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### **Description and evaluation of** GLOMAP-mode: a modal global aerosol microphysics model for the UKCA composition-climate model

G. W. Mann<sup>1</sup>, K. S. Carslaw<sup>2</sup>, D. V. Spracklen<sup>2</sup>, D. A. Ridley<sup>3</sup>, P. T. Manktelow<sup>2,\*</sup>, M. P. Chipperfield<sup>2</sup>, S. J. Pickering<sup>2</sup>, and C. E. Johnson<sup>4</sup>

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Correspondence to: G. W. Mann (gmann@env.leeds.ac.uk)

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<sup>&</sup>lt;sup>1</sup>National Centre for Atmospheric Science, University of Leeds, Leeds, UK

<sup>&</sup>lt;sup>2</sup>School of Earth and Environment, University of Leeds, Leeds, UK

<sup>&</sup>lt;sup>3</sup>Dept. of Atmospheric Science, Colorado State University, Fort Collins, Colorado, USA

<sup>&</sup>lt;sup>4</sup>Met Office Hadley Centre, Exeter, UK

now at: Halcrow Group Ltd, Headingley, Leeds, UK

A new version of the Global Model of Aerosol Processes (GLOMAP) is described, which uses a two-moment modal aerosol scheme rather than the original two-moment bin scheme. GLOMAP-mode simulates the multi-component global aerosol, resolving sulphate, sea-salt, dust, black carbon (BC) and particulate organic matter (POM). the latter including primary and biogenic secondary POM. Aerosol processes are simulated in a size-resolved manner including primary emissions, secondary particle formation by binary homogeneous nucleation of sulphuric acid and water, particle growth by coagulation, condensation and cloud-processing and removal by dry deposition, in-cloud and below-cloud scavenging. A series of benchmark observational datasets are assembled against which the skill of the model is assessed in terms of normalised mean bias (b) and correlation coefficient (R). Overall, the model performs well against the datasets in simulating concentrations of aerosol precursor gases, chemically speciated particle mass, condensation nuclei (CN) and cloud condensation nuclei (CCN). Surface sulphate, sea-salt and dust mass concentrations are all captured well, while BC and POM are biased low (but correlate well). Surface CN concentrations compare reasonably well in free troposphere and marine sites, but are underestimated at continental and coastal sites related to underestimation of either primary particle emissions or new par-

ticle formation. The model compares well against a compilation of CCN observations covering a range of environments and against vertical profiles of size-resolved particle concentrations over Europe. The simulated global burden, lifetime and wet removal

of each of the simulated aerosol components is also examined and each lies close to

multi-model medians from the AEROCOM model intercomparison exercise.

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Aerosol particles play an important role in the Earth's climate system directly by scattering and absorbing short-wave and long-wave radiation and indirectly by affecting the albedo and lifetime of clouds (Forster et al., 2007). Successive IPCC climate assessment reports (e.g. Forster et al., 2007) have identified the indirect radiative forcing of aerosols as having a high level of uncertainty that needs to be better constrained for improved prediction of anthropogenic climate change.

Computational constraints mean that most climate models participating in the IPCC assessments have simulated aerosol in a simplified way, with schemes containing prognostic variables for mass, with particle number concentrations determined from an assumed fixed size distribution for prescribed externally mixed aerosol types (e.g. Jones et al., 2001; Reddy et al., 2005). Simulating processes that conserve particle number (e.g. aqueous sulphate production) with these first generation mass-only schemes is problematic since the fixed size distribution means that any increase in aerosol mass must be accompanied by an increase in particle number.

Aerosols indirectly affect climate via changes in cloud properties which are largely determined by changes in the number concentration of particles large enough to activate to cloud droplets (cloud condensation nuclei, CCN). Models using such mass-only schemes may therefore unrealistically perturb cloud properties and precipitation auto-conversion rates in some regions, with associated biases in the simulated climate forcing. In addition, several studies (e.g. Haywood et al., 1997) have shown that accounting for the mixing of light-absorbing particle components with other aerosol material (e.g. sulphate) changes the simulated aerosol optical properties and direct radiative forcing.

Particle production in the atmosphere can be classified as either primary (e.g. carbonaceous particles from combustion sea-salt from bubble-bursting in the ocean, uplift of mineral dust) or secondary (e.g. nucleation of sulphuric acid and water). Several recent papers (e.g. Spracklen et al., 2008a; Merikanto et al., 2009) have shown the large contribution to global CCN from secondary particles.

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A new generation of aerosol microphysics models have now been developed, which transport both particle number concentrations and component masses (e.g. sulphate, BC, etc.) in size classes, resolving differential particle growth and aerosol composition across the particle size range including internal mixtures. Implementing such schemes into climate models enables aerosol indirect effects to be simulated more realistically, including changes in both primary and secondary CCN.

The most sophisticated of the aerosol microphysics models are sectional or binresolved, in which particle number concentration and component mass concentrations in each size class (bin) are transported, allowing the particle size distribution to evolve freely at the specified bin resolution. Although such schemes have been included in regional (e.g. Jacobson et al., 1997) and global aerosol models (e.g. Adams and Seinfeld, 2002; Spracklen et al., 2005), transported tracers often exceed 100, making the models too computationally expensive for use in coupled aerosol-climate simulations with current super-computing resource limits.

Realistic aerosol-climate models then require aerosol schemes with aerosol microphysics with dynamically varying particle size, but at a lower computational cost than sectional schemes. With the modal aerosol dynamics approach (e.g. Whitby and McMurray, 1997), the shape of the particle size distribution is parameterized as a series of log-normal modes, each covering defined regions of the particle radius range. Some aerosol models include 3-moment modal schemes in which mass, number and mode width (standard deviation) are variables (e.g. Debry et al., 2007). However most modal aerosol schemes in regional (Binkowski and Shankar, 1995; Ackermann et al., 1998) and global models (Ghan et al 2001; Liu et al., 2005; Lauer et al., 2005; Stier et al., 2005) are double-moment, with the mode width fixed and only mode number and component mass concentrations varying between gridboxes.

In this paper, we describe a new version of GLOMAP (Spracklen et al., 2005) which has the same aerosol microphysical processes as the multi-component bin-resolved version (e.g. Spracklen et al., 2008a) but uses a modal aerosol dynamics approach to reduce computational cost. This modal version (GLOMAP-mode) has

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been developed for longer integrations in the offline chemical transport model and for coupled composition-climate simulations in the latest UK Hadley Centre climate model HadGEM3. The UK Chemistry and Aerosol (UKCA) aerosol-chemistry sub-model (Morgenstern et al., 2009) of HadGEM3 has GLOMAP-mode as its aerosol scheme.

GLOMAP-mode has been used in several recent studies (Manktelow et al., 2007; Woodhouse et al., 2008, 2010; Schmidt et al., 2010), but the model description in these papers was necessarily brief. Here, GLOMAP-mode is described in detail, and evaluated against a series of observational datasets from the literature. Model skill is quantified in terms of normalised mean bias (b) and correlation coefficient (R). The benchmark datasets assembled here will also be used in the upcoming AEROCOM global aerosol microphysics model intercomparison and will help reduce biases and facilitate the documentation of improvements in future model versions. A companion paper (Mann et al., 2010) compares GLOMAP-mode against the sophisticated GLOMAP-bin scheme and explores the extent to which the simplifications degrade model skill against the observations.

#### **Model description**

GLOMAP is an extension of the TOMCAT global 3-D off-line chemical transport model (CTM) (Chipperfield, 2006), resolving aerosol chemistry and microphysics. Sizeresolved primary emissions, new particle formation, condensation, coagulation, cloud processing, dry deposition, sedimentation, nucleation scavenging and impaction scavenging are solved in an operator-split manner, while the gas phase chemistry and transport are calculated within the host CTM. The Gaussian grid associated with a T42 spectral transform (i.e. 2.8 degrees latitude/longitude) is used in the simulations here with 31 vertical levels on a hybrid  $\sigma$ -pressure co-ordinate.

Ambient conditions, atmospheric transport and large-scale precipitation rates are based on winds, temperatures and humidities from the European Centre for Medium-Range Weather Forecasts (ECMWF) model interpolated between successive 6-hourly

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global re-analysis fields. Tracer transport uses the Prather (1986) advection scheme, the Tiedtke (1989) convection scheme and a non-local closure scheme for boundary layer turbulence (Holtslag and Boville, 1993). For aqueous chemistry and cloud-processing, low cloud is specified from cloud top pressure and cloud fractions from the International Satellite Cloud Climatology Project (ISCCP) for the year 2000 (Rossow and Schiffer, 1999).

The original version of GLOMAP (Spracklen et al., 2005) uses a two-moment sectional approach, with typically 20 bins covering a 3 nm to 25 µm particle dry diameter range. Initially only sulphate and sea-salt aerosol were included and assumed to behave as a single particle component, but the model now simulates several aerosol components in each internally mixed bin with several externally mixed distributions. For example, Spracklen et al. (2008a, b) and Merikanto et al. (2009) used two 20-bin distributions, the first representing fresh carbonaceous particles resolving black carbon (BC) and organic carbon (OC) components, and the second representing mixed composition bins whose mass consists of sulphate, BC, OC and sea-salt. Manktelow et al. (2009b) also resolved two distributions, one representing fresh dust-only particles, and a second mixed distribution with each bin containing sulphate, sea-salt, BC, OC and dust components. Other studies with the model have used just one mixed distribution, with Manktelow et al. (2009a) resolving bin-resolved sulphate, sea-salt, BC and OC, and Pringle et al. (2009) and Korhonen et al. (2008a) each simulating just sulphate and sea-salt in each bin.

This work describes a new modal aerosol module for GLOMAP using the same process descriptions as the original scheme but using a two-moment modal aerosol dynamics scheme. The scheme can represent any number of modes (with fixed standard deviation) and possible components, but here follows Vignati et al. (2004) and Stier et al. (2005) in carrying aerosol component masses and number concentrations in 7 modes (Table 3).

#### 2.1.1 Gas phase chemistry

The aerosol precursor chemistry scheme used is shown in Table 1 with seven sulphur species (DMS, SO<sub>2</sub>, H<sub>2</sub>SO<sub>4</sub>, DMSO, MSA, COS and CS<sub>2</sub>), semi-prognostic H<sub>2</sub>O<sub>2</sub>, and secondary organic aerosol (SOA) produced via a condensing secondary organic species (SEC-ORG) from gas-phase oxidation of a terpene tracer (MONOTER). Dry and wet deposition of these species is included following the approach described in Giannakopoulos et al. (1999) with coefficients as in Spracklen et al. (2005).

As in previous GLOMAP papers, the "offline-oxidant" approach is used, with global fields of OH,  $NO_3$ ,  $O_3$ ,  $HO_2$ ,  $H_2O_2$  concentrations at each timestep being interpolations between monthly mean fields at 00:00, 06:00, 12:00, and 18:00 UT generated from a previous TOMCAT tropospheric chemistry simulation (Arnold et al., 2005).  $H_2O_2$  is treated semi-prognostically, being depleted by aqueous reaction with S(IV) (see Sect. 2.1.2) and replenished by  $HO_2$  self-reaction up to an upper limit given by the background  $H_2O_2$  concentration from the offline oxidant fields. Note that GLOMAP has now been coupled online to the TOMCAT tropospheric chemistry scheme (Breider et al., 2010) and this can be used as an alternative to the offline oxidant approach.

#### 2.1.2 Aqueous chemistry

In gridboxes containing low-level clouds, SO<sub>2</sub> and H<sub>2</sub>O<sub>2</sub> dissolution into cloud droplets is calculated along with the heterogeneous chemical conversion of S(IV)=SO<sub>2</sub>.H<sub>2</sub>O+HSO<sub>3</sub><sup>-</sup>+SO<sub>3</sub><sup>2-</sup> to S(VI) following an effective Henry's law approach, as described in Seinfeld and Pandis (1998). Henry's law coefficients for SO<sub>2</sub> and H<sub>2</sub>O<sub>2</sub>

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$$H_i(T) = H_{i,298} \exp\left\{-\frac{\Delta H_i}{R} \left(\frac{1}{T} - \frac{1}{298}\right)\right\},$$
 (1)

where  $H_{SO_2,298}=1.23\,\mathrm{Matm}^{-1}$  and  $\Delta H_{SO_2}=-26.1\times10^3\,\mathrm{J\,mol}^{-1}$ ,  $H_{H_2O_2,298}=7.45\times10^4\,\mathrm{Matm}^{-1}$  and  $\Delta H_{H_2O_2}=60.7\times10^3\,\mathrm{J\,mol}^{-1}$  and R is the universal gas constant = 8.314 J mol<sup>-1</sup> K<sup>-1</sup>. The rate of conversion of S(IV) to S(VI) via oxidation by  $H_2O_2$  (in Ms<sup>-1</sup>) is then calculated as

$$-\frac{d[S(IV)]}{dt} = \frac{k_1[H^+][HSO_3^-][H_2O_2]}{1 + k_2[H^+]}$$
(2)

where  $k_1 = 7.5 \times 10^7 \, \mathrm{M}^{-2} \, \mathrm{s}^{-1}$ , and  $k_2 = 13 \, \mathrm{M}^{-1}$ .  $H_2 O_2$  dissociation is neglected, hence  $[H_2 O_2] = H_{H_2 O_2}(T) p_{H_2 O_2}$ , where  $p_{H_2 O_2}$  is the partial pressure of  $H_2 O_2$ .  $[HSO_3^-]$  and  $[SO_3^{2-}]$  are calculated as  $K_{\mathrm{s},1} H_{\mathrm{SO}_2}(T) p_{\mathrm{SO}_2} / [\mathrm{H}^+]$  and  $K_{\mathrm{s},2}$   $[HSO_3^-] / [\mathrm{H}^+]$ , respectively, with  $K_{\mathrm{s},1}$  and  $K_{\mathrm{s},2}$  taken as  $1.3 \times 10^{-2} \, \mathrm{M}$  and  $6.6 \times 10^{-8} \, \mathrm{M}$ . Capping at the available  $SO_2$  and  $H_2 O_2$ , the production of S(VI) (in molecules cm<sup>-3</sup> s<sup>-1</sup>) is given by

$$\Delta S_{\text{cloud}} = F\left(\frac{d\left[S(IV)\right]}{dt}\right) \cdot L \cdot N_{\text{a}} \cdot \frac{1}{\rho_{\text{w}}}.$$
(3)

where F is the cloud fraction, L is the cloud liquid water content (assumed constant at 0.0002 kg m<sup>-3</sup>, typical of stratocumulus cloud),  $N_{\rm a}$  is Avogadro's constant, and  $\rho_{\rm w}$  is the density of water.

