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# The CSIRO Mk3L climate system model version 1.0 – Part 1: Description and evaluation

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## Abstract

The CSIRO Mk3L climate system model is a coupled general circulation model, designed primarily for millennial-scale climate simulations and palaeoclimate research. Mk3L includes components which describe the atmosphere, ocean, sea ice and land

<sup>5</sup> surface, and combines computational efficiency with a stable and realistic control climatology. This paper describes the model physics and software, and evaluates its ability to simulate the present-day climate.

Mk3L incorporates a spectral atmospheric general circulation model, a *z*-coordinate ocean general circulation model, a dynamic-thermodynamic sea ice model and a land <sup>10</sup> surface scheme with static vegetation. The source code is highly portable, and has no dependence upon proprietary software. The model distribution is freely available to the research community. A 1000-year climate simulation can be completed in around one-and-a-half months on a typical desktop computer, with greater throughput being possible on high-performance computing facilities.

- Mk3L produces realistic simulations of the larger-scale features of the present-day climate, although with some biases on the regional scale. The model also produces reasonable representations of the leading modes of internal climate variability in both the tropics and extratropics. The control state of the model exhibits a high degree of stability, with only a weak cooling trend on millennial timescales. Ongoing development
   work aims to improve the model climatology and transform Mk3L into a comprehensive
- earth system model.

#### 1 Introduction

The CSIRO Mk3L climate system model is a computationally-efficient atmosphereland-sea ice-ocean general circulation model, designed for the study of climate variability and change on millennial timescales. It represents a new version of the CSIRO

<sup>25</sup> ability and change on millennial timescales. It represents a new version of the CSIRO climate model, the history of which is described by Smith (2007). The atmosphere,



land and sea ice models are reduced-resolution versions of those used by the CSIRO Mk3 coupled model (Gordon et al., 2002), which contributed towards the IPCC Fourth Assessment Report (Solomon et al., 2007). The ocean model is taken from the CSIRO Mk2 coupled model (Gordon and O'Farrell, 1997), which contributed towards the IPCC

<sup>5</sup> Third Assessment Report (Houghton et al., 2001). This combination produces a coupled climate system model that has both a realistic control climatology and very fast execution times.

The spatial resolution of Mk3L is similar to that of reduced-resolution versions of other IPCC-class climate models, including the Community Climate System Model

- <sup>10</sup> (Yeager et al., 2006), the Hadley Centre Coupled Ocean-Atmosphere General Circulation Model (Cox et al., 2000; Jones, 2003; Jones et al., 2004) and the GFDL coupled climate model (Dixon et al., 2003). The resolution is also the same as that of another reduced-resolution version of the CSIRO climate model (Mk3A; Rotstayn et al., 2007). In contrast to EMICs (Earth system Models of Intermediate Complex-
- ity; Claussen et al., 2002), such reduced-resolution models retain full atmospheric and oceanic physics. They are therefore capable of producing reasonable representations of modes of internal climate variability such as El Niño-Southern Oscillation. However, their computational efficiency allows new classes of scientific problems to be studied. Reduced-resolution models can be used, for example, to generate transient simula-
- tions of palaeoclimate epochs, to study the equilibrium response of the climate system to external forcings, or to carry out large ensembles of simulations.

The atmospheric component of Mk3L is a spectral general circulation model with a reduced horizontal resolution of R21. This gives zonal and meridional resolutions of 5.625° and  $\sim 3.18^{\circ}$  respectively. A hybrid vertical coordinate is used, with 18 vertical

<sup>25</sup> levels. The model incorporates both a cumulus convection scheme and a prognostic stratiform cloud scheme. The radiation scheme simulates the full annual and diurnal cycles of longwave and shortwave radiation, and is able to calculate the cloud radiative forcings.



The land surface model employs a soil-canopy scheme and allows for 13 land surface and/or vegetation types and nine soil types. Prognostic soil and snow models describe the evolution of six soil layers and three snow layers, respectively. The vegetation types and land surface property distributions are pre-determined, however, and are therefore static.

The sea ice model includes both ice dynamics and ice thermodynamics. A cavitating fluid rheology is used to represent internal resistance to deformation, while the three-layer model of Semtner (1976) is used to represent the thermodynamics of ice and snow. Fractional ice cover is permitted, representing the presence of leads and polynyas.

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The oceanic component of Mk3L is a coarse-resolution, rigid-lid, *z*-coordinate general circulation model. The horizontal grid matches the Gaussian grid of the atmosphere model, and there are 21 vertical levels. The prognostic variables are potential temperature, salinity, and the zonal and meridional components of the horizontal veloc-

ity. The vertical velocity is diagnosed through the application of the continuity equation.
 The scheme of Gent and McWilliams (1990) is used to parameterise the adiabatic transport of tracers by mesoscale eddies.

When coupled, the atmospheric and oceanic components exchange fields every hour, ensuring that the model simulates diurnal cycles of sea surface temperature and

<sup>20</sup> salinity. Flux adjustments are applied to ensure that the control climate of the model is stable on millennial timescales.

The model can be run in three different configurations: (i) the fully-coupled climate system model, (ii) a stand-alone atmosphere-land-sea ice model, or (iii) a stand-alone ocean model. The latter two modes are used primarily for the purpose of spinning up the model.

The source code has been designed to ensure that Mk3L is portable across a wide range of computer architectures, whilst also being computationally efficient. Dependence on external libraries is restricted to the netCDF and FFTW libraries, both of which are freely available and open source, while a high degree of shared-memory





parallelism is achieved through the use of OpenMP directives. On an Intel Core 2 Duo processor, the coupled climate system model completes around 22 model years per day of walltime. Greater throughput can be achieved on high-performance computing facilities.

<sup>5</sup> Mk3L is freely available to the research community under minimal licensing conditions. A subversion repository is used to manage the model distribution.

This paper describes version 1.0 of the CSIRO Mk3L climate system model, and evaluates its ability to simulate the present-day climate. Section 2 describes the model physics, while Sect. 3 describes the model software and evaluates its computational performance. The ability of the atmosphere, land, sea ice and ocean models to simulate the mean state of the present-day climate is evaluated in Sects. 4 and 5. The climatology of the coupled climate system model is evaluated in Sect. 6, and plans for future development are discussed in Sect. 7.

A companion paper analyses the response of the model to external forcings. User documentation, as well as further information regarding the initial development of Mk3L, is provided by Phipps (2006a).

## 2 Model description

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## 2.1 Atmosphere model

The Mk3L atmosphere model is based on the atmospheric component of the CSIRO Mk3 coupled model (Gordon et al., 2002), but with the horizontal resolution reduced from spectral triangular T63 to spectral rhomboidal R21. The zonal and meridional resolutions of the model physics grid are therefore 5.625° and ~3.18° respectively. The vertical resolution of 18 levels is retained.

The dynamical core of the atmosphere model is based upon the spectral method, and uses the flux form of the dynamical equations (Gordon, 1981). Physical parameterisations and non-linear dynamical flux terms are calculated on a latitude-longitude



grid, with Fast Fourier Transforms used to map fields between their spectral and gridded forms. Semi-Lagrangian transport is used to advect moisture (McGregor, 1993), and gravity wave drag is parameterised using the formulation of Chouinard et al. (1986).

A hybrid vertical coordinate is used, which is denoted as the  $\eta$ -coordinate. As in

<sup>5</sup> the  $\sigma$ -system, where  $\sigma$  is the ratio of the pressure to the surface pressure, the Earth's surface forms the first coordinate surface. The remaining coordinate surfaces then gradually revert to isobaric levels with increasing altitude. The 18 vertical levels used in the Mk3L atmosphere model are listed in Table 1 (Gordon et al., 2002, Table 1).

The topography is derived by interpolating the 1° × 1° dataset of Gates and Nelson (1975a) onto the model grid. Some adjustments are then made so as to avoid areas of significant negative elevation upon fitting to the truncated resolution of the spectral model (Gordon et al., 2002). The resulting topography is shown in Fig. 1.

Time integration is via a semi-implicit leapfrog scheme, with a Robert-Asselin time filter (Robert, 1966) used to prevent decoupling of the time-integrated solutions at odd and even timesteps. The model uses a timestep of 20 min.

