragraph 2):

“The spreadsheet itself, which contains the VBA source code, can be used independently of the StreamStats interface and is, therefore, able to be customized to interface with other watershed delineation tools or with any study area for which the methods in Section 2 have been applied. Additionally, any macro-enabled spreadsheet program could be used in place of the Microsoft Excel spreadsheet program.”

We have also added the standard U.S. Government disclaimer in the acknowledgements sections:

“Any use of trade, firm, or product names is for descriptive purposes only and does not imply endorsement by the U.S. Government.”

*10. Other comments of minor nature are:*

*(a) Use the word “often” instead of “often times” (b) Line 19, page 2506: use “characteristics of” instead of “characteristics computed for” (c) Word “recursively” is spelled wrong in Fig. 2 (d) Line 21, page 2509: typo “by published Smakhtin(1999)” to be corrected as “published by” (e) Line 25 page 2509: typo “on the same day as” to be corrected as “on the same day at” (f) Line 15, page 2512: “Fig.1” should perhaps be “Fig.4”? (g) Line 10, page 2514: the word “indicating” is spelled wrong.*

Thank for your thorough review and catching these errors. We have made all recommended changes.

**REVISED MANUSCRIPT**

## **Towards a publicly-available, map-based regional software tool to estimate unregulated daily streamflow at ungauged rivers**

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1 **Abstract.**

2 Streamflow information is critical for addressing any number of hydrologic problems. Often,  
3 streamflow information is needed at locations which are ungauged and, therefore, have no  
4 observations on which to base water management decisions. Furthermore, there has been  
5 increasing need for daily streamflow time series to manage rivers for both human and ecological  
6 functions. To facilitate negotiation between human and ecological demands for water, this paper  
7 presents the first publicly-available, map-based, regional software tool to estimate historical,  
8 unregulated daily streamflow time series (streamflow not affected by human alteration such as  
9 dams or water withdrawals) at any user-selected ungauged river location. The map interface  
10 allows users to locate and click on a river location, which then links to a spreadsheet-based  
11 program that computes estimates of daily streamflow for the river location selected. For a  
12 demonstration region in the northeast United States, daily streamflow was, in general, shown to  
13 be reliably estimated by the software tool, with more difficulty estimating the highest and lowest  
14 streamflows that occurred over the historical period from 1960 through 2004. The software tool  
15 provides a general framework that can be applied to other regions for which daily streamflow  
16 estimates are needed.

17 **Keywords:** ungauged; unged; streamflow; water availability; basin delineation; water  
18 resources

## 19 **1. Introduction**

20 Streamflow information at ungauged rivers is needed for any number of hydrologic  
21 applications; this need is of such importance that an international research initiative known as  
22 Prediction in Ungauged Basins (PUB) had been underway for the past decade (2003-2012)  
23 [Sivapalan *et al.*, 2003]. Concurrently, there has been increasing emphasis on the need for daily  
24 streamflow time series to understand the complex response of ecology to river regulation and to  
25 develop streamflow prescriptions to restore and protect aquatic habitat [Poff *et al.*, 1997; Poff *et*  
26 *al.*, 2010]. Basin-wide water allocation decisions that meet both human and ecological demands  
27 for water require daily streamflow time series at river locations that have ecological constraints  
28 on water (locations where important or protected fish or ecological communities reside or rely on  
29 for life), human constraints on water (locations on the river that are dammed or otherwise  
30 managed), or locations that have both constraints. Often, these locations are unmonitored and no  
31 information is available to make informed decisions about water allocation.

32 Methods to estimate daily streamflow time series at ungauged locations can be broadly  
33 characterized under the topic of regionalization [Blöschl and Sivapalan, 1995], an approach  
34 which pools information about streamgauges in a region and transfers this information to an  
35 ungauged location. Generally there are two main categories of information that is pooled and  
36 transferred to an ungauged: 1) rainfall-runoff model parameters [see Zhang and Chiew, 2009 for  
37 a review] and 2) gauged streamflows, or related streamflow properties. The first category  
38 assumes that rainfall-runoff models have been developed and calibrated at gauged locations  
39 within a region of interest. The rainfall-runoff model parameters are then either used to  
40 interpolate parameter values at an ungauged location [as examples see Abdulla and Lettenmaier,  
41 1997; Seibert, 1999; Merz and Blöschl, 2004; Parajka *et al.*, 2005; Oudin *et al.*, 2008] or the

42 calibrated parameter set is directly transferred from a gauged to an ungauged catchment using  
43 some measure of similarity between the gauged and ungauged location [*Merz and Blöschl*, 2004;  
44 *McIntyre et al.*, 2005; *Parajka et al.*, 2005; *Oudin et al.*, 2008, *Zhang and Chiew*, 2009, *Reichl et*  
45 *al.*, 2009; *Oudin et al.*, 2010]. Rainfall-runoff models are time and data intensive to develop and  
46 calibrate; furthermore, no consistently successful method has been introduced to reliably  
47 regionalize model parameters for ungauged locations [*Merz and Blöschl*, 2004; *McIntyre et al.*,  
48 2005; *Parajka et al.*, 2005; *Oudin et al.*, 2008, *Zhang and Chiew*, 2009; *Oudin et al.*, 2010]. The  
49 second category transfers information directly from a streamgauge or streamgauges to an  
50 ungauged location. Examples of this type of regionalization approach include geostatistical  
51 methods such as top-kriging [*Skøien and Blöschl*, 2007] and more commonly used methods such  
52 as the drainage-area ratio method (as described in *Archfield and Vogel* [2010]), the MOVE  
53 method [*Hirsch*, 1979], and a non-linear spatial interpolation method, applied by *Fennessey*  
54 [1994], *Hughes and Smakhtin* [1996], *Smakhtin* [1999], *Mohamoud* [2008], and *Archfield et al.*  
55 [2010], which all transfer a scaled historical streamflow time series from a gauged to an  
56 ungauged location. These methods have the advantage of being relatively easy to apply but are  
57 limited by the availability of the historical data in the study region.

58 For the software tool presented in this paper, only the second category of approaches is  
59 utilized and a hybrid approach combining the drainage-area ratio and non-linear spatial  
60 interpolation methods is introduced to estimate unregulated daily streamflow time series. When  
61 streamflow information is presented in a freely-available software tool, this information can  
62 provide a scientific framework for water-allocation negotiation amongst all stakeholders.  
63 Software tools to provide streamflow time series at ungauged locations have been previously  
64 published for predefined locations on a river; however few – if any – tools currently exist that

65 provide daily streamflow time series at any stream location for which this information is needed.  
66 *Smakhtin and Eriyagama* [2008] and *Holtschlag* [2009] introduced software tools to provide  
67 monthly streamflows for ecological streamflow assessments at predefined river locations around  
68 the globe and in the Great Lakes region of the United States, respectively. *Williamson et al.*  
69 [2009] developed The Water Availability Tool for Environmental Resources (WATER) to serve  
70 daily streamflow information at fixed stream locations in non-karst areas of Kentucky. These  
71 existing tools provide valuable streamflow information; yet, in most cases, at the monthly – not  
72 daily – time step and, in all cases, for only predefined locations on a river that may not be  
73 coincident with a river location of interest. The U.S. Geological Survey (USGS) StreamStats tool  
74 [*Ries and others*, 2008] does provide the utility to delineate a contributing area to a user-selected  
75 location on a river; however, only streamflow statistics – not streamflow time series – are  
76 provided for the ungauged location.

77 The software tool presented here is one of the first such tools to provide unregulated, daily  
78 streamflow time series at ungauged locations in a regional framework for any user-desired  
79 location on a river. For this study, unregulated streamflow is considered to be streamflow that is  
80 not altered – or regulated – by human alteration within the contributing area to the river. This  
81 paper first briefly describes the methods used by the software tool. The software tool is then  
82 presented and its functionality is described. The software tool can be considered a general  
83 framework to provide daily streamflow time series at ungauged locations in other regions of the  
84 United States and possibly other areas. Lastly the utility of the software tool to provide reliable  
85 estimates of daily streamflow is demonstrated for a large basin in the northeast United States. For  
86 this region, the software tool utilizes the map-based user interface of the USGS StreamStats tool

87 paired with a macro-based spreadsheet program that allows users to “point-and-click” on a river  
88 location of interest and obtain the historical daily streamflow time series.

## 89 **2. Methods underlying the software tool**

90 Streamflow in the study region is estimated by a multi-step regionalization approach,  
91 which starts with the delineation of the contributing area to the ungauged river location of  
92 interest and computation of related catchment characteristics (fig. 1A). For the purposes of this  
93 text, catchment and basin are used interchangeably. The flow-duration curve (FDC) for the  
94 ungauged location is then obtained using these catchment characteristics (Section 2.1; fig. 1B).  
95 The FDC can be considered analogous the inverse of the empirical cumulative distribution of  
96 daily streamflow as it shows the probability of a particular observed streamflow being exceeded.  
97 Specific quantiles on the FDC are estimated at the ungauged location by first establishing a  
98 regression relation between those flow values observed at the streamgauges in the study region  
99 and measurable catchment characteristics obtained for the contributing areas to those  
100 streamgauges (Section 2.1; fig. 1B). Interpolation is then used to obtain the FDC values for  
101 streamflows between the regression-estimated quantiles (Section 2.1; fig. 1B). Lastly, the FDC at  
102 the ungauged location is transformed into a time series of streamflow by the selection (Section  
103 2.2; fig. 1C) and use (Section 2.3; fig. 1D) of a donor streamgauge. To ensure that the estimated  
104 streamflow represents unregulated conditions, only streamgauges whose catchments have been  
105 unaffected by anthropogenic influences are utilized to develop the regional regression equations  
106 and are considered as a potential donor streamgauge.