Production of S(VI) by aqueous oxidation of S(IV) by  $O_3$  is also calculated following Seinfeld and Pandis (1998) as:

$$-\frac{d[S(IV)]}{dt} = [O_3] \left( k_3 SO_2 \cdot H_2 O + k_4 [HSO_3^-] + k_5 [SO_3^{2-}] \right). \tag{4}$$

where  $k_3 = 2.4 \times 10^4 \,\text{M}^{-1} \,\text{s}^{-1}$ , and  $k_4 = 3.7 \times 10^5 \,\text{M}^{-1} \,\text{s}^{-1}$  and  $k_5 = 1.5 \times 10^9 \,\text{M}^{-1} \,\text{s}^{-1}$ .

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Henry's law constants for ozone are calculated with  $H_{\rm O_3,298} = 1.13 \times 10^{-2} \, {\rm Matm}^{-1}$  and  $\Delta H_{\rm O_3} = -21.1 \times 10^3 \, {\rm J\,mol}^{-1}$ . Unlike  $H_2{\rm O_2}$ , ozone is not depleted in the model, since concentrations are not significantly reduced. Also, whereas in-cloud S(IV) oxidation by  $H_2{\rm O_2}$  has only a weak pH-dependence, oxidation by ozone is strongly dependent on cloud pH. The cloud pH is set to 4.0 or 5.0 depending on whether the local SO<sub>2</sub> concentration is greater or less than 0.5 ppbv as in Manktelow (2008).

Dissolved sulphate mass produced by these two aqueous reactions is partitioned between the soluble accumulation and coarse modes according to the ratio of their respective number concentrations to their sum (see Sect. 2.2.10).

#### 2.1.3 Sulfuric acid vapour

The concentration of sulfuric acid vapour is a critical parameter in determining the binary nucleation rate in the model and hence on the rate of production of secondary particles. As described in Spracklen et al. (2005), GLOMAP includes a number of "competition sub-steps" within one chemistry time-step, where condensation and nucleation compete for the available  $\rm H_2SO_4$  vapour. Here, the production rate of condensable gases ( $\rm H_2SO_4$  and SEC-ORG) calculated from the gas phase chemistry module is passed to the aerosol routines and their concentrations are only updated here on this shorter timestep, alongside condensation, coagulation and nucleation. For the simulations here 5 competition sub-steps are used within a chemistry time-step of 900 s, which Spracklen (2005) found sufficient to adequately capture the competition between these processes.

#### 2.1.4 Trace gas emissions

The model includes emissions of DMS from marine phytoplankton,  $SO_2$  from volcanoes, vegetation fires, industry, fossil-fuel and bio-fuel burning, and terpenes from vegetation. The DMS emission flux is updated every 6 h using monthly sea-water DMS

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concentration fields from Kettle and Andreae (2000) driven by the ECMWF winds and the sea-air exchange parameterization from Nightingale et al. (2000). Anthropogenic SO<sub>2</sub> emissions follow Cofala et al. (2005) (including industrial, power-plant, road-transport, off-road-transport and shipping sectors) and are representative of the year 2000. Volcanic SO<sub>2</sub> from continously (Andres and Kasgnoc, 1998) and explosively eruptive (Halmer et al., 2002) sources are included with injection heights following recommendations in Dentener et al. (2006) based on individual volcano-top altitudes. Monthly-varying biomass burning SO<sub>2</sub> emissions are also included following GFED v1 (Van der Werf et al., 2003) for the year 2000, segregated into six altitude ranges 0–100 m, 100–500 m, 500 m–1 km, 1–2 km, 2–3 km and 3–6 km as in AEROCOM (see Dentener et al., 2006). We also follow AEROCOM recommendations in assuming that 2.5% of the SO<sub>2</sub> mass is emitted as primary sulphate particles (with size assumptions as modified by Stier et al., 2005). Monthly terpene emissions follow Guenther et al. (1995) and feed into the MONOTER tracer (see Sect. 2.1.1).

#### 2.2 Aerosol processes

The prognostic variables in the aerosol model are the particle number concentration for each mode and the mass concentration of each component (sulphate, sea-salt, BC, OC, dust) present in each mode. The modes represent a dry diameter size range and can be water-soluble or -insoluble. The size distribution is described by lognormal modes with geometric mean diameter  $\overline{D}$  covering the nucleation ( $\overline{D}$ <10 nm), Aitken (10–100 nm), accumulation (100–1000 nm) and coarse ( $\overline{D}$ >1000 nm) mode ranges.

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$$\overline{D}_{i} = \left(\frac{6V_{\text{dry},i}}{\pi \exp\left(4.5\log^{2}\sigma_{g,i}\right)}\right)^{\frac{1}{3}} \tag{5}$$

where  $V_{drv,i}$  is the total dry volume for mode i over all aerosol components j:

$$V_{\text{dry},i} = \sum_{j=1}^{\text{ncp}} \left( \frac{m_{ij} M_j}{N_a \rho_j} \right). \tag{6}$$

 $m_{ij}$  is the number of molecules per particle of component j in mode i,  $\rho_j$  and  $M_j$  are the density and molar mass of component j and  $N_a$  is Avogadro's constant. The mode geometric standard deviations  $\sigma_{g,i}$  are assumed constant whilst the geometric mean diameter  $\overline{D}_i$  can vary between the size ranges shown for each mode (see Table 3). Particle number and mass are transferred between modes when  $\overline{D}$  exceeds the upper limit for the mode (see Sect. 2.2.9), referred to as mode-merging. In this subsection the aerosol processes are described. The model microphysics quantifies size-resolved and composition-resolved primary emissions, aerosol dry deposition, nucleation scavenging, impaction scavenging, coagulation, condensation, nucleation, cloud processing and hygroscopic growth. The process representations follow as closely as possible the methods applied for GLOMAP-bin with variations where necessary for the modal approach.

#### 2.2.1 Primary aerosol emissions

Although the aerosol tracers are based on log-normal modes, primary emissions in GLOMAP-mode retain the bin-resolved fluxes from the sectional scheme (Spracklen et al., 2006). The emitted mass in each bin updates  $n_i$  and  $m_{ij}$  for the mode whose size range spans the dry radius of the emissions-bin.

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Primary carbonaceous aerosol emissions are speciated to BC and OC with annualmean fluxes for fossil-fuel and bio-fuel sources (Bond et al., 2004) and monthly-varying fluxes for biomass burning (Van der Werf et al., 2003). The AEROCOM recommended size settings are used, with modifications as in Stier et al. (2005), with geometric mean diameter for the emissions fluxes set at 150 and 60 nm for biomass/biofuel and fossil fuel respectively, with geometric standard deviation fixed at 1.59 for both emissions modes. Fossil fuel and bio-fuel emissions are added to the lowest model layer whereas biomass burning emissions are emitted in 6 altitude ranges between the surface and 6 km as described in Dentener et al. (2006).

Size-resolved emissions of mineral dust can be included in the model via two alternative wind-speed-driven emissions parameterizations (described in Pringle, 2006 and Manktelow et al., 2009b) or via prescribed daily-varying emissions fluxes provided for AEROCOM (Dentener et al., 2006). The AEROCOM prescribed emissions fluxes are used here, which are based on bin-resolved fluxes from the NASA Goddard Earth Observing System Data Assimilation System (Ginoux et al., 2001). For AEROCOM, the bin-resolved fluxes were mapped onto two emissions modes covering the accumulation and coarse size ranges with assumed standard deviations at 1.59 and 2.0, respectively.

Bin-resolved sea-salt emissions fluxes are calculated from a sea-spray source function of Gong (2003) as in Spracklen et al. (2005) with bins with dry diameters larger/smaller than 1 micron emitted into the soluble accumulation/coarse modes respectively.

#### Aerosol dry deposition and sedimentation

GLOMAP-mode follows the same approach as in Spracklen et al. (2005), calculating a dry deposition velocity  $V_d$  following Slinn (1982):

$$V_{\rm d} = V_{\rm grav} + \frac{1}{R_{\rm a} + R_{\rm s}}.\tag{7}$$

$$R_{\rm a} = \frac{1}{k u_{\star}} \log \left( \frac{z}{z_0} \right), \tag{8}$$

where k is the von Karman constant,  $z_0$  is the surface roughness length and  $u_*$  is the surface friction velocity. Surface resistance  $R_s$  is given by

$$_{5} R_{s} = \frac{1}{3u_{*}(E_{h} + E_{im} + E_{in})}$$
 (9)

where  $E_{\rm b}$ ,  $E_{\rm im}$  and  $E_{\rm in}$  are collection efficiencies for Brownian diffusion, impaction and interception, calculated following Zhang et al. (2001). The collection efficiency for Brownian diffusion is size-dependent and is calculated as

$$E_{\rm b} = Sc^{Y_{\rm r}},\tag{10}$$

where  $Y_r$  is a surface-type dependent parameter and Sc is the particle Schmidt number:

$$Sc = \frac{v}{D_{\text{coff}}} \tag{11}$$

with  $\nu$  being the kinematic viscosity of air. The particle diffusion coefficient  $D_{\text{coff}}$  is calculated as:

$$D_{\text{coff}} = \frac{k_{\text{B}}T}{3\pi\mu D_{\text{p}}}C_{\text{f}} \tag{12}$$

where  $k_{\rm B}$  is Boltzmann's constant,  $D_{\rm p}$  is the particle diameter and  $\mu$  is the dynamic viscosity of air. The slip correction factor  $C_f$  is calculated as:

$$C_{\rm f} = 1 + \frac{2\lambda_{\rm a}}{D_{\rm p}} \left\{ A + B \exp\left(C\frac{\lambda_{\rm a}}{D_{\rm p}}\right) \right\},\tag{13}$$

where  $\lambda_a$  is the mean free path of air molecules and A, B and C take the values 1.246, 0.5 and -0.55.

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$$E_{\rm im} = \frac{Sn}{\alpha + Sn} \tag{14}$$

where  $\alpha$  is a surface-type dependent parameter and Sn is the Stokes number, calculated as  $Sn_{\rm smooth}$  and  $Sn_{\rm rough}$  for smooth and vegetated surfaces:

$$_{5} Sn_{\text{smooth}} = \frac{V_{\text{grav}}u_{*}^{2}}{\mu}$$
 (15)

$$Sn_{\text{rough}} = \frac{V_{\text{grav}}u_*}{gC_r} \tag{16}$$

where  $C_{\rm r}$  is a surface-type dependent coefficient. Lastly, the collection efficiency by interception is calculated as

$$E_{\rm in} = 0.5 \frac{D_{\rm p}^2}{C_{\rm r}^2} \,. \tag{17}$$

Gravitational settling velocity  $V_{qrav}$  is calculated as

$$V_{\text{grav}} = \frac{\rho_{\text{p}} D_{\text{p}}^2 g}{18\mu} C_{\text{f}},\tag{18}$$

where  $\rho_{\rm p}$  is the particle density,  $D_{\rm p}$  is the mode geometric mean (wet) diameter, g is the gravitational acceleration and  $\mu$  is the dynamic viscosity of air.

The removal of each mode's number and component masses are calculated using the 0th and 3rd moment averages for the two size-dependent parameters  $V_{\text{grav}}$  and  $D_{\text{coff}}$  calculated following the expressions in Binkowski and Shankar (1995):

$$V_{\text{grav},k} = V_{\text{grav}} \left\{ \exp\left(\frac{(4k+4)}{2}\log^2 \sigma_{\text{g}}\right) + 1.246 \frac{2\lambda_a}{D_{\text{p}}} \exp\left(\frac{(2k+1)}{2}\log^2 \sigma_{\text{g}}\right) \right\}$$
(19)

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with  $D_n$  the geometric mean (wet) diameter and k the index of the moment.

Sedimentation from the lowest grid level is handled in the dry deposition expression  $_{5}$  above, whereas for other levels, sedimentation is applied using  $V_{\text{grav},k}$  following a 1st order explicit scheme calculating fluxes of number and mass into and out of each box. Sedimentation is limited to only half a gridbox per timestep to ensure the Courant-Fredrichs-Levy condition is satisfied.

#### 2.2.3 Aerosol scavenging

Removal by nucleation scavenging is calculated for both large-scale and convectivescale precipitation based on rain-rates diagnosed from successive ECMWF analysis fields. As in Spracklen et al. (2005), large-scale rain removes particles at a constant rate equivalent to 99.9% conversion of cloud water to rain over 6 h. For convectivescale rain, the cloud-to-rainwater conversion rate is given by the Tiedtke et al. (1989) convection parametrization and removal is applied assuming a raining fraction of 0.3. Note that nucleation scavenging only occurs where the precipitation is formed in that model level, determined by comparing the calculated rain-rate with that in the level above. Only the soluble accumulation and coarse modes are subject to rainout similarly to GLOMAP-bin, where the process is applied to all particles larger than 103 nm dry diameter in the mixed (soluble) distribution.

Impaction scavenging of aerosol via collection by falling raindrops is also simulated in the model in an analogous way to GLOMAP-bin. A look-up table for raindrop-aerosol collection efficiencies is used based on the geometric mean dry radius of the mode and a Marshall-Palmer raindrop size distribution as modified by Sekhon and Srivastava (1971) to take into account rainfall intensity. As in GLOMAP-bin, an empirical relationship from Easter and Hales (1984) is used to calculate the raindrop terminal velocity.

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For each aerosol mode, water uptake by each component is calculated according to ZSR (Zadanovksii, 1948; Stokes and Robinson, 1966) using data from Jacobson et al. (1996) to calculate the binary electrolyte molalities. Any mass of POM present in the insoluble modes must be primary emitted material and is assumed to be nonhygroscopic. In soluble modes, POM is either secondary or primary organic material that has been aged. Hence we assign moderate hygroscopicity to POM in the soluble modes consistent with a water uptake per mole at 65% of sulfate assuming a molar mass of 0.15 kg/mol for the aged organic molecule.