The radiation scheme treats solar (shortwave) and terrestrial (longwave) radiation independently. Full radiation calculations are conducted every two hours, allowing for both the annual and diurnal cycles. Clear-sky radiation calculations are also performed at each radiation timestep. This enables the cloud radiative forcings to be determined using Method II of Cess and Potter (1987), with the forcings being given by the differences between the radiative fluxes calculated with and without the effects of clouds.

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The shortwave radiation scheme is based on the approach of Lacis and Hansen (1974), which divides the shortwave spectrum into 12 bands. Within each of these bands, the radiative properties are taken as being uniform. Ozone concentrations are taken from the AMIP II recommended dataset (Wang et al., 1995). The method of Berger (1978) is used to calculate the Earth's orbital parameters.

The longwave radiation scheme uses the parameterisation developed by Fels and Schwarzkopf (Fels and Schwarzkopf, 1975, 1981; Schwarzkopf and Fels, 1985, 1991), which divides the longwave spectrum (wavelengths longer than  $5 \,\mu$ m) into seven bands.





The planetary boundary layer is described using a modified version of the stabilitydependent scheme of Louis (1979). The scheme of Holtslag and Boville (1993) is used to incorporate the nonlocal effects of large eddy transport.

The cumulus convection scheme is based on the UK Meteorological Office scheme

- <sup>5</sup> (Gregory and Rowntree, 1990), and generates both the amount and the liquid water content of convective clouds. This scheme is coupled to the prognostic cloud scheme of Rotstayn (1997, 1998) and Rotstayn et al. (2000), which calculates the amount of stratiform cloud using the three prognostic variables of water vapour mixing ratio, cloud liquid water mixing ratio and cloud ice mixing ratio.
- In the stand-alone atmosphere model, four types of surface gridpoint are employed: land, sea, mixed-layer ocean and sea ice. The temperatures of the sea gridpoints are determined by monthly-mean observed sea surface temperatures. Linear interpolaton in time is used to estimate values at each timestep, with no allowance for any diurnal variation. At high latitudes, sea gridpoints may be converted to mixed-layer ocean gridpoints, with oalf computed temperatures; these can then evolve interpolate
- <sup>15</sup> points, with self-computed temperatures; these can then evolve into sea ice gridpoints. This is discussed further in the description of the sea ice model (Sect. 2.3).

## 2.2 Land surface model

The land surface model is an enhanced version of the soil-canopy scheme of Kowalczyk et al. (1991, 1994). A new parameterisation of soil moisture and temperature has

- <sup>20</sup> been implemented, a greater number of soil and vegetation types are available, and a multi-layer snow cover scheme has been incorporated (Gordon et al., 2002). The land surface model uses the same horizontal grid and timestepping as the atmosphere model. The zonal and meridional resolutions are therefore 5.625° and ~ 3.18°, respectively, with a timestep of 20 min.
- <sup>25</sup> The soil-canopy scheme allows for 13 land surface and/or vegetation types and nine soil types. The land surface property distributions are pre-determined, with seasonallyvarying values being provided for the albedo and roughness length, and annual-mean values for the vegetation fraction. The stomatal resistance is calculated by the model,



as are seasonally-varying vegetation fractions for some vegetation types. The soil model has six layers, the thicknesses of which are shown in Table 2. The total depth of the soil column at all gridpoints is 4.6 m. Soil temperature and the liquid water and ice contents are calculated as prognostic variables. Run-off occurs once the surface layer becomes saturated, and is assumed to travel instantaneously to the ocean via the path of steepest descent.

The snow model computes the temperature, snow density and thickness of three snowpack layers, as well as the snow albedo. The maximum snow depth is set at 4 m, with any excess snowfall being converted to run-off. Ice sheets are not explicitly represented, although there are land surface and soil types that reflect the presence of perennial ice cover.

#### 2.3 Sea ice model

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The sea ice model includes both ice dynamics and ice thermodynamics, and is described by O'Farrell (1998). Internal resistance to deformation is parameterised using
the cavitating fluid rheology of Flato and Hibler (1990, 1992). The thermodynamic component is based on the model of Semtner (1976), which splits the sea ice into three layers, one for snow and two for ice. Sea ice gridpoints are allowed to have fractional ice cover, representing the presence of leads and polynyas. The sea ice model uses the same horizontal grid as the atmosphere model, and the zonal and meridional resolutions are therefore 5.625° and ~ 3.18° respectively. A timestep of 1 h is used.

Ice advection arises from the forcing from above by atmospheric wind stresses, and from below by oceanic currents. When running as part of the coupled climate system model, the currents are obtained from the ocean model; in stand-alone mode, the currents are determined using a monthly climatology, with linear interpolation in time being used to estimate values at each timestep.

The advance and retreat of the ice edge in the stand-alone atmosphere-land-sea ice model is controlled by using a mixed-layer ocean model to compute water temperatures for those sea gridpoints which lie adjacent to sea ice (Gordon et al., 2002). The



mixed-layer ocean has a fixed depth of 100 m, and the evolution of the water temperature is determined using the surface heat flux terms and a weak relaxation towards the prescribed sea surface temperature. The relaxation timescale used is 23 days. A mixed-layer ocean gridpoint can become a sea ice gridpoint either when its temper-

- <sup>5</sup> ature falls below the freezing point of seawater, which is taken as being -1.85 °C, or when ice is advected into it from an adjacent sea ice gridpoint. When a mixed-layer ocean gridpoint is converted to a sea ice gridpoint, the initial ice concentration is set to 4%. The neighbouring equatorward gridpoint, if it is a sea gridpoint, is then converted to a mixed-layer ocean gridpoint.
- <sup>10</sup> Surface processes can increase the ice thickness through the conversion of snow to ice. When the depth of the snow cover exceeds 2 m, the excess is converted into an equivalent amount of ice. Alternatively, when the weight of snow becomes so great that the floe becomes completely submerged, any submerged snow is converted into "white" ice. Surface processes can also reduce the ice thickness through melting and <sup>15</sup> sublimation.

Lateral and basal ice growth and melt are determined by the temperature of the mixed-layer ocean. Additional ice can grow when the water temperature falls below the freezing point of seawater, -1.85 °C, subject to a maximum allowable thickness of 6 m. Once the water temperature rises above -1.5 °C, half of any additional heating is used to melt ice; once it rises above -1.0 °C, all of the additional heating is used to melt ice. In the stand-alone atmosphere-land-sea ice model, a sea ice gridpoint is converted back to a mixed-layer ocean gridpoint once the sea ice has disappeared. The neighbouring equatorward gridpoint, if it is a mixed-layer ocean gridpoint, is then converted back to a sea gridpoint.

#### 25 2.4 Ocean model

The Mk3L ocean model is a coarse-resolution, rigid-lid, *z*-coordinate general circulation model, based on the oceanic component of the CSIRO Mk2 coupled model (Gordon and O'Farrell, 1997; Hirst et al., 2000; Bi et al., 2001, 2002). The CSIRO ocean model



is, in turn, based on the implementation by Cox (1984) of the primitive equation numerical model of Bryan (1969).

The prognostic variables calculated by the model are potential temperature, salinity, and the zonal and meridional components of the horizontal velocity. The Arakawa <sup>5</sup> B-grid (Arakawa and Lamb, 1977) is used, in which the tracer gridpoints are located at the centres of the gridboxes and the horizontal velocity gridpoints are located at the corners. The vertical velocity is diagnosed through application of the continuity equation, which enforces conservation of mass in an incompressible fluid.

The model uses a longitude-latitude grid, with walls at the north and south poles. The horizontal grid matches the Gaussian grid of the atmosphere model, such that the tracer gridpoints on the ocean model grid coincide with the gridpoints on the atmosphere model physics grid. The zonal and meridional resolutions are therefore 5.625° and  $\sim 3.18^{\circ}$ , respectively. There are 21 vertical levels, which are listed in Table 3.

The bottom topography is derived by interpolating the 1° × 1° dataset of Gates and Nelson (1975b) onto the model grid, with some slight smoothing to ensure that a solution is achieved when calculating the barotropic streamfunction (Cox, 1984). The resulting bathymetry is shown in Fig. 2.

