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### Enhanced representation of soil NO emissions in the Community Multiscale Air Quality (CMAQ) model version 5.0.2

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Abstract. Modeling of soil nitric oxide (NO) emissions is highly uncertain and may misrepresent its spatial and temporal distribution. This study builds upon a recently introduced parameterization to improve the timing and spatial distribution of soil NO emission estimates in the Community Multiscale Air Quality (CMAQ) model. The parameterization considers soil parameters, meteorology, land use, and mineral nitrogen (N) availability to estimate NO emissions. We incorporate daily year-specific fertilizer data from the Environmental Policy Integrated Climate (EPIC) agricultural model to replace the annual generic data of the initial parameterization, and use a 12 km resolution soil biome map over the continental USA. CMAQ modeling for July 2011 shows slight differences in model performance in simulating fine particulate matter and ozone from Interagency Monitoring of Protected Visual Environments (IMPROVE) and Clean Air Status and Trends Network (CASTNET) sites and NO2 columns from Ozone Monitoring Instrument (OMI) satellite retrievals. We also simulate how the change in soil NO emissions scheme affects the expected O<sub>3</sub> response to projected emissions reductions.

### 1 Introduction

Nitrogen oxides ( $NO_x = NO + NO_2$ ) play a crucial role in tropospheric chemistry. Availability of  $NO_x$  influences the oxidizing capacity of the troposphere as  $NO_x$  directly reacts with hydroxyl radicals (OH) and catalyzes tropospheric ozone (O<sub>3</sub>) production and destruction (Seinfeld and Pandis, 2016).  $NO_x$  also affects the lifetime of reactive greenhouse gases like CH<sub>4</sub> by influencing its dominant oxidant OH (Steinkamp and Lawrence, 2011), thus affecting the Earth's radiative balance (IPCC, 2007).  $NO_x$  also influences rates of formation of inorganic particulate matter (PM) (Wang et al., 2013) and organic PM (Seinfeld and Pandis, 2016).

Soil NO<sub>x</sub> emissions accounts for ~ 15–40 % of the tropospheric NO<sub>2</sub> column over the continental United States (CONUS), and up to 80 % in highly N fertilized rural areas like the Sahel of Africa (Hudman et al., 2012). The estimated amount of nitric oxide (NO) emitted from soils is highly uncertain, ranging from 4 to 15 Tg N yr<sup>-1</sup>, with different estimates of total global NO<sub>x</sub> budget also showing a mean difference of 60–70 % (Potter et al., 1996; Davidson and Kingerlee, 1997; Yienger and Levy, 1995; Jaeglé et al., 2005; Stavrakou et al., 2008; Steinkamp and Lawrence, 2011; Miyazaki et al., 2012; Stavrakou et al., 2013; Vinken et al., 2014). Soil NO<sub>x</sub> is mainly emitted as NO through both microbial activity (biotic/enzymatic) and chemical (abiotic/non-enzymatic) pathways, with emission rates varying as a function of meteorological conditions, physicochemical soil properties, and nitrogen (N) inputs from deposition and fertilizer or manure application (Pilegaard, 2013; Hudman et al., 2012). The fraction of soil N emitted as NO varies with meteorological and soil conditions such as temperature, soil moisture content, and pH (Ludwig et al., 2001; Parton et al., 2001; van Dijk et al., 2002; Stehfest and Bouwman, 2006).

Different biome types, comprised of vegetation and soil assemblages exhibit different NO emission factors under different soil conditions and climate zones. One of the early attempts to stratify soil NO based on different biomes by Davidson and Kingerlee (1997) involved compiling over 60 articles and 100 field estimates. They clearly identified biomes associated with low NO emissions like swamps, tundra, and temperate forests, and those with high soil NO fluxes like tropical savanna/woodland and cultivated agriculture. For instance, high soil NO fluxes were observed in croplands, savannahs, or woodlands, N-rich temperate forests, and even boreal/tropical forests with low NO<sub>2</sub><sup>-</sup> availability in warm conditions and acidic soil (Kesik et al., 2006; Cheng et al., 2007; Su et al., 2011). This approach, however, fails to capture within-biome variation in NO emissions (Miyazaki et al., 2012; Vinken et al., 2014). For example, mature forests give higher soil NO flux than rehabilitated and disturbed ones due to higher initial soil N (Zhang et al., 2008). Steinkamp and Lawrence (2011) more recently compiled worldwide emission factors from a data set consisting of 112 articles with 583 field measurements of soil  $NO_x$  covering the period from 1976 to 2010, and regrouped them into 24 soil biome types based on a MODIS land cover category as well as Köppen climate zone classifications (Kottek et al., 2006).

Both wet and dry deposition act as sources of nitrogen to soils (Yienger and Levy, 1995; Hudman et al., 2012). N is deposited in both oxidized (e.g., nitrate) and reduced (e.g., ammonium) forms, with ammonium representing a growing share of N deposition in the USA as anthropogenic  $NO_x$  emissions are controlled (Li et al., 2016).

Fertilizer (organic and inorganic) application represent controllable influences on soil N emissions (Pilegaard, 2013) and are leading sources of reactive N worldwide (Galloway and Cowling, 2002). US fertilizer use increased by nearly a factor of 4 from 1961 to 1999 (IFIA, 2001). Soil NO emissions increase with rising fertilizer application, with conversion rate of applied fertilizer N to NO<sub>x</sub> being up to ~11 % (Williams et al., 1988; Shepherd et al., 1991). Open and closed chamber studies have shown increasing fertilizer application to increase both NO and N<sub>2</sub>O fluxes simultaneously, but with variability in the NO / N<sub>2</sub>O emission ratio (Harrison et al., 1995; Conrad, 1996; Veldkamp and Keller, 1997).

Meteorological conditions influence soil NO emission rates. Soil NO pulsing events occur when water stressed nitrifying bacteria, which remain dormant during dry periods, are activated by the first rains and start metabolizing accumulated N in the soil. Large pulses of biogenic NO emissions of up to 10–100 times background levels often follow the onset of rain after a dry period and can last for 1–2 days (Davidson, 1992; Yienger and Levy, 1995; Scholes et al., 1997; Jaeglé et al., 2004; Hudman et al., 2010, 2012; Zörner et al., 2016).

Adsorption onto plant canopy surfaces can reduce the amount of soil NO emissions entering the broader atmosphere. The Yienger and Levy (1995) (YL) soil NO scheme followed a canopy reduction factor (CRF) approach (Wang et al., 1998) to account for the reduction of soil NO emission flux via stomatal or cuticle exchange as a function of dry deposition within the canopy on a global scale.