The density  $\rho$  for each mode is calculated as a molar weighted mean of the aerosol components and water:

$$\rho = \frac{\sum m_{ij} M_j \rho_j}{\sum m_{ij} M_j} \tag{21}$$

where  $m_{ij}$ ,  $M_i$  and  $\rho_i$  are respectively the molecules per particle, molar mass, and density of each aerosol component *j* (including water) as given in Table 2.

The geometric mean wet diameter  $D_{wet i}$  of each mode i is calculated as

$$D_{\text{wet},i} = \left(\frac{6}{\pi X_i} \sum V_{ij}\right)^{\frac{1}{3}} \tag{22}$$

where  $X_i = \exp\left(\frac{9}{2}\left\{\log\sigma_{g,i}\right\}^2\right)$  and  $V_{ij}$  are the partial volumes for each component and water calculated as:

$$V_{ij} = \frac{m_{ij}M_j}{N_a\rho_{X,i}} \tag{23}$$

where  $N_a$  is Avogadro's constant and  $\rho_{X,i}$  is the density of the solution for soluble components (and water) or the component densities listed in Table 2 for insoluble components.

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The nucleation rate J used to produce new particles in the nucleation mode follows Kulmala et al. (1998) as:

$$J = \exp\left\{A\log\left(\frac{S}{S_{\text{crit}}}\right) + BX_{\text{al}} + C\right\},\tag{24}$$

where S is the molecular concentration of sulfuric acid vapour and  $S_{\rm crit}$  is the value of S above which nucleation occurs, calculated as

$$S_{\text{crit}} = \exp\left(-14.5125 + 0.1335T - 10.5462\text{rh} + 1958.4\frac{\text{rh}}{T}\right),$$
 (25)

where T is the air temperature and rh is the relative humidity (between 0 and 1). The coefficients A, B and C and the sulfuric acid mole fraction  $X_{al}$  at the critical radius are calculated as in Kulmala et al. (1998). The rate of change of sulfuric acid vapour concentration due to nucleation is then in the form of a power law relationship

$$\frac{\mathrm{d}S}{\mathrm{d}t_{\mathrm{nucl}}} = -n_{\mathrm{mol}}aS^b \tag{26}$$

where a and b depend only on temperature and relative humidity,

$$a = \exp(BD + C) \left(\frac{1}{S_{\text{crit}}}\right)^A \tag{27}$$

$$b = A + 0.0102B. (28)$$

Equation (26) is then integrated to give

$$S = \left\{ S_0^{1-b} + a(b-1)n_{\text{mol}}\Delta t \right\}^{\frac{1}{1-b}}$$
 (29)

and hence the change in sulfuric acid due to nucleation over time step  $\Delta t$  is given by

$$\Delta S_{\text{nucl}} = S_0 - \left\{ S_0^{1-b} + a(b-1)n_{\text{mol}} \Delta t \right\}^{\frac{1}{1-b}}.$$
 (30)

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#### 2.2.6 Coagulation

GLOMAP-mode includes both intra-modal (collisions of particles in the same mode) and inter-modal (collisions of particles in different modes) coagulation. For the soluble modes, the model simulates intra-modal coagulation and inter-modal coagulation with larger soluble modes and with larger insoluble modes. For the nucleation mode, the source of particles due to nucleation is also included. So the rate of change in particle number concentration  $n_i$  in the four soluble modes (i=1, 2, 3, 4) can be expressed as:

$$\frac{dn_{i}}{dt} = -\frac{1}{2}K_{ii}n_{i}^{2} - n_{i} \left\{ \sum_{j=i+1}^{4} K_{ij}n_{j} + \sum_{j=i+4}^{7} K_{ij}n_{j} \right\} + \delta_{i1} \frac{\Delta S_{\text{nucl}}}{\Delta t n_{\text{mol}}}$$
(31)

where  $\delta_{ij}$  is the delta function,  $K_{ij}$  is the coagulation kernel,  $\Delta S_{\text{nucl}}$  is the change in sulfuric acid concentration due to nucleation over the time step  $\Delta t$  (see Sect. 2.2.5) and  $n_{\text{mol}}$  is the number of sulfuric acid molecules in a newly nucleated sulfuric acid particle (assumed to be 100 as in Spracklen et al., 2005).

For the insoluble modes (i=5, 6, 7), only inter-modal coagulation with larger soluble modes is included (j=i-2 to 4) and the aerosol dynamic equation is

$$\frac{dn_i}{dt} = -\frac{1}{2}K_{ii}n_i^2 - n_i \left\{ \sum_{j=i-2}^4 K_{ij}n_j \right\}.$$
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$$K_{ij} = \frac{4\pi \left(\overline{r}_{i} + \overline{r}_{j}\right) \left(D_{i} + D_{j}\right)}{\frac{\overline{r}_{i} + \overline{r}_{j}}{\overline{r}_{i} + \overline{r}_{j} + \left(\Delta_{i}^{2} + \Delta_{j}^{2}\right)^{0.5}} + \frac{4\left(D_{i} + D_{j}\right)}{\left(v_{i}^{2} + v_{j}^{2}\right)^{0.5} \left(\overline{r}_{i} + \overline{r}_{j}\right)}},$$
(33)

where the diffusion coefficients  $D_i$ ,  $D_j$  are calculated explicitly for each mode as in Eq. (12) and the parameters  $\Delta_i$ ,  $\Delta_j$  are calculated as:

$$\Delta_{i} = \frac{\left(2\overline{r}_{i} + \lambda_{i}\right)^{3} - \left(4\overline{r}_{i}^{2} + \lambda_{i}^{2}\right)^{\frac{3}{2}}}{6\overline{r}_{i}\lambda_{i}} - 2\overline{r}_{i}.$$
(34)

The particle mean free paths  $\lambda_i$ ,  $\lambda_j$  for modes i, j are calculated as

$$\lambda_i = \frac{8}{\pi} \frac{D_i}{v_i},\tag{35}$$

where  $v_i$  is the mean thermal velocity for particles in mode i:

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$$V_i = \left(\frac{8k_{\rm B}T}{\pi\rho_i V_i}\right)^{\frac{1}{2}} \tag{36}$$

and  $V_i$  is the mean wet volume for each mode given by the sum of the component partial volumes including water. Note that  $\overline{r}_i = 0.5\overline{D}_{\rm wet}$ , as calculated in Sect. 2.2.4.

Equations (31) and (32) are both of the form

$$\frac{\mathrm{d}n_i}{\mathrm{d}t} = an_i^2 + bn_i + c \tag{37}$$

with a (negative or zero) referring to intra-modal coagulation in the mode, b (negative or zero) referring to the sum over all inter-modal coagulation from the mode to another

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where  $X = ax^2 + bx + c$ . Two differing solutions are applied following Bronshtein and Semendyayev (1998) for cases where  $\delta = 4ac - b^2$  is greater or less than zero. For  $\delta > 0$ .

$$\int \frac{dx}{X} = \frac{1}{(-\delta)^{0.5}} \log \left( \frac{2ax + b - (-\delta)^{0.5}}{2ax + b + (-\delta)^{0.5}} \right),\tag{39}$$

which reduces to

$$n = \frac{1}{2a} \left\{ \frac{2(-\delta)^{0.5}}{1 - \frac{\exp((-\delta)^{0.5}\Delta t)}{\left(\frac{2a\eta_0 + b + (-\delta)^{0.5}}{2a\eta_0 + b - (-\delta)^{0.5}}\right)}} - b + (-\delta)^{0.5} \right\}.$$
(40)

For  $\delta > 0$ , the solution is

$$\int \frac{dx}{X} = \frac{2}{(\delta)^{0.5}} \arctan\left(\frac{2ax + b}{(\delta)^{0.5}}\right) \tag{41}$$

which reduces to

$$_{15} \quad n = \frac{1}{2a} \left\{ (\delta)^{0.5} \tan \left( \arctan \left( \frac{2an_0 + b}{(\delta)^{0.5}} \right) + \frac{(\delta)^{0.5} \Delta t}{2} \right) - b \right\}. \tag{42}$$

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$$\frac{\mathrm{d}n}{\mathrm{d}t} = bn + c \tag{43}$$

with solution

$$n = \frac{(bn_0 + c)\exp(b\Delta t) - c}{b},\tag{44}$$

which, in the absence of nucleation (c = 0), reduces to

$$n = n_0 \exp(b\Delta t). \tag{45}$$

In the case where coagulation is switched off completely (a = 0, b = 0),  $\delta = 0$  and the simple equation

$$n = n_0 + c\Delta t \tag{46}$$

is used. If  $\delta = 0$  and a < 0, b = 0 (i.e. only intra-modal coagulation), Eq. (38) becomes

$$\frac{\mathrm{d}n}{\mathrm{d}t} = an^2 \tag{47}$$

which has solution

$$n = \frac{1}{\frac{1}{n_0} - 3a\Delta t}. (48)$$

For each of the modes, coefficients a and b are calculated based on the sum over all coagulation included and the updated particle number concentrations for each mode are calculated. The inter-modal coagulations of each mode i with larger modes j (which combine to give the coefficient b) are stored to an array  $b_{ij} = -K_{ij}n_j$  and the

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$$\mu_{ij,k} = n_{0,i} m_{0,ik} \exp(-b_{ij} \Delta t) \tag{49}$$

where  $n_{0,i}$  is the number concentration and  $m_{0,ik}$  the molecules per particle of component k for mode i at the start of the solving routine.

For each mode i, a total inter-modal coagulation export flux of each component mass k is then calculated ( $\mu_{ik,-} = \Sigma_j \mu_{ij,k}$ ). Each of the individual i-j export fluxes ( $\mu_{ijk}$ ) are transferred to a receiver-mode whose index l is set according to a "rules" array l = l(i,j) which specifies which mode each i-j inter-modal coagulation should be transferred to. The array l is needed since mass coagulating onto insoluble modes is actually transferred directly to the corresponding soluble mode to account for ageing. Although insoluble modes do not receive any mass of soluble components, soluble-to-insoluble coagulation fluxes are stored and passed to the ageing routine where the coating of insoluble particles is determined by the total accomodation of soluble material in each timestep (see Sect. 2.2.8). The component mass concentrations in each mode are then updated due to the net mass transfer from the export and import fluxes described above. For the nucleation mode, there is no import by coagulation but the nucleated sulphate mass from Eq. (30) is added here.

#### 2.2.7 Condensation

Condensation of  $H_2SO_4$  and SEC-ORG onto all aerosol modes is simulated with the rate of change in molecular concentration S given by

$$\frac{\mathrm{d}S}{\mathrm{d}t}_{\mathrm{cond}} = -\left(\sum_{i=1,7} C_i n_i\right) S \tag{50}$$

where  $n_i$  is the particle number concentration and  $C_i$  is the condensation coefficient for mode i, the latter calculated following Fuchs and Sutugin (1971) with correction factors F(Kn) for molecular effects and A(Kn) for limitations in interfacial mass transport:

$$C_i = 4\pi D_s \overline{r}_i F(K n_i) A(K n_i)$$
(51)

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 $F(Kn_i) = \frac{1 + Kn_i}{1 + 1.71Kn_i + 1.33(Kn_i)^2},$ (52)

$$A(Kn_i) = \frac{1}{1 + 1.33Kn_i F(Kn_i) \left(\frac{1}{s} - 1\right)}.$$
 (53)

s is the accomodation coefficient (sticking efficiency) and  $Kn_i$  is the Knudsen number for mode *i* given by

$$5 \quad K n_i = \frac{\lambda_{s,a,p}}{\overline{r}_i} \tag{54}$$

where  $\bar{r}_i$  is the geometric mean (wet) radius for the mode as in Sect. 2.2.6 and  $\lambda_{\rm s.a.p}$  is the mean free path of sulfuric acid vapour in a binary mixture with air,

$$\lambda_{s,a,p} = \frac{1}{\pi \left( 1 + \frac{M_s}{M_{air}} \right)^{0.5} N_{air} D_s^2}.$$
 (55)

Note that s is set to 1 for the soluble modes (hence A(Kn) = 1) and to 0.3 for the insoluble modes. The diffusion coefficient of H<sub>2</sub>SO<sub>4</sub> and SEC-ORG in binary mixture with air are calculated as

$$D_{\rm s} = \left(\frac{3}{8N_{\rm a}D_{\rm p}^2\rho_{\rm a}}\right) \left\{ \left(\frac{R\ T\ M_{\rm air}^2}{2\pi}\right) \left(1 + \frac{M_{\rm air}}{M_{\rm s}}\right) \right\}^{0.5}.$$
 (56)

The SO<sub>4</sub> and POM component masses (and mode geometric mean radii) are then updated on the competition timestep according to the mass of H<sub>2</sub>SO<sub>4</sub> and SEC-ORG condensing onto each mode. The two-moment scheme holds particle number concentration constant and hence condensation grows particles via the new D calculated by Eq. (5). The mass of  $SO_4$  and POM resulting from condensation of  $H_2SO_4$  and SEC-ORG onto each insoluble mode on each timestep is stored and passed to the ageing routine (see below).

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Ageing is the process by which water-insoluble particles can become partly soluble by condensation of  $\rm H_2SO_4$  and SEC-ORG and by accomodation of soluble material through inter-modal coagulation of particles from smaller soluble modes.

For each insoluble mode, the total flux of soluble material per timestep is calculated based on the stored mass fluxes from the coagulation and condensation routines. A particle ageing rate is then calculated which transfers a fraction of the particles in the mode to the corresponding soluble mode. The fraction is calculated conistent with a defined number of monolayers coating (here, assumed to be 10) being required to make a particle soluble. Note that in the model, the flux of soluble material to the insoluble modes is actually passed to the corresponding soluble mode, ensuring that the ageing process only changes the number concentration of the insoluble modes, leaving their size and composition unchanged.

Since the insoluble modes (see Table 3) are assumed to be non-hygroscopic and are not wet deposited via nucleation scavenging, the assumed monolayer thickness partially determines the timescale for wet removal of the BC/OC and dust aerosol in the model.

#### 2.2.9 Mode-merging

As described in Sects. 2.2.6 and 2.2.7, coagulation and condensation can increase the size of the modes. If this were allowed to continue indefinitely, the modes would eventually grow outside the specified ranges in Table 3. A mode-merging approach is therefore used to prevent this problem.