### 107 **2.1 Estimation of the flow-duration curve for the ungauged location**

108 Estimation of the daily FDC at an ungauged location remains an outstanding challenge in  
109 hydrology. *Castellarin et al.* [2004] provides a review of several methods to estimate FDCs at  
110 ungauged locations and found that no particular method was consistently better than another.  
111 For this study, an empirical, piece-wise approach to estimate the FDC is used in the software tool  
112 (fig. 2). This overall approach is similar to that used by *Mohamoud* [2008], *Archfield et al.*  
113 [2010], and *Shu and Ourda* [2012] in that the FDC is estimated by first developing regional  
114 regressions relating catchment characteristics to selected FDC quantiles and then interpolating  
115 between those quantiles to obtain a continuous FDC. The selected quantiles were chosen to be  
116 evenly distributed across the FDC with additional quantiles added at the tails of the FDC to  
117 provide further resolution to the portions of the FDC that contain the extreme high- and low-  
118 streamflow values.

119 With the exception of streamflows having less than or equal to a 0.01 probability of being  
120 exceeded (streamflows with a probability of being exceeded less than 1 percent of the time),  
121 selected quantiles on the FDC are estimated from regional regression equations and a continuous  
122 FDC is log-linearly interpolated between these quantiles to obtain a continuous FDC (fig. 2).  
123 Relations between streamflow quantiles at the 0.02, 0.05, 0.1, 0.15, 0.2, 0.25, 0.3, 0.4, 0.5, 0.6,  
124 0.7, 0.75, 0.8 and 0.85 exceedance probabilities are estimated by independently regressing each  
125 streamflow quantile against catchment characteristics (fig. 2). In this approach, catchment  
126 characteristics (the independent variables) are regressed against the streamflow quantiles (the  
127 dependent variable) to determine which catchment characteristics have a statistically significant  
128 relation with each streamflow quantile. The catchment characteristics tested for inclusion in the  
129 regression equations are based on the availability of the spatial data layers in the particular study  
130 area of interest and, therefore, vary from region to region. In practice, multiple linear regression

131 is typically applied using the logarithms of the streamflow values and catchment characteristic  
 132 values, with the form of the regression equation as:

$$133 \quad Y = a_0 + \sum_{i=1}^M a_i X_i + \varepsilon \quad (1)$$

134 where  $Y$  is a vector of the log-transformed values of the streamflow quantile across the  
 135 study streamgauged,  $X_i$ 's are the vectors of the log-transformed values of the observed catchment  
 136 characteristics,  $a_0$  is a constant term estimated by the regression,  $a_i$ 's are the coefficients  
 137 estimated by the regression,  $M$  is the total number of catchment characteristics and  $\varepsilon$  is the vector  
 138 of the model residuals.

139 *Mohamoud* [2008] and *Archfield et al.* [2010] observed that when regressions with  
 140 catchment characteristics are used across all quantiles on the FDC, there is increased potential  
 141 for the estimated quantiles to violate the constraint that streamflows must decrease as the  
 142 exceedance probability increases because the uncertainty in the flow estimates is greatest at the  
 143 lowest portion of the FDC. As confirmed by *Archfield et al.* [2010], when all streamflow  
 144 quantiles were regressed against catchment characteristics, there was no constraint to ensure that  
 145 estimated streamflows decreased with increasing exceedance probability and some estimated  
 146 streamflow values were larger at higher exceedance probabilities than streamflows estimated at  
 147 lower exceedance probabilities. Thus, the inherent structure of the data that ensures streamflow  
 148 quantiles decrease with increasing exceedance probability was not preserved—a physical  
 149 impossibility. To enforce physical consistency, relations between streamflow quantiles at the 0.9,  
 150 0.95, 0.98, 0.99 and 0.999938 exceedance probabilities were estimated by regressing  
 151 streamflows at these quantiles against one another and using these relations to recursively  
 152 estimate streamflows (fig. 2). Regressing quantiles against one another ensures that this  
 153 constraint is not violated. In this case, the form of the regression equation is equivalent to that of

154 equation (1) for the case where  $i$  equals 1. This is an alternative approach to that used by  
 155 *Mohamoud* [2008], who suggested discarding any estimated quantiles that violate the constraint  
 156 that streamflows must decrease with increasing exceedance probability.

157 Using the regression equations to solve for the selected quantiles, the continuous, daily  
 158 FDC is then determined by log-linear interpolation between the quantiles and ensuring that the  
 159 interpolation passes through each quantile estimated by regression. *Arcfield et al.* [2010] showed  
 160 that estimated streamflows determined by log-linear interpolation for exceedance probabilities of  
 161 0.01 or less do not match the shape of the FDC and this interpolation method creates a bias in the  
 162 estimated streamflows, which can substantially overestimate the peak streamflows. The shape of  
 163 the FDC at the highest streamflows is curved such that an alternative interpolation scheme such  
 164 as parabolic or cubic splines is not capable of capturing the shape. Instead of using another  
 165 interpolation method, streamflows from a donor streamgauge are scaled by catchment area to  
 166 estimate the highest streamflows at the ungauged location (fig. 2). This is predicated on the  
 167 assumption that the shape of the left tail of the FDC is better approximated by the observed  
 168 streamflow at a donor streamgauge than by a curve fit. Therefore, for streamflows having less  
 169 than or equal to a 0.01 probability of being exceeded, streamflows are scaled by a drainage-area  
 170 ratio approach (eqn. 2) in conjunction with the selected donor streamgauge:

$$q_{p_u} = \frac{A_u}{A_g} q_{p_g} \quad (2)$$

171 where  $q_{p_u}$  is the value of the streamflow quantile at the ungauged location for  
 172 exceedance probability,  $p$ ,  $A_u$  is the contributing drainage area to the ungauged location,  $A_g$  is  
 173 the contributing drainage area to the donor streamgauge, and  $q_{p_g}$  is the value of the streamflow

174 quantile at the donor streamgauge for exceedance probability,  $p$ . Whereas this piecewise  
175 interpolation of the FDC – particularly at the tails – seems admittedly untidy, it is important to  
176 note that previous studies choose to ignore the estimation of the tails of the FDC because of the  
177 substantial challenges associated with their estimation [*Mohamoud, 2008 and Shu and Ourda,*  
178 2012].

## 179 **2.2 Selection of the donor streamgauge**

180 The donor streamgauge is used for two purposes in the streamflow estimation approach:  
181 1) to estimate streamflows that have less than a 1-percent chance of being exceeded, and 2) to  
182 transform the estimated FDC into a time series of streamflow at the ungauged location. For the  
183 direct transfer of streamflow time series from a gauged to an ungauged location, several methods  
184 have been used to select the donor catchment. The most common method is the selection of the  
185 nearest donor catchment [*Mohamoud, 2008; Patil and Stieglitz, 2012; Shu and Ourda, 2012*].  
186 Also recently, *Archfield and Vogel* [2010] hypothesized that the cross-correlation between  
187 concurrent streamflow time series could be an alternative metric to select the donor streamgauge.  
188 For one streamflow transfer method – the drainage area ratio – *Archfield and Vogel* [2010]  
189 showed that the selection of the donor streamgauge with the highest cross-correlation results in a  
190 substantial improvement to the estimated streamflows at the ungauged location. Using this result,  
191 *Archfield and Vogel* [2010] introduced a new method – the map correlation method – to estimate  
192 the cross-correlation between an ungauged location and a donor streamgauge.