Contemporary air quality models such as the Community Multiscale Air Quality (CMAQ) model most often use an adaptation of the YL scheme to quantify soil NO emissions as a function of fertilizer application, soil moisture, precipitation, and CRF (Byun and Schere, 2006). However, YL has been found to underestimate emissions rates inferred from satellite and ground measurements by a factor ranging from 1.5 to 4.5, and to misrepresent some key spatial and temporal features of emissions (Jaeglé et al., 2005; Wang et al., 2007; Boersma et al., 2008; Zhao and Wang, 2009; Lin, 2012; Hudman et al., 2012; Vinken et al., 2014). This overall underestimation can be attributed to several uncertainties in the modeling settings, such as inaccurate emissions coefficients, poor soil moisture data, deriving soil temperatures from ground air temperatures, neglecting nitrogen deposition, and outdated fertilizer application rates (Yienger and Levy, 1995; Jaeglé et al., 2005; Delon et al., 2007; Wang et al., 2007; Boersma et al., 2008; Delon et al., 2008; Hudman et al., 2010; Steinkamp and Lawrence, 2011; Hudman et al., 2012).

The Berkley Dalhousie Soil NO Parameterization (BD-SNP) scheme, originally implemented by Hudman et al. (2012) in the GEOS-Chem global chemical transport model, outperforms YL by better representing biome type, the timing of emissions, and actual soil temperature and moisture (Hudman et al., 2010).

We implement BDSNP in CMAQ by using the Environmental Policy Integrated Climate (EPIC) biogeochemical model for dynamic representation of the soil N pool on a day-to-day basis. EPIC is a field-scale biogeochemical process model developed by the United States Department of Agriculture (USDA) to represent plant growth, soil hydrology, and soil heat budgets for multiple soil layers of variable thickness, multiple vegetative systems, and crop management practices (Cooter et al., 2012). EPIC can model up to  $1 \text{ km}^2$  (100 ha) spatially and on a daily timescale (CMAS, 2015; Cooter et al., 2010). EPIC simulations are compatible with spatial and temporal scale of CMAQ as well (Bash et al., 2013). EPIC accounts for different agricultural management scenarios, accurate simulation of soil conditions and plant growth to produce plan demand-driven fertilizer estimates for BDSNP (Cooter et al., 2012; Bash et al., 2013).

Baseline soil NO emission rate for each location (Hudman et al., 2012; Vinken et al., 2014), use a new soil biome map

with finer-scale representation of land cover systems consistent with typical resolution of a regional model. We also built an offline version of BDSNP, which can use benchmarked inputs from the CMAQ and allows quick diagnostic based on soil NO estimates for sensitivity analysis (Supplement Sect. S2).

### 2 Methodology

# 2.1 Implementation of advanced soil NO parameterization in CMAQ

### 2.1.1 Land surface model

Our implementation of the BDSNP soil NO parameterization in CMAQ uses Pleim–Xiu Land Surface Model (Pleim and Xiu, 2003). Compared to the coarser land surface model (LSM) in GEOS-Chem (Bey et al., 2001), Pleim–Xiu provides finer-scale estimates of soil moisture and soil temperature based on solar radiation, temperature, leaf area index (LAI), vegetation coverage, and aerodynamic resistance. The rich amount of information available from the Pleim–Xiu LSM enables refined representation of soil moisture and soil temperature for implementation in soil NO parameterization.

#### 2.1.2 Canopy reduction factor

The original implementation of BDSNP in GEOS-Chem did not provide specific spatial-temporal variation of CRF in each modeling grid, but used a monthly average CRF from Wang et al. (1998). Wang et al. (1998) included an updated CRF as part of their implementation of YL into GEOS-Chem. This CRF is based on wind speed, turbulence, canopy structure, deposition constants, and other physical variables. In the GEOS-Chem implementation of BDSNP, this CRF reduced the flux by ~ 16 %, from 10.7 Tg N yr<sup>-1</sup> above soil to 9 Tg N yr<sup>-1</sup> above canopy (Hudman et al., 2012).

Our BDSNP implementation for CMAQ uses the same approach of integrating CRF as used in Wang et al. (1998) with the biome categorization based on Steinkamp and Lawrence (2011) and Köppen climate classes (Kottek et al., 2006) in the soil NO<sub>x</sub> parameterization itself.

### 2.1.3 Fertilizer

YL in CMAQ assumed a linear correlation between fertilizer application and its induced emissions over the general growing season: May–August in the Northern Hemisphere and November–February in the Southern Hemisphere (Yienger and Levy, 1995), rather than peaking near the time of fertilization at the beginning of the local growing season. This likely caused inaccurate temporal representation of fertilizerdriven emissions in certain regions (Hudman et al., 2012). The GEOS-Chem implementation of BDSNP applied a longterm average fertilizer application with a decay term after fertilizer is applied. Constant fertilizer emissions neglect an important phenomenon: applying fertilizer during a dry period when neither plants nor bacteria may have the water available to use it may result in a large pulse when the soil is eventually re-wetted (Pilegaard, 2013). Such dry spring N fertilizer application is common practice in the Midwest and Southern Plains in the USA (Cooter et al., 2012). The current fertilizer data used for the BDSNP are scaled to global 2006 emissions by Hudman et al. (2012) using a spatial distribution for year 2000 from Potter et al. (2010). This global database reported by Potter et al. (2010) is already 8 years out of date in magnitude and 14 years out of date for relative distribution, and has relatively coarse resolution based on a out-of-date long-term average (national-level fertilizer data from 1994 to 2001). Using recent fertilizer application information is essential to soil NO estimates given the fact that N fertilizer is the major contributor to plant nutrient use in USA, and its share has been increasing from 11 535 000 short tons in 2001 to 12840000 short tons in 2013 (USDA ERS, 2013). Our implementation of BDSNP into CMAQ is designed to enable updates by subsequent developers to use new year- and location-specific fertilizer data. We use the Fertilizer Emission Scenario Tool for CMAQ (FEST-C v1.1; http://www.cmascenter.org) to incorporate EPIC simulations for 2011 into our CMAQ runs. Land use and management practices (type and timing of farm practices such as tillage) in EPIC are updated annually based on the USDA Agricultural Resource Management Survey (ARMS) (Cooter et al., 2012).

### 2.1.4 N deposition

YL in CMAQ neglects nitrogen deposition, which can result in a 0.5 Tg yr<sup>-1</sup> underestimation in soil NO<sub>x</sub> globally (~5%) (Hudman et al., 2012). The current implementation of the EPIC model in FEST-C inputs oxidized and reduced forms of N deposition directly into soil nitrate and ammonium pools each day. In our implementation of BDSNP, these daily time series derive from previous CMAQ simulation. Inclusion of this deposition N source reduces the simulated plant-based demand for additional N fertilizer applications. This reduced fertilizer demand due to additional deposition source is based on the theoretical plant nutrient cycle and is implicit to how actual farming practices are applied in EPIC. The bi-directional exchange capability of CMAQ is also included, but currently it affects the ammonium pool only (Bash et al., 2013).

#### 2.1.5 Formulation of soil NO scheme

Figure 1 provides the flow chart of the BDSNP scheme implementation, which has the option to run in-line with CMAQ, or as an offline emissions parameterization. Static input files in the Hudman et al. (2012) BDSNP implementation (labeled as "old" in Fig. 1), such as those giving soil



**Figure 1.** Soil NO emissions modeling framework as implemented offline or in CMAQ (in-line). "Old" refers to the Hudman et al. (2012) implementation in GEOS-Chem. "New" refers to our implementation in CMAQ.

biome type with climate zone and global fertilizer pool, are needed to determine the soil base emission value at each modeling grid. The Meteorology–Chemistry Interface Processor (MCIP) (Otte and Pleim, 2010) takes outputs from a meteorological model such as Weather Research and Forecasting (WRF) model (Skamarock et al., 2008) to provide a complete set of meteorological data needed for emissions and air quality simulations.