After each call of the combined coagulation-nucleation subroutine, the modemerging routine checks whether  $\overline{D}$  is outside the range as in Table 3, and if so, fractions  $F_n$  and  $F_m$  of the mode number and mass concentrations are transferred to the next Discussion Paper

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$$F_{\rm m} = 1 - 0.5 \left\{ 1 + \text{erf} \left( \frac{\log \left( \frac{r_x}{\exp \left\{ \log \bar{r}_i + 3(\log \sigma_{g,i})^2 \right\}} \right)}{2^{0.5} \log \left( \sigma_{g,i} \right)} \right) \right\}$$
 (58)

where  $r_x$  is the upper limit for the mode and erf is the error function,

$$= \operatorname{erf}(x) = \frac{2}{\pi^{0.5}} \int_0^x \exp\left(-t^2\right) dt.$$
 (59)

#### 2.2.10 Cloud processing

Cloud processing is defined here to be the growth of aerosol particles by uptake and chemical reaction of gases while the particles exist as water droplets in non-precipitating clouds. The process results in differential growth between activated and non-activated particles, creating a minimum in the particle size distribution (known as the Hoppel gap) defining the Aitken and accumulation modes which are frequently seen in observations of the size distribution in the marine boundary layer (e.g. Hoppel et al., 1994).

As described in Sect. 2.1.2, the model includes aqueous sulphate production in low level stratocumulus clouds. To simulate cloud-processing of aerosol, we follow Spracklen et al. (2005) in determining an activation dry radius  $r_{\rm act}$  which defines the smallest particles which are activated to cloud droplets. For the simulations shown here,  $r_{\rm act}$  is assumed to be globally constant at 37.5 nm dry radius, corresponding to a cloud supersaturation of 0.2% typical of marine stratocumulus clouds.

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In the model, cloud processing is treated in two stages. First, the fractions of particle mass and number in the soluble Aitken mode from particles larger than  $r_{\rm act}$  is calculated (Eq. 57, with  $r_{\chi} = r_{\rm act}$ ) and transferred to the soluble accumulation mode. In the second stage, the sulphate mass produced by aqueous oxidation of  $SO_2$  is partitioned between the soluble accumulation and coarse modes according to their fractional contribution to the total particle number concentration over the two modes. Treating the cloud processing in this way ensures particles at the larger end of the Aitken size range can be activated and cloud-processed, and that the minimum between the soluble Aitken and accumulation modes is created at  $r_{\rm act}$ .

#### 3 Model results and evaluation

#### 3.1 Aerosol precursor gases

Figure 1a, b and c shows global maps of simulated annual mean surface-level volume mixing ratios of the aerosol precursor gases DMS, SO<sub>2</sub> and terpenes (respectively). High values of atmospheric DMS occur over oceans due to its marine source, and seawater DMS concentrations have a strong seasonal cycle. The local maxima in the annual mean atmospheric DMS concentration shown in Fig. 1a in the Southern Ocean and in the North Pacific and North Atlantic result from high seawater DMS concentrations in summer months. Moderate DMS concentrations occur throughout the year in tropical ocean regions. The atmospheric DMS distribution is driven by a combination of emissions flux and the OH and NO<sub>3</sub> oxidant concentrations specified in the 6-hourly monthly-mean oxidant fields (see Sect. 2.1) and is similar to that described in Spracklen et al. (2005). The highest SO<sub>2</sub> concentrations (Fig. 1b) occur in industrialised regions due to very high anthropogenic emissions, but a local biogenic SO<sub>2</sub> maxima also occurs over the Southern Ocean resulting from significant SO<sub>2</sub> production from DMS oxidation during the summer. Atmospheric terpene concentrations are highest over tropical forests (Fig. 1c) but are also large in boreal forest regions.

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Table 4 shows for reference the simulated global burden, production and average lifetime for the precursor gases shown in Fig. 1 and for H<sub>2</sub>SO<sub>4</sub> and the condensing organic. The simulated global DMS and SO<sub>2</sub> burden, emission and lifetime are well within the range of previous global model studies (as summarized in Spracklen et al., 5 2005) although the DMS lifetime is towards the low-end of other models, which could be indicative of too strong a chemical sink. Global SO<sub>2</sub> emissions are at the low end of the literature range but this is because the anthropogenic emissions used are those of IIASA (Cofala et al., 2005) which are representative of the year 2000. Some of the models quoted in Spracklen et al. (2005) used emissions inventories representative of the mid-1980s or 1990s which have higher global emissions fluxes. For instance the global anthoprogenic SO<sub>2</sub> emissions flux from the GEIA 1b inventory (Benkowitz et al., 1996) is 21% lower than that given by IIASA (Manktelow et al., 2007).

For terpenes, the total annual emissions in the model is slightly larger than the 127 Tg of carbon in Guenther et al. (1995). However, such emissions are subject to a factor 5 uncertainty, mainly due to uncertainties in tree-specific emissions factors/functions and in databases of land-cover, vegetation and tree abundance (Kanakidou et al., 2005). Simulated SOA production in the model is in the middle of the range given by Dentener et al. (2006). The fixed percentage yield from a first stage oxidation product based on alpha-pinene oxidation is a simple approach but gives a reasonable total production of SOA. We also note that our SOA is driven by simulated oxidants rather than being generated as a fixed fraction of the terpene emissions, as suggested in Dentener et al. (2006).

To assess the fate of the emitted gaseous aerosol precursors, it is important to examine the proportion which is removed by dry and wet deposition before being chemically converted to aerosol. Table 5 illustrates the fate of the aerosol precursor gases, showing the percentage fluxes through each of the loss processes. For instance, it shows that 12.6% of SO<sub>2</sub> loss is via in-air oxidation to sulphuric acid which can then either form new particles via nucleation or grow existing aerosol by condensation. Aqueous production of sulphate mass accounts for 43.5% of SO<sub>2</sub> loss, forming more sulphate mass

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and growing existing accumulation and coarse soluble mode particles in the model. In total, removal processes account for 43.9% of the SO<sub>2</sub> loss with dry deposition being the dominant removal process. For the SO<sub>2</sub> loss processes, also included are the equivalent figures from Spracklen et al. (2005) who compared previous global model 5 studies which simulated the sulphur-cycle.

Figure 2 shows the simulated annual cycle of DMS and SO<sub>2</sub> at three Southern Hemisphere remote sites compared against observations from Nguyen et al. (1992), Ayers et al. (1991) and Jourdain and Legrand (2001). All three sites have a clear seasonal cycle in DMS, with elevated concentrations during summer, and this is captured quite well by the model with regressions coefficient R of 0.72, 0.65 and 0.62 for Amsterdam Island, Cape Grim and Dumont D'Urville, respectively. The model compares well with observed DMS at Cape Grim (Fig. 2c) with normalised mean bias b=0.28 but is biased low (b=-0.45) at Amsterdam Island (Fig. 2a) and also (b=-0.56) at Dumont D'Urville (Fig. 2e). Simulated remote Southern Hemisphere SO<sub>2</sub> compares well (R=0.60, b=-0.39) with observations at Amsterdam Island (Fig. 2b) but is strongly biased high (b=4.22) at Cape Grim (Fig. 2d) throughout the year. However, the Cape Grim observations are filtered to only include the "baseline marine sector" (Ayers et al., 1991), whereas the model includes all air masses. Additionally, model values are gridbox averages which will have had any emissions in the same 2.8 degree box mixed in. To try to reduce this second bias, the Cape Grim comparison also shows model SO<sub>2</sub> at more southerly gridboxes (the dotted, dashed and dot-dashed lines are 1, 2 and 3 boxes to the South, respectively). In winter, the model high bias is removed when comparing against gridboxes to the south, but summer SO<sub>2</sub> in the model remains biased high compared to the marine sector measurements at Cape Grim.

Figure 3 compares simulated continental SO2 over Europe and North America against observations from the EMEP (European Monitoring and Evaluation Programme, Loevblad et al., 2004) and CASTNET (Clean Air Status and Trends Network, Malm et al., 2002) monitoring sites. The simulated conversion of SO<sub>2</sub> to sulphate in the US and Europe in GLOMAP-mode was investigated by Manktelow et al. (2007)

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and shown to reproduce the observed seasonal cycle in 2000. Here, we restrict our evaluation to the annual mean at the monitoring sites. The modelled and observed annual mean  $SO_2$  correlate quite well spatially in both regions (R=0.86 for the US and 0.61 for Europe) although the model is biased high (b=1.94) over Europe on average.

In summary, the sulphate aerosol precursor gases are well simulated in the model, giving further confidence to the validity of the evaluation of the aerosol mass and number concentrations in the next two sections.

#### 3.2 Speciated aerosol mass

Figures 1d and 4a, b, c and d show the simulated global distributions of the annual mean column-integrated mass of sulphate, BC, POM, sea-salt and dust, respectively. Annual mean column sulphate mass exceeds  $5\,\mathrm{mgS\,m^{-2}}$  over eastern USA, Europe, North Africa, East Asia and South Asia and is controlled by a combination of oxidant limitation and  $SO_2$  emissions strength (see e.g. Manktelow et al., 2007). Simulated annual mean column burdens of BC and POM are highest over biomass regions where emissions fluxes are strongest, while industrialised regions also have high burdens. Secondary biogenic production of POM via terpene oxidation also contributes considerably to the organic matter burden. Sea-salt and dust aerosol have the highest simulated mass burdens in the model with concentrations reflecting the sources in high-wind-speed oceanic regions and arid regions, respectively.

Table 6 shows the annual mean global burden, production, lifetime, and fraction removed by wet deposition for each aerosol component. Also given in parentheses are the multi-model medians as simulated by AEROCOM models (Textor et al., 2006). The simulated global burdens are well within the range simulated by the AEROCOM models (not shown) and are slightly lower than the median for each species. The lifetime of each species is very close to the AEROCOM multi-model median, although dust and sea-salt have a slightly shorter lifetime. In terms of the removal processes, the sulphate, BC and POM simulated in the model are very close to the AEROCOM median values although sea-salt and dust have a slightly lower fraction by wet removal.

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Figure 5 compares model annual mean surface sulphate concentrations over N. America, Europe and remote marine sites against those observed at sites in the IM-PROVE (Interagency Monitoring of Protected Visual Environments, Malm et al., 2004) EMEP and University of Miami (as in Stier et al., 2005) networks. Overall, the spatial variability in the model compares very well (R=0.92) with the observations (Fig. 5d) with only a slight high bias on average (b=0.25). Considering each of the networks in turn (not shown), the statistics for the annual-mean comparisons are also good with b=0.30, 0.05 and 0.12 and R=0.73, 0.98 and 0.96 for EMEP, IMPROVE and University of Miami, respectively. It should be noted however that over Europe and North America, winter and summer simulated sulphate has a low and high bias respectively (not shown), see Manktelow et al. (2007) for details.

Figure 6 shows model surface-level BC concentrations over North America (annual mean) and over the North Atlantic (September and October mean). Over-plotted are annual mean BC observations from IMPROVE sites (from optical analysis of PM<sub>2.5</sub> filter measurement) and derived from aethalometer measurements made on the N. Atlantic transect cruise in the JGOFS campaign in 1992 (Van Dingenen et al., 1995). Shown in Fig. 8c and d are scatter plots indicating the model-observation correlation and bias. The model repoduces the spatial variability seen in the annual-mean observations over North America quite well (R=0.70) although the model is biased low by almost a factor of two (b=-0.46). For the N. Atlantic comparison, measurements are between 5 and 48 h duration, whereas the model is a two-month mean. However, the model captures the general pattern seen in the observations (b=-0.11, R=0.65) suggesting the BC export from North America is being captured guite well with the 10 monolayer ageing assumption (see Sect. 2.2.8).

Figure 7 compares model surface-level organic carbon (OC) concentrations in December and June over North America with observations at IMPROVE sites. The model captures fairly well the observed spatial variability (R=0.40 and 0.83 for December and June) but strongly underestimates the OC burden with an 72% low bias in winter and a 42% low bias in summer. A possible cause of this discrepancy is underestimation of

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SOA production, although this cannot be confirmed without further analysis. Increasing the yield might result in a better comparison with observations in the summer, but not the winter since terpene-derived SOA is only a small fraction of the winter OC in the model.

Figure 8 compares annual mean surface-level sea-salt mass concentrations with observations from the University of Miami sites (from Stier et al., 2005). The model is within a factor of 2 of the observations at 17 of the 21 sites, although on average it is slightly biased high (b=0.22) and is only weakly correlated with the observations (R=0.13). The simulated annual mean surface-level global dust distribution (Fig. 8c) is within a factor two of the observations for 12 of the 20 University of Miami dust sites (from Woodward et al., 2001). The spatial variability seen in the observations is captured well by the model (R=0.95) although overall the model is biased slightly high biased on average (b=0.34), particularly at sites with a high dust loading.

Further investigations into the annual cycle of these aerosol components is warranted, but the evaluation in this paper is focussed on documenting the general performance in terms of the annual mean. In this respect, the model has been found to simulate surface mass concentrations very well for each of the main components of the global aerosol.

#### Global distributions of size-resolved number concentration and size

Many global models (see e.g. Textor et al., 2006) report and evaluate the global distribution of speciated aerosol mass, but only the aerosol microphysics models (e.g. Adams and Seinfeld, 2002; Easter et al., 2004; Lauer et al., 2005; Liu et al., 2005; Spracklen et al., 2005, 2007; Stier et al., 2005) have attempted to evaluate the distribution of size-resolved particle number concentrations. In general, the models reproduce the observed variability in mass concentrations much more accurately than they do the particle number concentrations, possibly because number concentrations are determined by more processes and because of uncertainties in the size distribution of primary particles.

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Figure 9 shows surface maps of annual mean particle number concentrations for each of the four soluble modes. As found in other studies (e.g. Spracklen et al., 2005), surface-level nucleation mode number concentrations are much lower than in the free and upper troposphere where the production rate by binary homogeneous nucleation 5 is strongest.

The soluble Aitken mode has highest number concentrations of around 1000 cm<sup>-3</sup> over China and generally are between 200 and 500 cm<sup>-3</sup> in other industrialised regions due to emissions of primary BC/OC from fossil fuel and biofuel burning (aged from the insoluble mode) and of primary sulphate particles. Moderate Aitken mode number concentrations (30–70 cm<sup>-3</sup>) are maintained in marine regions (e.g. 30–70 cm<sup>-3</sup> in the Southern Ocean and around 100 cm<sup>-3</sup> in the tropical Pacific) due to entrainment of particles that have nucleated and grown in the free troposphere.