The land/sea mask used by the ocean model differs from that used by the atmosphere model. The tips of South America and the Antarctic Peninsula are removed,

- ensuring that Drake Passage accommodates three horizontal velocity gridpoints. To ensure adequate resolution of the Greenland-Scotland sill, Iceland is also removed; likewise, a re-arrangement of the land/sea mask ensures adequate resolution of the flows through the Indonesian archipelago. Svalbard, which occupies a single isolated gridpoint on the atmosphere model grid, is not represented in the ocean model. Any
- straits that have a width of only one gridpoint on the tracer grid are closed, as these will not contain any horizontal velocity gridpoints. The Bass, Bering, Gibraltar, Hudson and Torres Straits, the Mozambique Channel and the Sea of Japan are therefore removed, while the Canadian archipelago becomes a land bridge.



The bathymetry defines six basins which have no resolved connection with the world ocean: the Baltic, Black, Caspian and Mediterranean Seas, Hudson Bay and the Persian Gulf. With the exception of the Caspian Sea, each of these basins exchanges water with the world ocean via straits which are not resolved on the model grid. The effects of these exchanges are parameterised within the model through an imposed

mixing between the gridpoints which lie to either side of each unresolved strait.

Time integration is via a leapfrog scheme, with mixing timesteps conducted once every 19 tracer timesteps to prevent decoupling of the time-integrated solutions at odd and even timesteps. Fourier filtering is used to reduce the timestep limitation arising from the CFL criterion (e.g., Washington and Parkinson, 1986) associated with the con-

- from the CFL criterion (e.g., Washington and Parkinson, 1986) associated with the convergence of meridians at high latitudes, particularly in the Arctic Ocean (Cox, 1984). Fourier filtering is applied northward of 79.6° N in the case of tracers, and northward of 81.2° N in the case of horizontal velocities. The rigid-lid boundary condition (Cox, 1984) is employed to remove the timestep limitation associated with high-speed exter-
- <sup>15</sup> nal gravity waves. The ocean bottom is assumed to be insulating, while no-slip and insulating boundary conditions are applied at lateral boundaries.

The stand-alone ocean model employs an asynchronous timestepping scheme, with a timestep of 1 day used to integrate the tracer equations, and a timestep of 20 min used to integrate the momentum equations. Within the coupled climate system model

– and during the final stage of spin-up runs, prior to coupling to the atmosphere-land-sea ice model – a synchronous timestepping scheme is employed, with a timestep of 1 h used to integrate both the tracer and momentum equations.

The vertical diffusivity varies as the inverse of the Brunt-Väisälä frequency, following the scheme of Gargett (1984). The minimum diffusivity is set to  $3 \times 10^{-5}$  m<sup>2</sup> s<sup>-1</sup>, except in the upper levels of the ocean, where it is increased to simulate the effects of mixing induced by surface winds. The minimum diffusivity between the upper two levels of the model is set to  $2 \times 10^{-3}$  m<sup>2</sup> s<sup>-1</sup>, while that between the second and third levels is set to  $1.5 \times 10^{-4}$  m<sup>2</sup> s<sup>-1</sup>. Whenever static instability arises, the vertical diffusivity is increased to 100 m<sup>2</sup> s<sup>-1</sup>, simulating convective mixing; convection is therefore treated



via the diffusivity field rather than the velocity field.

Diffusion of tracers along surfaces of constant neutral density (isoneutral diffusion) is represented by the scheme of Cox (1987). In the default configuration of the model, the isoneutral diffusivity is set to the depth-independent value of  $1000 \text{ m}^2 \text{ s}^{-1}$ .

- The adiabatic transport of tracers by mesoscale eddies is represented by the scheme of Gent and McWilliams (1990) and Gent et al. (1995). An eddy-induced horizontal transport velocity is diagnosed, which is added to the resolved large-scale horizontal velocity to give an effective horizontal transport velocity. The continuity equation can be used to derive the vertical component of either the eddy-induced transport velocity or the effective transport velocity. The default values for the isopycnal thickness diffusivity
- the effective transport velocity. The default values for the isopycnal thickness diffusivity are shown in Table 3. Note that the values for levels 1 to 5 are fixed, and that upper limits are imposed on the values for levels 6 and 7. This decrease in the isopycnal thickness diffusivity in the upper layers, with a value of zero at the surface, is required by the continuity constraint imposed on the eddy-induced transport (e.g., Danabasoglu et al. 2008)
- <sup>15</sup> et al., 2008).

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In the stand-alone ocean model, the temperature and salinity of the upper layer are relaxed towards prescribed values for the sea surface temperature (SST) and sea surface salinity (SSS). The default relaxation timescale is 20 days. Instantaneous values for the SST, SSS, and the zonal and meridional components of the surface wind stress, are determined from monthly climatologies, with linear interpolation in time being used to estimate values at each timestep.

## 2.5 Coupled climate system model

Within the Mk3L coupled climate system model, the atmosphere-land-sea ice model (AGCM) and ocean model (OGCM) exchange fields every 1 h. This is in contrast to the approach employed by many other state-of-the-art climate system models, whereby fields are exchanged only once per day (e.g., Collins et al., 2006). More frequent coupling allows the model to explicitly resolve diurnal cycles in sea surface temperature and salinity. In other models, this has been found to improve the simulated climate



in the tropics, with enhancements that include better representation of dynamical processes in the upper ocean, a reduction in the biases in sea surface temperature, an enhanced seasonal cycle, and better representations of the Madden-Julian Oscillation and El Niño-Southern Oscillation (Bernie et al., 2007, 2008; Danabasoglu et al., 2006).

- <sup>5</sup> The Mk3L coupled climate system model runs in a synchronous mode. One OGCM timestep (1 h) is followed by three AGCM timesteps (3 × 20 min). Four fields are passed from the OGCM to the AGCM: the sea surface temperature (SST), sea surface salinity (SSS), and the zonal and meridional components of the surface velocity. Instantaneous values are passed for the surface velocity, and act as the bottom boundary condition
- on the sea ice model for the following three AGCM timesteps. The OGCM passes two copies of the SST and SSS fields, however: one containing the values at the current OGCM timestep, and one containing the values which have been predicted for the next OGCM timestep. The AGCM then uses linear interpolation in time to estimate the SST and SSS at each AGCM timestep.
- Four fields are also passed from the AGCM to the OGCM: the surface heat and salt fluxes, and the zonal and meridional components of the surface momentum flux. The surface fluxes are averaged over the three consecutive AGCM timesteps, before being passed to the OGCM. Mk3L employs a novel scheme to convert the surface freshwater flux to an equivalent salt flux, using the actual SSS at each gridpoint to perform the conversion, and applying a global offset to ensure conservation of freshwater (Phipps, 2006a).

Flux adjustments are applied within the coupled model for two reasons: to improve the realism of the simulated climate, and to minimise drift. Following the approach of Sausen et al. (1988), adjustments are applied to each of the fluxes passed from the

AGCM to the OGCM, and also to the SST and SSS. The need to apply adjustments to the surface velocities is avoided by employing a suitable spin-up procedure for the AGCM, whereby climatological values diagnosed from the OGCM are used.



## 3 Software and computational performance

The source code for Mk3L has been designed to ensure that the model is portable across a wide range of computer architectures. It is written entirely in Fortran, with a high degree of shared-memory parallelism being achieved through the use of

- <sup>5</sup> OpenMP directives. Dependence on external libraries is restricted to the netCDF and FFTW libraries, both of which are freely available and open source. It has been verified that the model will compile and run correctly on a wide variety of Intel-based architectures, as well as on the Compaq Alpha and SGI Origin scalar architectures, and on the CRAY SV1 and NEC SX6 vector architectures.
- To maximise the computational performance of the model, Mk3L is compiled and executed as a single program, with the coupling routines integrated into the source code for each of the component models. This approach eliminates the inefficiencies that can arise from load imbalances and the overheads associated with communication between different executables. However, it contrasts with the more modular approach
   employed by some other models (e.g., Collins et al., 2006), which compile and execute
  - each component as a separate program.

Table 4 shows the computational performance of the Mk3L coupled climate system model on typical state-of-the-art architectures for high-performance computing facilities (Intel Nehalem), servers (Intel Xeon) and desktop computers (Intel Core 2 Duo). The

<sup>20</sup> use of shared-memory parallelism, rather than distributed-memory parallelism, limits the number of cores on which the model can be run. However, very fast execution times can still be achieved, with a 1000-year climate simulation able to be completed in as little as 13 days.

