



# The North American Carbon Program Multi-scale Synthesis and Terrestrial Model Intercomparison Project – Part 2: Environmental driver data

Y. Wei<sup>1</sup>, S. Liu<sup>1</sup>, D. N. Huntzinger<sup>2</sup>, A. M. Michalak<sup>3</sup>, N. Viovy<sup>4</sup>, W. M. Post<sup>1</sup>, C. R. Schwalm<sup>2</sup>, K. Schaefer<sup>5</sup>, A. R. Jacobson<sup>6</sup>, C. Lu<sup>7</sup>, H. Tian<sup>7</sup>, D. M. Ricciuto<sup>1</sup>, R. B. Cook<sup>1</sup>, J. Mao<sup>1</sup>, and X. Shi<sup>1</sup>

<sup>1</sup>Environmental Sciences Division, Oak Ridge National Laboratory, Oak Ridge, TN, USA

<sup>2</sup>School of Earth Sciences and Environmental Sustainability, Northern Arizona University, Flagstaff, AZ, USA

<sup>3</sup>Department of Global Ecology, Carnegie Institution for Science, Stanford, CA, USA

<sup>4</sup>Laboratoire des Sciences du Climat et l'Environnement, Paris, France

<sup>5</sup>University of Colorado, National Snow and Ice Data Center, Boulder, CO, USA

<sup>6</sup>NOAA Earth System Research Lab, Global Monitoring Division, Boulder, CO, USA

<sup>7</sup>International Center for Climate and Global Change Research, School of Forestry and Wildlife Sciences, Auburn University, Auburn, AL, USA

Correspondence to: Y. Wei (weiy@ornl.gov)

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**Abstract.** Ecosystems are important and dynamic components of the global carbon cycle, and terrestrial biospheric models (TBMs) are crucial tools in further understanding of how terrestrial carbon is stored and exchanged with the atmosphere across a variety of spatial and temporal scales. Improving TBM skills, and quantifying and reducing their estimation uncertainties, pose significant challenges. The Multi-scale Synthesis and Terrestrial Model Intercomparison Project (MsTMIP) is a formal multi-scale and multi-model intercomparison effort set up to tackle these challenges. The MsTMIP protocol prescribes standardized environmental driver data that are shared among model teams to facilitate model–model and model–observation comparisons. This paper describes the global and North American environmental driver data sets prepared for the MsTMIP activity to both support their use in MsTMIP and make these data, along with the processes used in selecting/processing these data, accessible to a broader audience. Based on project needs and lessons learned from past model intercomparison activities, we compiled climate, atmospheric CO<sub>2</sub> concentrations, nitrogen deposition, land use and land cover change (LULCC), C3/C4 grasses fractions, major crops, phenology and soil data into a standard format for global (0.5° × 0.5° resolution) and regional (North American: 0.25° × 0.25° resolu-

tion) simulations. In order to meet the needs of MsTMIP, improvements were made to several of the original environmental data sets, by improving the quality, and/or changing their spatial and temporal coverage, and resolution. The resulting standardized model driver data sets are being used by over 20 different models participating in MsTMIP. The data are archived at the Oak Ridge National Laboratory Distributed Active Archive Center (ORNL DAAC, <http://daac.ornl.gov>) to provide long-term data management and distribution.

## 1 Introduction

The need to understand and quantify the role of terrestrial ecosystems in the global carbon cycle and its climate change feedbacks has been driving the development of global terrestrial biogeochemistry and biogeography models since the late 1980s (Foley, 1995). Since that time, the carbon cycle science modeling community has continued to improve understanding of terrestrial ecosystems in global and regional carbon cycling (US CCSP, 2011).

One strategy for doing so has been through several multi-model intercomparison projects (MIPs) conducted starting in the 1990s. The Vegetation–Ecosystem Modeling and Analysis Project<sup>1</sup> (VEMAP) was a pioneer MIP activity that evaluated the sensitivity of terrestrial biospheric models (TBMs) to altered climate forcings and elevated atmospheric CO<sub>2</sub> for the continental United States (Schimel et al., 1997). The Potsdam net primary production (NPP) MIP was an intercomparison activity focusing on annual and seasonal fluxes of NPP for the land biosphere involving 17 global TBMs (Cramer et al., 1999). More recently, the GCP-TRENDY<sup>2</sup> effort, part of the Global Carbon Project (GCP), organized and performed a factorial set of models to investigate trends in net land–atmosphere carbon exchange of dynamic vegetation models (DGVMs) over the time period from 1980 to 2009 (Sitch et al., 2008).

Huge challenges still remain, however, especially in developing approaches for evaluating model predictions and assessing the uncertainties associated with model estimates (e.g., Randerson et al., 2009; USCCSP, 2011; Schwalm et al., 2013). The challenges associated with representing terrestrial ecosystem fluxes of carbon dioxide are illustrated by the huge variability in model predictions observed as part of the recent North American Carbon Program (NACP) regional and site interim synthesis activities (e.g., Huntzinger et al., 2012; Schaefer et al., 2012). The results from these activities confirmed the large uncertainties associated with our ability to represent terrestrial ecosystem carbon fluxes, but the reliance of the regional synthesis on off-the-shelf simulations without a prescribed protocol or standardized driver data sets limited the degree to which the observed variability could be attributed to specific sources of uncertainty.

Four types of uncertainties drive differences between predictions of terrestrial carbon flux (e.g., Enting et al., 2012): uncertainty associated with (1) the choice of driver data, (2) parameter values, (3) initial conditions as well as (4) the choice of processes to include and how these processes are represented within the model (i.e., structural uncertainty). Estimating and reducing these uncertainties are both critical to improving model performance, and consequently to understanding the role of terrestrial ecosystems in the global carbon cycle.

In response to this need, the Multi-scale Synthesis and Terrestrial Model Intercomparison Project (MsTMIP) was established to build on previous and ongoing MIPs to provide a consistent and unified modeling framework to interpret and address structural and parameter uncertainties. Huntzinger et al. (2013) discusses the philosophy of MsTMIP and how past and ongoing MIP activities impacted and inspired its design. Similar to VEMAP, the Potsdam NPP MIP, and GCP-

TRENDY, MsTMIP prescribes standardized environmental driver data and a consistent spin-up protocol for all model simulations. VEMAP, as a pioneer in model intercomparison activities, provided a valuable backdrop against which the approach for preparing modeling input data sets was developed (Kittel et al., 1995, 2004). Although focused only on the conterminous United States, VEMAP was one of the first MIP activities that applied a consistent set of input data and boundary conditions to multiple models in order to isolate the impact of model choice on across-model variability. Thus, providing standardized input for MsTMIP greatly reduces the inter-model variability caused by differences in environmental drivers, initial conditions and the process used for defining steady-state conditions, and helps to focus the analysis on the ways in which the structure of TBMs (i.e., their choice and formulation of ecosystem processes) and associated internal parameters impact a model's estimates of terrestrial ecosystem carbon dynamics.