193 Based on the findings of *Archfield and Vogel* [2010], the donor streamgauge is selected  
194 by the map-correlation method; however, the software tool provides information on the  
195 similarity of the selected donor streamgauge to the ungauged location in terms of both distance

196 and similarity in catchment characteristics should the user prefer to use another selection method.  
 197 Through the use of geostatistics, the map-correlation method selects the donor streamgauge  
 198 estimated to have the highest cross-correlation between concurrent streamflow time series at the  
 199 donor streamgauge and the ungauged location. For a given donor streamgauge, the cross-  
 200 correlations between daily streamflow at the donor streamgauge and the other study  
 201 streamgauges in the region are computed. Ordinary kriging [Isaaks and Srivastava,1989] is used  
 202 to create a relational model – termed the variogram model – for the separation distances between  
 203 the study streamgauges and the differences in observed cross-correlation. There are several  
 204 commonly-used variogram model forms [Isaaks and Srivastava,1989]; Archfield and Vogel  
 205 [2010] use a spherical variogram model because of its relatively simple formulation and its  
 206 visual agreement with the majority of the sample variograms. The spherical variogram, here  
 207 represented as the covariance function and as presented in Ribeiro Jr. and Diggle [2001], has the  
 208 form

$$209 \quad C(h) = \begin{cases} \sigma^2 \left( 1 - 1.5 \frac{h}{a} + 0.5 \left( \frac{h}{a} \right)^3 \right), & \text{if } h < 0 \\ 0, & \text{otherwise} \end{cases} \quad (3)$$

210 where  $C(h)$  is the covariance function variogram model (also referred to as the correlation  
 211 function),  $h$  is the separation distance between streamgauges,  $\sigma^2$  is the partial sill, and  $a$  is the  
 212 range parameter. Following from traditional geostatistics techniques for ordinary kriging as  
 213 presented in Isaaks and Srivastava [1989] and as applied by Archfield and Vogel [2010], the  
 214 variogram model is then used to map the cross-correlation between the donor streamgauge and  
 215 any location within the study region, including an ungauged location of interest. This mapping is  
 216 repeated for each possible donor streamgauge in the study region so that estimates of the cross-  
 217 correlation between the ungauged location and all possible donor streamgauges can be obtained.

218 The software tool then selects the donor streamgauge resulting in the highest estimated cross-  
219 correlation with the ungauged location. Additional details on the map correlation method are  
220 described in *Archfield and Vogel* [2010].

### 221 **2.3 Generation of streamflow time series**

222 With a donor streamgauge selected and estimated daily FDC at the ungauged location, a  
223 time series of daily streamflow for the simulation period is then constructed by use of the QPPQ  
224 transform method [*Fennessey*, 1994; *Hughes and Smakhtin* [1996]; *Smakhtin*, 1999; *Mohamoud*,  
225 2008; *Archfield et al.* 2010; *Shu and Ourda*, 2012]. The term QPPQ-transform method was  
226 coined by *Fennessey* [1994]; however, this method has been published by *Smakhtin* [1999],  
227 *Mohamoud* [2008], and *Archfield et al.* [2010] under names including “non-linear spatial  
228 interpolation technique” [*Hughes and Smakhtin*, 1996; *Smakhtin*, 1999] and “reshuffling  
229 procedure” [*Mohamoud*, 2008]. The method assumes that the exceedance probability associated  
230 with a streamflow value on a given day at the donor streamgauge also occurred on the same day  
231 at the ungauged location. For example, if the streamflow on October, 1, 1974 was at the 0.9  
232 exceedance probability at the donor streamgauge, then it is assumed that the streamflow on that  
233 day at the ungauged location also was at the 0.9 exceedance probability. To implement the  
234 QPPQ-transform method, a FDC is assembled from the observed streamflows at the donor  
235 streamgauge (fig. 1C). The exceedence probabilities at the donor and ungauged FDC are then  
236 equated (fig. 1D) and the date that each exceedence probability occurred at the donor  
237 streamgauge is transferred to the ungauged catchment (fig. 1D).

### 238 **3. Software tool**

239 The software tool can be considered a general framework to provide daily streamflow  
240 time series at ungauged locations in other regions of the United States and possibly other areas.  
241 Furthermore, all data and methods underlying tool are freely available. Whereas the tool is a  
242 general framework for providing a map-based, “point-and-click” approach to estimate daily  
243 streamflow at an ungauged river location of interest, the underlying data, including the river  
244 network and catchment characteristics, are specific to the region of interest. Much like other  
245 modeling frameworks, the software tool must be calibrated based on the data available in the  
246 region of interest. Details of the functionality of the regional tool presented in this study follow.  
247 Additional details on the customization of the catchment delineation for application to other  
248 regions is discussed in Section 4.

249 The software tool initially interfaces with the USGS StreamStats tool (*Ries et al.*, 2008 or  
250 <http://streamstats.usgs.gov>) to delineate a catchment area for any user-selected location on a river  
251 and to compute the catchment characteristics needed to estimate the FDC at the ungauged  
252 location (fig. 1). The selection of the donor streamgauge, the computation of the FDC and the  
253 estimate of the time series of daily streamflow is then executed by a Microsoft Excel spreadsheet  
254 program with Visual Basic for Applications (VBA) coding language. The spreadsheet itself,  
255 which contains the VBA source code, can be used independently of the StreamStats interface and  
256 is, therefore, able to be customized to interface with other watershed delineation tools or with  
257 any study area for which the methods in Section 2 have been applied. Additionally, any macro-  
258 enabled spreadsheet program could be used in place of the Microsoft Excel spreadsheet program.

259 The catchment delineation portion of the software tool is handled by the USGS  
260 StreamStats tool, which operates within a web browser, and is accessible at  
261 <http://streamstats.usgs.gov>. The StreamStats tool implements a watershed delineation process

262 described in *Ries et al.* [2008] and contains basin-wide spatial data layers of the catchment  
263 characteristics needed to solve the regional regression equations described in Sections 2.2 and  
264 3.2. The map navigation tools provided in the StreamStats user interface are used to locate a  
265 point along the stream of interest. In addition to the stream network, users can view satellite  
266 imagery, topographic maps, and street maps to find the river location of interest. This  
267 background information can then be used to locate the ungauged river location of interest (fig.  
268 3A). Users simply click on the river location of interest and the catchment boundary will be  
269 delineated and displayed on the map (fig. 3A). Once the catchment is delineated, pressing a  
270 command button will open a new browser window that shows a table of the catchment  
271 characteristics for the selected location (fig. 3B). StreamStats uses the processes described by  
272 *ESRI, Inc.* [2009] for catchment delineation and computation of catchment characteristics.  
273 StreamStats also provides a command button to export a shapefile of the contributing catchment  
274 (fig. 3A) for use in other mapping applications.

275         Once the catchment characteristics are determined for the ungauged location of interest,  
276 the user opens the spreadsheet program and inputs the catchment characteristics into the  
277 spreadsheet program to compute the daily streamflow (fig. 4); the spreadsheet program contains  
278 five worksheets (figs. 4A-E). The spreadsheet opens on the *MainMenu* worksheet, which  
279 provides additional instruction and support contact information (fig. 4A). The user enters the  
280 catchment characteristics summarized by StreamStats (fig. 4B) into the *BasinCharacteristics*  
281 worksheet (fig. 4B) and then presses the command button to compute the unregulated daily  
282 streamflows. The program then follows the process outlined in figures 1B to 1D and Section 2.  
283 The estimated streamflows are, in part, computed from regional regression equations that were  
284 developed using the catchment characteristics from the approach discussed in Section 2.1.

285 Streamflows estimated for ungauged catchments having characteristics outside the range of  
286 values used to develop the regression equations are highly uncertain because these values were  
287 not used to fit the regression equations. Therefore, the software tool includes a message in the  
288 *BasinCharacteristics* worksheet (fig. 4B) next to each characteristic that is outside the respective  
289 ranges of those characteristics used to solve the regression equations.

290 The *ReferenceGaugeSelection* worksheet (fig. 4C) displays information about the  
291 ungauged catchment and donor streamgauge that was selected by the map correlation method  
292 described in Section 2.2; however, additional measures of similarity between the donor and  
293 ungauged location are also provided, including the percent difference between catchment  
294 characteristics at the ungauged location and the donor streamgauge as well as the distance  
295 between the ungauged location and donor streamgauge (fig. 4C). The estimated cross-correlation  
296 resulting from the map-correlation method is also reported (fig. 4C). If a user selects a new donor  
297 streamgauge, they then press the update button (fig. 4C) and daily streamflows will be  
298 recomputed using the newly selected donor streamgauge. The *ContinuousFlowDuration*  
299 worksheet (fig. 4D) displays the estimated FDC, and the *ContinuousDailyFlow* worksheet (fig.  
300 4E) displays the estimated daily time series for the ungauged site.

### 301 **3.1. Demonstration area**

302 The methods described in Sections 2 were applied to the Connecticut River Basin (CRB),  
303 located in the northeast United States, and incorporated into a basin-specific tool termed the  
304 Connecticut River UnImpacted Streamflow Estimator (CRUISE) tool. The CRUISE tool is freely  
305 available for download at <http://webdmamrl.er.usgs.gov/s1/sarch/ctrtool/index.html>. The CRB is  
306 located in the northeast United States and covers an area of approximately 29,000 km<sup>2</sup>. The

307 region is characterized by a temperate climate with distinct seasons. Snowfall is common from  
308 December through March, with generally more snow falling in the northern portion of the CRB  
309 than in the south. The geology and hydrology of the study region are heavily affected by the  
310 growth and retreat of glaciers during the last ice age, which formed the present-day stream  
311 network and drainage patterns [Armstrong *et al.*, 2008]. The retreat of the glaciers filled the river  
312 valleys with outwash sands and gravel as well as fine- to coarse-grained lake deposits  
313 [Armstrong *et al.*, 2008], and these sand and gravel deposits have been found to be important  
314 controls on the magnitude and timing of base flows in the southern portion of the study region  
315 [Ries and Friesz, 2000]. The CRB has thousands of dams along the mainstem and tributary rivers  
316 that are used for hydropower, flood control, and water supply just as the CRB is home to a  
317 number of important fish species that rely on the river for all or part of their life cycle. To  
318 understand how dam management can be optimized to meet both human and ecological needs for  
319 water, unregulated daily streamflows are needed to provide inflow time series to dams that can  
320 be routed through operation and optimization models being developed in the CRB.