The subversion revision control system is used to manage the model distribution, with Mk3L freely available to the research community under minimal licensing conditions. Researchers can gain access to the subversion repository by completing the online application form at http://www.tpac.org.au/main/csiromk3l/.



## 4 Atmosphere-land-sea ice model evaluation

As Mk3L is intended primarily for palaeoclimate studies, the pre-industrial climate is used as the control state of the model. For the purposes of this evaluation, the atmosphere-land-sea ice model was therefore integrated to equilibrium for pre-industrial conditions. The experimental design was consistent with that specified by PMIP2 (http://pmip2.lsce.ipsl.fr/), with an atmospheric carbon dioxide concentration of

280 ppm, a solar constant of 1365 W m<sup>-2</sup>, and modern (1950 CE) values for the Earth's orbital parameters.

World Ocean Atlas 1998 (Ocean Climate Laboratory, 1999) sea surface tempera tures were applied as the bottom boundary condition, while the ocean currents required by the sea ice model were diagnosed from a stand-alone ocean model simulation. The model was integrated for 50 years, with the final 40 years being used for analysis.

In this section, the simulated climatology is evaluated against observational and reanalysis datasets. These datasets document the present-day state of the climate, and

- discrepancies between the model and observations may therefore arise from the use of a pre-industrial scenario as the control state of the model. Discrepancies may also arise from the assumption that the pre-industrial climate was in equilibrium with the contemporary boundary conditions. In reality, the large thermal inertia of the deep ocean, in combination with the time-varying nature of boundary conditions such as the Earth's orbital parameters, ensures that the climate system can only ever be in a state
- <sup>20</sup> Earth's orbital parameters, ensures that the climate system can only ever be in a state of quasi-equilibrium (Weaver et al., 2000).

## 4.1 Surface air temperature

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Figure 3a,b shows the simulated annual-mean surface air temperature, and compares it with the NCEP-DOE Reanalysis 2 (Kanamitsu et al., 2002, hereafter referred to as
NCEP2). The root-mean-square error is 1.89 K, with the model agreeing with the reanalysis to within 1 K over 60% of the Earth's surface, and to within 2 K over 85% of the Earth's surface. The only large-scale discrepancies are over Hudson Bay, where the model is too warm, and over Western Antarctica, where it is too cold.



Figure 3c–f shows the simulated mean surface air temperatures for December-January-February (DJF) and June-July-August (JJA), and the discrepancies relative to NCEP2. The large-scale discrepancies in the annual-mean surface air temperature over both Hudson Bay and Western Antarctica can be seen to arise from the simulated winter temperatures. The excessively warm winter temperatures over Hudson Bay are associated with the failure by the model to form sea ice in this region (Sect. 4.6).

The global-mean temperatures, and the means over land and over the ocean, are shown in Table 5. Although Mk3L is slightly cooler than NCEP2, the model was integrated for pre-industrial conditions while the NCEP2 values represent the 1979–2003 climatology. Indeed, the global-mean discrepancy of -0.63 K is consistent with the observed global-mean surface air temperature increase of  $0.76 \pm 0.19$  K between 1850–1899 and 2001–2005 (Trenberth et al., 2007).

#### 4.2 Cloud

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Figure 4 shows the simulated annual-mean cloud cover, and compares it with the observed climatology of Warren et al. (1986, 1988) and Hahn et al. (1995), hereafter referred to as the Warren climatology. The simulated cloud cover is in good agreement with observations over land and over the high-latitude oceans. However, the model has excessive cloud cover over the tropical oceans, particularly in the Western Pacific Ocean. The model also fails to reproduce the marine stratocumulus which is encountered in the north-eastern and south-eastern Pacific Ocean, and in the south-eastern Atlantic Ocean. These clouds are often poorly simulated by climate models and yet, through reflection of sunlight, have a strong influence on the surface heat fluxes in

these regions (Terray, 1998; Bretherton et al., 2004).
 The global-mean cloud cover, and the means over land and over the ocean, are
 shown in Table 6. The model is in good agreement with the observed climatology over land, but the excess in the simulated cloud cover over the ocean is apparent.



## 4.3 Precipitation

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Annual-mean precipitation is shown in Fig. 5 for Mk3L and version 2.01 of the observed climatology of Legates and Willmott (1990). The model is able to reproduce the large-scale features of the global distribution of precipitation, including the monsoonal precipitation associated with the South Pacific Convergence Zone.

However, there are some regional-scale biases. Over the Western Tropical Pacific and Indian Oceans, where the simulated cloud cover is excessive, the simulated precipitation is also excessive. Over the Eastern Tropical Pacific, Indian and Atlantic Oceans, however, the simulated precipitation is deficient. The model is also too dry over the Indonesian archipelago and Central and Southwestern Australia.

#### 4.4 Atmospheric temperature and winds

Figure 6 shows the zonal means of the simulated annual-mean atmospheric temperature and zonal wind speed, and compares them with NCEP2.

The model simulates the vertical distribution of temperature well, with both the tem-<sup>15</sup> perature and height of the minimum at the tropical tropopause being correctly sim-<sup>16</sup> ulated. However, there is a cool bias throughout the troposphere, and a warm bias throughout the stratosphere. These biases may be due, at least in part, to the use of pre-industrial greenhouse gas concentrations in the model. The biases are most pronounced over Antarctica, where the troposphere is up to 8.9 K too cool and the <sup>20</sup> stratosphere up to 5.5 K too warm. In the tropics, the biases in the simulated vertical profile of temperature do not exceed 3.5 K in magnitude.

The model also simulates the features of the zonal-mean circulation well, including both the strengths and positions of the atmospheric jets, and the net westerly winds in the tropical stratosphere. In the Southern Hemisphere, however, the cores of both the subtropical and polar jets are located slightly too far to the north.



## 4.5 Radiation

Figure 7a shows the zonal means of the annual-mean fluxes of shortwave and longwave radiation at the top of the atmosphere, for Mk3L and ERBE (the Earth Radiation Budget Experiment; Barkstrom, 1984). The model can be seen to simulate the zonal distribution of the radiative fluxes very well.

The zonal means of the annual-mean shortwave and longwave cloud forcing are shown in Fig. 7b. The simulated longwave cloud forcing is in good agreement with observations at all latitudes. There are discrepancies in the simulated shortwave cloud forcing at high latitudes, although it should be noted that the ERBE dataset is potentially unreliable over sea ice (Lubin et al., 1998).

Table 7 shows the annual-mean fluxes of outgoing shortwave and longwave radiation at the top of the atmosphere. Because of the potential biases in the ERBE dataset at high latitudes, the comparison is restricted to the region  $60^{\circ}$  S– $60^{\circ}$  N. The model slightly overestimates the magnitudes of both the net outgoing fluxes of shortwave and

<sup>15</sup> longwave radiation at the top of the atmosphere. The excess of outgoing longwave radiation is due to deficient longwave absorption under clear-sky conditions. This is likely related, at least in part, to the use in the model of an atmospheric CO<sub>2</sub> concentration appropriate for pre-industrial conditions. The magnitude of the simulated shortwave cloud forcing is in excellent agreement with observations, while the model slightly overestimates the longwave cloud forcing.

#### 4.6 Sea ice

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The simulated seasonal cycles in the Northern and Southern Hemisphere sea ice extents and volumes are plotted in Fig. 8. Sea ice extents derived from the NOAA Optimum Interpolation (OI) sea surface temperature analysis v2 (Reynolds et al., 2002) are also shown; this analysis combines satellite observations with in situ observations from ships and buoys. The conventional definition of sea ice extent (e.g., Parkinson



et al., 1999) is employed, namely that the sea ice extent is defined as the area over which the ice concentration is greater than or equal to 15%.