This paper describes the driver data needs of MsTMIP and outlines the environmental driver data sets compiled and synthesized for the MsTMIP activity. In doing so, this paper aims to address the needs of multiple communities and audiences. First, it provides the detailed background about environmental driver data choices that are necessary for the scientific interpretation of modeling results coming out of the MsTMIP effort. As such, it addresses the needs of researchers focusing on the scientific interpretation of the MsTMIP results. Second, it provides the rationale for the choice of specific environmental driver data and the details associated with their processing. Thus, the paper also aims to address the needs of researchers who wish to leverage the work reported here by using the driver data for follow-on studies or related applications. Third, this paper reports on the decision-making and implementation process involved in putting together common driver data for large modeling studies and intercomparison efforts, including lessons learned that are independent of the specific applications addressed by MsTMIP. As such, this paper also aims to inform future efforts focused on assembling consistent data sets for use by multiple modeling teams.

The remainder of this paper is structured to address the needs of the three intended audiences described above. For each data category, we first provide a brief review of the data source chosen for MsTMIP and the rationale for the choice, along with a description of other similar data sources currently available and data products used in past and/or ongoing MIPs. We then describe the processing and analysis completed to convert the original data source into a form meeting the needs of the MsTMIP activity, and in some cases to improve the quality of the original data source. We also provide a brief evaluation of standardized MsTMIP data products, and suggestions on how the data should be used in terrestrial biosphere modeling. Finally, we introduce some lessons learned on data processing and management, to guide future data-intensive projects.

<sup>1</sup>The Vegetation–Ecosystem Modeling and Analysis Project <http://www.cgd.ucar.edu/vemap/>

<sup>2</sup>Trends in net land–atmosphere carbon exchange <http://dgvn.ceh.ac.uk/node/21>

## 2 Driver data needs of MsTMIP

The overarching goal of the MsTMIP activity is to provide a unified intercomparison framework that allows for the critical synthesis, benchmarking, evaluation and feedback needed to improve TBMs (Huntzinger et al., 2013). To meet this goal, the MsTMIP activity conducts a suite of simulations that can be used to quantify (1) the impact of the scale and spatial resolution of model simulations on model estimates and (2) the additive influence of a suite of time-varying environmental drivers or forcing factors on model estimates of carbon stocks and fluxes. As such, MsTMIP includes simulations over two spatial domains and resolutions: globally at  $0.5^\circ \times 0.5^\circ$  resolution and regionally over North America at  $0.25^\circ \times 0.25^\circ$  resolution. To evaluate the additive impacts of different types of forcing, e.g., climate, land use and land cover change (LULCC), atmospheric  $\text{CO}_2$  concentrations and nitrogen deposition, on model estimates of carbon fluxes and stocks, a series of sensitivity simulations are prescribed at both spatial scales for a simulation period from 1801 to 2010 (Huntzinger et al., 2013). Inherent to MsTMIP's experimental design is the focus on controlling for as many sources of variability in TBM predictions as possible, to isolate and quantify the impact of the model itself (i.e., structural and parameter uncertainties) on estimates.

One source of variability in model estimates is the choice of (and uncertainty associated with) environmental driver and input data sets. Most uncoupled TBMs require, at a minimum, a land–water mask, climate forcing data, soil characteristics and atmospheric  $\text{CO}_2$  concentrations to simulate how carbon is exchanged between the land and atmosphere. Many models also require additional information such as LULCC, phenology, nitrogen deposition rates and disturbance history. Ideally, the temporal resolution of drivers should be fine enough to enable prediction at sub-daily temporal resolution; thus, making it possible to investigate the diurnal cycle of carbon and energy fluxes. To meet the objectives of MsTMIP's experimental design, the goal was to provide modeling teams, to the extent possible, with a complete and consistent set of environmental driver data. In addition to being of high quality, the environmental driving and input data chosen for MsTMIP also needed to meet the following requirements:

- data sets must be compatible with over 20 different TBMs;
- data sets must provide consistent spatial coverage for the land surface within the two simulation domains: (1) North American:  $10\text{--}84^\circ$  northern latitude;  $50\text{--}170^\circ$  western longitude, and (2) global: all land surface areas excluding Antarctica;
- Spatial resolutions must be compatible with the two sets of simulations: (1) North American ( $0.25^\circ \times 0.25^\circ$ ) and (2) global ( $0.5^\circ \times 0.5^\circ$ );

- Temporal resolution and extent must be compatible with the two sets of simulations: (1) North American (3-hourly, 1801–2010) and (2) global (6-hourly, 1801–2010);
- data sets must provide smooth transitions in time, without any unrealistic spikes or discontinuities;
- data sets must be physically consistent with one another. For example, climate, soil and land cover change history needed to represent the same land domain as indicated in the land–water mask, and the prescribed phenology data needed to be consistent with the time-varying land cover data for each time step.

The environmental driver and input data sets chosen for the MsTMIP activity are a reflection of these overall project needs and requirements.

## 3 Environmental driver data sets

MsTMIP environmental driver and associated data products include data sets describing climatology, time-varying atmospheric  $\text{CO}_2$  concentrations, time-varying nitrogen deposition, LULCC, C3 / C4 grasses fractions, major crop distribution, phenology, soil characteristics and a land–water mask, all at  $0.5^\circ \times 0.5^\circ$  for the global domain and  $0.25^\circ \times 0.25^\circ$  for the North American domain (Table 1). All MsTMIP model driver data files are stored in Climate and Forecast (CF)<sup>3</sup> 1.4 convention compliant netCDF version 3 format, which is supported by a wide range of programming APIs (e.g., C, C++, Fortran, Java, Perl) and multiple operating systems (e.g., Linux, Unix, Mac OS X, Windows). All drivers are saved in Greenwich mean time (GMT) with all sub-monthly drivers (e.g., climate) including leap years.

For most data categories, the North American data sets are based on the same data sources as the global products. We did, however, choose different climatology and soil data products for the two domains. This decision was driven primarily by the availability of these drivers at the spatial and temporal resolution needed for the regional simulations. However, by holding the source of other drivers constant between the global and North American simulations, we are also creating an opportunity to test the impact of the choice of climate and soil characteristics on model estimates.