There are seven key input environment variables and two key output environment variables in our implementation of BDSNP. Table S1 lists their names and corresponding functionalities.

Our implementation of the BDSNP soil NO<sub>x</sub> emission,  $S_{NO_x}$ , in CMAQ multiplies a base emission factor (A) by scaling factors dependent on soil temperature (T) and soil moisture ( $\theta$ ), i.e., f(T),  $g(\theta)$ , and a pulsing term (P) (Eq. 1). The base emission factor depends on biome type under wet or dry soil conditions. The pulsing term depends on the length of the dry period, rather than the accumulated rainfall amount considered by YL. The CRF-term estimates the fractional reduction in soil NO<sub>x</sub> flux due to canopy resistance.

$$S_{\text{NO}_x} \text{Flux}\left(\frac{\text{ng N}}{\text{m}^2 \text{s}}\right) = A'_{\text{biome}}(N_{\text{avail}}) \times f(T) \times g(\theta)$$

$$\times P(l_{dry}) \times CRF(LAI, Meterology, Biome)$$
 (1)

$$A'_{\text{biome}} = A_{\text{biome}} + N_{\text{avail}} \times \overline{E}$$
(2)

$$N_{\text{avail}}(t) = N_{\text{avail Fert}}(0) \times e^{-\frac{t}{\tau_1}} + F \times \tau_1 \times \left(1 - e^{-\frac{t}{\tau_1}}\right)$$
$$+ N_{\text{avail Dep}}(0) \times e^{-\frac{t}{\tau_2}} + D \times \tau_2 \times \left(1 - e^{-\frac{t}{\tau_2}}\right)$$
(3)

Fertilizer and deposition both contribute to modifying the  $A'_{\text{biome}}$  emissions coefficients for each biome. Available ni-

trogen  $(N_{avail})$  at time t from fertilizer and deposition is multiplied by emission rate,  $\overline{E}$ , based on the observed global estimates of fertilizer emissions ( $\sim 1.8 \,\mathrm{Tg}\,\mathrm{N}\,\mathrm{yr}^{-1}$ ) by Stehfest and Bouwman (2006) and added to biomespecific soil NO emission factors (Abiome) from Steinkamp and Lawrence (2011) to give the net base emission factor  $(A'_{\text{biome}})$  (Eqs. 2 and 3). The resulting A' is multiplied by the meteorological scaling or response factors: f(T),  $g(\theta)$ , and  $P(l_{\rm drv})$  as in Eq. (1). The soil temperature response or scaling factor f(T) is simplified to be exponential everywhere. NO flux now depends on soil moisture ( $\theta$ ) instead of rainfall, and it increases smoothly to a maximum value before decreasing as the ground becomes water saturated. In Eq. (3), F is fertilization rate (kg ha<sup>-1</sup>), D is the wet and dry deposition rate (kg ha<sup>-1</sup>) considered as an additional fertilization rate, and  $\tau$  is decay time, which is 4 months for fertilizer ( $\tau_1$ ) and 6 months for deposition ( $\tau_2$ ) (Hudman et al., 2012).

BDSNP uses a Poisson function to represent the dependence of emission rates on soil moisture ( $\theta$ ), where the parameters *a* and *b* vary for different climates such that the maximum of the function occurs at  $\theta = 0.2$  for arid soils and  $\theta = 0.3$  otherwise (Hudman et al., 2012). We adopt the same approach in CMAQ as follows:

$$f(T) \times g(\theta) = e^{0.103 \times T} \times a \times \theta \times e^{-b \times \theta^2}.$$
 (4)

The pulsing term depends on the length of the dry period  $(l_{dry})$  and a change in soil moisture instead of on the amount of precipitation (Hudman et al., 2012).

The pulsing term for emissions when rain follows a dry period is

$$P\left(l_{\rm dry},t\right) = \left[13.01 \times \ln\left(l_{\rm dry}\right) - 53.6\right] \times e^{-c \times t}.$$
(5)

In this equation,  $l_{dry}$  is the length of the dry period that preceded the rain and  $c = 0.068 \text{ h}^{-1}$  defines the exponential decay of the pulse.

Beyond this basic implementation of the above stated BD-SNP framework into CMAQ, there were major modifications (highlighted as "new" in Fig. 1) in the form of (a) updating biome map consistent with CMAQ, (b) incorporating yearand location-specific fertilizer data using EPIC outputs, and (c) development of an offline BDSNP module. Our work focuses on those developments discussed in detail in the sections to follow.

### 2.2 Soil biome map over CONUS

The original implementation of BDSNP used the global soil biome data from the GEOS-Chem, with emission factors for each biome under dry/wet conditions taken from Steinkamp and Lawrence (2011) (Appendix Table A1). Our implementation in CMAQ uses a finer resolution (12 km) soil biome map over CONUS. The map is generated from the 30 arcsec (approximately 1 km) NLCD40 (National Land Cover Dataset) for 2006, with 40 land cover/land use classifications. A mapping algorithm table (see Appendix Table A2)



**Figure 2.** Biomes from GEOS-Chem  $(0.25^{\circ} \times 0.25^{\circ}; \text{top})$  and CMAQ MODIS NLCD40  $(12 \text{ km} \times 12 \text{ km}; \text{bottom})$  regrouped to match the classifications for which emission factors are available from Steinkamp and Lawrence (2011). See Tables A1 and A2 (right) for the mappings between classifications. The color-bar legends for classifications are as per NLCD definitions (http://www.mrlc.gov/nlcd11\_leg.php).

was created to connect the land use category to soil biome type (Table A1) based on best available knowledge. For the categories with identical names, such as "evergreen needleleaf forest", "deciduous needleleaf forest", "mixed forest", "savannas", and "grassland", the mapping is direct. Categories in NLCD40, which are subsets of the corresponding biome category, are consolidated into one category by addition. For example, "permanent snow and ice" and "perennial ice-snow" in NLCD40 are combined to form "snow and ice"; "developed open space", "developed low intensity", "developed medium intensity", and "developed high intensity" are added to form "urban and built-up lands". For the categories appearing only in NLCD40, the mapping algorithm is determined by referring to the CMAQ mapping scheme, available in cross section and quantum yield (CSQY) data files in the CMAQ coding. One such case is to map "lichens" and "moss" in NLCD40 to the category "grassland" in soil biome. Furthermore, a model resolution compatible Köppen climate zone classification (Kottek et al., 2006) was added to allocate different emission factor for the same biome type, e.g., to account for different altitudes of "grassland" at different locations. There are five climate zone classifications, namely A: equatorial, B: arid, C: warm temperature, D: snow, and E: polar. A 12 km CONUS model resolution climate zone classification map (see Fig. 2) was created using the Spatial Allocator based on the county level climate zone definition as the surrogate based on a dominant land use (http://koeppen-geiger.vu-wien.ac.at/data/KoeppenGeiger.UScounty.txt).