The simulated ice extents lag the observed values by around one month; this time lag can be attributed to the relaxation bottom boundary condition on the temperature <sup>5</sup> of the mixed-layer ocean, which is employed by the stand-alone atmosphere-land-sea ice model at high latitudes (Sect. 2.3). The simulated annual range in Southern Hemisphere ice extent is in excellent agreement with observations; in the Northern Hemisphere, the summer minimum is well reproduced by the model, but sea ice covers too small an area in winter. The simulated annual-mean sea ice extent in the Northern Hemisphere is  $10.4 \times 10^{12} \text{ m}^2$ , which is 15% less than the observed value of  $12.2 \times 10^{12} \text{ m}^2$ ; the simulated annual-mean extent in the Southern Hemisphere is  $12.5 \times 10^{12} \text{ m}^2$ , which is 5% less than the observed value of  $13.2 \times 10^{12} \text{ m}^2$ .

Figures 9 and 10 compare the simulated March and September ice concentrations, for the Northern and Southern Hemispheres, respectively, with those derived from the

NOAA OI analysis v2. In the Northern Hemisphere, the deficiency in the winter sea ice extent can be seen to arise from the failure by the model to form sea ice in the Hudson Bay region. While the simulated extents in the Southern Hemisphere are in reasonable agreement with observations, the sea ice concentrations are much too low in both the Weddell and Ross Seas.

The simulated March and September sea ice thicknesses are shown in Fig. 11. Observational datasets of ice thickness are limited in both spatial and temporal coverage. However, Wadhams (2000), summarising the available data, indicates that ice thicknesses in the Arctic range from ~ 1 m in the sub-polar regions, such as Baffin Bay and the Southern Greenland Sea, to ~ 7–8 m along the northern coasts of Greenland and

the Canadian archipelago. Compared to these estimates, the simulated Arctic sea ice cover is too thin; this is likely to be due, at least in part, to the fact that the maximum allowable sea ice thickness in the model is 6 m (Sect. 2.3).

Worby et al. (2008), combining data from 83 ship voyages and 2 helicopter flights for the period 1980–2005, derive mean summer and winter sea ice thicknesses for



the Antarctic of  $1.17 \pm 1.12$  m and  $0.66 \pm 0.60$  m, respectively. Compared to these estimates, the simulated Antarctic sea ice thickness is reasonable in winter, but too thin in summer. This suggests that the model underestimates the proportion of multi-year ice.

## 5 Ocean model evaluation

For the purposes of this evaluation, the ocean model was integrated to equilibrium in accordance with PMIP2 experimental design (http://pmip2.lsce.ipsl.fr/). The World Ocean Atlas 1998 dataset (Ocean Climate Laboratory, 1999) was used to initialise the model. It was then forced with climatological wind stresses derived from the NCEP-DOE Reanalysis 2 (Kanamitsu et al., 2002), while the temperature and salinity of the upper layer of the model were relaxed towards the World Ocean Atlas 1998 values.

The relaxation timescale used was 20 days.

The model was integrated for 4500 years. Between the penultimate and final centuries of the simulation, the magnitudes of the changes in the mean temperature and salinity on each model level did not exceed  $1.9 \times 10^{-3}$  K or  $1.8 \times 10^{-4}$  psu, respectively. The final 100 years of the simulation are used for analysis.

In this section, the simulated climatology is evaluated against observations. Analagously to the atmosphere-land-sea ice model evaluation (Sect. 4), discrepancies may arise from the assumption that the twentieth-century ocean was in equilibrium with the contemporary boundary conditions.

#### 20 5.1 Water properties

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The vertical profiles of potential temperature and salinity are shown in Fig. 12, for both Mk3L and the World Ocean Atlas 1998. The potential temperature represents the temperature that a volume of seawater would have if raised adiabatically to the surface. While the model prognoses the potential temperature, the World Ocean Atlas 1998 dataset contains in situ temperatures. These are therefore converted to potential

<sup>25</sup> 1998 dataset contains in situ temperatures. These are therefore converted to potential temperature, using the multivariant polynomial method of Bryden (1973).



The model ocean is too warm above 1500 m, but too cold at depth. It is also has a consistent fresh bias, with the magnitude of the model discrepancy increasing with depth. Taking the average over the bottom five model levels, which span the depth range 2350–4600 m, the model is too cold by 1.01 K and too fresh by 0.28 psu.

- Figure 13 shows the simulated zonal-mean potential temperature and salinity, and compares them with the World Ocean Atlas 1998. The model is too warm in the Arctic Ocean, and in the tropical and mid-latitude upper ocean. In contrast, it is too cold in the Southern Ocean and at depth. The simulated zonal-mean salinity exhibits a modest negative bias at most latitudes and depths. A slight positive bias in the upper ocean in
   the Southern Hemisphere arises from the failure by the model to adequately simulate
  - the formation of Antarctic Intermediate Water.

The cold and fresh bias of the deep ocean is consistent with the biases exhibited by other ocean models with comparable physics and spatial resolutions (e.g., Moore and Reason, 1993; England and Hirst, 1997; Hirst et al., 2000; Bi, 2002). These de-

<sup>15</sup> ficiencies in the simulated climate can be attributed, at least in part, to the use of the relaxation surface boundary condition, as a result of which the model is unable to adequately simulate the peak winter density and salinity of high-latitude surface waters (Phipps, 2006b).

## 5.2 Thermohaline circulation

- <sup>20</sup> The meridional overturning streamfunctions for the world ocean, and for the Atlantic and Pacific/Indian Oceans, are shown in Fig. 14; the rate of overturning is calculated by integrating both the large-scale and eddy-induced transport components. The rates of formation of North Atlantic Deep Water (NADW) and Antarctic Bottom Water (AABW) are 13.6 Sv and 9.5 Sv, respectively.
- Observationally-based estimates of the rate of NADW formation lie within the range 15–20 Sv (Gordon, 1986), while those for the rate of AABW formation lie within the range 5–15 Sv (e.g., Gill, 1973; Carmack, 1977). The observed distribution of dissolved chlorofluorocarbons has also been used to produce estimated rates of NADW and



AABW formation of ~ 17.2 Sv (Smethie and Fine, 2001) and ~ 8.1–9.4 Sv (Orsi et al., 1999), respectively. The simulated rate of AABW formation is therefore consistent with observational estimates, while the rate of NADW formation is too weak.

Similar biases in the simulated rate of NADW formation are encountered in other ocean models with comparable physics and spatial resolutions (e.g., England and Hirst, 1997; Hirst et al., 2000; Bi, 2002). As AABW is ~ 2 K colder than NADW (Orsi et al., 2001), this provides a possible explanation for the cold bias in the simulated deep ocean. This hypothesis is consistent with the fact that the cold bias arises from those regions of the world ocean that are ventilated by AABW (Fig. 13b).

## 10 5.3 Barotropic circulation

The annual-mean barotropic streamfunction is shown in Fig. 15. The Antarctic Circumpolar Current is evident, as are the mid-latitude gyres in the Atlantic, Pacific and Indian Oceans.

The simulated rate of transport through Drake Passage is 145 Sv, which agrees well
<sup>15</sup> with observational estimates. Cunningham et al. (2003), using the data from six hydrographic sections conducted across Drake Passage between 1993 and 2000 as part of the World Ocean Circulation Experiment (WOCE), estimate that the rate of transport is 136.7±7.8 Sv. Stammer et al. (2003), using an ocean global circulation model to assimilate WOCE data over the same period, estimate that the rate of transport through Drake Passage is 124±5 Sv. The agreement between Mk3L and observations is particularly good when it is considered that other global ocean models simulate rates of transport through Drake Passage which range from less than 100 Sv to more than 200 Sv (Olbers et al., 2004).

The simulated western boundary currents, however, are too weak and too diffuse. The maximum strength of the simulated Gulf Stream, for example, is 23.9 Sv, whereas the observed strength is ~ 30.5 Sv (Schott et al., 1988). This problem is encountered in coarse-resolution ocean models (e.g., Moore and Reason, 1993; Bi, 2002), and arises from the large horizontal viscosity which is required to ensure resolution of viscous



boundary layers at the lateral walls (Bryan et al., 1975). For the configuration of the model analysed herein, the horizontal viscosity was set to  $9 \times 10^5 \text{ m}^2 \text{s}^{-1}$ .

# 6 Coupled climate system model evaluation

This section examines a control simulation conducted using the coupled climate system model. The model was integrated under pre-industrial boundary conditions, consistent with PMIP2 experimental design (http://pmip2.lsce.ipsl.fr/). The atmospheric carbon dioxide concentration was held constant at 280 ppm, while present-day values were used for the Earth's orbital parameters. The model was initialised from the final states of the atmosphere-land-sea-ice and ocean model simulations presented above, and was then integrated for 4000 years. Flux adjustments were applied.