### 3.1 Climate

#### 3.1.1 Global climate: CRU–NCEP

Several reanalysis and observation-based gridded global climatology data sets exist, including products produced

<sup>3</sup>NetCDF Climate and Forecast (CF) Metadata Conventions, version 1.4. <http://cfconventions.org/Data/cf-conventions/cf-conventions-1.4/build/cf-conventions.html> (<http://cfconventions.org/>).

**Table 1.** The MsTMIP environmental driver data summary.

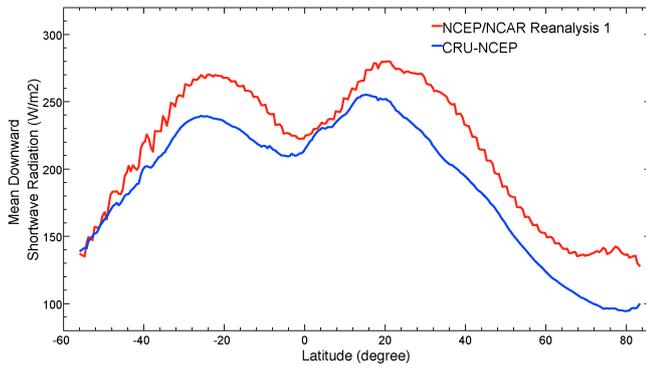
Category	Name	Spatial extent & resolution	Native temporal period, resolution	Extended temporal period, resolution <sup>a</sup>	Variables
Climate	CRU–NCEP <sup>b</sup>	Global (0.5°)	1901–2010, 6-hourly	1801–2010, 6-hourly	– precipitation – air temperature – air specific humidity – air relative humidity (NA only)
	NARR	North America (NA) (0.25°)	1979–2010, 3-hourly	1801–2010, 3-hourly	– pressure – downward longwave radiation – downward shortwave radiation – wind speed
Land-water mask	CRU–NCEP	Global (0.5°)	constant	constant	binary land vs. water map
	NARR	NA (0.25°)			
CO <sub>2</sub>	Extended GLOBALVIEW-CO <sub>2</sub>	Global (0.5°), NA (0.25°)	1801–2010, monthly	1801–2010, monthly	atmospheric CO <sub>2</sub> concentration
Nitrogen deposition	Enhanced Dentener	Global (0.5°), NA (0.25°)	1860–2010, annual	1801–2010, annual	NH <sub>x</sub> -N deposition NO <sub>y</sub> -N deposition
Land cover change	SYNMAP+ Hurtt	Global (0.5°), NA (0.25°)	1801–2010, annual	1801–2010, annual	land cover state maps
C3 / C4 grass	C3 / C4 grass fraction	Global (0.5°), NA (0.25°)	constant	constant	relative fractions of C3 / C4 grasses
Major crops	Monfreda et al. (2008)	Global (0.5°), NA (0.25°)	constant	constant	fraction of harvest area in each grid cell for maize, rice, soybean, and wheat
Phenology	GIMMSg	Global (0.5°), NA (0.25°)	1801–2010, monthly	1801–2010, monthly	NDVI, LAI, and fPAR
	HWSD v1.1	Global (0.5°)	constant	constant	– soil layers – dominant soil type – reference soil depth – clay/sand/silt fractions – pH
Soil	STATSGO2 (US) SLC 3.2&2.2 (CA)	NA (0.25°)	constant	constant	– organic carbon – cation exchange capacity – reference bulk density – gravel content
	HWSD v1.1 (Other)				

<sup>a</sup> Native temporal periods of environmental driver data sets compiled for MsTMIP are extended to be compatible with the simulation time period (1801–2010) defined by MsTMIP. Please refer to Sect. 4. spin-up data package to see how data with shorter native temporal period are extended back to 1801 to address the needs of MsTMIP simulations. <sup>b</sup> CRU–NCEP: Climate Research Unit and National Centers for Environmental Prediction; NARR: North American Regional Reanalysis; SYNMAP: SYnergetic land cover MAP; GIMMSg: Global Inventory Monitoring and Modeling System version g; NDVI: normalized difference vegetation index; LAI: leaf area index; fPAR: fraction of photosynthetically active radiation; HWSD: Harmonized World Soil Database; STATSGO2: State Soil Geographic data version 2; SLC: Soil Landscapes of Canada.

by the Climate Research Unit (CRU) (Harris et al., 2014), the National Centers for Environmental Prediction (NCEP)/National Center for Atmospheric Research (NCAR) Reanalysis 1 (Kalnay et al., 1996), and the European Centre for Medium-Range Weather Forecasts (ECMWF) (Uppala et al., 2005; Dee et al., 2011). The NCEP/NCAR Reanalysis 1 data was adopted by the Inter-Sectoral Impact Model Intercomparison Project (ISI–MIP) as one of its climate inputs (Warszawski et al., 2013) to assess the influence of the choice of forcing data on the overall results. However, none of these available climatology data sets fully met the spatial and temporal requirements of MsTMIP. The CRU Time Series (TS) 3.2 product covers the time period from 1901 to present at a 0.5° spatial resolution, but only at a monthly temporal resolution. The NCEP/NCAR product, on the other hand, has a finer temporal resolution (6-hourly), but has a

coarse spatial resolution (2.5°) and only provides climatology back to 1948. The ECMWF product similarly lacks the temporal coverage required for MsTMIP.

Thus, we combined the strengths of the CRU and NCEP/NCAR Reanalysis products, fusing them to produce the CRU–NCEP global climate data set. This new data set provides a globally gridded (0.5° × 0.5°) and sub-daily (6-hourly) time-varying climatology product that spans the period between 1901 and 2010. Earlier versions of CRU–NCEP data had been used as driver data in past MIP activities, including GCP-TRENDY. The MsTMIP project updated the CRU–NCEP data by adopting the latest (version 3.2 at preparation time) CRU TS data. Details of the CRU–NCEP fusion method can be found in Supplement 1. MsTMIP CRU–NCEP contains seven climatology variables, including downward longwave and shortwave radiations, pressure,



**Figure 1.** Comparison of the mean of long-term mean downward shortwave radiation (1948–2010) on land surface for each 0.5-degree latitudinal band from NCEP/NCAR Reanalysis 1 and CRU–NCEP data sets.