Figure 2 compares the 24-soil biome map with  $0.25^{\circ}$  resolution from the GEOS-Chem settings to the new 12 km resolution soil biome map we created here for CMAQ. Table A2 gives the biome type names with corresponding climate zones.

The classification of simulation domain into arid and nonarid region with consistent resolution is also included in our implementation. Figure B1 shows the distribution of arid (red) and non-arid (blue) regions. For the modeling grid classified as "arid" region, the maximum moisture scaling factor corresponds to the water-filled pore space ( $\theta$ ) value equal to 0.2; while for the "non-arid" modeling grid, the maximum moisture scaling factor corresponds with  $\theta = 0.3$  (Hudman et al., 2012).



Figure 3. Modeling framework for obtaining total soil N from EPIC using FEST-C.

### 2.3 Representation of fertilizer N

We implemented two approaches for representing fertilizer N. The first approach re-grids fertilizer data from the global GEOS-Chem BDSNP implementation (Hudman et al., 2012) to our 12 km resolution CONUS domain. That scheme uses the global fertilizer database from Potter et al. (2010) and assumed 37 % of fertilizer and manure N is available (1.8 Tg N yr<sup>-1</sup>) for potential emission. Figure B2 provides the day-by-day variation of total N remaining due to fertilizer application over CONUS during a year, and shows the typical cycle between growing season and non-growing season. The Potter et al. (2010) data, however, are a decade old and at coarse resolution for county level in USA.

Our second approach (Fig. 3) uses the EPIC model as implemented in the FEST-C tool (Cooter et al., 2012) to provide a dynamic representation of fertilizer applications for a specific growing season. FEST-C (v1.1) generates modelready fertilizer input files for CMAQ. Use of FEST-C/EPIC instead of soil emissions from YL scheme has been shown to improve CMAQ performance for nitrate and ammonia in CONUS (Bash et al., 2013). The BELD4 tool in the FEST-C system was used to provide the crop usage fraction over our domain. We summed FEST-C data for ammonia, nitrate and organic (T1\_ANH3, T1\_ANO3, and T1\_AON, respectively) in kg N ha<sup>-1</sup>, to give a total soil N pool for each of 42 simulated crops (CMAS, 2015). This daily cropwise total soil N pool was then weighted by the fraction of each crop type at each modeling grid to get a final weighted sum total soil N pool usable in BDSNP. CMAQ v.5.0.2 can be run with in-line biogenic emissions, calculated in tandem with the rest of the model. Since the EPIC N pools already include N deposition, we designed our soil NO emissions module to be flexible in recognizing whether it is using fertilizer data (e.g., Potter et al., 2010) that do not include deposition or EPIC that does.

Figure 4 compares the FEST-C-derived N fertilizer map and the default coarser resolution long-term average fertilizer map from Potter et al. (2010). While the spatial patterns are similar, EPIC provides finer resolution and more up-to-date information.

## 2.4 Model configurations and data use for model evaluations

The CMAQ domain settings for CONUS as provided by the EPA were used to simulate the whole month of July in 2011. July corresponds to the month of peak flux for soil nitrogen emissions in the USA (Williams et al., 1992; Cooter et al., 2012; Bash et al., 2013) and is an active period for ozone photochemistry (Cooper et al., 2014; Strode et al., 2015).

A 10-day (21–30 June 2011) spin-up time was used to minimize the influence from initial conditions. The domain consisted of 396 columns, 246 rows, 26 vertical layers, and 12 km rectangular cells using a Lambert Conformal Projection over North America. This configuration was consistent throughout the WRF–BDSNP–CMAQ modeling framework (see Fig. 1). Meteorology data were produced through the WRF Model nudged to National Centers for Environmental Prediction (NCEP) and National Center for Atmospheric Research Reanalysis (NARR) data, which is comprised of historical observations and processed to control quality and consistency across years by National Oceanic and Atmospheric Administration (NOAA). Emissions were generated using the Sparse Matrix Operator Kernel Emissions (SMOKE) model (CMAS, 2014) and 2011NEIv1. CMAQ was applied



**Figure 4.** Potter et al. (2010) (left) and EPIC (right) annual fertilizer applications (kg N ha<sup>-1</sup>). Since the EPIC modeled is only for the USA, Potter et al. (2010) is used in both cases to represent Canada and Mexico.

with bi-directional exchange of ammonia between soils and atmosphere.

We applied CMAQ with three sets of soil NO emissions: (a) standard YL soil NO scheme, (b) BDSNP scheme with Potter et al. (2010) fertilizer data set and biome mappings from GEOS-Chem, and (c) BDSNP scheme with EPIC 2011 data and new biome mappings (see Appendix Table A3). Within these three cases, we simulated the impact of anthropogenic NO<sub>x</sub> reductions applied to all contributing source sectors listed in the 2011 National Emission Inventory (NEI). For this purpose, we considered the baseline NO<sub>x</sub> reduction scenario from 2011 to 2025 that EPA's Regulatory Impact Analysis (RIA) determined for business as usual (BAU) in the CONUS domain (Fig. 2A-1, Table 2A-1 in https://www3. epa.gov/ttn/ecas/docs/20151001ria.pdf). Table 1 gives a full list of modeling configurations settings used for achieving the above-mentioned simulations.

Model simulations were evaluated against the following in situ and satellite-based data: 16 USEPA Clean Air Status and Trends Network (CASTNET) sites for MDA8 O<sub>3</sub> (www.epa.gov/castnet), 9 Interagency Monitoring of Protected Visual Environments (IMPROVE) sites for daily average PM<sub>2.5</sub> (Malm et al., 1994), and NASA's Ozone Monitoring Instrument (OMI) retrieval product for tropospheric NO<sub>2</sub> column (Bucsela et al., 2013; Lamsal et al., 2014). Figure 5 shows the spatial distribution of the ground sites used for validation of modeled estimates. The selected ground sites for model validation are mostly based in agricultural regions with intense fertilizer application rate and high NO fluxes, specifically the Midwest, Southern Plains, and San Joaquin Valley.

We also simulated three sensitivity cases for the same time period and domain with the offline soil NO module: (a) NLCD40-based (new) biome vs. GEOS-Chem-based (old) biome (using EF1 in Table A1), (b) EPIC 2011 vs. Potter et al. (2010) data, and (c) global mean biome emission factor (EF1 in Table A1) vs. North American mean emission factor (EF3 in Table A1) (Supplement Sect. S3).



**Figure 5.** CASTNET (forest/national park and agricultural sites) and IMPROVE sites in continental USA for comparison of modeled and observed ozone and PM<sub>2.5</sub>.