The nature of the internal variability is examined in Sect. 6.1; this analysis is based on years 201 to 1200 of the model simulation. The drift in the mean climate state is examined in Sect. 6.2.

# 6.1 Climate variability

## 15 6.1.1 Tropics

Figure 16 shows the leading principal component (PC) of the monthly sea surface temperature anomalies within the region  $45^{\circ}$  S– $45^{\circ}$  N, for Mk3L and for the HadISST1 observational analysis (Rayner et al., 2003).

In both the model and the analysis, El Niño-Southern Oscillation (ENSO; Philander, 1990) is the leading mode of variability in tropical sea surface temperatures. While the model successfully captures the overall spatial structure of the SST anomalies associated with ENSO, the location of greatest SST variability is located too far to the west. In the model, the largest anomalies in the equatorial Pacific Ocean occur at 163° W whereas, in the analysis, they occur at 113° W.



The simulated ENSO variability is also too weak. In the model, the standard deviations of the monthly SST anomalies in the Niño 3.4 ( $170^{\circ}-120^{\circ}$  W,  $5^{\circ}$  S– $5^{\circ}$  N) and Niño 3 ( $150^{\circ}-90^{\circ}$  W,  $5^{\circ}$  S– $5^{\circ}$  N) regions are 0.51 K and 0.40 K, respectively. In contrast, the standard deviations for the period 1871–2003 according to the HadISST1 analysis

- <sup>5</sup> are 0.76 K and 0.79 K, respectively. Thus the amplitude of the simulated ENSO variability is 33% weaker than the observed variability in the Niño 3.4 region, and 50% weaker than the observed variability in the Niño 3 region. As the region of greatest SST variability is located too far to the west in the model, the simulated variability is particularly deficient in the Niño 3 region.
- The wavelet power spectra of the Niño 3.4 SST anomaly, for both the model and for HadISST1, are shown in Fig. 17. Wavelet spectra were calculated using the method of Torrence and Compo (1998), modified following Liu et al. (2007) to ensure a physically consistent definition of energy. The observed power spectrum has peak variability at 3.6 years, with a weak secondary maximum at 13.1 years. The simulated power spectrum, however, has peak variability at 6.0 years, with a secondary maximum of almost equal magnitude at 20.2 years. The simulated ENSO has less power than
- observations at periods shorter than 6 years, and more power than observations on interdecadal timescales.

Within Mk3L, the simulated ENSO is therefore too weak, too slow, and characterised
 by excessive modulation on interdecadal timescales. The region of greatest SST variability is also located too far to the west. Such failings are typical of low-resolution coupled climate system models, such as those which participated in the Coupled Model Intercomparison Project (AchutaRao and Sperber, 2002). Higher-resolution models, such as those which contributed to the IPCC Fourth Assessment Report, are able to

<sup>25</sup> simulate ENSO variability more realistically (Guilyardi, 2006; Guilyardi et al., 2009). The deficiencies in the simulated ENSO variability within Mk3L are therefore likely to be a consequence of the reduced spatial resolution.



## 6.1.2 Extratropics

Figure 18a,b shows the leading principal component of the monthly 500 hPa geopotential height anomalies for the Northern Hemisphere extratropics, defined here as the region 20°–90° N, for Mk3L and for NCEP2. The Northern Annular Mode (NAM, also known as the Arctic Oscillation; Thompson and Wallace, 1998, 2000) is the leading mode of variability in both the model and the analysis, with PC1 (PC2) explaining 13.3% (10.4%) and 14.2% (9.7%) of the variance in Mk3L and NCEP2, respectively. The model captures the zonally-symmetric nature of the NAM, with negative geopotential height anomalies in the polar region surrounded by positive anomalies at midlatitudes. However, the largest negative anomaly is located over Alaska in the model, whereas it occurs over the North Atlantic in the reanalyis. In the Atlantic sector, the simulated variability therefore consists of a north-sorth dipole, in contrast to the more tripolar structure seen in the reanalysis. Over the Pacific Ocean, the simulated variability is too weak, with the maximum geopotential height anomaly located too far to the

15 south and west.

Figure 18c,d shows the leading principal component of the monthly 500 hPa geopotential height anomalies for the Southern Hemisphere extratropics, defined here as the region 20°–90° S, for Mk3L and for NCEP2. The Southern Annular Mode (SAM, also known as the Antarctic Oscillation; Thompson and Wallace, 2000; Marshall, 2003) is
the leading mode of variability in both the model and the analysis, with PC1 (PC2) explaining 23.4% (8.3%) and 17.6% (10.8%) of the variance in Mk3L and NCEP2, respectively. Although the model is able to capture the annular nature of the SAM, the simulated variability exhibits an excessive zonal symmetry. The largest negative geopotential height anomalies lie over Eastern Antarctica, with the model failing to response the dipole in variability in the Southern Pacific Ocean

<sup>25</sup> solve the dipole in variability in the Southern Pacific Ocean.



# 6.2 Climate drift

In a climate system model that is designed primarily for millennial-scale climate simulations, the rate of drift in the control state should be negligible. Mk3L employs fixed annual-cycle flux adjustments to minimise the rate of drift, as well as to improve the realism of the simulated climate. The adjustments are intended to prevent the mean

realism of the simulated climate. The adjustments are intended to prevent the mean states of the atmosphere, land surface, sea ice and ocean from drifting away from the states of the stand-alone models (Phipps, 2006b). This section examines the rate and nature of any residual drift that remains.

#### 6.2.1 Surface air temperature

<sup>10</sup> The evolution of the simulated global-mean surface air temperature (SAT) is shown in Fig. 19a. The model exhibits a slow but steady cooling trend, which becomes well established after year 1200. The global-mean SAT decreases 0.61 K by the end of the simulation, with the average rate of cooling being 0.015 K per century. There is no difference between the rates of change over land and over the ocean.

#### 15 6.2.2 Sea ice

Figure 19b,c shows the evolution of the sea ice extent and volume in each hemisphere. Some initial adjustment during the first century of the simulation is apparent. In the Northern Hemisphere, this consists of the expansion of ice cover into Hudson Bay and the Labrador Sea (not shown), bringing the simulated ice cover into better agreement with observations. Thereafter, the Northern Hemisphere ice extent is relatively stable, increasing from 12.0 to  $12.9 \times 10^{12} \text{ m}^2$  between the second and final centuries. The sea ice extent in the Southern Hemisphere, however, exhibits a steady upwards trend after year 500. Between the second and final centuries of the simulation, the extent increases from 12.5 to  $16.6 \times 10^{12} \text{ m}^2$ .



The sea ice volumes in each hemisphere exhibit similar behaviour to the sea ice extent. The Northern Hemisphere ice volume is relatively stable, increasing from 12.8 to  $15.2 \times 10^{12}$  m<sup>3</sup> between the second and final centuries of the simulation. This increase occurs at around year 1500, when the global cooling trend causes ice cover to form in the Caspian Sea for the first time (not shown). The Southern Hemisphere sea ice volume exhibits a steady upwards trend after year 500, increasing from 5.1 to  $7.9 \times 10^{12}$  m<sup>3</sup> between the second and final centuries.

## 6.2.3 Sea surface temperature and salinity

The evolutions of the simulated global-mean sea surface temperature (SST) and sea
 <sup>10</sup> surface salinity (SSS) are shown in Fig. 20. The drift in the simulated SST is similar to that in the simulated surface air temperature, with a steady cooling trend becoming established by year 1200. The global-mean SST decreases 0.44 K by the end of the simulation, with the average rate of cooling being 0.011 K per century. The simulated global-mean SSS exhibits a slow but steady freshening trend, decreasing 0.11 psu over
 <sup>15</sup> the course of the simulation.

#### 6.2.4 Oceanic circulation

Figure 21 shows the evolution in the rates of North Atlantic Deep Water (NADW) and Antarctic Bottom Water (AABW) formation, and in the rate of volume transport through Drake Passage. After some initial adjustment, the rates of deep water formation sta-

<sup>20</sup> bilise. Excluding the first century of the simulation, the mean rates of NADW and AABW formation are 14.4 and 11.9 Sv, respectively. The Antarctic Circumpolar Current, how-ever, exhibits a slight strengthening trend, with the mean strength increasing from 146 to 160 Sv between the second and final centuries of the simulation.