air specific humidity, precipitation, temperature and wind (Table 1). In the process of creating this new climatology product, we also corrected known biases in temperature and shortwave radiation in the NCEP/NCAR Reanalysis product. Zhao et al. (2006) showed that NCEP/NCAR Reanalysis climatology overestimates downward shortwave radiation, especially in non-tropical regions, and underestimates surface temperature for almost all latitudes. Biases in climatological variables can introduce substantial errors into gross primary productivity (GPP) and net primary productivity (NPP) estimates (Zhao et al., 2006). By fusing NCEP/NCAR with the CRU climatology, we forced the monthly amplitude of the CRU–NCEP product to be consistent with the observation-based CRU climatology, while preserving the diurnal variability in the NCEP/NCAR Reanalysis product. A comparison between the zonal mean of long-term mean downward shortwave radiation for each  $0.5^\circ$  grid cell over land (Fig. 1) shows that CRU–NCEP has lower downward shortwave radiation than the original NCEP/NCAR data, except at  $0\text{--}10^\circ$  north and  $50\text{--}55^\circ$  south, where CRU–NCEP downward shortwave radiation is similar to NCEP/NCAR Reanalysis 1.

### 3.1.2 North American climate: NARR

Several climatology products are available for North America at finer spatial and temporal resolutions than the new CRU–NCEP product. In addition to better addressing the resolution needs of MsTMIP regional simulations ( $0.25^\circ \times 0.25^\circ$  spatial and 3-hourly temporal resolution), using a different climate driver data product for the North American simulations (1) makes it possible to test the influence of the choice of climate drivers on model estimates, and (2) provides a closer linkage between model estimates and fine-scale ground-based observations. Both the Daymet (Thornton et al., 2012) and Parameter elevation Regression

on Independent Slopes Model (PRISM)<sup>4</sup> products provide temperature and precipitation data at high spatial resolution (e.g., 1 km) for North America. However, the temporal resolutions of these products (PRISM: monthly; Daymet: daily) do not meet the needs of MsTMIP, and these data products also do not cover the full spatial extent of the North American simulations ( $10\text{--}84^\circ$  north;  $50\text{--}170^\circ$  west).

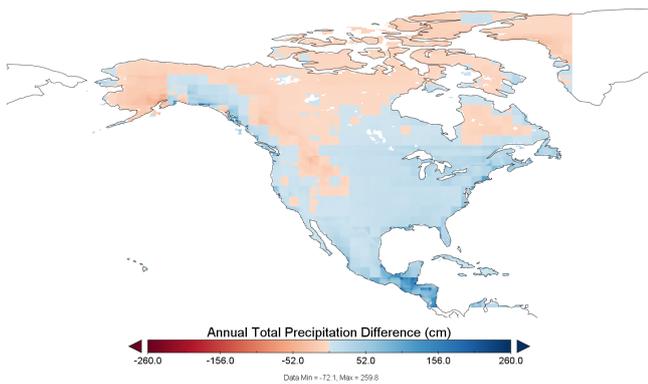
The NCEP North America Regional Reanalysis (NARR), on the other hand, provides long-term high-resolution high-frequency atmospheric and land surface meteorological data for the North American domain (Mesinger et al., 2006). The NARR climatology begins in 1979 and extends to present at 3-hourly temporal and 32 km spatial resolutions. Although the temporal coverage is shorter than desired, the NARR product was selected for the MsTMIP activity because it best matched the needs of the North American simulations, and the time covered by the data set was extended as described in Sect. 4. The original NARR data were provided by the NOAA/OAR/ESRL PSD<sup>5</sup>, available at <http://www.esrl.noaa.gov/psd/> (last access: 14 January 2011).

The NARR variables were regridded to a spatial resolution of  $0.25^\circ \times 0.25^\circ$ , from their original Lambert Conformal Conic Projection at 32 km resolution using both area-weighted and distance-weighted averages. An area-weighted averaging method was used for precipitation and radiation flux variables in order to conserve their total magnitude for North America. For highly spatially auto-correlated state variables (e.g., air temperature, humidity), distance-weighted averaging was used because values for these variables tend to cluster together in space. The  $U$  direction (along longitude) and  $V$  direction (along latitude) wind speeds were combined into an overall surface wind velocity variable prior to the regridding process.

In a study of rain gauge and NARR data, Sun and Barros (2010) found that, although NARR reproduces the spatial patterns of precipitation, it underestimates the frequency and magnitude of large rainfall events. In addition, Xie et al. (2003) found that the Global Precipitation Climatology Project (GPCP) monthly gridded ( $2.5^\circ \times 2.5^\circ$ ) precipitation product, derived from satellite and gauge measurements, reproduced spatial patterns of total precipitation with relatively high quality especially over land. Thus, to remove biases in the precipitation, we rescaled the NARR 3-hourly precipitation using the GPCP v2.1 (Adler et al., 2003). Although the GPCP product has a relatively coarse spatial resolution of  $2.5^\circ$ , it has the advantage of including a correction to compensate for systematic biases in gauge measurements due to wind, gauge wetting and gauge evaporation. Applying this

<sup>4</sup>PRISM Climate Group, Oregon State University, <http://prism.oregonstate.edu>, created 4 February 2004.

<sup>5</sup>NOAA/OAR/ESRL PSD: National Oceanic & Atmospheric Administration/Oceanic and Atmospheric Research/Earth System Research Laboratory Physical Sciences Division, Boulder, Colorado, USA.

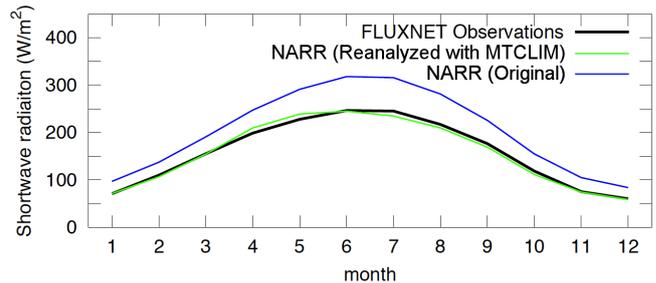


**Figure 2.** Difference map between the long-term mean (1979–2010) annual total precipitation from rescaled NARR and original NARR (rescaled NARR precipitation – original NARR precipitation).

rescaling allowed us to retain the advantages provided by the NARR data product, while also leveraging the information provided by GPCP. To rescale the NARR precipitation, for each month, precipitation of all 3-hourly  $0.25^\circ$  NARR grids within each  $2.5^\circ$  GPCP grid was summed up along time, averaged over space and linearly rescaled to match the magnitude of the total monthly GPCP precipitation. Figure 2 uses a special color scheme to present the difference map between the long-term mean (1979–2010) annual total precipitation from rescaled NARR and original NARR products. An interesting pattern can be observed in Fig. 2, where rescaling decreases precipitation in the northern part of North America while it increases in the southern part. Specifically, the rescaled product better represents the magnitude of extreme rainfall events at the coastline of Gulf of Alaska and Central America, while generally preserving both the magnitude and spatial pattern in most other areas of North America. This rescaling, however, does not alter the frequency of rainfall events.