### 3 Results and discussion

## 3.1 Spatial distribution of nitrogen fertilizer application and soil NO emissions over CONUS

We demarcated the CONUS domain into six sub-domains (Fig. 6) to analyze model outputs. The updated BDSNP model and EPIC fertilizer result in higher soil NO emission rates than YL and Potter et al. (2010). Emissions increase by a factor ranging from 1.8 to 2.8 in shifting from YL to BD-SNP, even while retaining the Potter et al. (2010) fertilizer data and original biome map, indicating that the shift from the YL to the BDSNP scheme is the largest driver of the increase in emissions estimates. EPIC and the new biome data set further increase emissions over most of CONUS, except for the southwest region. In the Midwest and western USA, the new biome map identified more cropland and shifted

Table 1. Modeling configuration used for the WRF-BDSNP-CMAQ CONUS domain runs.

WRF/MCIP				
Version: Horizontal resolution: Vertical resolution: Boundary condition: Initial condition: Longwave radiation:	ARW V3.6.1 CONUS (12 km × 12 km) 26 layer NARR 32 km NCEP-ADP RRTMG scheme	Shortwave radiation: Surface layer physic: PBL scheme: Microphysics: Cumulus parameterization: Assimilation:	RRTMG scheme Pleim–Xiu surface model ACM2 Morrison double-moment scheme Kain–Fritsch scheme Analysis nudging above PBL for temperature, moisture, and wind speed	
BDSNP				
Horizontal resolution: Soil biome type:	Same as WRF/MCIP 24 types based on NLCD40 (new) 24 types based on GEOS-Chem LSM (old)	Emission factor: Fertilizer database:	Steinkamp and Lawrence (2011) EPIC 2011 based from FEST-C (new) Potter et al. (2010) (old)	
CMAQ				
Version: Horizontal resolution: Initial condition:	V5.02 Same as WRF/MCIP Pleim–Xiu (new) GEOS-Chem (old)	Anthropogenic emission: Biogenic emission: Boundary condition:	NEI2011 BEIS V3.1 in-line Pleim–Xiu (new) GEOS-Chem (old) CB.05	
Simulation case arrangement (in-li	ine with CMAQ)	Gas-phase meenamsm.		
<ol> <li>YL:</li> <li>BDSNP (Potter et al., 2010, with old Biome or "old"):</li> <li>BDSNP (EPIC with new Biome or "new"):</li> </ol>	WRF/MCIP-CMAQ with standard YL soil NO scheme WRF/MCIP-BDSNP-CMAQ with Potter et al. (2010) and old biome WRF/MCIP-BDSNP-CMAQ with EPIC and new biome			
Simulation time period				
	1–31 July 2011 for CMAQ simulation with in-line soil NO BDSNP module Daily simulations in Year 2011 for offline BDSNP soil NO BDSNP module (1–31 July 2011 for sensitivity analysis)			
Model performance evaluation				
USEPA Clean Air Status and Trends Network (CASTNET) data for MDA8 ozone Interagency Monitoring of Protected Visual Environments (IMPROVE) Network (Malm et al., 1994) for PM <sub>2.5</sub> OMI NO <sub>2</sub> satellite retrieval product as derived in Lamsal et al. (2014) for NO <sub>2</sub> column				

some grasslands to other land cover types such as forests, savannah, and croplands, which exhibit higher soil NO emissions (Fig. 2; Table A1). The Midwest region is characterized with the highest emission rate due to its abundant agricultural lands with high fertilizer application rates (Fig. 4).

# **3.2** Evaluation of CMAQ NO<sub>2</sub> with satellite OMI NO<sub>2</sub> observations

The standard (version 2.1) OMI tropospheric  $NO_2$  column observations from NASA's Aura satellite as discussed in Bucsela et al. (2013) and Lamsal et al. (2014) were used for comparison with our modeled  $NO_2$  vertical columns. To enable comparison, the quality-assured, clear-sky (cloud radiance fraction <0.5) OMI NO<sub>2</sub> data were gridded and projected to our domain by using ArcGIS 10.3. CMAQ modeled NO<sub>2</sub> column densities in molecules per cm<sup>2</sup> were derived using vertical integration and extracted for 13:00–14:00 local time, corresponding to the time of OMI measurements.

We compared CMAQ simulated tropospheric NO<sub>2</sub> columns with OMI product for regions showing the highest sensitivity in soil NO switching from YL to BDSNP: the Midwest, San Joaquin Valley in California, and central Texas (see Appendix Fig. B3). Switching from YL to our updated BDSNP (new) module improved agreement with OMI NO<sub>2</sub> columns in central Texas but over-predicts column NO<sub>2</sub> in the San Joaquin Valley and the Midwest (Fig. 7). Even the



**Figure 6.** Soil NO  $(t day^{-1})$  sensitivity to change from YL to BDSNP (et al. (2010) and old biome or "old") (left) and to the fertilizer and biome scheme within BDSNP (right) over sub-domains (boxes).



**Figure 7.** Spatial average for Tropospheric NO<sub>2</sub> (molecules cm<sup>-2</sup>) over regions with high soil NO sensitivity with switch from YL to BDSNP (as in Fig. 6) with comparison to OMI NO<sub>2</sub>. NO<sub>2</sub> column are temporal average for July 2011 at OMI overpass time.

YL estimate was higher than OMI by a factor of 2 in the Midwest (Fig. 7). Vinken et al. (2014) found the Midwestern USA to be one of the few regions globally where a BDSNPbased inventory over-predicted soil NO emissions inferred from OMI.

### 3.3 Evaluation with PM<sub>2.5</sub> and ozone observations

Model results are compared with observational data from IMPROVE monitors for  $PM_{2.5}$  and CASTNET monitors for ozone. We first compute differences between ozone and  $PM_{2.5}$  estimates from the three simulation cases to identify

sites influenced by the choice of soil NO scheme during our July 2011 episode (Figs. 8 and 9). Overall, analysis of variance and a *t* test showed no statistically significant differences among the soil NO cases for PM<sub>2.5</sub>, but found the YL case to be significantly different ( $p \ll 0.05$ ) from the BD-SNP cases for ozone. Closer examination highlights nine IM-PROVE sites for PM<sub>2.5</sub> and 16 CASTNET sites for ozone (Figs. 5, 8 and 9) where CMAQ results are sensitive to soil NO changes (Fig. 6).

Statistical comparisons of modeled and observed daily average PM<sub>2.5</sub> at the nine IMPROVE sites are provided in Table 2. Mean absolute gross error (MAGE) and root mean square error (RMSE) improved from 2.8 to 2.7 and 3.4 to 3.3  $\mu$ g m<sup>-3</sup>, respectively, when moving from YL to BDSNP with the new inputs. Both Pearson's and Spearman's ranked correlation coefficient (R) show no significant change when the soil NO module in CMAQ is switched from YL to BD-SNP (et al. (2010) with old biome) and BDSNP (EPIC with new biome) (Table 2). Use of the ranked correlation coefficient minimizes the impact of spurious correlations due to outliers but does not affect the analysis. Switching from YL to our updated BDSNP (new) module shows that the predicted vs. observed fit becomes slightly closer to 1:1 (Fig. 10). Numerical mean bias (NMB) and numerical mean error (NME) improve from -28.5 to -26.4 and 34.6 to 33.6%, respectively.