### 321 **3.2. Estimation of daily streamflow in the demonstration area**

322 Data from streamgauges located within the CRB and surrounding area are used in the  
323 CRUISE tool to estimate unregulated daily streamflow time series at ungauged locations (table  
324 1). The study streamgauges have at least 20 years of daily streamflow record and have minimal  
325 regulation in the contributing catchments to the streamgauges [Armstrong *et al.*, 2008; Falcone  
326 *et al.*, 2010]. Previous work in the southern portion of the study area by Archfield *et al.* [2010]  
327 showed that, from a larger set of 22 catchment characteristics, the contributing area to the  
328 streamgauge, percent of the contributing area with surficial sand and gravel deposits, and mean  
329 annual precipitation values for the contributing area are important variables in modeling

330 streamflows at ungauged locations. For this reason, these characteristics were summarized for  
331 the study streamgauges and used in the streamflow estimation process. Contributing area to the  
332 study streamgauges ranges from 0.5 km<sup>2</sup> to 1,845 km<sup>2</sup> with a median value of 200 km<sup>2</sup>. Mean  
333 annual precipitation ranges from 101 cm per year to 157 cm per year with a median value of 122  
334 cm per year. Percent of the contributing area with surficial sand and gravel ranges from 0 percent  
335 to 67 percent with a median value of 9.5 percent. Streamflow in the CRUISE tool is estimated  
336 for a 44-yr (16,071-d) period spanning October 1, 1960 through September 30, 2004 using the  
337 methods described in Section 2.

338 Streamflow quantiles at the 0.02, 0.05, 0.1, 0.15, 0.2, 0.25, 0.3, 0.4, 0.5, 0.6, 0.7, 0.75, 0.8  
339 and 0.85 exceedance probabilities were determined from the observed streamflow time series  
340 and regressed against the contributing area to the streamgauge, percent of the contributing area  
341 with surficial sand and gravel deposits, and mean annual precipitation values for the contributing  
342 area using the conventions described in *Archfield et al.* [2010]. Regression equations were  
343 developed using weighted, least-squares multiple linear regression. Regression weights were  
344 applied to the dependent variables and computed as a function of the number of days of observed  
345 streamflow on which the estimated streamflow statistic was based. Natural-log transformations  
346 of the dependent variables (streamflow quantiles at selected exceedance probabilities) and  
347 independent variables (catchment characteristics) were made to effectively linearize the relations  
348 between the variables. Bias correction factors were estimated using the Smearing Estimator  
349 (Duan, 1983) to remove bias in the regression estimates of the streamflow quantiles when  
350 transferred out of logarithmic space. All non-zero regression coefficients in the regression  
351 equations (table 2) were significantly different from zero at the 0.05 significance level. Residuals  
352 (observed minus regression-estimated streamflow values) (plotted in log space) were generally

353 homoscedastic and normally distributed. Variables in the final equations had variance-inflation  
354 factors of less than 2.5, meaning the correlations between the independent variables are minimal.  
355 Regression-coefficient values and goodness of fit values are shown in table 2.

356 To enforce physical consistency as described in Section 2.1, streamflow quantiles at the  
357 0.9, 0.95, 0.98, 0.99 and 0.999938 exceedence probabilities were recursively regressed against  
358 one another (fig. 5). This approach also exploits the strong structural relation of the observed  
359 quantiles, as observed in figure 5. Linear regression equations were fit between the observed  
360 quantiles to establish a relation between the quantiles (fig. 5); this relation was then carried  
361 recursively through the estimation of the FDC. For example, streamflow at the 85-percent  
362 exceedence probability is obtained by solving the multiple-linear regression equation that is a  
363 function of basin characteristics. However, streamflow at the 90-percent exceedence probability  
364 is obtained by the relation fit between the streamflows at the 85- and 90-percent exceedence  
365 probabilities (fig 5). Only the estimated streamflow at the 85-percent exceedence probability is  
366 needed to estimate the streamflow at the 90-percent exceedence probability. Subsequent  
367 streamflow quantiles are estimated from the relation between one quantile and another (fig. 5).  
368 The remainder of the FDC curve was then estimated as described in Section 2.1.

369 Mapping of the cross-correlation for each of the study streamgauges was applied using  
370 the general approach described in Section 2.3 and in *Archfield and Vogel* [2010]. *Archfield and*  
371 *Vogel* [2010] use the Pearson r correlation coefficient to model the cross-correlation across their  
372 study region. In this study, the Spearman rho cross-correlation metric is utilized. The Spearman  
373 rho cross-correlation metric is a non-parametric measure of cross-correlation that uses the ranks  
374 of the data; therefore, it is resistant to outliers and has fewer assumptions than the more  
375 commonly used Pearson r correlation coefficient [*Helsel and Hirsch*, 2002]. As described by

376 *Archfield and Vogel* [2010], spherical variogram models were fit for each study streamgauge.  
377 Variogram model (eqn. 3) parameters and root-mean-square errors between observed cross-  
378 correlations and cross-correlations estimated by the variogram model are shown in table 2. The  
379 donor streamgauge and estimated FDC were then used to obtain continuous daily streamflow at  
380 the ungauged location, as described in Section 2.3.

### 381 **3.3. Performance of estimated streamflows**

382 To evaluate the utility of the underlying methods to estimate unregulated, daily  
383 streamflow at ungauged locations, a leave-one-out cross validation for 31 study streamgauges  
384 (fig. 6) was applied in conjunction with the methods described in Sections 2 and 3.2. These 31  
385 study streamgauges were selected because they have observed streamflow covering the entire 44-  
386 yr historical period of streamflow estimated by the CRUISE tool. In the leave-one-out cross  
387 validation, each of the 31 study streamgauges was assumed to be ungauged and removed from  
388 the methods described in Sections 2 and 3.2. The methods were then reapplied without inclusion  
389 of the removed site. Using the catchment characteristics of the removed site, daily streamflow  
390 was determined and compared to the observed streamflow data at the removed streamgauge. This  
391 cross-validation procedure ensured that the comparison of observed and estimated streamflow at  
392 each of the study streamgauges represented the truly ungauged case because the streamgauge  
393 was not used in any part of the methods development. This procedure was repeated for each of  
394 the 31 validation streamgauges to obtain 31 estimated and observed streamflow time series from  
395 which to assess the performance of the study methods.

396 Goodness of fit between observed and estimated streamflows was evaluated using the  
397 Nash-Sutcliffe efficiency value [*Nash and Sutcliffe*, 1970], which was computed from both the  
398 observed and estimated streamflows as well as the natural logarithms of the observed and

399 estimated streamflows (fig. 6A). The natural logarithms of the observed and estimated  
400 streamflows were taken to scale the daily streamflow values so that the high and low streamflow  
401 values were more equally weighted in the calculation of the efficiency metric. Efficiency values  
402 were mapped to determine if there was any spatial bias in the model performance (fig. 6B).  
403 Selected hydrographs were also plotted to visualize the interpretation of the efficiency values  
404 (figs. 6C-E).

405 The values in figure 4 show that the streamflows estimated by the CRUISE tool generally  
406 have good agreement with the observed streamflows at the 31 validation streamgauges. The  
407 minimum efficiency computed from the transformed daily streamflows is 0.69 and the maximum  
408 value is 0.92 (fig. 6A), with an efficiency value equal to 1 indicating perfect agreement between  
409 the observed and estimated streamflows. The efficiency values for the untransformed observed  
410 and estimated streamflows range from 0.04 to 0.92 (fig. 6A). Despite this, the CRUISE tool  
411 appears to result in high efficiency values across all validation sites (fig. 6). Streamgauges in the  
412 northern portion of the basin have lower efficiency values than streamgauges in the middle and  
413 southern portions of the basin; however, it should be noted from the hydrographs in figure 4 that  
414 the CRUISE tool is able to represent the daily features of the hydrographs at the validation  
415 streamgauges even though the efficiency values are relatively lower in the northern portion of the  
416 study area. The efficiency values and hydrograph comparisons demonstrate that the CRUISE  
417 tool can provide a reasonable representation of natural streamflow time series at ungauged  
418 catchments in the basin.