## 6.2.5 Summary

The control climate of the Mk3L coupled climate system model exhibits a high degree of stability on millennial timescales. Over the course of a 4000-year control simulation, the global-mean surface air temperature cools at an average rate of just 0.015 K per

- <sup>5</sup> century. This cooling trend is much smaller than that exhibited by the majority of models with comparable physics and spatial resolutions (Bell et al., 2000; Lambert and Boer, 2001). The drift exhibited by Mk3L is less, typically by between one and two orders of magnitude, than that exhibited by all seven of the non-flux adjusted models which participated in the Coupled Model Intercomparison Project (Lambert and Boer, 2001, Table 7), and by all but one of the eight models which employed flux adjustments. The only model to exhibit a smaller rate of drift was CSIRO Mk2, on which Mk3L is partly
- only model to exhibit a smaller rate of drift was CSIRO Mk2, on which Mk3L is partly based.

The cooling trend exhibited by Mk3L is slow but consistent, and therefore represents a source of concern. If it were to continue, it would restrict the utility of the model for

- climate simulations of  $O(10^4)$  years and longer. Further analysis of the drift (Phipps, 2006b) reveals that it is a consequence of the initial adjustment during the first century of the simulation, during which the Northern Hemisphere sea ice cover expands into Hudson Bay and the Labrador Sea. The consequent cooling in the North Atlantic results in a decrease in the temperature of North Atlantic Deep Water, which leads to
- <sup>20</sup> a slow but ongoing cooling of the ocean. It is therefore possible that the drift could be avoided by initialising the model with more realistic sea ice cover.

#### 7 Future development

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Future development work will address the deficiencies in the model climatology that have been identified herein. These deficiencies include both the biases in the control climatology, and the drift that is encountered within the coupled climate system model.



Biases in the mean state that are particularly significant include the excessive cloud cover and precipitation over the tropical oceans, the deficient winter sea ice cover in the Northern Hemisphere, and the cold and fresh nature of the deep ocean. The simulated cloud cover and precipitation could be improved through enhancements to the model physics, while the simulated sea ice cover and deep ocean could be improved through

the use of better spin-up procedures.

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The deficiencies in the simulated El Niño-Southern Oscillation should also be addressed. Although this could be achieved through an increase in the spatial resolution, this would impact upon the computational performance. Experience with other models has shown that a better representation of ENSO can alternatively be achieved through improvements to the parameterisation of atmospheric convection (Neale et al., 2008),

or through reductions in the horizontal viscosity (Large et al., 2000) or vertical diffusivity (Meehl et al., 2001) used in the ocean.

- Mk3L has a very stable control climatology, but there is a residual cooling trend that should be minimised. It is possible that this could be achieved by initialising the model with more realistic sea ice cover. Furthermore, the stability of the control climatology is achieved partly through the use of flux adjustments. This is inherently undesirable, and future development efforts will seek to produce a non-flux-adjusted version of the model that nonetheless has a stable and realistic control climatology.
- <sup>20</sup> Ongoing development work also seeks to upgrade physical schemes within the model, and to incorporate representations of additional components of the earth system. Future versions of Mk3L will include the CABLE land surface model (Kowalczyk et al., 2006), the CLM-DGVM dynamic global vegetation model (Levis et al., 2004), the ocean biogeochemistry model of Matear and Hirst (2003) and the tropospheric aerosol
- scheme of Rotstayn et al. (2007). A dynamic ice sheet model (e.g., Rutt et al., 2009) and simulation of stable isotopes (e.g., Noone and Simmonds, 2002) are also being considered for incorporation. These developments will transform Mk3L from a *climate* system model into an *earth* system model, allowing it to be used to address a whole new class of scientific questions.



## 8 Conclusions

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The CSIRO Mk3L climate system model has been described and evaluated. The model is designed primarily for millennial-scale climate simulations, and includes representations of the atmosphere, ocean, sea ice and land surface. The model distribution is freely available to the research community.

The simulated surface air temperature, sea ice, cloud cover and precipitation exhibit broad agreement with observations. However, the model fails to form sea ice in Hudson Bay, with surface air temperatures which are too warm in this region in winter. Other discrepancies in the simulated climate include Arctic sea ice which is generally too thin, excessive cloud cover over the tropical oceans, poor representation of marine stratocumulus, and regional biases in precipitation.

The simulated oceanic circulation is reasonable, with the rate of Antarctic Bottom Water formation and the strength of the Antarctic Circumpolar Current being in good agreement with observations. However, the rate of North Atlantic Deep Water formation

<sup>15</sup> is too weak, and the western boundary currents are too weak and diffuse. The deep ocean is also too cold and too fresh.

The coupled climate system model produces reasonable representations of the leading modes of internal climate variability. The dominant mode of tropical climate variability is El Niño-Southern Oscillation, although the simulated ENSO is too weak, too slow,

- and characterised by excessive modulation on interdecadal timescales. The dominant modes of extratropical climate variability are the Northern and Southern Annular Modes. The geopotential height anomalies associated with each of these modes are reasonably well represented, although the simulated Southern Annular Mode exhibits an excessive zonal symmetry.
- The model is found to exhibit a high degree of stability, with the global-mean surface air temperature cooling at a rate of just 0.015 K per century over the course of a 4000-year control simulation. This drift arises from changes in the Northern Hemisphere sea ice cover during the first century, and could potentially be avoided through





improvements to the spin-up procedure. The strength of the thermohaline circulation exhibits no long-term trend.

Future development work will seek to address deficiencies in the model climatology and to incorporate representations of additional components of the earth system.

# 5 Appendix A

The model simulations analysed herein are experiments c15 (atmosphere-land-sea-ice model), h53 (ocean model) and d73 (coupled climate system model). Years 201–1200 of experiment d73 have been submitted to the PMIP2 database

under experiment pmip2\_0k\_oa, with the model name CSIRO-Mk3L-1.0.

# <sup>10</sup> Supplementary material related to this article is available online at: http://www.geosci-model-dev-discuss.net/4/219/2011/ gmdd-4-219-2011-supplement.pdf.

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Interactive Discussion



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| <b>GM</b><br>4, 219–2                                      | <b>GMDD</b><br>4, 219–287, 2011 |  |  |  |  |  |
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# CSIRO Mk3L v1.0 – Part 1: Description and evaluation

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| Table 1. | The hybrid    | vertical | levels | used  | within | the | Mk3L | atmosphere | model: | the | value | of th | he |
|----------|---------------|----------|--------|-------|--------|-----|------|------------|--------|-----|-------|-------|----|
| η-coordi | nate, and the | e approx | imate  | heigh | t (m). |     |      |            |        |     |       |       |    |

| Level<br>( <i>k</i> ) | η      | Approximate<br>height (m) |
|-----------------------|--------|---------------------------|
| 18                    | 0.0045 | 36 355                    |
| 17                    | 0.0216 | 27 360                    |
| 16                    | 0.0542 | 20 600                    |
| 15                    | 0.1001 | 16 550                    |
| 14                    | 0.1574 | 13650                     |
| 13                    | 0.2239 | 11 360                    |
| 12                    | 0.2977 | 9440                      |
| 11                    | 0.3765 | 7780                      |
| 10                    | 0.4585 | 6335                      |
| 9                     | 0.5415 | 5070                      |
| 8                     | 0.6235 | 3970                      |
| 7                     | 0.7023 | 3025                      |
| 6                     | 0.7761 | 2215                      |
| 5                     | 0.8426 | 1535                      |
| 4                     | 0.8999 | 990                       |
| 3                     | 0.9458 | 575                       |
| 2                     | 0.9784 | 300                       |
| 1                     | 0.9955 | 165                       |

| Level<br>( <i>k</i> ) | Thickness<br>(m) |
|-----------------------|------------------|
| 1                     | 0.022            |
| 2                     | 0.058            |
| 3                     | 0.154            |
| 4                     | 0.409            |
| 5                     | 1.085            |
| 6                     | 2.872            |

| <b>GM</b><br>4, 219–2                           | <b>GMDD</b><br>4, 219–287, 2011   |  |  |  |  |
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**Table 3.** The vertical levels used within the Mk3L ocean model: the thickness, the depth of the centre and base of each gridbox, and the value of the isopycnal thickness diffusivity.