As mentioned previously, biases in shortwave radiation can have a strong impact on model estimates of GPP. Kennedy et al. (2010) showed that between 1999 and 2001 the NARR product overestimates downward shortwave radiation flux relative to the Atmospheric Radiation Measurement (ARM) southern Great Plains (SGP) site observations by about 10 % under clear sky and by about 30 % under all-sky conditions. We also compared NARR downward shortwave radiation flux with observations from 23 FLUXNET<sup>6</sup> sites across North America. For the FLUXNET sites examined, NARR overestimates downward shortwave radiation by about 30 %, with higher positive bias under cloudy con-

<sup>6</sup>FLUXNET, a “network of regional networks”, coordinates regional and global analysis of observations from micrometeorological tower sites. <http://fluxnet.ornl.gov>.



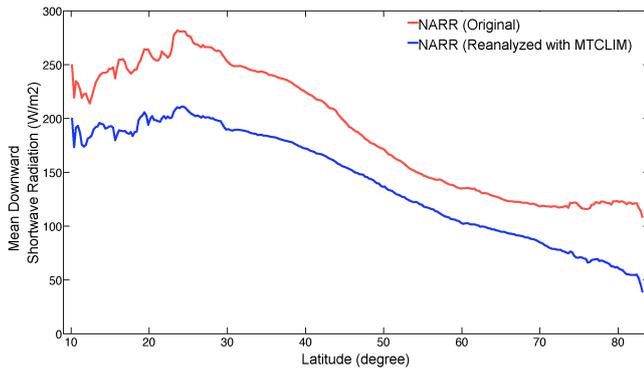
**Figure 3.** Comparison of shortwave radiation from original and re-analyzed NARR against observations averaged over 23 FLUXNET sites across North America.

ditions (Fig. 3). The weather simulation model MTCLIM<sup>7</sup> version 4.3 was used to reduce the shortwave radiation bias in the NARR product. Given input data from one location, MTCLIM generates weather information for another location based on different elevation, slope and aspect relative to the input location (Running et al., 1987; Thornton and Running, 1999). Bohn et al. (2013) found that, with the exception of coastal areas (which had a negative bias of about  $-26\%$ ), MTCLIM performed reasonably well at estimating downward shortwave radiation under most climate conditions for the global land surface. They also showed that MTCLIM v4.3’s snow correction significantly reduced the bias in snow-covered areas. We calculated the total daily shortwave radiation for each grid cell using the MTCLIM model driven by gridded daily maximum and minimum temperature and total daily precipitation derived from the 3-hourly NARR original temperature and rescaled precipitation. The original 3-hourly NARR downward shortwave radiation values were then linearly rescaled to match the total daily downward shortwave radiation generated from the MTCLIM model. This process was effective at reducing the overall positive bias in shortwave radiation (Fig. 4), such that the rescaled NARR product better matches observed radiation at FLUXNET sites (Fig. 3).

### 3.1.3 Comparison of global and North American climate data

One of the goals of MsTMIP is to test the influence of both spatial resolution and changing driver data on model estimates (Huntzinger et al., 2013). A comparison between the MsTMIP global (CRU–NCEP) and North American (NARR) climate data over the years 1979–2010 reveals that MsTMIP’s MTCLIM-calibrated NARR downward shortwave radiation has much higher seasonal variability than CRU–NCEP in North America. MsTMIP’s MTCLIM-calibrated NARR downward shortwave radiation also has a decreasing trend in the 1980s and an increasing trend after 1990,

<sup>7</sup>MTCLIM, a mountain microclimate simulation model, <http://www.ntsug.umt.edu/project/mtclim>.



**Figure 4.** Comparison of the latitudinal zonal ( $0.25^\circ$ ) mean of long-term mean downward shortwave radiation (1979–2010) on land surface from original NARR and reanalyzed NARR data sets.

which is consistent with the findings reported in Wild et al. (2005) and Pinker et al. (2005). However, this decreasing–increasing trend was not observed in the CRU–NCEP data. MsTMIP’s NARR and CRU–NCEP downward longwave radiation products share similar seasonal variability and spatial distribution patterns, while NARR has much finer spatial details due to higher spatial resolution. Though sharing similar seasonal variability and spatial distribution patterns, MsTMIP’s GPCP-rescaled NARR precipitation was higher than that of CRU–NCEP, especially before 2003, and had a decreasing trend between 1979 and 2010. This decrease in the rescaled NARR precipitation had a significant impact on MsTMIP regional-scale sensitivity simulations. MsTMIP’s NARR and CRU–NCEP generally share similar seasonal variability, trend and spatial distribution patterns for other climate variables. Details of this comparison can be found in Supplement 2.

### 3.2 Land–water mask

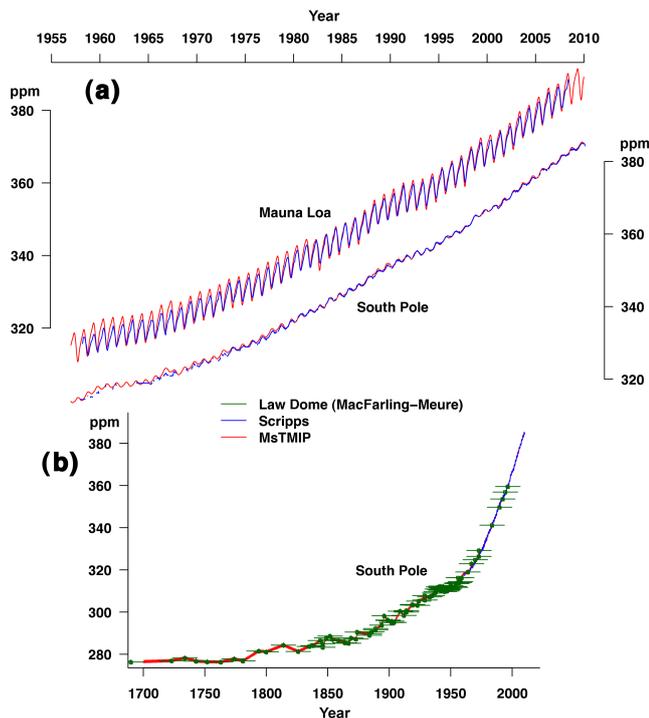
The land–water mask specifies the land grid cells on which MsTMIP global and regional simulations are run, and needs to be consistent with the climate driver data. We therefore based the global land–water mask on the CRU–NCEP land–water mask, and the North American land–water mask on the original NARR mask regridded to a spatial resolution of  $0.25^\circ \times 0.25^\circ$  using an area-weighted method to preserve the total amount of land area. Since a regridding process was involved for the preparation of North American land–water mask, to preserve only those  $0.25^\circ$  grid cells covered primarily by land, a threshold of 50% was then applied to define land grid cells.