#### 419 **4. Discussion**

420 As described, the software tool can be viewed as a general framework to provide  
421 estimates of daily streamflow in a publicly-available, map-based manner. Whereas, the  
422 StreamStats user-interface was developed specifically for the CRB, the watershed delineation  
423 and catchment characteristic algorithms underlying StreamStats is universally available across  
424 the globe through the ArcHydro platform [ESRI, Inc., 2009]. To utilize the ArcHydro platform, a  
425 properly networked stream data layer is needed, which uniquely identifies each stream reach and  
426 provides such information as flow direction [Reis *et al.*, 2008]. Such a network is freely available  
427 for the United States and is termed the National Hydrography Dataset (NHD) [available at:  
428 <http://nhd.usgs.gov/>]. It is likely that other regions around the globe already have such a dataset  
429 developed. In addition to the stream network, region-wide spatial data layers of catchment  
430 characteristics are needed so that these characteristics can be computed at the ungauged location  
431 and used to solve the regression equations. If the stream network and spatial data layers of  
432 catchment characteristics are readily available, this software framework can be easily applied  
433 towards a map-based tool to provide estimates of daily streamflow. The underlying in the macro-  
434 enabled spreadsheet can then be customized to the catchment characteristics, fitted regression  
435 equations, and fitted variogram models to link with the catchment delineation.

436 There are several limitations to the methods described in the software tool. Notably, the  
437 software tool assumes that the topographic surface water divides of the catchment are coincident  
438 with the underlying groundwater divides. Therefore, the tool assumes that water draining to the  
439 stream location of interest is contained entirely within the topographic catchment divides. For  
440 regions dominated by groundwater flow, this assumption may not be valid. The methods  
441 underlying the tool also currently do not account for routing, which is an important consideration  
442 for large catchment areas whose response to precipitation events may exceed more than a few

443 days. Lastly, the purpose of the software tool is to provide reliable estimates of historical  
444 streamflow time series for an ungauged location and non-stationarity is not explicitly considered  
445 in the underlying methods. By excluding streamgauges in the methods development that may  
446 have been affected by human use such as dams or water withdrawals, the effects of non-  
447 stationarity are seemingly minimized; however, no attempt was made to explicitly remove study  
448 streamgauges affected by climate non-stationarity in the daily streamflow signal.

## 449 **5. Summary and conclusions**

450 This paper presents one of the first publicly available, map-based software tools to provide  
451 unregulated daily streamflow time series (streamflow not affected by human regulation such as  
452 dams or water withdrawals) for any user-selected river location in a particular study region. In  
453 this study, the software tool was developed and presented for the Connecticut River Basin – a  
454 large river basin located in the northeast United States. For other regions, this study presents an  
455 overall framework which can be applied toward development of a region-specific tool to  
456 estimate daily streamflow at any user-selected river location. The software tool is available at  
457 <http://webdmamrl.er.usgs.gov/s1/sarch/ctrtool/index.html> and requires only an internet  
458 connection, a web browser program, and a macro-based spreadsheet program. Furthermore, the  
459 underlying data used to develop the tool and the source code are freely-available and adaptable  
460 to other regions. Daily streamflow is estimated by a four-part process: 1) delineation of the  
461 drainage area and computation of the basin characteristics for the ungauged location, 2) selection  
462 of a donor streamgauge, 3) estimation of the daily flow-duration curve at the ungauged location,  
463 and 4) use of the donor streamgauge to transfer the flow-duration curve to a time series of daily  
464 streamflow. The software tool, when applied to the Connecticut River Basin, provided reliable  
465 estimates of observed daily streamflows at 31 validation streamgauges across the basin. This

466 software framework and underlying methods can be used to develop map-based, daily-  
467 streamflow estimates needed for water management decisions at ungauged stream locations for  
468 this and potentially other regions.

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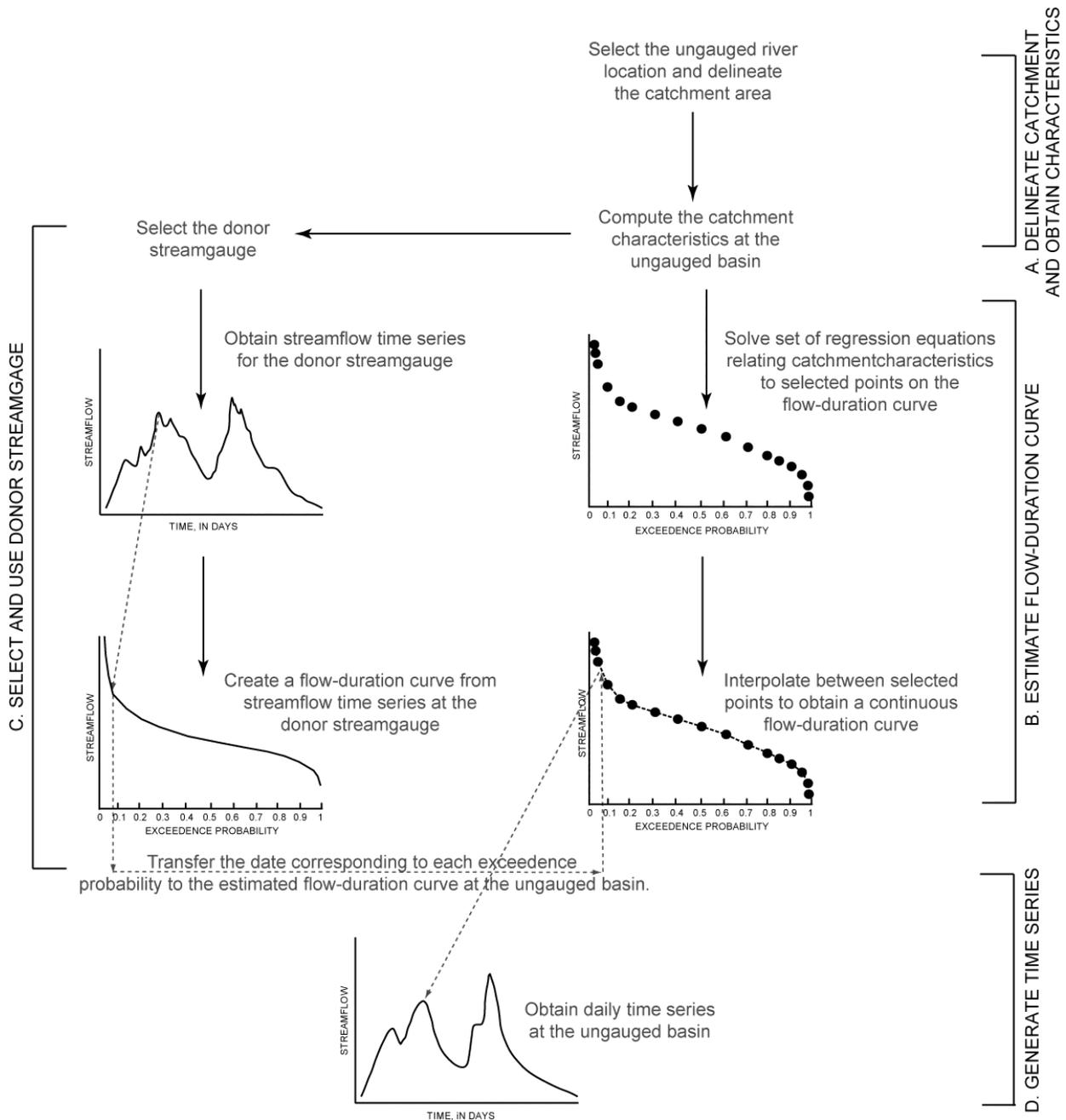
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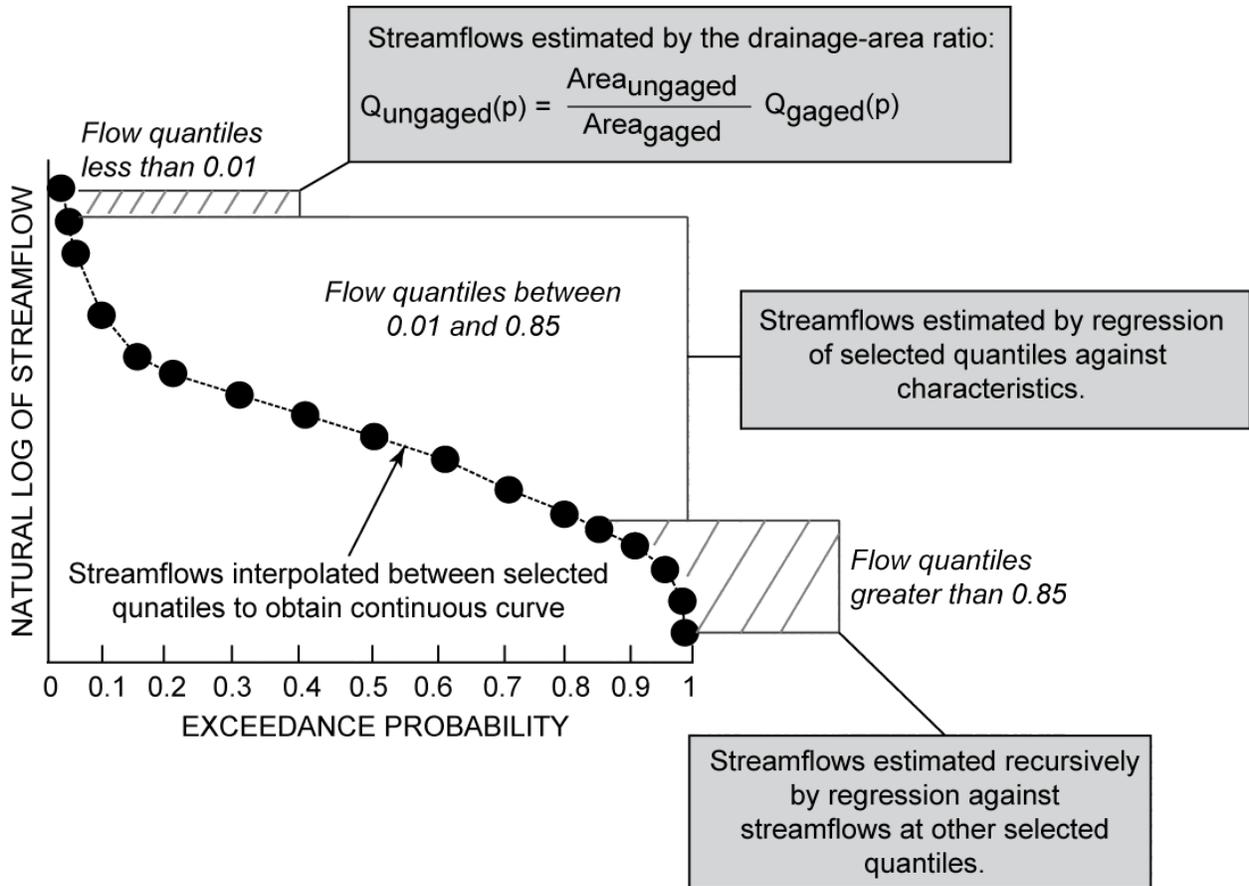