The soluble accumulation mode also contains both primary and secondary particles. Primary carbonaceous particles from biomass burning sources are emitted at larger sizes than those from biofuel and fossil fuel combustion sources and Fig. 9c shows how the originally insoluble biomass aerosol has been aged, residing in the soluble accumulation mode (the local maxima off the West coast of Central Africa and in Amazonia) whereas the biofuel and fossil-fuel carbonaceous particles reside mainly in the soluble Aitken mode (Fig. 9b). Higher oxidant concentrations (stronger photochemistry) in tropical regions and subsequent production of sulphuric acid vapour and condensing organics will also have contributed to enhanced growth and more efficient processing to the soluble accumulation mode. Minima in Aitken soluble mode number concentrations indicate where the mode-merging of the biomass aerosol has occurred. In marine regions, the larger particles in the Aitken soluble mode are also transferred to the soluble accumulation mode via cloud processing in the persistent stratocumulus cloud deck.

Accumulation mode number concentrations in polluted regions are similar to those in the Aitken mode (200–1000 cm<sup>-3</sup>). Concentrations over ocean regions are around 100 cm<sup>-3</sup> between 30N and 30S, around 50-75 cm<sup>-3</sup> between 30 and 45 degrees in

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The global distribution of number concentrations in the soluble coarse mode reflects the dominant source regions for dust and sea-salt aerosol. Minima are seen in the subtropics indicating strong wet removal in the Inter-tropical Convergence Zone (ITCZ).

Examining the simulated particle sizes (Fig. 10) also helps to understand the role of various processes in the model. The smallest nucleation mode particles at the surface occur over Antarctica where temperatures are low enough for new particle formation to occur. In the tropics, the mean nucleation mode size is at the upper end of the allowed range, because of growth due to uptake of photochemically produced vapours. Soluble Aitken mode particles are largest (geometric mean radius,  $r_{\rm p} \approx 40~{\rm nm}$ ) over the continents where primary aerosol emissions are strong, whereas in marine regions, the Aitken mode aerosol is mainly secondary and much smaller (10–20 nm), having been transferred from the nucleation mode.

The soluble accumulation mode particles are smallest ( $r_p \approx 70\,\mathrm{nm}$ ) in tropical marine regions where strong photochemistry and effective growth of secondary particles to the Aitken mode is combined with high cloud amounts and subsequent cloud processing into the soluble accumulation mode. Tropical marine regions affected by biomass aerosol, and mid-latitude oceans with strong sea-spray emissions have a slightly larger accumulation mode ( $r_p \approx 100-120\,\mathrm{nm}$ ).

For the soluble coarse mode, continental regions have smaller particles ( $r_{\rm p}\approx500\,{\rm nm}$ ) than marine regions since aged dust aerosol tends to be smaller than freshly emitted sea-salt. The highest mean radii for the coarse soluble mode ( $\approx800\,{\rm nm}$ ) occur in the strongest sea-spray emissions regions whereas weaker wind-speed regions have slightly smaller mean sizes ( $\approx700\,{\rm nm}$ ), probably due to sedimentation preferentially removing larger particles. The simulated mean size in the Aitken and accumulation mode range is compared against observations in Sect. 3.5.

Figure 11 shows annual mean surface-level number concentration of the three insoluble modes. Aitken insoluble mode number concentrations peak at around 1000 cm<sup>-3</sup> in biomass burning regions and where there are strong sources of carbonaceous

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aerosol from fossil fuel and biofuel combustion. Apart from the biomass outflow plume from West Africa, Aitken insoluble number concentrations over the ocean are less than  $50\,\mathrm{cm}^{-3}$ , and over the Southern Ocean less than  $10\,\mathrm{cm}-3$ . Accumulation and coarse insoluble mode number concentrations are highest in arid dust emission source regions. Figure 9a shows that accumulation mode insoluble dust has a longer lifetime than coarse insoluble dust and both show minima in the ITCZ as a result of effective impaction scavenging in those regions. Over ocean, dust number concentrations in the insoluble accumulation and coarse modes are less than  $1\,\mathrm{cm}^{-3}$ , aside from the strong dust outflow from the Sahara and Sahel regions where number concentrations in both modes are around  $5-10\,\mathrm{cm}^{-3}$ .

The mean size of the Aitken insoluble mode (Fig. 12a) follows the assumed sizes of the freshly emitted primary carbonaceous aerosol. For instance over N. America, Europe and East Asia, the mean radius is around 40 nm where fossil fuel combustion dominates. Regions with the largest biofuel combustion sources (e.g. South Asia) have larger primary BC/OC particles ( $\approx$ 50 nm) than US and Europe since the ratio of biofuel sources (larger particles) to fossil fuel sources (smaller particles) is larger. The particle size in biomass burning regions are larger ( $\approx$ 75 nm), again reflecting the larger assumed size of the freshly emitted biomass BC/OC aerosol.

The insoluble accumulation mode (Fig. 12b) has a fairly uniform particle size ( $\approx$ 240 nm mean radius) since sedimentation is weak in this size range, removal being dominated by impaction scavenging and ageing. By contrast, the insoluble coarse mode (Fig. 12c) has larger mean radius ( $\approx$ 800 nm) near dust source regions than in the far-field ( $\approx$ 300–500 nm) because of preferential removal of the largest particles by the model's two-moment sedimentation scheme (see Sect. 2.2.2).

Figure 13 shows zonal mean soluble mode number concentrations and geometric mean dry radii against latitude and altitude. As mentioned earlier, binary homogeneous nucleation of new sulphate aerosol is strongest in the free and upper troposphere and explains why the highest number concentrations (1000–5000 per cm<sup>-3</sup>) and smallest mean radius (2–3 nm) for the nucleation mode occur there. Outside of the particle

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formation belt aerosol can grow large enough to be mode-merged to the Aitken soluble mode. However, intra-modal coagulation reduces number concentrations in the mode considerably before merging to the Aitken mode occurs.

Aitken soluble mode number concentrations peak at  $200-500 \,\mathrm{cm}^{-3}$  in two lobes at about 35N and 25S where high precursor emissions and strong photochemistry produce plenty of sulphuric acid vapour for growth. Impaction scavenging is also weaker at these latitudes than in the sub-tropics. In contrast to the nucleation mode, the regions where the Aitken soluble mode particles are largest ( $r_p \approx 30-35$ ,nm) coincides with regions where the number concentrations are highest. Convective uplift and subsequent poleward transport of these particles is evident in the Aitken mode size in Fig. 14b. During this transport, coagulation among particles in the mode (intra-modal) reduces the mode number concentration and increases  $r_p$ . Inter-modal coagulation may also contribute to the growth seen in the figure due to collection of nucleation mode particles which are present in high number concentrations in the free troposphere.

A maximum in Aitken-soluble mode number concentration occurs at  $\approx$ 5 km since particle concentrations are depleted at lower altitudes by cloud-processing in marine stratocumulus to the accumulation soluble mode. Figure 14b also shows how the Aitken mode  $r_{\rm p}$  decreases in the cloud-processed regions since particles larger than  $r_{\rm act}$  are mode-merged to the accumulation mode.

Although the vast majority of primary aerosol emissions are from the surface, a small contribution to the soluble Aitken mode number concentrations above the boundary layer is from primary sulphate aerosol from volcanic and biomass emissions (see Sect. 2.1.4). Wildfire particles in boreal and temperate regions are mostly emitted above the boundary layer, up to 6 km in altitude (Dentener et al., 2006). However, their contribution is likely to be small overall, and Merikanto et al. (2009) found that above 4 km, binary nucleation contributes over 95% of the CN concentration. The relative number concentrations in the nucleation and Aitken soluble modes at these altitudes (Fig. 13) is consistent with this finding.

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Zonal annual mean accumulation mode number concentrations are largest (100-200 cm<sup>-3</sup>) in the boundary layer of the Northern Hemisphere mid-latitudes and contain contributions from primary particles and secondary particles that have been cloud processed from the Aitken soluble mode Accumulation mode  $r_n$  is fairly constant meridionally in the Northern Hemisphere (≈80–90 nm) and has little variation with altitude. Mid-latitude Southern Hemisphere accumulation mode particles are slightly smaller  $(r_{\rm p} \approx 70-80 \, \rm nm)$  and Fig. 10c shows that there is considerable zonal variation in particle size at the surface. The enhancement in accumulation mode size seen at 50-65 South was also seen at the surface in Fig. 10c and could be due to larger mean size of sea-spray aerosol which dominates this region.

Coarse soluble mode number concentrations have a strong vertical gradient, decreasing rapidly due to particle sedimentation, with the 2-moment representation also giving a small decrease in particle size with increasing height ( $r_p \approx 600 \text{ to } \approx 500 \text{ nm}$ ) as larger particles are preferentially removed.

Figures 15 and 16 shows the same plots as Figs. 13 and 14, but for the 3 insoluble modes. Aitken insoluble mode particles are carbonaceous and zonal mean number concentrations in the free troposphere show little variation between hemispheres (around 10-50 cm<sup>-3</sup>). However, the particle size suggests that these BC/OC particles in the Northern Hemisphere originate mainly from fossil fuels ( $r_p \approx 30-40 \text{ nm}$ ) whereas in the Southern Hemisphere and tropics the particles are mainly produced from biomass and biofuel combustion ( $r_p \approx 75 \,\mathrm{nm}$ ). Particle concentrations in the insoluble accumulation mode (fine, fresh dust) only exceed 1 cm<sup>-3</sup> in latitudes containing dust source regions. The number peak at 10-30N due to strong dust sources in North Africa and the middle East while that at 40N comes mainly from deserts in East Asia. Insoluble coarse particles have a similar horizontal distribution but fall off more rapidly at higher altitudes due to sedimentation.

In-situ measurements of total particle number concentrations (larger than 3 or 10 nm diameter) have been made by Condensation Nuclei Counters on field campaigns and at Global Atmospheric Watch (GAW) and Atmospheric Radiation Measurements (ARM) sites over many years. Measurements of CCN concentrations have been made in numerous field campaigns from aircraft and ground based instrumentation although routine measurements over many years are only available at a small number of monitoring sites. Differential and Scanning Mobility particle sizers (DMPS, SMPS) have also been used to measure particle size distribution in field campaigns for many years and have now been installed at several aerosol monitoring super-sites (e.g. the European Supersites for Atmospheric Aerosol Research, see http://www.eusaar.net).

Figure 17 compares modelled CN concentrations against a climatology of observed CN concentrations in the marine boundary layer compiled by Heintzenberg et al. (2000) from measurements made over 30 years. Overall, the model is biased slightly low against the observations (b=-0.33) with only a weak correlation coefficient (R=0.21). However, the model compares well with the observations between 15S and 60N with a local maximum in CN concentration at around 40N as in the measurements. Similarly to other models (e.g. Easter et al., 2004; Spracklen et al., 2005) simulated CN are substantially biased low against these observations over the Southern Ocean. This could be an indication that the model is underestimating sub-micron sea-spray or new particle formation in the marine boundary layer. However, as noted in Spracklen et al. (2005), the Southern Ocean average is mostly based on observations during Southern Hemisphere summer. It should be noted that the red line (representing the highest simulated monthly-mean) are from summer months in this region and these compare more favourably with the observations, although the model is still biased low.

Figures 18, 19, and 20 compare the simulated CN annual cycle against observations at Global Atmospheric Watch (GAW) monitoring stations separated into free troposphere, marine boundary layer and continental boundary layer sites respectively (see Table 7). These sites are essentially a sub-set of the 25 sites used in a recent

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study by Spracklen et al. (2009). That study showed that particle formation is important for global aerosol concentrations, but found that even when binary nucleation was included in the model (without a boundary layer nucleation mechanism), CN concentrations were underpredicted (b=-0.66) and the seasonal cycle was not well captured (R=0.3) compared to observations at 25 surface sites.

The set-up of the model here is equivalent to the BHN experiment in Spracklen et al. (2009) but using GLOMAP-mode rather than GLOMAP-bin. The performance of the model here is generally consistent with the BHN experiment in Spracklen et al. (2009) with underprediction being lowest at FT sites (Fig. 18) with a fairly good comparison at Jungfraujoch (b=-0.14) and Mauna Loa (b=0.44) and reasonable correlation at South Pole (R=0.74) with strongly elevated summer concentrations as observed (although the model is strongly biased high then). We do not directly compare GLOMAP-mode and GLOMAP-bin here as this is the subject of a separate study (Mann et al., 2010). However, when comparing to the BHN results from Spracklen et al. (2009), one should note that the version of GLOMAP-mode described here is equivalent to GLOMAP-bin v1.0 (e.g. used in Spracklen et al., 2007) whereas Spracklen et al. (2009) used v1.1 which had an updated treatment of vapour diffusion compared to v1.0. Comparison with CN concentrations simulated by GLOMAP-bin v1.0 (not shown) suggest the differences at Jungfraujoch are associated with the change to the diffusion rather than differences between the modal and bin-resolved aerosol dynamics.

For three of the six GAW sites in the marine boundary layer (Fig. 19), the model is strongly biased low (b from -0.78 to -0.72), but compares well at Samoa (b=0.05), Neumayer (b=-0.11) and Trinidad Head (b=-0.29). At Neumayer, the observed strong CN seasonal cycle is reproduced very well by the model (R=0.88) with the summer high bias at South Pole not present at this Antarctic coastal site. The low bias at Barrow is expected since Korhonen et al. (2008b) showed that, in common with many other global models, GLOMAP underestimates considerably the ultra-fine aerosol observed in the Arctic. At Mace Head, O'Dowd et al. (1998) showed that strong new particle formation events at low tide lead to greatly elevated CN concentrations. The

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new particle formation there has been linked to the release of iodine-containing compounds (e.g. McFiggans et al., 2004) and such events will clearly not be simulated in these experiments.

As with GLOMAP-bin BHN (Spracklen et al., 2009), the CN low bias is greatest for sites in the continental boundary layer (Fig. 20), with *b* ranging from −0.74 to −0.68 for Hohenpeissenberg (Germany) and the two US sites Southern Great Plains and Bondville. At Pallas, the low bias is less (*b*=−0.38) but the seasonal cycle is not at all captured (*R*=−0.59) with low biases in spring and summer of ≈5 and 10, respectively. Spracklen et al. (2009) demonstrate how the low bias is improved if the number emissions of anthopogenic primary particles is increased or an empirical BL particle formation mechanism is used, with the latter giving best comparison with the observed seasonal cycle. In a future study (Mann et al., 2010) we will investigate the improved performance of GLOMAP-mode when the BL nucleation mechanism is included.