**Figure 8.** Changes in modeled daily average  $PM_{2.5}$  when switching from (a) YL to BDSNP (et al. (2010) fertilizer data with original biome map) (left) and (b) BDSNP (et al. (2010) with original biomes) to BDSNP (EPIC with new biomes) (right).



**Figure 9.** Changes in modeled maximum daily 8 h ozone (MDA8) when switching from (a) YL to BDSNP ( et al. (2010) fertilizer data with original biome map) (left) and (b) BDSNP ( et al. (2010) with original biomes) to BDSNP (EPIC with new biomes) (right).

**Table 2.** Aggregated performance statistics of CMAQ modeled daily average  $PM_{2.5}$  for stations showing sensitivities with change in soil NO between the YL scheme and our two in-line BDSNP implementations ("old" and "new") for CONUS in July 2011 as compared to observations at these sites.

	Metrics	8				
Daily average PM <sub>2.5</sub>	M <sub>2.5</sub> Sample size		81			
July (1–31 July),	Mean observed ( $\mu g m^{-3}$ )			8.26		
2011	3 CMAQ in-line cases		YL	BDSNP (Potter et al.,	BDSNP (EPIC	
				2010, with old biome)	with new biome)	
	Mean predicted ( $\mu g m^{-3}$ )		5.91	6.04	6.08	
	MAGE (mean absolute gross error)		2.86	2.80	2.77	
	RMSE		3.45	3.40	3.38	
	<i>R</i> (correlation coefficient)	Pearson's	0.72	0.71	0.71	
		Spearman's ranked	0.65	0.63	0.63	
	NMB (%)		-28.52	-26.90	-26.44	
	NME (%)		34.64	33.88	33.57	



Figure 10. Comparison of the three in-line BDSNP–CMAQ cases with IMPROVE  $PM_{2.5}$  data (Malm et al., 1994) in continental USA for the daily average  $PM_{2.5}$  for July 2011.

**Table 3.** Performance statistics of CMAQ modeled MDA8 Ozone for 16 CASTNET remote sites grouped into two categories: (a) 11 sites with moist or wet soil condition (monthly mean soil moisture (m<sup>3</sup> m<sup>-3</sup>),  $\theta_{mean} > 0.175$ ), and (b) five sites with dry soil condition ( $\theta_{mean} < 0.175$ ), using soil NO from YL and our two in-line BDSNP schemes.

July 2011	Metric	s			
11 CASTNET sites	Sample size			311	
(mostly agricultural/prairie	Mean observed (ppbv)			51.76	
sites, mostly wet	3 CMAQ in-line cases		YL	BDSNP (Potter et al.,	BDSNP (EPIC
soil conditions)				2010, with old biome)	with new biome)
	Mean modeled (ppbv)		55.25	57.93	58.60
	$M_{AGE}$ (mean absolute gros	s error)	7.78	9.16	9.65
	RMSE		9.41	10.96	11.47
	<i>R</i> (correlation coefficient)	Pearson's	0.50	0.51	0.50
		Spearman's ranked	0.46	0.49	0.48
	NMB (%)		7.57	12.80	14.08
	NME (%)		15.65	18.38	19.33
5 CASTNET sites (mostly	Sample size			147	
forest/National Park	Mean observed (ppbv)			64.38	
sites near the San Joaquin Valley,	Mean modeled (ppbv)		55.17	57.01	56.87
CA, dry soil conditions)	$M_{AGE}$ (mean absolute gros	s error)	11.41	10.13	10.44
	RMSE		13.13	11.80	12.12
	<i>R</i> (correlation coefficient)	Pearson's	0.71	0.72	0.72
		Spearman's ranked	0.68	0.69	0.69
	NMB (%)		-13.14	-10.23	-10.35
	NME (%)		16.95	15.04	15.45

In contrast to the PM<sub>2.5</sub> results, the updated soil NO scheme yields mixed impacts on model performance for maximum daily average 8 h (MDA8) ozone at the targeted 16 CASTNET sites (Table 3 and Fig. 11). For the 11 agricultural/prairie sites, replacement of YL with BDSNP with new inputs increases NMB from 7.6 to 14.1% and NME from 15.7 to 19.3% (Table 3). The excess ozone may occur because FEST-C does not account for the loss of fertilizer N to the water stream (tile drainage) in wet conditions (Dinnes et al., 2002). Hudman et al. (2012) suggested  $\theta = 0.175 (m^3 m^{-3})$  as the threshold below which dry condition occur. During July 2011, in Midwest monthly mean soil moisture ( $\theta_{mean}$ , m<sup>3</sup> m<sup>-3</sup>) is mostly >0.175, indicating the possibility of wet conditions (Fig. S5). Overestimation of  $O_3$  is due to higher NO emissions, as these regions comprise of mostly  $NO_x$  limited rural locations.

At the California CASTNET sites, BDSNP enhances model performance in simulating observed MDA8 ozone (Table 3). This can be seen in the NMB, NME, MAGE, and RMSE comparisons between YL and BDSNP, though updating BDSNP to the newer inputs does not enhance performance (Table 3).



**Figure 11.** Comparison of the three in-line BDSNP–CMAQ cases with CASTNET MDA8  $O_3$  data for forest/national park sites in California (top: number of evaluation sites, n = 147) and agricultural/prairie sites in Midwest and southern USA (bottom: n = 311) for July 2011.

# **3.4** Impact of soil NO scheme on ozone sensitivity to anthropogenic NO<sub>x</sub> perturbations

We analyzed how the choice of soil NO parameterization affects the responsiveness of ozone to reductions in anthropogenic NO<sub>x</sub> emissions. We applied emission perturbation factors based on the 5.7 million t reduction in baseline anthropogenic NO<sub>x</sub> emissions from 2011 to 2025 that US EPA simulated in its latest RIA (US EPA, 2015). Table 4 gives the perturbation factors we used to obtain baseline anthropogenic NO<sub>x</sub> emissions for 2025 over all contributing sectors as listed from NEI 2011. Since our simulation is for July 2011 over CONUS, we used these perturbation factors rather than the net reductions in RIA to scale emissions in a similar pattern as given in RIA for annual baseline perturbations from 2011 to 2025 with BAU.

Shifting from YL to the BDSNP soil NO scheme reduces the sensitivity of MDA8 O<sub>3</sub> to anthropogenic NO<sub>x</sub> perturbations. The impacts are the greatest in California and the Midwest, where shifting to BDSNP can reduce the expected impact of the anthropogenic NO<sub>x</sub> reductions by  $\sim 1$  to 1.5 ppbv. Changing the inputs within the BDSNP scheme has a smaller impact (Fig. 12). Our results imply that the higher soil NO **Table 4.** Emission perturbation factors applied to anthropogenic  $NO_x$  emissions for each sector listed in NEI as per EPA's RIA base-line reductions from 2011 to 2025 with BAU (Table 2A-1; https://www3.epa.gov/ttn/ecas/docs/20151001ria.pdf).