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576 Figure 1. Diagram of the process to estimate unregulated, daily streamflow at ungauged  
 577 locations. An ungauged river location is selected and the catchment characteristics are computed  
 578 (A). The flow-duration curve is then estimated using regression relations between the catchment  
 579 characteristics and selected points on the flow-duration curve (B). A donor streamgauge is then  
 580 selected (C) and used to transfer the estimated flow-duration curve into a time series of daily  
 581 streamflow at the ungauged location (D).

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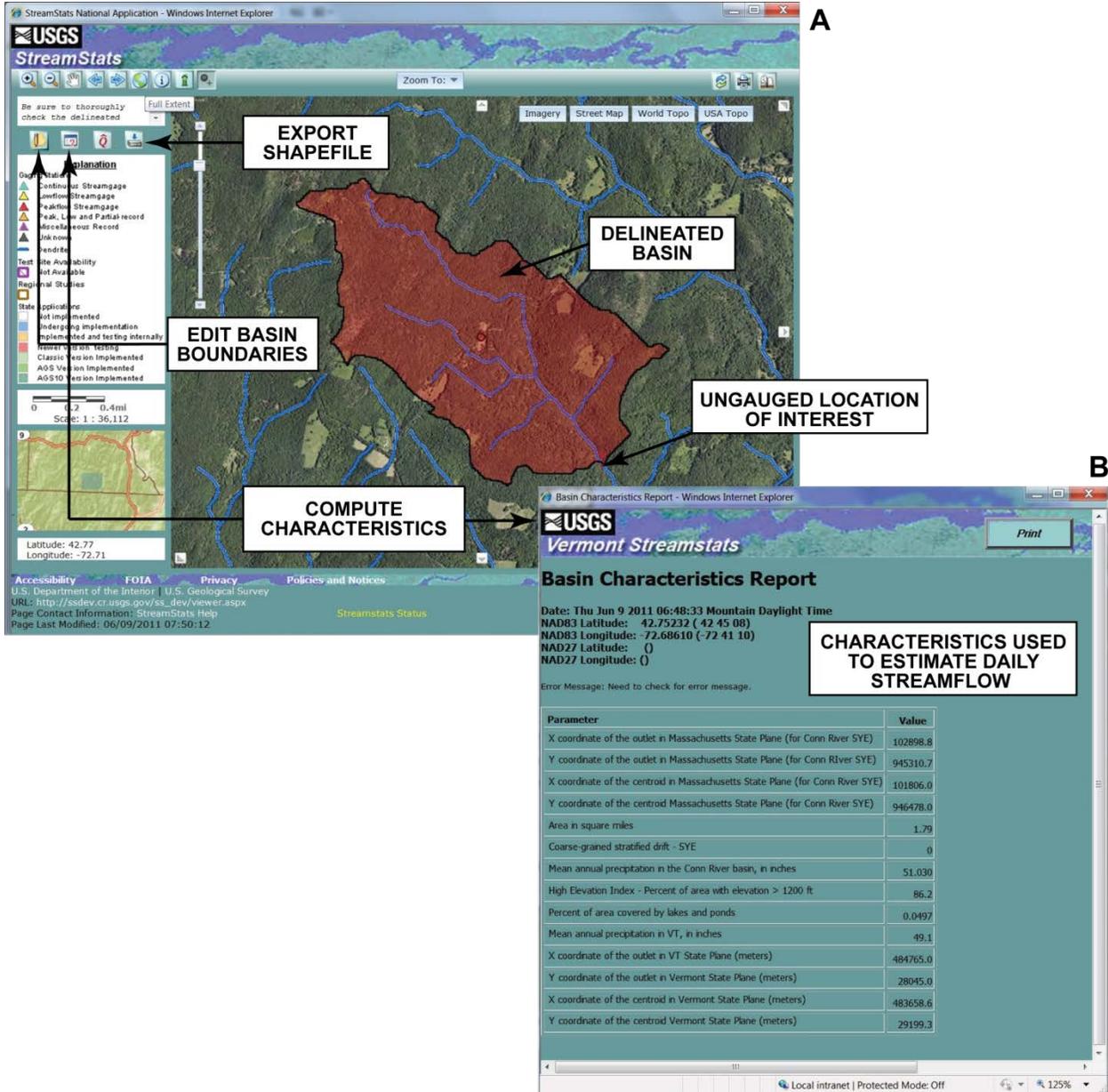
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585 Figure 2. Diagram showing the methods used to estimate a continuous, daily flow duration at an

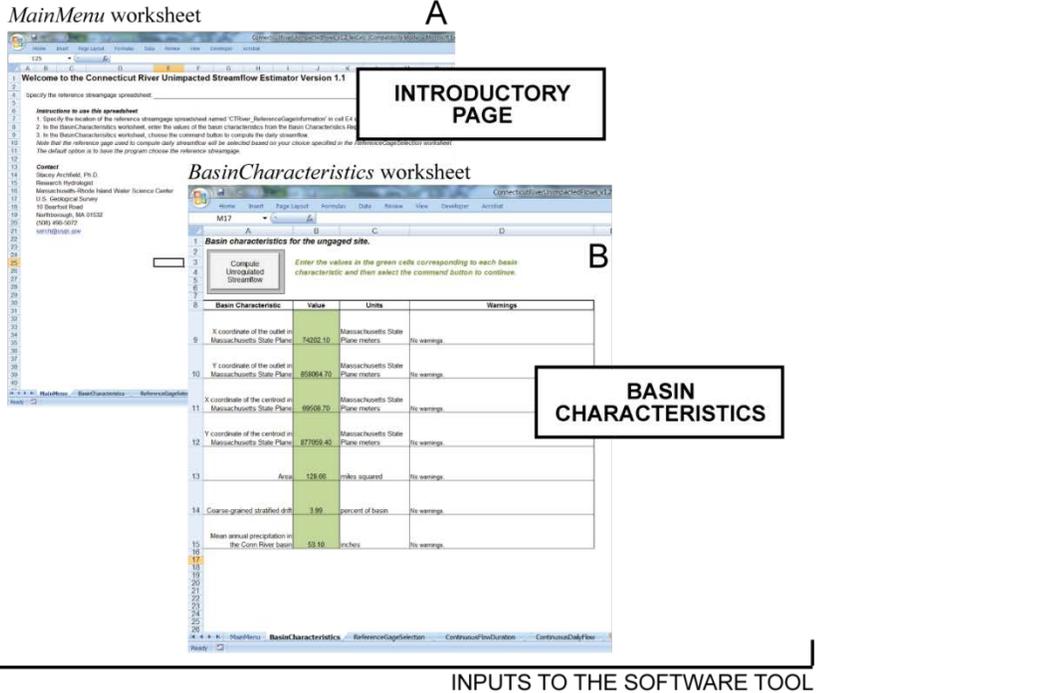
586 ungauged location.