### 3.3 Atmospheric CO<sub>2</sub> concentration

Atmospheric CO<sub>2</sub> concentrations have risen more than 40% over pre-industrial levels. Increased atmospheric CO<sub>2</sub> content influences global climate not only through its green-

house radiative effect, but also through its physiological effect (Sellers et al., 1996a; Ainsworth and Long, 2005). Under elevated CO<sub>2</sub> concentration, plant stomata open less widely, leading to reduced plant transpiration (Cao et al., 2010; Shi et al., 2011). In natural ecosystems, this CO<sub>2</sub> fertilization effect is modulated by many other factors, including access to light, water and other nutrients. Furthermore, the net terrestrial sink inferred from analysis of atmospheric CO<sub>2</sub> distributions (e.g., Gurney et al., 2002) is due not only to increased productivity of natural ecosystems but also to historical land use (e.g., Pacala et al., 2001). Models are useful for simulating the complex interplay of these factors, and studies have suggested that of the major factors affecting simulated net carbon exchange between the atmosphere and the terrestrial biosphere, CO<sub>2</sub> fertilization may have the strongest decadal trend (e.g., Norby et al., 2005; Kicklighter et al., 1999; McGuire et al., 2001). A realistic CO<sub>2</sub> concentration history was therefore needed for the entire MsTMIP simulation period.

The atmospheric CO<sub>2</sub> concentration data prepared for the MsTMIP are consistent with the GLOBALVIEW-CO<sub>2</sub> (2011) data product (henceforth GV), the time series of historic atmospheric CO<sub>2</sub> from Antarctic ice cores (MacFarling Meure et al., 2006), fossil fuel emissions (Marland et al., 2008) and atmospheric CO<sub>2</sub> observations at Mauna Loa (MLO) and the South Pole (SPO). During the period 1979–2010, when the temporally and meridionally resolved GV product is available, atmospheric CO<sub>2</sub> concentrations are set directly to the GV marine boundary layer reference surface interpolated to the MsTMIP global and North American grids. Prior to 1979, we preserve the 1979–2010 mean annual cycle from GV, and impose onto it a modeled CO<sub>2</sub> surface that represents annual mean concentrations and a time-evolving meridional gradient. Following Conway and Tans (1999), the annual mean difference between MLO and SPO in the GV product is modeled as a linear function of fossil fuel (FF) emissions (Marland et al., 2008). Extrapolated to zero FF emissions, the pre-industrial MLO–SPO difference estimated in this manner is 0.3 ppm. Performing this same exercise using Scripps CO<sub>2</sub> program observations, at MLO and SPO instead of GV, yields a stronger dependence of the meridional gradient on FF emissions and a pre-industrial MLO–SPO difference of  $-1.2$  ppm. While it is possible that pre-industrial Southern Hemisphere CO<sub>2</sub> values exceeded those in the Northern Hemisphere (Conway and Tans, 1999), we judge that it is more parsimonious to assume a small pre-industrial inter-hemispheric CO<sub>2</sub> gradient, which the GV-based scheme achieves natively. The MsTMIP atmospheric CO<sub>2</sub> product agrees well with Scripps CO<sub>2</sub> data before 1979 at SPO and MLO (Fig. 5a), and with Law Dome ice core data in Antarctica (MacFarling Meure et al., 2006; Fig. 5b) data. The MsTMIP atmospheric CO<sub>2</sub> product before 1979, however, does not represent inter-annual variability other than that derived from variability in FF emissions,



**Figure 5.** Comparison of MsTMIP driver data atmospheric CO<sub>2</sub> with independent data. (a) Comparison of MsTMIP and Scripps CO<sub>2</sub> program data at Mauna Loa and South Pole from 1958 to 2010, and (b) comparison with Law Dome ice core records of atmospheric CO<sub>2</sub> (MacFarling Meure et al., 2006).

and it does not include speculative changes in the magnitude or phase of annual cycles of CO<sub>2</sub> in the atmosphere.

### 3.4 Nitrogen deposition

Nitrogen enrichment, increasing atmospheric nitrogen deposition in particular, has been recognized as one of the most significant global changes since it could stimulate plant growth, enhance terrestrial carbon sequestration capacity and thus mitigate global climate warming (e.g., Holland et al., 1997; Pregitzer et al., 2008; Reay et al., 2008; De Vries et al., 2009). Models failing to capture nitrogen input and nitrogen cycling may overestimate ecosystem carbon uptake (Hungate et al., 2003). Up to now, more and more TBMs include nitrogen deposition as an important driving force. However, few global and North American nitrogen deposition products are available over the full period required by MsTMIP. Monitoring networks of nitrogen deposition in the United States and Europe were launched in the late 1970s, while other countries began such nationwide observations later (Holland et al., 2005; Lu and Tian, 2007). The Dentener global nitrogen deposition data product was generated using a three-dimensional chemistry transport model that estimated atmospheric deposition of total inorganic nitrogen (N), NH<sub>x</sub> (NH<sub>3</sub> and NH<sub>4</sub><sup>+</sup>), and NO<sub>y</sub> (all oxidized forms of nitrogen

other than N<sub>2</sub>O) for the years 1860, 1993 and 2050 at a spatial resolution of 5° longitude by 3.75° latitude (Dentener, 2006; Galloway et al., 2004). Most TBMs that include nitrogen deposition as an input driver do so by linearly interpolating Dentener's three-year maps into annual time series data, ignoring the different changing trends among different regions and different periods (Jain et al., 2009; Zaehle et al., 2010).