| Level        | Thickness | Depth  | Depth (m) |                                   |
|--------------|-----------|--------|-----------|-----------------------------------|
| ( <i>k</i> ) | (m)       | Centre | Base      | (m <sup>2</sup> s <sup>-1</sup> ) |
| 1            | 25        | 12.5   | 25        | 0*                                |
| 2            | 25        | 37.5   | 50        | 70*                               |
| 3            | 30        | 65     | 80        | 180 <sup>*</sup>                  |
| 4            | 37        | 98.5   | 117       | 290*                              |
| 5            | 43        | 138.5  | 160       | 420*                              |
| 6            | 50        | 185    | 210       | $580^{\dagger}$                   |
| 7            | 60        | 240    | 270       | $770^{\dagger}$                   |
| 8            | 80        | 310    | 350       | $1000^{\ddagger}$                 |
| 9            | 120       | 410    | 470       | 1000 <sup>‡</sup>                 |
| 10           | 150       | 545    | 620       | 1000 <sup>‡</sup>                 |
| 11           | 180       | 710    | 800       | 1000 <sup>‡</sup>                 |
| 12           | 210       | 905    | 1010      | $1000^{\ddagger}$                 |
| 13           | 240       | 1130   | 1250      | 1000 <sup>‡</sup>                 |
| 14           | 290       | 1395   | 1540      | 1000 <sup>‡</sup>                 |
| 15           | 360       | 1720   | 1900      | 1000 <sup>‡</sup>                 |
| 16           | 450       | 2125   | 2350      | 1000 <sup>‡</sup>                 |
| 17           | 450       | 2575   | 2800      | $1000^{\ddagger}$                 |
| 18           | 450       | 3025   | 3250      | 1000 <sup>‡</sup>                 |
| 19           | 450       | 3475   | 3700      | 1000 <sup>‡</sup>                 |
| 20           | 450       | 3925   | 4150      | 1000 <sup>‡</sup>                 |
| 21           | 450       | 4375   | 4600      | 1000 <sup>‡</sup>                 |

\* These values are fixed.

<sup>†</sup> These are the maximum allowable values; however, lower values may be specified by the user.

<sup>‡</sup> These are the default values; however, alternative values may be specified by the user.

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**Table 4.** The computational performance of the Mk3L coupled climate system model: execution rates in model years per day of walltime.

| Processor type           | Nu | mber | of co | res |
|--------------------------|----|------|-------|-----|
| and speed                | 1  | 2    | 4     | 8   |
| Intel Nehalem 2.93 GHz   | 15 | 28   | 48    | 74  |
| Intel Xeon 3.0 GHz       | 14 | 22   | 33    | 50  |
| Intel Core 2 Duo 3.0 GHz | 13 | 22   | -     | -   |

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**Table 5.** Annual-mean surface air temperature (°C): Mk3L, NCEP2 (1979–2003 average), and the model discrepancy (Mk3L minus NCEP2).

|       | Mk3L  | NCEP2 | Discrepancy |
|-------|-------|-------|-------------|
| Globe | 13.78 | 14.41 | -0.63       |
| Land  | 8.31  | 8.59  | -0.28       |
| Ocean | 16.05 | 16.73 | -0.68       |

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Table 6. Annual-mean total cloud cover (percent): Mk3L and the Warren climatology.

|       | Mk3L  | Warren* |
|-------|-------|---------|
| Globe | 67.41 | 62.33   |
| Land  | 55.47 | 56.41   |
| Ocean | 72.39 | 65.01   |

\* The Warren climatology only covers 98.0% of the Earth's surface.

| Table 7. | Annual-mean heat fluxes | (W m <sup>-2</sup> , positive | downward) a | at the top | of the | atmosphere, |
|----------|-------------------------|-------------------------------|-------------|------------|--------|-------------|
| for Mk3L | and ERBE (1985-1990 av  | verage).                      |             |            |        |             |

| Flux                                       | Mk3L    | ERBE    |  |  |  |  |
|--|---------|---------|--|--|--|--|
| Outgoing shortwave radiation (60° S–60° N) |         |         |  |  |  |  |
| Net  | -101.89 | -100.20 |  |  |  |  |
| Clear sky                                  | -51.49  | -49.81  |  |  |  |  |
| Cloud forcing                              | -50.40  | -50.41  |  |  |  |  |
| Outgoing longwave radiation (60° S–60° N)  |         |         |  |  |  |  |
| Net  | -246.57 | -242.90 |  |  |  |  |
| Clear sky                                  | -279.77 | -274.30 |  |  |  |  |
| Cloud forcing                              | +33.21  | +31.39  |  |  |  |  |





Fig. 1. The topography of the Mk3L atmosphere model: the elevation of land gridpoints (m).





Fig. 2. The bathymetry of the Mk3L ocean model: the depth of ocean gridpoints (m).







**Fig. 3.** Surface air temperature (°C), for Mk3L and NCEP2 (1979–2003 average): **(a, c, e)** Mk3L, annual, DJF and JJA means, respectively, and **(b, d, f)** Mk3L minus NCEP2, annual, DJF and JJA means, respectively.



Fig. 4. Annual-mean total cloud cover (percent): (a) Mk3L, (b) Warren climatology, (c) zonal mean over land, and (d) zonal mean over the ocean.







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Fig. 5. Annual-mean precipitation (mm/day): (a) Mk3L, and (b) Legates and Willmott climatology v2.01.





**Fig. 6.** The zonal means of annual-mean temperature (K) and annual-mean zonal wind speed (m s<sup>-1</sup>): **(a, c)** Mk3L, temperature and zonal wind speed, respectively, and **(b, d)** Mk3L minus NCEP2, temperature and zonal wind speed, respectively.











**Fig. 8.** Sea ice extent  $(10^{12} \text{ m}^2)$  and volume  $(10^{12} \text{ m}^3)$ : **(a)** sea ice extent for Mk3L (black) and the NOAA OI analysis v2 (red, 1982–2003 average), and **(b)** sea ice volume for Mk3L.









**Fig. 10.** Southern Hemisphere sea ice concentration (percent): **(a, b)** Mk3L, March and September, respectively, and **(c, d)**, the NOAA OI v2 (1982–2003 average), March and September, respectively. Values are only shown where the concentration is greater than or equal to 15%.





**Fig. 11.** Sea ice thicknesses for Mk3L (cm): **(a, b)** Northern Hemisphere, March and September, respectively, and **(c, d)** Southern Hemisphere, March and September, respectively. The values shown are the mean thickness of sea ice where present, and are not weighted by the concentration. Values are only shown where the concentration is greater than or equal to 15%.







Fig. 12. The zonal- and meridional-mean potential temperature and salinity for Mk3L (black), and the World Ocean Atlas 1998 (red): (a) potential temperature, and (b) salinity.



Fig. 13. The zonal-mean potential temperature (°C) and salinity (psu) for the world ocean: (a, c) Mk3L, and (b, d) Mk3L minus the World Ocean Atlas 1998.







Fig. 14. Meridional overturning streamfunctions (Sv) for Mk3L: (a) the world ocean, (b) the Atlantic Ocean, and (c) the Pacific/Indian Oceans.



Fig. 15. The annual-mean barotropic streamfunction (Sv) for Mk3L.











**Fig. 17.** The wavelet power spectrum of the Niño 3.4 sea surface temperature anomaly for Mk3L (black, years 201–1200) and the HadISST1 analysis (red, 1871–2003). Units are fraction of total power.





**Fig. 18.** The leading principal component of the monthly 500 hPa geopotential height anomalies (m) for **(a)** Mk3L (years 201–1200, 20°–90° N), **(b)** NCEP2 (1979–2003, 20°–90° N), **(c)** Mk3L (years 201–1200, 20°–90° S), and **(d)** NCEP2 (1979–2003, 20°–90° S).

















**Fig. 21.** The rates of deep water formation, and the strength of the Antarctic Circumpolar Current: **(a)** the rates of formation of North Atlantic Deep Water (red) and Antarctic Bottom Water (green), and **(b)** the rate of volume transport through Drake Passage. The values shown are five-year running means.