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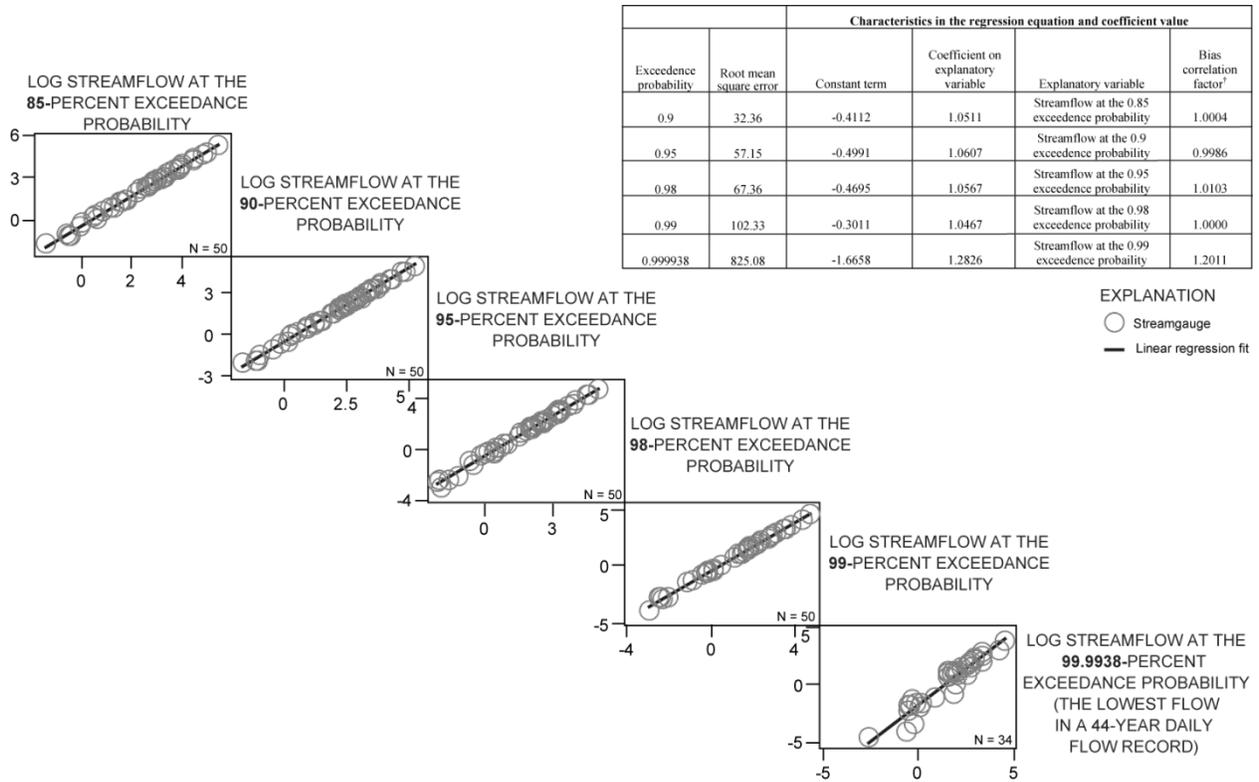
Figure 3. Screen captures showing the map portion of the software tool used to estimate daily, unregulated time series. The program delineates a catchment (or basin, as named in the tool) for the ungauged location selected by the user (A) and summarizes the catchment characteristics (B). The user also has the option to export the shapefile of the delineated catchment or edit the catchment boundaries (A).



OUTPUT FROM THE SOFTWARE TOOL

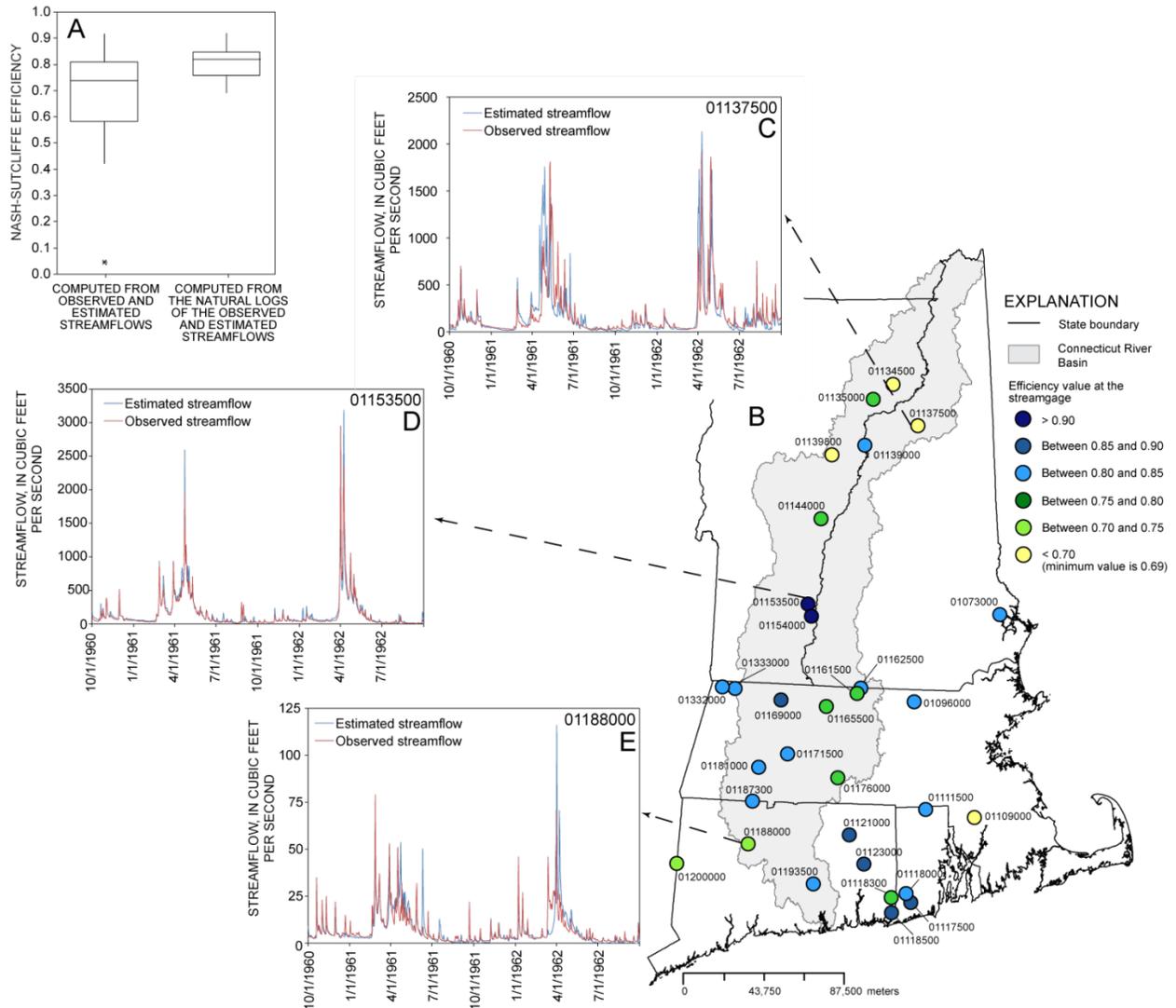
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Figure 4. Screen captures showing the spreadsheet portion of the software tool used to estimate daily, unregulated time series. After reading the introductory page (A), the user inputs the catchment characteristics (or basin characteristics, as named in the tool) into the BasinCharacteristics worksheet (B). The spreadsheet program then selects the donor streamgauge (C) and generates the flow-duration curve (D) and the daily streamflow time series (E).



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Figure 5. Relations between streamflows at the 0.9, 0.95, 0.98, 0.99 and 0.999938 exceedence probabilities and the corresponding goodness of fit values resulting from a least-squares linear regression to estimate streamflows recursively from other streamflow quantiles. (†, Bias correction factor computed from *Duan* (1983).)



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614 Figure 6. Range of efficiency values computed between the observed and estimated streamflows  
 615 at the 31 validation streamgauges (A), spatial distribution of efficiency values resulting from log-  
 616 transformed observed and estimated daily streamflow at 31 validation streamgauges (B) and  
 617 selected hydrographs of observed and estimated streamflow for the period from October 1, 1960  
 618 through September 30, 1962 (C-E). The boxplot (A) shows the median, interquartile ranges and  
 619 the upper and lower limits (defined as 75th percentile  $\pm$  1.5 \* (75th percentile - 25th percentile)).  
 620 Values outside of the upper and lower limits are shown as an asterisk.

621 Table 1. List of streamgauges used to estimate unregulated, daily streamflow at ungauged  
 622 locations in the Connecticut River Basin.