Figure 21 evaluates the simulated vertical profile of CN concentrations by comparing against the observational climatology from Clarke and Kapustin (2002) who synthesised aircraft measurements over the Pacific and Southern Hemisphere oceans to produce average profiles at 1 km vertical resolution in the latitude ranges 70S-20S, 20S-20N and 20N-70N. In all three regions, the observed maximum in CN in the upper troposphere is also produced by the model, caused by binary nucleation. In the 20S-20N region (sub-tropical Pacific) the CN maximum is at ≈11 km whereas the model has it at around 16–17 km, and there is a persistent low CN bias up to 11 km (b=-0.57). Simulated CN are in much better agreement with the observations at 20–70S (b=-0.15, R=0.84) and the modelled CN peak of about  $4000 \,\mathrm{cm}^{-3}$  at  $10 \,\mathrm{km}$  agrees very well with the observations. At 20-70N, the model peak in annual mean CN is lower than observed in magnitude and in altitude, but overall simulates the vertical profile quite well (b=-0.28, R=0.92). Not captured by model is the observed CN maximum in the marine boundary layer in the 20-70N and 20-70S regions, indicating missing marine sources of particle number, possibly due to ultra-fine sea-spray or an alternative nucleation mechanism.

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The low CN bias in the sub-tropics was not found in Spracklen et al. (2005), which used the single-component version of GLOMAP-bin, different emissions and ECMWF analyses from 1997. However, simulated CN profiles with GLOMAP-bin v1.0 with equivalent aerosol types, emissions and meteorology do show a similar low bias (although it is slightly less than here). Revisions to the representation of diffusion at v1.1 of the model (e.g. Merikanto et al., 2009) have resulted in improved comparison with these 20S-20N observations.

To evaluate simulated CCN concentrations, we compare against a compilation of CCN observations from Spracklen et al. (2010). The observations span both hemispheres and cover both oceanic and continental regions. Model values are interpolated to the location for the relevant monthly mean and are based on the supersaturation quoted for the measurement. We use Kohler theory to determine a critical dry radius corresponding to the instrument supersaturation, with model CCN being all soluble mode aerosol larger than this size. A figure showing the comparison is not included here but the dataset of observed CCN is the same as described in Spracklen et al. (2010). Overall, the model compares quite well (R=0.68) with the observations (although slightly biased high on average, b=0.49), with 63%, 92%, 99% of model CCN concentrations within a factor of 2, 5 and 10, respectively of the measured values. The model performs better for measured CCN concentrations below 1000 cm<sup>-3</sup>, with most of the simulated values within a factor of two. For concentrations above 1000 cm<sup>-3</sup>, the model is biased low, with 5 of the observed CCN concentrations exceeding 10 000 cm<sup>-3</sup>, whereas simulated CCN at these sites peak at 7000 cm<sup>-3</sup>. However, this may be due to the model not resolving urban scale pollution. As expected from the findings in Korhonen et al. (2008b), the one Arctic CCN observation is greatly underpredicted by the model.

Of the sites in Spracklen et al. (2010), only Cape Grim and Mace Head have observations over the full annual cycle, and the model comparison against observations is shown in Fig. 22. While CN concentrations at Mace Head were underestimated by a factor 5-10 throughout the year (Fig. 19b), CCN concentrations at the site are captured

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quite well (b=0.06), within a factor of two throughout the year (Fig. 22a). Although the variability in the model CCN is considerably higher than in the observations, observed local maxima in January and May are matched by elevated concentrations in the model.

At Cape Grim, a clear seasonal CCN cycle is observed (Fig. 22b and c), with concentrations from May to October much lower than in late spring and summer. This feature is captured quite well by the model (R=0.68) at 1.2% supersaturation but only moderately well correlated (R=0.41) at 0.23% supersaturation. Simulated CCN at 1.2% (Fig. 22b) also capture the general magnitude well (b=0.2) and are within the observed inter-annual variability for most of the year, although a high bias (b=0.78) is seen at 0.23% supersaturation. Nevertheless, overall the model skill is good, with observed and modelled CCN concentrations at both concentrations mostly within a factor of two.

Korhonen et al. (2008a) showed that the elevated summer CCN concentrations at Cape Grim can be explained by enhanced DMS seawater concentrations in the Southern Ocean leading to stronger new particle formation in the free troposphere and subsequent growth and entrainment into the boundary layer. It should be noted that the modelled CCN peak in March and April is caused by carbonaceous aerosol from wild-fire activity in South Australia in the GFED emissions for the year 2000.

#### 3.5 Evaluation of simulated size distribution

The final section of the model evaluation focuses on size-resolved number concentrations in continental and marine regions.

During the Lindenberg Aerosol Characterization Experiment (LACE) field campaign in 1998, airborne measurements of size-resolved number concentrations were made over continental Europe (Petzold et al., 2002). Lauer et al. (2005) synthesised these measurements into vertical profiles of concentrations of particles larger than 5, 15, and 120 nm dry diameter ( $D_p$ ) and Fig. 23 compares GLOMAP-mode against the median values from this dataset.

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At altitudes above 700 hPa, the model annual mean compares very well against the observations for each of the size ranges. This gives confidence that new particle formation and growth in the free troposphere is performing well in the model. Below 700 hPa however, the model underestimates observed particle concentrations for each size range by a factor 2-10. On average however, the low bias is quite small (b=-0.07, -0.37 and -0.23) with good correlation coefficient (R=0.86, 0.93, and 0.95) for  $D_p>5$ , 15, and 120 nm, respectively. Spracklen et al. (2009) found a similar low bias in the continental boundary layer in the equivalent BHN simulation, and found that it could be reduced substantially by assuming a finer particle size for primary emissions or including an empirical boundary layer nucleation mechanism.

To evaluate the model particle size-distribution in marine regions, we again compare against the observed climatology of Heintzenberg et al. (2000). Size-resolved aerosol concentration measurements from many field campaigns were combined to produce an observed climatogloy of number concentrations and mean size in the Aitken and accumulation size ranges. Figure 24 compares GLOMAP-mode against this dataset. In the Northern Hemisphere, the simulated number concentrations in both modes agree well the observations except in polar regions where the model is biased low. The simulated size of the accumulation mode also agrees very well with the observations in the Northern Hemisphere although the Aitken mode is biased large by around 10-20 nm at latitudes >20N. As seen in Fig. 17 for CN, simulated number concentrations in both Aitken and accumulation size ranges are biased low with b=-0.70 and -0.46, respectively, possibly related to ultra-fine sea-spray or a missing new particle formation mechanism. Overall however, the model Aitken mode size compares favourably to the observations (b=0.10, R=0.54) matching particularly well in the Southern Hemisphere. Simulated accumulation mode size has poor correlation with the observations (R=-0.46) due to a severe high (large) bias in the Southern Hemisphere.

For a second constraint on simulated marine particle concentrations, we compare (Fig. 25) against ship-borne observed CCN (defined here as particles larger than 50 nm) from DMPS measurements over the North Atlantic (Van Dingenen et al., 1995).

The measurements are means over 12–48 h, whilst the model field is a mean over September and October (the campaign period). Consequently, the observations have a much higher variability than the model leading to only a moderate correlation coefficient of 0.45. However, on average, the 2-month simulated CCN (>50 nm) compare well with the observations, the majority being within a factor 2 of the measured CCN and only a very slight low bias on average (b = -0.12).

#### 4 Conclusions

We have described and evaluated a new version of the global size-resolved aerosol microphysics model GLOMAP, that uses a modal aerosol representation as opposed to the original bin scheme. The treatment of the size-resolved aerosol microphysical processes (new particle formation, coagulation, condensation, cloud-processing) is described in detail along with the emissions, gas phase chemistry and removal by dry deposition, in-cloud and below-cloud scavenging. GLOMAP-mode transports multicomponent aerosol masses (sulphate, sea-salt, BC, POM and dust) and number concentrations in 7 log-normal modes runs giving 26 aerosol tracers, compared to around 150–200 for an equivalent aerosol configuration in GLOMAP-bin.

The first part of the model evaluation is against surface observations of aerosor precursor gases and chemically speciated mass concentrations. Sulphate mass is well represented in the model on the annual mean, with normalised mean biases (b) of 0.30, 0.05 and 0.12 and correlation coefficients (R) of 0.73, 0.98, and 0.96 against surface observations over Europe, North America, and marine regions. Mass of BC and POM over North America is underestimated (b=-0.46 and -0.57, respectively) but correlates well spatially with the observations (R=0.89 and 0.82). Simulated sea-salt and dust concentrations perform well in marine regions against surface observations (b=0.22 and 0.34; R=0.13 and 0.95, respectively).

We have shown simulated global burden, lifetime and fraction of deposition by wet removal of the simulated species, and find each of them lie close to the median values GMDD

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simulated by global models participating in the AEROCOM model intercomparison exercise. We have also shown the general behaviour of the model by presenting surface maps and zonal mean latitude-altitude plots of particle concentrations and geometric mean radii in each of the seven modes.

The second part of the model evaluation has examined simulated surface CN, CCN and size-resolved number concentrations in marine and continental regions and also against profiles from aircraft observations. Surface CN concentrations compare reasonably well in free troposphere and marine sites, but are strongly underestimated at continental and coastal sites related to underestimation of either primary particle emissions or nucleation events. Vertical CN profiles over the Pacific ocean are captured quite well (R=0.71-0.92) with maximum in the free troposphere due to binary nucleation, although a low bias is evident (b=-0.15 to -0.57). The model compares well (b=0.49, R=0.68) against a compilation of CCN observations covering a range of environments and also captures vertical profiles of size-resolved particle concentrations over Europe (b=-0.37 to -0.07, R=0.86-0.95) although a low bias of around a factor 2-5 is seen in boundary layer number concentrations.

Overall, the evaluation finds the simplified version of GLOMAP performs well against the observation datasets, but two main deficiencies are found. Simulated continental boundary layer number concentrations are low, likely caused by boundary layer nucleation and too large primary particle size assumed in the model. Particle concentrations in the Southern Hemisphere marine boundary layer are underpredicted, with a high bias in simulated accumulation mode size, likely due to an underprediction of ultra-fine sea-spray emissions. These model deficiencies will be addressed in the next revision of the model and the performance improvements measured against the benchmark datasets assembled in this paper. A separate paper to be submitted soon (Mann et al., 2010) will re-examine the performance of GLOMAP-mode with the deficiencies addressed and using the more sophisticated GLOMAP-bin scheme as a global benchmark against which the impact of simplifying the aerosol dynamics will be assessed.

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**Table 1.** Gas phase chemistry used in GLOMAP-mode. An involatile organic species SEC-ORG is generated from MONOTER oxidation at 13% yield following reaction rates for  $\alpha$ -pinene.

Reactions	Reference
$DMS + OH \rightarrow SO_2$	Atkinson et al. (1989)
DMS + OH $\rightarrow$ 0.6 SO <sub>2</sub> + 0.4DMSO	Pham et al. (1995)
DMSO + OH $\rightarrow$ 0.6 SO <sub>2</sub> + 0.4MSA	Pham et al. (1995)
$DMS + NO_3 \rightarrow SO_2$	Atkinson et al. (1989)
$CS_2 + OH \rightarrow SO_2 + COS$	Pham et al. (1995)
$COS + OH \rightarrow SO_2$	Pham et al. (1995)
$SO_2 + OH + M \rightarrow H_2SO_4$	Pham et al. (1995)
MONOTER + OH → 0.13 SEC-ORG	Atkinson et al. (1989)
MONOTER + $NO_3 \rightarrow 0.13$ SEC-ORG	Atkinson et al. (1989)
MONOTER + $O_3 \rightarrow 0.13$ SEC-ORG	Atkinson et al. (1989)
$HO_2 + HO_2 \rightarrow H_2O_2$	Jones et al. (2001)

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**Table 2.** Properties of the aerosol components used in the model. Note that although POM is listed as insoluble, an amount of water is associated with POM in soluble modes as described in Sect. 2.2.4.

Component name	Abbreviation	Density (kgm <sup>-3</sup> )	Molar mass (kgmol <sup>-1</sup> )	Soluble?
sulfate sea-salt	SU SS	1769.0 1600.0	0.098 0.05844	Yes Yes
black carbon	BC	1500.0	0.012	No
particulate organic matter dust	POM DU	1500.0 2650.0	0.0168 0.100	No No
water	WT	1000.0	0.018	_

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**Table 3.** Standard aerosol configuration for GLOMAP-mode.

Index	Name	Size range	Composition	Soluble?	$\sigma_{g}$
1	Nucl-sol	<u></u>	SU, POM	Yes	1.59
2	Aitken-sol	$10 < \overline{D} < 100 \text{nm}$	SU, BC, POM	Yes	1.59
3	accum-sol	$100 < \overline{D} < 1  \mu m$	SU, BC, POM, SS, DU	Yes	1.59
4	coarse-sol	$\overline{D}$ >1 $\mu$ m	SU, BC, POM, SS, DU	Yes	2.0
5	Aitken-ins	$10 < \overline{D} < 100 \text{nm}$	BC, POM	No	1.59
6	accum-ins	$100 < \overline{D} < 1  \mu m$	DU	No	1.59
7	coarse-ins	$\overline{D}$ >1 $\mu$ m	DU	No	2.0

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Table 4. Annual mean global mass burden, production fluxes and lifetime for each simulated aerosol precursor gas. For the sulphur species the mass is of sulphur (multiply by 1.94, 2, 3.06 for mass of DMS, SO<sub>2</sub> and H<sub>2</sub>SO<sub>4</sub>, respectively), whereas for the organic species the mass is of carbon (multiply by 11.3 and 12.5 for mass of terpenes and condensable organic). The range shown in parentheses for DMS and SO<sub>2</sub> are based on the literature values listed in Spracklen et al. (2005) except for the DMS conversion to SO<sub>2</sub> which is based on the DMS oxidation fluxes to SO<sub>2</sub> from Boucher et al. (2003) using a range of DMS climatologies, oxidant fields and sea-air transfer functions. For terpenes, the emissions total from Guenther et al. (1995) is shown in parentheses for reference. The (10-60 TqPOM/yr) range of SOA production quoted in Dentener et al. (2006) is also shown for reference.