Sectors (NEI file names)	Perturbation factor
Electric generating unit(EGU)-point	0.70
(ptimp-ptegu, ptegu_pk)	
NonEGU-point (ptnonipm)	1.00
Point oil and gas (pt_oilgas)	0.92
Nonpoint oil and gas (np_oilgas)	1.11
Wild and prescribed fires	1.00
(ptwildfire, ptprescfire)	
Residential wood combustion (rwc)	1.03
Other nonpoint (nonpt)	1.04
Onroad (onroad)	0.30
Nonroad mobile equipment sources (nonroad)	0.50
Category 3 commercial marine vessel	0.77
(c3marine)	
Locomotive and category 1/category 2	0.62
Commercial marine vessel (c1c2rail)	



**Figure 12.** Difference in monthly mean MDA8  $O_3$  perturbation between: (a) BDSNP ("old") – YL (left) and (b) BDSNP ("new") – BDSNP ("old") (right). MDA8  $O_3$  perturbations are from perturbed anthropogenic NO<sub>x</sub> estimates 2011 base case to 2025 base case, BAU (US EPA, 2015).

emissions from our updated BDSNP module shifts the ozone photochemistry to a less strongly  $NO_x$ -limited regime.

### 4 Conclusions

Our BDSNP implementation represents a substantial update from the YL scheme for estimating soil NO in CMAQ. Compared to the previous implementation of BDSNP in global GEOS-Chem model, our implementation in CMAQ incorporated finer-scale representation of its dependence on land use, soil conditions, and N availability. This finer resolution and updated biome and fertilizer data set resulted in higher sensitivity of soil NO to biome emission factors. Our updated BD-SNP scheme (EPIC and new biome) predicts slightly higher soil NO than the inputs used in GEOS-Chem, primarily due to the use of 2011 daily EPIC/FEST-C fertilizer data and fine resolution NLCD40 biomes (Fig. 6).

Sensitivities to different input data sets were examined using our offline BDSNP module to reduce computational cost. Switching from GEOS-Chem biome to new NLCD40 biome drops soil NO in the northwest and southwest portions of our domain due to the finer resolution biome map exhibiting lower emission factors in those regions. Replacing fertilizer data from Potter et al. (2010) with an EPIC 2011 data set increased soil NO mostly in the Midwest (Supplement Fig. S4).

We compared CMAQ tropospheric NO<sub>2</sub> column densities to OMI observations as spatial averages, focusing on regions sensitive to the switch from YL to our updated BD-SNP scheme. Temporal average of OMI and CMAQ simulated NO<sub>2</sub> column densities was done over the OMI overpass time (13:00–14:00 local time) for the July 2011 monthly mean. Figure 7 summarizes tropospheric NO<sub>2</sub> column density comparisons between model and OMI satellite observation for aforementioned sensitive regions. Central Texas showed improvement with a switch from YL to our BD-SNP (new) scheme. For July 2011, central Texas and the San Joaquin Valley exhibit relatively dry soil conditions, whereas the Midwest was mostly wet (Supplement Fig. S5). Even with similar conditions as central Texas, the San Joaquin region shows overall degradation. Overestimation of simulated NO<sub>2</sub> columns up to twice that of OMI over the Midwestern USA and San Joaquin Valley for summer episodes has been exhibited earlier as well (Lamsal et al., 2014). Several factors, such as spatial inhomogeneity within OMI pixels and possible errors arising from the stratosphere–troposphere separation scheme and air mass factor calculations, can be attributed to this overestimation. Retrieval difficulties in complex terrain may explain the discrepancies in the NO<sub>2</sub> column over the San Joaquin Valley even though it shows slight improvement with updates within BDSNP (old to new) and has similar dry conditions as central Texas.

We examined the performance of CMAQ under each of the soil NO parameterizations. Regions where soil NO parameterizations most impacted MDA8 ozone and  $PM_{2.5}$  were examined for model performance in simulating CASTNET MDA8 O<sub>3</sub> and IMPROVE PM<sub>2.5</sub> observations.

For PM<sub>2.5</sub>, our updated BDSNP module (new) showed the best performance (Table 2). Evaluations against MDA8 O<sub>3</sub> observations found contrasting behavior for two different sets of CASTNET sites. The 11 mostly agricultural and prairie sites extending across the Midwest and southern USA showed consistent overestimation as we moved from YL to BDNSP with new inputs, with bias jumping from  $\sim$  7 to 14 % and error from 15 to 19 % (Table 3). However, the five forest/national park sites most of which lie near the San Joaquin Valley by contrast showed an overall improvement in bias from  $\sim$  13 to 10 % and in error from  $\sim$  17 to 15 % (Table 3).

Over-predictions of soil NO emissions especially in wet conditions may result from EPIC not properly accounting for on-farm nitrogen management practices like tile drainage. Crops such as alfalfa, hay, grass, and rice experience soil N loss due to tile drainage in wet soils (Gast et al., 1978; Randall et al., 1997). Recent updates to FEST-C (v. 1.2) include tile drainage for some crops but not hay, rice, grass, and alfalfa (CMAS, 2015). Tile drainage results in loss of fertilizer N to water run-off from wet or moist soils.

We analyzed how the soil NO schemes affect the sensitivity of MDA8 ozone to anthropogenic NO<sub>x</sub> reductions by considering the 5.7 million t yr<sup>-1</sup> reduction from 2011 levels that US EPA expects for USA by 2025 with BAU scenario. These reductions were applied on the basis of perturbation factors of relevant sectors keeping biogenic emissions unchanged for July 2011, based on EPA's annual baseline estimates between 2011 and 2025 (Table 4). These anthropogenic NO<sub>x</sub> reductions yield less reduction in MDA8 O<sub>3</sub> under the BDNSP soil NO scheme than YL, with 1–2 ppbv differences over parts of California and the Midwest (Fig. 12). The shift occurs because our updated BDSNP schemes have higher soil NO in these regions, pushing them toward less strongly NO<sub>x</sub>limited regimes.

This work represents crucial advancement toward enhanced representation of soil NO in a regional model. Although possible wet biases and using dominant land cover rather than fractional in soil biome classification, may have over-predicted NO in agricultural regions in the present study. The EPIC simulation used here lacks complete representation of farming management practices like tile, which can reduced soil moisture and soil NO fluxes. Inclusion of biogeochemistry influencing different reactive N species encompassing the entire N cycling could enable more mechanistic representation of emissions. For future work, there is a need for more accurate representation of actual farming practices and internalizing updated soil reactive N biogeochemical schemes. More field observations are needed as well in order to increase the sample size for evaluation of modeled estimate soil emissions of reactive N species beyond NO.

### 5 Code and data availability

The modified and new scripts used for implementation of BDSNP in CMAQ version 5.0.2 are in the Supplement. Also provided as Supplement is the user manual giving details on implementing BDSNP module in-line with CMAQ, as used in this work. Source codes for CMAQ version 5.0.2 and FEST-C version 1.1 are both open source, available with applicable free registration at http://www.cmascenter.org. Advanced Research WRF model (ARW) version 3.6.1 used in this study is also available as a free open-source resource at http://www2.mmm.ucar.edu/wrf/users/download/get\_source.html. The model code, inputs, and sample outputs from this study have been uploaded to the Oak Ridge National Laboratory Distributed Active Archive Center for Biogeochemical Dynamics (http://daac.ornl.gov; Rasool et al., 2016).