To address the above issue, we used a different approach as described in Tian et al. (2010) and Lu et al. (2012) to create a time-varying annual nitrogen deposition data set for both global (0.5° × 0.5° resolution) and North American (0.25° × 0.25° resolution) simulations based on Dentener's maps and introduce spatial and temporal variations from nitrogen emissions. This approach took the following assumptions (details can be found in Supplement 3). For the time period between 1890 and 1990, annual variations in nitrogen deposition rate (NH<sub>x</sub>-N and NO<sub>y</sub>-N) were defined by assuming that temporal trends of N-deposition are consistent with EDGAR-HYDE 1.3 nitrogen emission data (Van Aardenne et al., 2001). The EDGAR-HYDE product provides gridded (1° × 1° resolution) annual total emissions of NH<sub>3</sub> and NO<sub>x</sub> from 10 anthropogenic sources. Nitrogen deposition was assumed to change linearly over the remaining time periods (1860–1890 and 1990–2010).

### 3.5 Land use and land cover change

LULCC has considerable influence on the biogeochemical cycling of carbon (e.g., Friedlingstein et al., 2010; Pielke Sr. et al., 2011; Sohl et al., 2012). Activities such as afforestation (Potter et al., 2007) or deforestation (Ramankutty et al., 2007) can alter carbon stocks. Similarly, biomass burning used in land clearing results in direct carbon emissions (Giglio et al., 2010). Despite its importance in carbon cycle dynamics, LULCC-caused CO<sub>2</sub> emissions are poorly constrained and highly uncertain with a global mean (2000–2009) value of 1.0 ± 0.5 Pg C year<sup>-1</sup> (Le Quéré, 2013).

Many global data products describing historical LULCC are available (e.g., Hurtt et al., 2011; Klein Goldewijk et al., 2011). In an effort to hold as many of the environmental drivers constant as possible in the MsTMIP activity, we chose to prescribe LULCC by merging a static satellite-based land cover product, SYNergetic land cover MAP (SYNMAP) (Jung et al., 2006), with the time-varying land use harmonization (LUH) data for the fifth Assessment Report (AR5) of the Intergovernmental Panel on Climate Change (IPCC) (Hurtt et al., 2011). We chose the LUH product based on its global coverage, inclusion of land use change fractions (required for a subset of participating models), overlap with the time horizon of MsTMIP simulations, and use in the IPCC process. The LUH product was derived using a bookkeeping approach based on historical time series of crop and pasture data, national wood harvest, shifting cultivation, and population (Hurtt et al., 2011). LUH product provides mapped

fractional coverages and underlying annual land use transitions for six land use classes (primary land, secondary land, cropland, pasture, urban, and barren) at  $0.5^\circ \times 0.5^\circ$  spatial resolution. The historical LUH data (1801–2005) were combined with a future projection (2006–2010) to match the time horizon of MsTMIP model simulations (1801–2010). This future projection was based on the Representative Concentration Pathway (RCP) (van Vuuren et al., 2011) 4.5 scenario, which hypothesizes a net radiative forcing of  $4.5 \text{ W m}^{-2}$  ( $\sim 650 \text{ ppm CO}_2 \text{ eq}$ ) by the end of the century based on a set of greenhouse gas emissions and concentrations as well as land use trajectories.

As TBMs require a different land use/cover scheme than the six classes associated with the LUH, we merged the 1801–2010 LUH with the static 2000/2001 SYNMAP land cover product (Jung et al., 2006). Although numerous land cover products exist, we chose SYNMAP due to its (1) reconciliation of multiple global land cover products, i.e., Global Land Cover Characterization Database (GLCC) (Hansen et al., 2000; Loveland et al., 2000), GLC2000 (2003) and the 2001 MODIS land cover product (Friedl et al., 2002); (2) global coverage at 1 km resolution; and (3) general definition of classes based on life form, leaf type and leaf longevity which allowed for simple crosswalks to plant functional types (PFTs) used in different TBMs. Generality was a key concern as PFT schemes used in TBMs vary widely. The SYNMAP product contains 47 land cover classes such that a PFT scheme for a given TBM is a subset of SYNMAP classes based on a crosswalk between the two different schemes.

To provide annual maps of LULCC, LUH and SYNMAP were merged using a set of one-to-one and one-to-many mapping rules based on map intersection during their period of overlap, i.e., both products exist for 2000–2001. These invariant grid cell-specific mappings were then used to translate the six LUH classes to the 47 SYNMAP classes (Jung et al., 2006) for each annual LUH map. For example, assume a grid cell with LUH pasture at a fractional coverage of 0.5 for 2000–2001, in that same grid cell the SYNMAP product has only two eligible target classes: the shrubs and the grasses classes with fractional coverages of 0.2 and 0.4, respectively. This map intersection forms the basis of a one-to-many mapping, i.e., 0.5 LUH pasture is equivalent to 0.17 SYNMAP shrubs plus 0.33 SYNMAP grasslands, which preserve the original shrubs/grasslands ratio in SYNMAP for that grid cell. This scalable mapping rule is used for all other time steps for this grid cell and reflects the legacy of grid cell-specific changes in land use/cover through time.

Few models use these 47 SYNMAP classes directly in their simulations. For example, the Simple Biosphere (SiB) model uses 12 biome classes (Sellers et al., 1996b). In such instances, model teams developed crosswalks from the 47 SYNMAP classification scheme to their internal schemes. Given that many SYNMAP classes are mixed classes, e.g., “shrubs and crops” and “trees and crops”, which cannot be accommodated by some models, we created maps of pure

biome classes by assuming each component in a mixed class was half the total area. Finally, as several models require information on the photosynthetic pathway in grasslands as well as crop types, we also provided invariant maps for C3 / C4 grass types (Sect. 3.6) and major crops (Sect. 3.7).