Species	Burden	Prod (prim)	Prod (sec)	Lifetime
	(Tg)	(Tg a <sup>-1</sup> )	(Tg a <sup>-1</sup> )	(days)
DMS	0.027 (0.02–0.15)	18.1 (10.7–23.7)	0.0	0.6 (0.5–3.0)
SO <sub>2</sub>	0.300 (0.2–0.68)	67.9 (64.4–104.1)	17.6 (15.9–24.7)	1.3 (0.6–5.3)
H <sub>2</sub> SO <sub>4</sub>	0.0001	0.0	10.8 (6.1–15.3)	0.003
MONOTER	0.0078	146.2 (127)	0.0	0.02
SEC-ORG	0.0002	0.0	18.5 (7.1–42.9)	0.003

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**Table 5.** Annual mean global removal fluxes as a percentage of total removal for each of the simulated gas phase aerosol precursors. For SO<sub>2</sub>, the range as simulated in 14 previous global model studies (see Spracklen et al., 2005) is included in parentheses.

Species	Loss (→gas) (%)	Loss (→aero) (%)	Loss (ddep) (%)	Loss (wdep) (%)
DMS	100			
$SO_2$	12.6 (5.3-18.5)	43.5 (27.1-57.1)	30.7 (26.7-46.3)	13.2 (0.2–20.8)
Terpenes	97.6		2.4	
$H_2SO_4$		99.99	0.01	
Condensing organic		99.10	0.13	0.8

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**Table 6.** Annual mean global mass burden (Tg), production fluxes (Tg/yr) and lifetime (days) for each simulated aerosol component. Also shown in the % removal by wet deposition for each component. In parentheses are shown the median burdens and lifetimes simulated by AEROCOM models as documented in Textor et al. (2006).

Species	Burden	Prod (prim)	Prod (sec)	Lifetime	% loss by wdep
Sulphate	0.52 (0.66)	1.7 (59.6)	48.4	3.7 (4.1)	89.6 (88.5)
Sea-salt	4.93 (6.39)	8380 (6280)	0.0	0.2 (0.4)	21.2 (30.3)
BC	0.14 (0.21)	7.7 (11.3)	0.0	6.6 (6.5)	80.0 (79.5)
POM	1.15 (1.21)	46.9 (69.9)	22.4	6.1 (6.1)	84.6 (78.9)
Mineral dust	16.6 (20.5)	1810 (1640)	0.0	3.4 (4.0)	17.1 (31.7)

**Table 7.** Global Atmospheric Watch (GAW) sites used in the comparison against CN concentrations. Sites are categorized as either free troposphere (FT), marine boundary layer (MBL) or continental boundary layer (CBL). The condensation nuclei counters at Cape Grim and Hohenpeissenberg had cut-off diameters of 3 nm whilst observed CN at all other sites refer to particles larger than 10 nm. All data were downloaded from the World Data Centre for Aerosols webpage (http://wdca.jrc.ec.europa.eu/data/parameters/datacnc.html).

Station	Code	Туре	Latitude	Longitude	H.a.s.l.	Years
Jungfraujoch Mauna Loa South Pole	JFJ MLO SPO	FT FT FT	46.5N 19.5N 89.997S	7.99E 155.6W 24.8W	3580.0 3397.0 2841.0	1995–2006 1975–1999 1974–1999
Mace Head	MHT	MBL	53.3N	9.9W	5.0	1991–1994, 2000, 2002–2004
Neumayer	NEU	MBL	70.7S	8.3W	42.0	1993-2006
Barrow	BRW	MBL	71.3N	156.6W	11.0	1976-2007
Samoa	SMO	MBL	14.2S	170.6W	77.0	1977–1992, 1994–1997, 2004–2006
Trinidad Head	THD	MBL	41.1N	124.2W	107.0	2002-2007
Cape Grim	CGR	MBL	40.7S	144.7E	94.0	1999–2006
S. Great Plains Bondville Pallas Hohenpeissenberg	SGP BND PAS HOP	CBL CBL CBL	36.6N 40.1N 68.0N 47.8N	97.5W 88.4W 24.1E 11.0E	318.0 213.0 560.0 985.0	1996–2007 1994–2007 1996–2002 1995–2005

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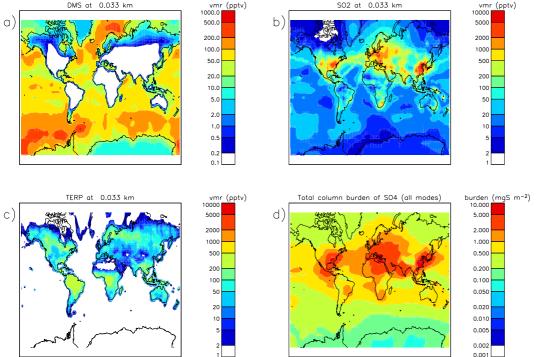


Fig. 1. Global maps of annual mean model surface vmr of (a) DMS; (b) SO<sub>2</sub>; (c) terpenes in the model lowest level. Annual mean model column integrated aerosol sulphate burden is shown in panel (d).



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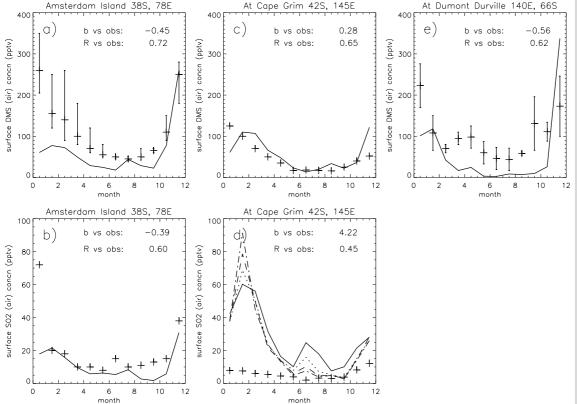
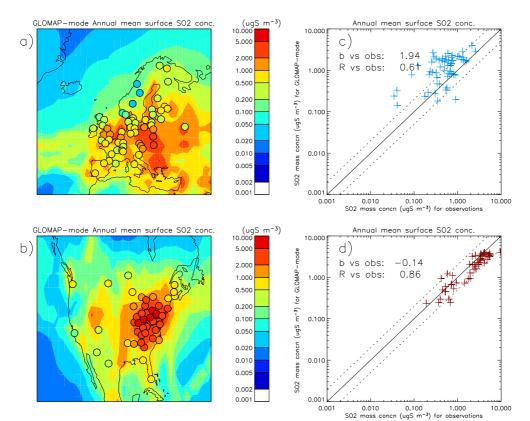


Fig. 2. Simulated (solid lines) and observed (plus symbols) annual cycle of (a) DMS and (b) SO<sub>2</sub> at Amsterdam Island (Nguyen et al., 1992); (c) DMS and (d) SO<sub>2</sub> at Cape Grim (Ayers et al., 1991); (e) DMS at Dumont D'Urville (Jourdain and Legrand, 2001). Normalised mean bias (b) and Pearson regression coefficient (R) are shown in each panel. Model values are monthlymean volume mixing ratio in the lowest model level. Panel (d) also shows model surface SO<sub>2</sub> at 1 (dotted), 2 (dashed) and 3 (dot-dashed) gridboxes to the South of Cape Grim).



**Fig. 3.** Maps of simulated annual-mean  $SO_2$  concentration in the lowest model level over **(a)** Europe and **(b)** North America with year-2000 surface observations from sites in the EMEP and IMPROVE networks respectively over-plotted. Scatter plots for the model (y-axis) compared to the observations (x-axis) are shown in panels **(c)** and **(d)** with *b* and *R* values for each region. Observations are for the year 2000 with only sites where the height above sea-level is within 250 m of that calculated for the lowest model level included.

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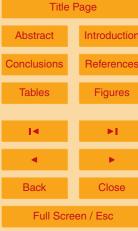
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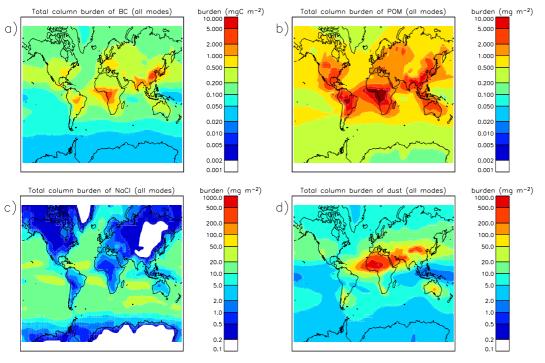
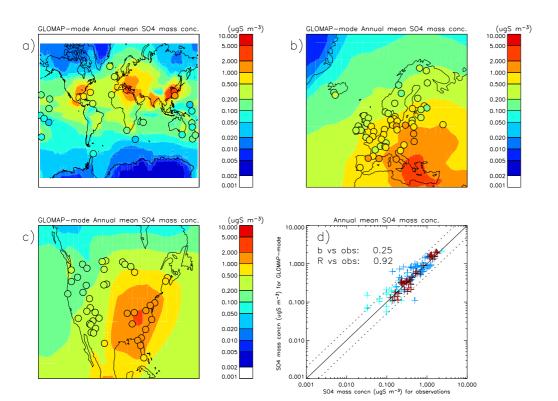


Fig. 4. Global maps of annual mean model column-integrated aerosol burden of (a) black carbon, (b) particulate organic matter, (c) sea-salt and (d) dust.



**Fig. 5.** Maps of simulated annual-mean sulphate concentration in the lowest model level **(a)** globally, **(b)** over Europe and **(c)** over North America with year-2000 surface observations from sites in the University of Miami, EMEP and IMPROVE networks respectively over-plotted. Scatter plots for the model (y-axis) compared to the observations (x-axis) are shown in panel d) with cyan, blue and red plus symbols corresponding to the University of Miami, EMEP and IMPROVE regions respectively. *b* and *R* values shown in panel d are for the the model-observation comparison over all three networks. Observations are for the year 2000 with only sites where the height above sea-level is within 250 m of that calculated for the lowest model level included.

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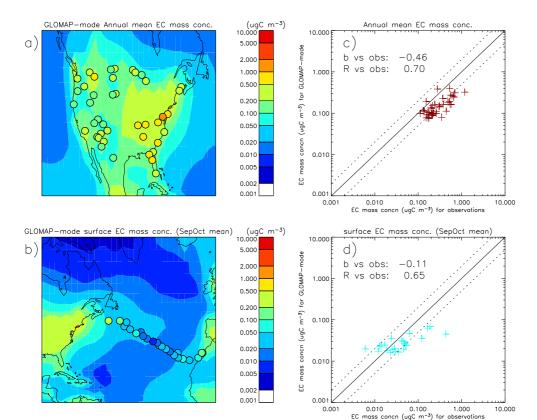
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**Fig. 6.** Maps of simulated annual-mean black carbon concentration in the lowest model level **(a)** over Europe and **(b)** over the North Atlantic with surface observations from IMPROVE sites and cruise observations from Van Dingenen et al. (1995) respectively over-plotted. Scatter plots for the model (y-axis) compared to the observations (x-axis) are shown in panels **(c)** and **(d)** with *b* and *R* values also shown. IMPROVE observations are for the year 2000 with only sites where the height above sea-level is within 250 m of that calculated for the lowest model level included.

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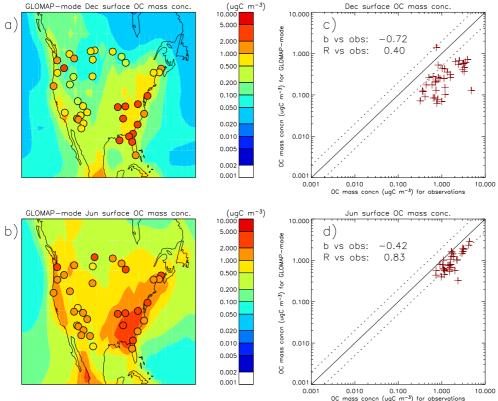


Fig. 7. Maps of simulated annual-mean organic carbon concentration in the lowest model level over North America for (a) December and (b) June with observations from IMPROVE sites over-plotted. Scatter plots for the model (y-axis) compared to the observations (x-axis) are shown in panels (c) and (d) with b and R values also shown. Observations are for the year 2000 with only sites where the height above sea-level is within 250 m of that calculated for the lowest model level included.

OC mass concn (ugC m-3) for observations



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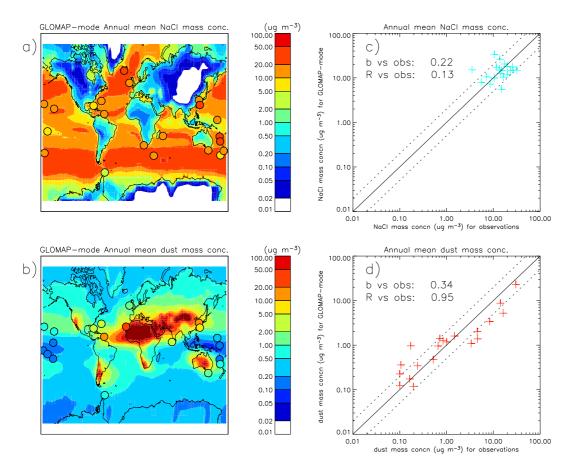
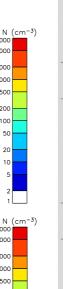


Fig. 8. Global maps of simulated annual-mean mass concentration in the lowest model level of (a) sea-salt and (b) dust with surface observations from University of Miami sites over-plotted. Scatter plots for the model (y-axis) compared to the observations (x-axis) are shown in panels (c) and (d) with b and R values also shown.



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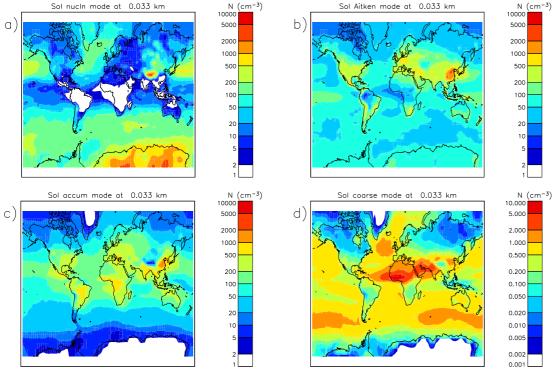


Fig. 9. Global maps of simulated annual-mean particle number concentration in the lowest model level for (a) nucleation mode, (b) Aitken-soluble mode, (c) accumulation-soluble mode and (d) coarse-soluble mode.