### Appendix A

Table A1. List of 24 soil biome emission factors (EFs) from Steinkamp and Lawrence (2011).

ID	MODIS land cover	Köppen main climate*	EF1 (world geometric mean)	EF2 (world arithmetic mean)	EF3 (North American)
1	Water	-	0	0	0
2	Permanent wetland	-	0	0	0
3	Snow and ice	-	0	0	0
4	Barren	D, E	0	0	0
5	Unclassified	-	0	0	0
6	Barren	A, B, C	0.06	0.06	0.06
7	Closed shrubland	-	0.09	0.21	0.05
8	Open shrubland	A, B, C	0.09	0.21	0.09
9	Open shrubland	D, E	0.01	0.01	0.01
10	Grassland	D, E	0.84	1.05	0.62
11	Savannah	D, E	0.84	1.05	0.84
12	Savannah	A, B, C	0.24	0.97	0.24
13	Grassland	A, B, C	0.42	1.78	0.37
14	Woody savannah	-	0.62	0.74	0.62
15	Mixed forest	-	0.03	0.14	0.00
16	Evergreen broadleaf forest	C, D, E	0.36	0.95	0.36
17	Deciduous broadleaf forest	C, D, E	0.36	0.95	0.61
18	Deciduous needle forest	-	0.35	0.95	0.35
19	Evergreen needle forest	-	1.66	4.60	1.66
20	Deciduous broadl. forest	A, B	0.08	0.13	0.08
21	Evergreen broadl. forest	A, B	0.44	1.14	0.44
22	Cropland	-	0.57	3.13	0.33
23	Urban and buildup lands	-	0.57	3.13	0.57
24	Cropland/nat. veg. mosaic	-	0.57	3.14	0.57

\* A - equatorial, B - arid, C - warm temperature, D - snow, E - polar (see Fig. 2 for spatial map).

ID	NLCD40 MODIS category (40)	ID	Soil biome category (24)
1	Evergreen needleleaf forest	19	Evergreen needleleaf forest
2	Evergreen broadleaf forest	16 and 21	Evergreen broadleaf forest
3	Deciduous needleleaf forest	18	Dec. needleleaf forest
4	Deciduous broadleaf forest	17 and 20	Dec. broadleaf forest
5	Mixed forests	15	Mixed forest
6	Closed shrublands	7	Closed shrublands
7	Open shrublands	8 and 9	Open shrublands
8	Woody savannas	14	Woody savannah
9	Savannas	11 and 12	Savannah
10	Grasslands	10 and 13	Grassland
11	Permanent wetlands	2	Permanent wetland
12	Croplands	22	Cropland
13	Urban and builtup	23	Urban and buildup lands
14	Cropland-natural vegetation mosaic	24	Cropland-nat. veg. mosaic
15	Permanent snow and ice	3	Snow and ice
16	Barren or sparsely vegetated	6	Barren
17	IGBP water	1	Water
18	Unclassified	1	Water
19	Fill value	1	Water
20	Open water	1	Water
21	Perennial ice and snow	3	Snow and ice
22	Developed open space	23	Urban and buildup lands
23	Developed low intensity	23	Urban and buildup lands
24	Developed medium intensity	23	Urban and buildup lands
25	Developed high intensity	23	Urban and buildup lands
26	Barren land (rock-sand-clay)	24	Cropland/nat. veg. mosaic
27	Unconsolidated shore	24	Cropland/nat. veg. mosaic
28	Deciduous forest	16 and 21	Evergreen broadleaf forest
29	Evergreen forest	19	Evergreen needle leaf forest
30	Mixed forest	15	Mixed forest
31	Dwarf scrub	8 and 9	Open shrublands
32	Shrub–scrub	8 and 9	Open shrubland
33	Grassland-herbaceous	10 and 13	Grassland
34	Sedge-herbaceous	14	Woody savannah
35	Lichens	10 and 13	Grassland
36	Moss	10 and 13	Grassland
37	Pasture-hay	24	Cropland-nat. veg. mosaic
38	Cultivated crops	22	Cropland
39	Woody wetlands	2	Permanent wetland
40	Emergent herbaceous wetlands	2	Permanent wetland

Table A2. Mapping table to create the "new" soil biome map based on NLCD40 MODIS land cover categories.

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Table A3. Summary of differences between YL, and the two applications of BDSNP. See Table 1 for other aspects of model configuration.

	Features	YL	BDSNP ( et al. (2010) with old biome)	BDSNP (EPIC with new biome)
(1)	NO emission response to biome, temperature, and moisture	YL scheme uses a much generalized biome classification by grouping 36 NASA Global Vegetation Indexes to 11 broad biome types. Ice, desert, and snow are attributed zero NO emission. The rest of biomes use emission factors that are empirical functions of soil tem- perature behaving differently for dry and wet soils. Linear variation with soil temperature for dry soil, exponential response to temperature for wet soils (Yienger and Levy, 1995).	Biome emission factors for 40 NLCD land use categories, based on a coarse grid definition from GEOS-Chem LSM (Hudman et al., 2012). Non-linear response to soil temperature ( $T$ ) and moisture ( $\theta$ ).	Biome emission factors regrouped from NLCD 40 to 24 MODIS land use types (Steinkamp and Lawrence, 2011) with Köppen climate definitions (Kottek et al., 2006) to be consistent with finer grid resolution used by Pleim–Xiu LSM in CMAQ. Non-linear response to soil $T$ and $\theta$ .
(2)	NO emission response to deposition	Deposition not accounted for as a source of soil N.	Deposition accounted for as a soil N source, but separately from fertilizer.	Deposition accounted for as a soil N source. FEST-C soil N Deposition (oxidized and reduced) outputs used, also includes bi-directional exchange capability of CMAQ, currently implemented for NH <sub>3</sub> (reduced N depo- sition source) only (Bash et al., 2013).
(3)	NO emission response to fertilizer	Considers planting date and a decline from NO fertilizer over the course of the growing season.	Potter et al. (2010) long-term average fertilizer estimates used.	Daily fertilizer estimates from EPIC/ FEST-C, accounting for meteorology and farm practices (Cooter et al., 2012).

### **Appendix B**



**Figure B1.** Arid (red) and non-arid (blue) region over continental USA (12 km resolution).



**Figure B2.** Daily variation of total N from fertilizer application (from Potter et al., 2010) processed from BDSNP to establish timing over continental USA throughout 2011.



**Figure B3.** Difference of OMI NO<sub>2</sub> column with NO<sub>2</sub> column simulated from the three in-line CMAQ cases: YL, BDSNP ( et al. (2010) with old biome), BDSNP (EPIC with new Biome) (left to right) over OMI overpass time averaged for July 2011 over CONUS. Note: in contour plots, white refers to gaps/no-fill values in OMI product and dark red at upper corners are due to gaps in CMAQ NO<sub>2</sub> column after temporal averaging at OMI overpass time.

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