Station Number	Station name	Period of record
01073000	Oyster River near Durham, NH	December 15, 1934 - December 31, 2004
01082000	Contocook River at Peterborough, NH	July 7, 1945 - September 30, 1977
01084500	Beard Brook near Hillsboro, NH	October 1, 1945 - September 30, 1970
01085800	West Branch Warner River near Bradford, NH	May 22, 1962 - September 30, 2004
01086000	Warner River at Davisville, NH	October 1, 1939 - September 30, 1978
01089000	Soucook River near Concord, NH	October 1, 1951 - September 30, 1987
01091000	South Branch Piscataquog River near Goffstown, NH	July 27, 1940 - September 30, 1978
01093800	Stony Brook tributary near Temple, NH	May 1, 1963 - September 30, 2004
01096000	Squannacook River near West Groton, MA	October 1, 1949 - December 31, 2004
01097300	Nashoba Brook near Acton, MA	July 26, 1963 - December 31, 2004
01105600	Old Swamp River near South Weymouth, MA	May 20, 1966 - July 24, 2006
01105730	Indian Head River at Hanover, MA	July 8, 1966 - July 24, 2006
01106000	Adamsville Brook at Adamsville, RI	October 1, 1940 - September 30, 1978
01108000	Taunton River near Bridgewater, MA	October 1, 1929 - April 23, 1976
01109000	Wading River near Norton, MA	June 1, 1925 - December 31, 2004
01111300	Nipmuc River near Harrisville, RI	March 1, 1964 - September 30, 1991
01111500	Branch Riverb at Forestdale, RI	January 24, 1940 - December 31, 2004
01117500	Pawcatuck River at Wood River Junction, RI	December 7, 1940 - December 31, 2004
01118000	Wood River Hope Valley, RI	March 12, 1941 - December 31, 2004
01118300	Pendleton Hill Brook near Clarks Falls, CT	October 1, 1958 - December 31, 2004
01118500	Pawtucket River at Westerly, RI	November 27, 1940 - December 31, 2004
01120000	Hop Brook near Columbia, CT	October 1, 1932 - October 6, 1971
01121000	Mount Hope River near Warrentville, CT	October 1, 1940 - December 31, 2004
01123000	Little River near Hanover, CT	October 1, 1951 - December 31, 2004
01127880	Big Brook Near Pittsburg Nh	December 1, 1963 - January 1, 1984
01133000	East Branch Passumpsic River near East Haven, VT	October 1, 1948 - September 1, 1979
01133500	Passumpsic River near St. Johnsbury, VT	May 1, 1909 - July 1, 1919
01134500	Moose River at Victory, VT	January 1, 1947 - May 12, 2010
01135000	Moose River at St. Johnsbury, VT	August 1, 1928 - September 1, 1983
01137500	Ammonoosuc River at Bethlehem Junction, NH	August 1, 1939 - May 12, 2010
01139000	Wells River at Wells River, VT	August 1, 1940 - May 12, 2010
01139800	East Orange Branch at East Orange, VT	June 1, 1958 - May 12, 2010
01140000	South Branch Waits River near Bradford, VT	April 1, 1940 - September 1, 1951
01141800	Mink Brook near Etna, NH	August 1, 1962 - September 1, 1998
01142000	White River near Bethel, VT	June 1, 1931 - September 1, 1955
01144000	White River at West Hartford, VT	October 1, 1951 - May 12, 2010
01145000	Mascoma River at West Canaan, NH	July 1, 1939 - September 1, 1978
01153500	Williams River near Rockingham, VT	June 1, 1940 - September 1, 1984
01154000	Saxtons River at Saxtons River, VT	June 20, 1940 - September 30, 1982
01155000	Cold River at Drewsville, NH	June 23, 1940 - September 30, 1978
01161500	Tarbell Brook near Winchendon, MA	May 29, 1916 - September 6, 1983
01162500	Priest Brook near Winchendeon, MA	October 1, 1936 - December 31, 2004
01165500	Moss Brook at Wendell Depot, MA	June 1, 1916 - September 30, 1982
01169000	North River at Shattuckville, MA	December 13, 1939 - December 31, 2004
01169900	South River near Conway, MA	January 1, 1967 - December 31, 2004
01171500	Mill River at Northampton, MA	November 18, 1938 - December 31, 2004
01174000	Hop Brook near New Salem, MA	November 19, 1947 - September 30, 1982
01174900	Cadwell Creek near Belchertown, MA	July 13, 1961 - September 30, 1997
01175670	Sevenmile River near Spencer, MA	December 1, 1960 - December 31, 2004
01176000	Quaboag River at West Brimfield, MA	August 19, 1912 - December 31, 2004
01180000	Sykes Brook at Knightville, MA	June 20, 1945 - July 18, 1974
01181000	West Branch Westfield at Huntington, MA	September 1, 1935 - December 31, 2004
01187300	Hubbard River near West Hartland, CT	August 4, 1959 - December 31, 2004
01187400	Valley Brook near West Hartland, CT	October 1, 1940 - September 30, 1972

01188000	Burlington Brook near Burlington, CT	October 1, 1931 - December 31, 2004
01193500	Salmon River near East Hampton, CT	October 1, 1928 - December 31, 2004
01194500	East Branch Eightmile River near North Lyme, CT	October 1, 1937 - October 6, 1981
01198000	Green River near Great Barrington, MA	October 1, 1951 - September 30, 1971
01198500	Blackberry River at Canaan, CT	October 1, 1949 - October 20, 1971
01199050	Salmon Creek at Lime Rock, CT	October 1, 1961 - December 31, 2004
01200000	Ten Mile River, CT	October 1, 1930 - April 4, 1988
01332000	North Branch Hoosic River at North Adams, MA	June 22, 1931 - September 30, 1990
01333000	Green River at Williamstown, MA	September 20, 1949 - December 31, 2004

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625 Table 2. Number of streamgauges, goodness of fit values, explanatory variables, and estimated  
 626 regression parameters for streamflow quantiles estimated from catchment characteristics using  
 627 multiple least squares regression.

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629 [†, Bias correction factor computed from *Duan* (1983)]

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Exceedence probability	General regression information		Estimated regression coefficients					
	Number of stream-gauges used to develop regression equation	Percent root mean square error	Constant term	Drainage area	Average annual precipitation.	Percent of basin that is underlain by sand and gravel deposits	Y-location of the basin centroid	Bias correlation factor <sup>†</sup>
0.02	51	1.49	-26.5758	0.9590	2.3262	0	1.4462	1.0103
0.05	51	0.62	-19.3148	0.9775	1.7521	0	1.0457	1.0023
0.1	51	0.73	-2.1224	0.9982	0.9106	0	0	1.0015
0.15	51	0.60	-2.9777	1.0050	1.0589	0	0	0.9972
0.2	51	0.86	-3.6935	1.0037	1.1920	0	0	0.9957
0.25	51	1.32	-4.6684	1.0110	1.3890	0	0	0.9950
0.3	51	1.86	-5.5394	1.0137	1.5688	0	0	0.9950
0.4	51	3.00	-6.7591	1.0206	1.8000	0	0	0.9960
0.5	51	3.86	-7.6803	1.0269	1.9577	0	0	0.9982
0.6	50	4.40	-8.3466	1.0184	2.0123	0.0804	0	1.0184
0.7	50	6.61	-8.4500	1.0480	1.9072	0.0949	0	1.0278
0.75	50	9.24	-8.7450	1.0655	1.9073	0.1040	0	1.0243
0.8	50	13.58	-9.1085	1.0951	1.9008	0.1251	0	1.0379
0.85	50	21.20	-9.3154	1.1239	1.8480	0.1515	0	1.0565

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632 Table 3. Variogram model parameters and root-mean-square error value resulting from a leave-  
 633 one-out cross validation of the variogram models.  
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Station Number	Variance parameter	Range parameter	Root-mean-square error
01073000	0.0411	697945.4362	0.0399
01085800	0.0115	267272.8077	0.0388
01089000	0.0112	269793.6063	0.0462
01093800	0.0147	267272.7273	0.0416
01096000	0.0389	607472.9297	0.0469
01097300	0.0261	374218.0554	0.0488
01105600	0.0621	557922.7912	0.0488
01105730	0.0677	547625.3299	0.0447
01109000	0.0588	489036.3840	0.0487
01111300	0.0444	435141.4397	0.0470
01111500	0.0649	664951.4696	0.0452
01117500	0.0964	846131.5260	0.0548
01118000	0.0680	547336.8809	0.0456
01118300	0.0541	478962.6030	0.0421
01118500	0.1548	1255724.6703	0.0469
01121000	0.0440	467562.3777	0.0442
01123000	0.0487	476803.1943	0.0457
01127880	0.0475	451474.0307	0.0241
01134500	0.0585	593052.1148	0.0491
01135000	0.0828	885228.5293	0.0574
01137500	0.0421	469510.7730	0.0194
01139000	0.0354	483627.8140	0.0309
01139800	0.0224	369057.2000	0.0255
01141800	0.0116	267272.7273	0.0264
01144000	0.0155	302281.0433	0.0328
01153500	0.0135	267272.7081	0.0409
01154000	0.0129	213818.1818	0.0470
01161500	0.0187	337256.6753	0.0447
01162500	0.0176	291135.1932	0.0436
01165500	0.0291	445510.0450	0.0417
01169000	0.0190	317944.4643	0.0402
01169900	0.0245	398758.9250	0.0442
01171500	0.0310	393869.0688	0.0454
01174000	0.0249	330495.4703	0.0443
01174900	0.0321	412573.1453	0.0430
01175670	0.0366	486730.2368	0.0463
01176000	0.0357	526274.7021	0.0498
01181000	0.0333	502453.4839	0.0426
01187300	0.0566	846080.6046	0.0422
01188000	0.0313	454196.0564	0.0427
01193500	0.0412	435477.5668	0.0445
01199050	0.0212	368184.1116	0.0414
01200000	0.0401	538909.4325	0.0444
01332000	0.0114	175180.2029	0.0370
01333000	0.0148	267272.7273	0.0341

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