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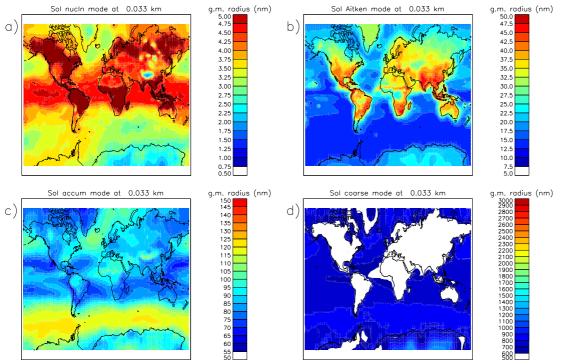


Fig. 10. Global maps of simulated annual-mean geometric mean radius in the lowest model level for (a) nucleation mode, (b) Aitken-soluble mode, (c) accumulation-soluble mode and (d) coarse-soluble mode.

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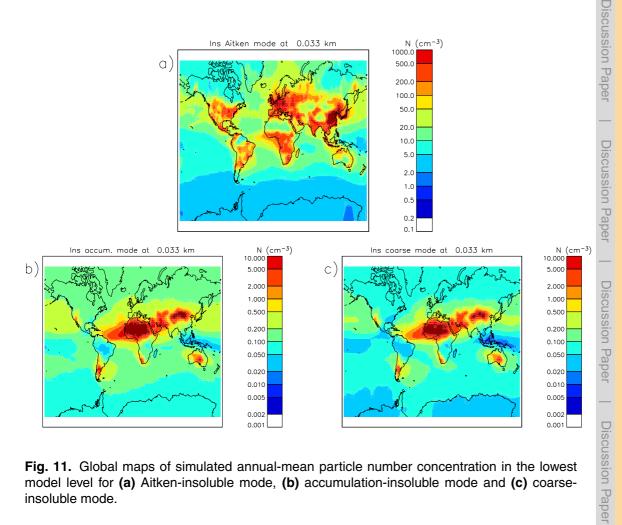


Fig. 11. Global maps of simulated annual-mean particle number concentration in the lowest model level for (a) Aitken-insoluble mode, (b) accumulation-insoluble mode and (c) coarseinsoluble mode.

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**Fig. 12.** Global maps of simulated annual-mean geometric mean radius in the lowest model level for **(a)** Aitken-insoluble mode, **(b)** accumulation-insoluble mode and **(c)** coarse-insoluble mode.

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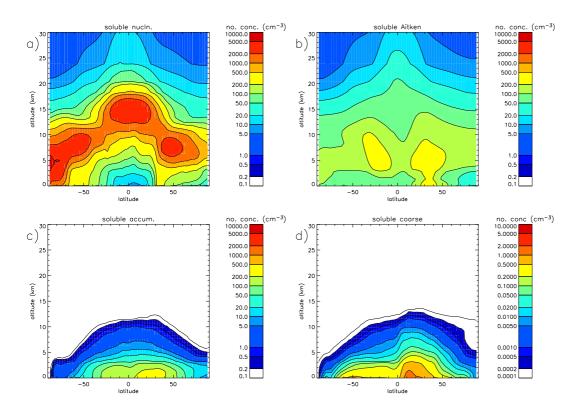
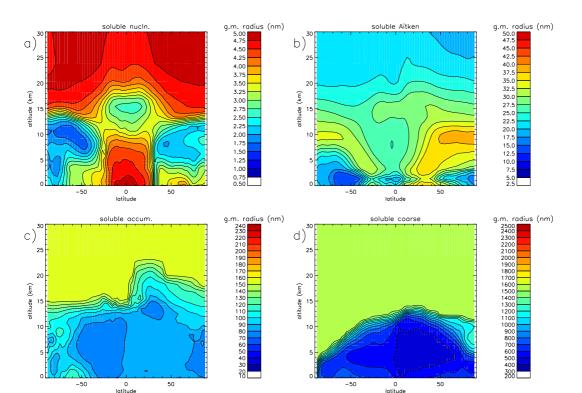


Fig. 13. Latitude-altitude plots of simulated zonal-means of annual-mean particle number concentrations for (a) nucleation mode, (b) Aitken-soluble mode, (c) accumulation-soluble mode and (d) coarse-soluble mode.

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**Fig. 14.** Latitude-altitude plots of simulated zonal-means of annual-mean particle geometric mean radius for **(a)** nucleation mode, **(b)** Aitken-soluble mode, **(c)** accumulation-soluble mode and **(d)** coarse-soluble mode.

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**Fig. 15.** Latitude-altitude plots of simulated zonal-means of annual-mean particle number concentrations for **(a)** Aitken-insoluble mode, **(b)** accumulation-insoluble mode and **(c)** coarse-insoluble mode.

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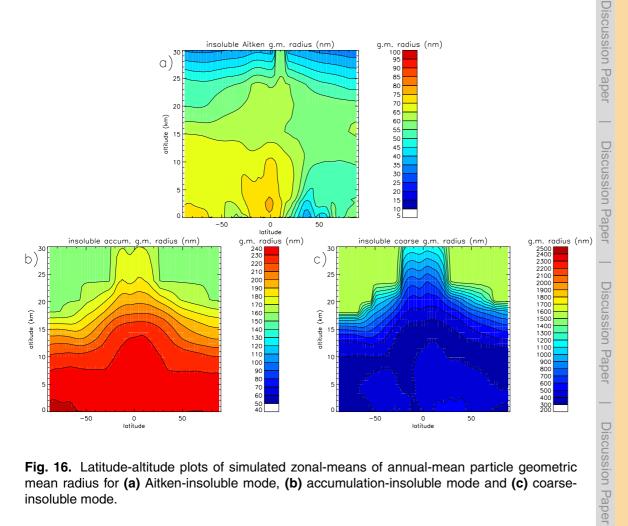


Fig. 16. Latitude-altitude plots of simulated zonal-means of annual-mean particle geometric mean radius for (a) Aitken-insoluble mode, (b) accumulation-insoluble mode and (c) coarseinsoluble mode.

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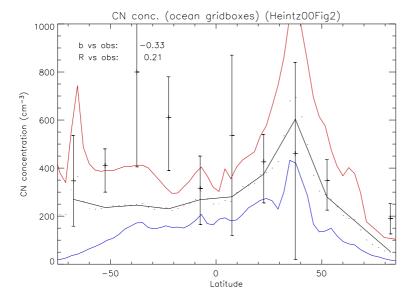
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**Fig. 17.** Simulated marine zonal mean surface CN concentrations (solid lines) compared to an observed climatology over several field campaigns (Heintzenberg et al., 2000). Model values are from the lowest model level over ocean averaged into 15-degree latitude ranges to match the observations. The black line shows the model annual mean and the red/blue lines show minimum/maximum monthly-mean values. Values of *R* and *b* are also shown.

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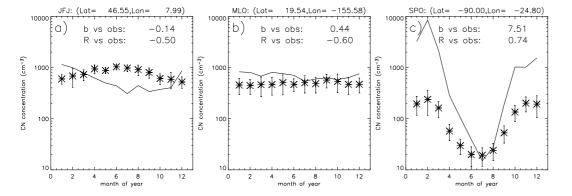






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**Fig. 18.** Simulated monthly-mean CN concentrations (solid lines) compared to observations (asterisks) at GAW monitoring sites classified as free troposphere. Sites are **(a)** Jungfraujoch, **(b)** Mauna Loa and **(c)** South Pole. Values of *b* and *R* are shown for each site. Error bars show the standard deviation for each month over the range of years shown in Table 7. Model values are taken from the level that best matches the height above sea-level for the station.

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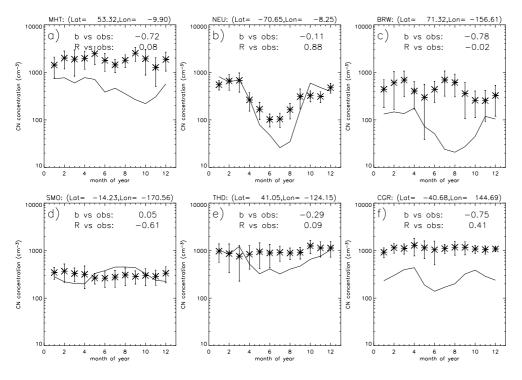


Fig. 19. As Fig. 18 for GAW monitoring stations classified as marine boundary layer sites. Sites are (a) Mace Head, (b) Neumayer, (c) Barrow, (d) Samoa, (e) Trinidad Head, and (f) Cape Grim.

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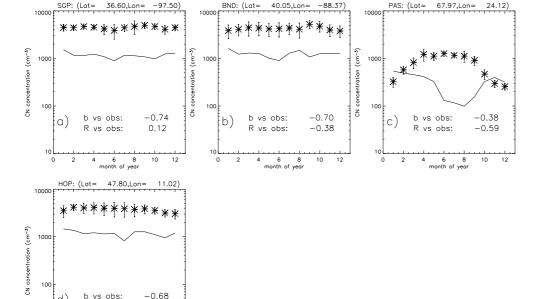
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**Fig. 20.** As Fig. 18 for GAW monitoring stations classified as continental boundary layer sites. Sites are **(a)** Southern Great Plains, **(b)** Bondville, **(c)** Pallas, and **(d)** Hohenpeissenberg.

R vs obs:

month of year

0.17

10 12

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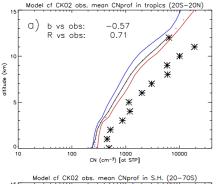
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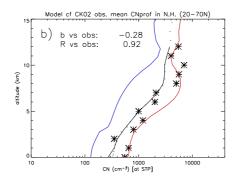
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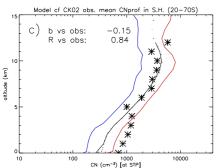
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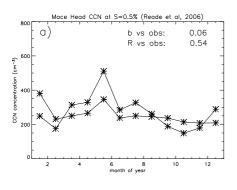
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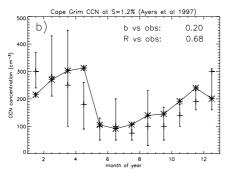
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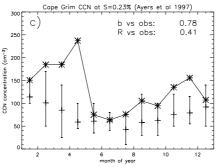
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Fig. 21. Simulated CN profiles (solid lines) over the Pacific and Southern Oceans compared to aircraft observations (asterisks) in the latitude ranges (a) 135-180E, (b) 175-270E and (c) 200–240E (Clarke and Kapustin, 2002). Simulated CN (particles larger than 3 nm dry diameter) were averaged on each model level for the three regions, as in Spracklen et al. (2005). Values of b and R are shown for each region from model values interpolated to a 1 km grid to match the observations. The black line shows simulated annual mean profile and the blue/red lines show minimum/maximum monthly-mean values.







**Fig. 22.** The simulated annual cycle of CCN concentrations (solid lines) against observations (asterisks) at Mace Head and Cape Grim. The measurements at Mace Head **(a)** are with 0.5% superstaturation whilst the comparison at Cape Grim is shown for measured CCN concentrations at supersaturations of **(b)** 1.2% and **(c)** 0.23%. Vertical bars around the Cape Grim observations show the observed range from 1981–1989 (Ayers and Gras, 1991).

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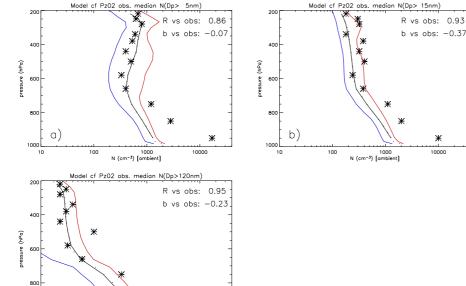
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10000

1000 C

100

1000 N (cm<sup>-3</sup>) [ambient]

**Fig. 23.** Simulated vertical profiles of size-resolved number concentration compared to aircraft observations (Petzold et al., 2002) over NE Germany (13.5–14.5° E, 51.5–52.7° N) for particles larger than **(a)** 5 nm, **(b)** 15 nm, and **(c)** 120 nm dry diameter  $(D_p)$ . Values of b and b are shown for each size-range from model values interpolated to a 1-km grid to match the observations. The black line shows simulated annual mean profile and the blue/red lines show minimum/maximum monthly-mean values.

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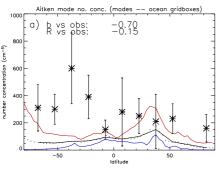


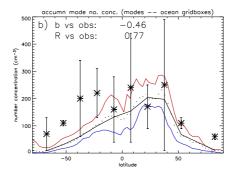


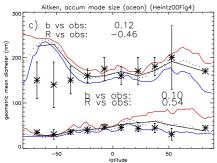
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Fig. 24. Simulated marine surface zonal-mean size-resolved number concentration in (a) Aitken mode and (b) accumulation mode, and (c) geometric mean particle diameters in each mode compared to those in an observed climatology (Heintzenberg et al., 2000). Values of b and R are shown for the simulated zonal-mean values averaged into 15-degree latitude-ranges to match the observations. The black line shows simulated annual mean profile (averaged to the 15-degree grid) and the blue/red lines show minimum/maximum monthly-mean values. Dotted lines show the values on the model latitude grid.



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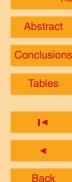
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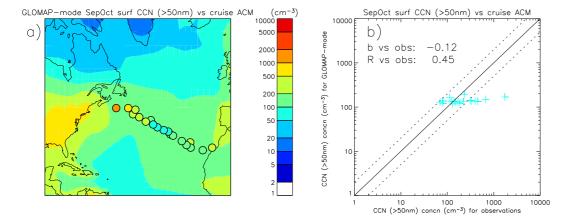


Fig. 25. Simulated marine CCN concentrations (particles larger than 50 nm) over the North Atlantic compared to ship-borne observations from Van Dingenen et al. (1995). Values of b and R are shown comparing the observations with interpolated values from the model mean over September and October.