### 3.6 C3 and C4 grass fractions

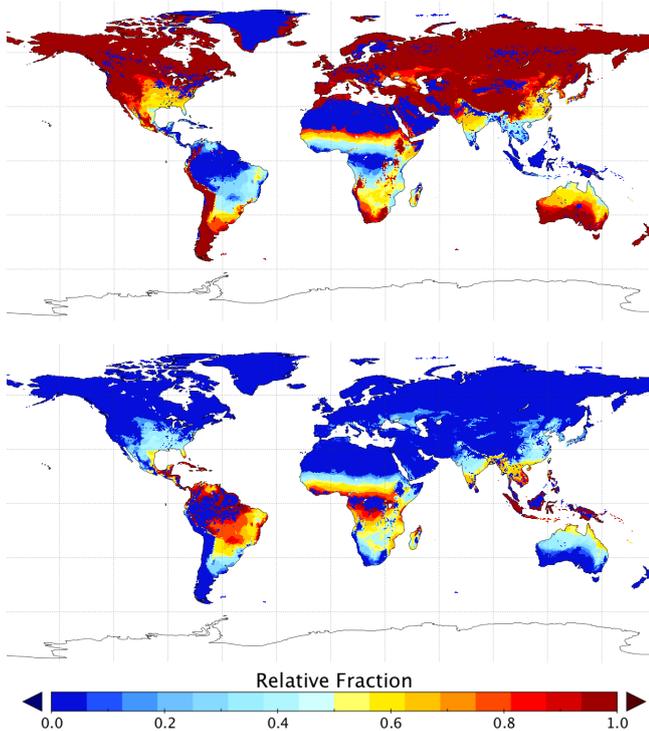
Because photosynthesis can vary significantly between species using the C3 and C4 photosynthetic pathways (Ehleringer and Cerling, 2002), most TBMs use separate algorithms for estimating the GPP of C3 and C4 plant types. In order to provide the required spatial distribution of ecosystems dominating each of these pathways, we used an approach described in Still et al. (2003) based on growing season temperature. Since the C4 pathway is largely found in warm season grass species, we created a global gridded ( $0.5^\circ \times 0.5^\circ$ ) map of the relative fraction of C3 and C4 grasses using the present climate state based on the CRU–NCEP mean monthly precipitation and temperature data for 2000–2010. For grid cells characterized as grasslands (or containing grasslands) the relative fraction map defines the fraction of those grasses that are C3 or C4, so that in each of those grid cells the C3 and C4 grass fractions sum to 1 regardless of the total percentage of grassland contained in the grid cell.

SYNMAP contains 13 land cover classes that include grasses, with 12 of these mixtures of grasses with trees, shrubs, crops or barren land. For the mixed classes, we assumed that grasses account for 50 % of the area of these mixed classes contained in each cell. The SYNMAP grass fraction in each cell was calculated as the sum of the grass fraction of all different classes, including both pure and mixed classes, in the cell. Figure 6 shows the relative fraction of C3 (top) and C4 (bottom) grassland globally ( $0.5^\circ$ ) under present (2000–2010) climate conditions. The actual C3 and C4 grassland fractions depend on the overall grass coverage and can be zero if no grass is present in a particular grid cell.

The North American ( $0.25^\circ \times 0.25^\circ$ ) C3 and C4 relative grassland fraction maps were created using the same approach, except that the NARR climate was used instead of CRU–NCEP. MsTMIP only provides a constant C3 / C4 data product under present climate conditions. For models that need time-varying C3 / C4 grass fractions, the same approach can be applied to historical land cover data and historical precipitation/temperature climate data to generate C3 / C4 grassland maps for previous years.

### 3.7 Major crops

The SYNMAP land cover map indicates which areas are predominantly crop but does not provide additional information about the crop types contained within each grid cell. This can be important when, for example, a C4 crop like maize dominates a grid that would normally be covered by C3 veg-



**Figure 6.** Relative fractions of C3 (top) and C4 (bottom) grassland on global  $0.5^\circ$  scale under the present climate (2000–2010).

etation, and vice-versa. Some models make use of such additional information to implement crop specific algorithms that capture some aspects of crop physiology and management including planting and harvesting phenology, fertilizer applications, irrigation or tillage practices. We therefore identified and extracted the four globally significant crop types (maize, rice, soybean and wheat) from the Monfreda et al. (2008) global crop database. The original Monfreda global crop product is a detailed database of global agricultural practices and describes the areas and yields of 175 different individual crops in 2000 at a  $5 \text{ min} \times 5 \text{ min}$  (approximately  $10 \text{ km} \times 10 \text{ km}$ ) spatial resolution. We resampled the original Monfreda crop data to  $0.5^\circ \times 0.5^\circ$  (global) and  $0.25^\circ \times 0.25^\circ$  (North American) spatial resolutions. These major crop designations do not provide detailed model simulation prescription, but rather guidance for models that need to specify crop types or cropping systems.

### 3.8 Phenology

Some models do not have prognostic canopies and use remote-sensing products to prescribe plant phenology to calculate GPP or NPP. Consequently, we constructed monthly maps of normalized difference vegetation index (NDVI), leaf area index (LAI) and absorbed fraction of photosynthetically active Radiation (fPAR) consistent with the MsTMIP LULCC data on both global and North American grids for

1801–2010. For NDVI data, we chose the Global Inventory Monitoring and Modeling System version g (GIMMSg) data set (Tucker et al., 2005), because it provides the longest global observation-based product. The Postdam NPP MIP also used the GIMMS product to define NDVI; however, their protocol did not mandate consistent driver data across all its participating models (Cramer et al., 1999). GIMMSg consists of 15-day maximum value composites at about 8 km spatial resolution from 1982 to 2010 adjusted for missing data, satellite orbit drift, sensor degradation and volcanic aerosols (Tucker et al., 2005). We used the average seasonal cycle in NDVI for the entire time period from 1801 to 2010, since switching to observed values in 1982 would create abrupt changes in model output that would be difficult to interpret. The 15-day GIMMSg NDVI was first regridded to  $0.5^\circ \times 0.5^\circ$  (global) and  $0.25^\circ \times 0.25^\circ$  (North American) resolutions using area-weighted averaging. The NDVI data were fitted to the MsTMIP land masks using the nearest-neighbor technique to gap fill missing points. To minimize noise due to cloud and aerosol contamination, we converted the regridded 15-day GIMMSg NDVI to monthly maximum value composites and then calculated the average of all January maps, the average of all February maps, etc., to create the average NDVI seasonal cycle. We calculated fPAR and LAI from the average seasonal cycle of GIMMSg NDVI using methods described in Sellers et al. (1996b) and Schaefer et al. (2002).

To harmonize phenology data with the LULCC used in MsTMIP, we assumed that a pixel would consist of tiles, each corresponding to a different land use/cover class with fractional areas set by the MsTMIP LULCC coverage maps as a function of year from 1801 to 2010. We first calculated maps of LAI and fPAR assuming the entire land surface was one of the 12 SiB biome classes (Sellers et al., 1986) resulting in 12 sets of LAI and fPAR maps corresponding to the 12 SiB biome classes, all calculated from the same NDVI values but using different parameter values unique to each biome (Sellers et al., 1996b). We then mapped the 12 SiB biomes to the 47 SYNMAP land use/cover classes using one-to-one or one-to-many mapping, resulting in 47 sets of LAI and fPAR maps corresponding to the 47 SYNMAP classes. This two-step process was required because the parameters used to calculate LAI and fPAR are not available for each of the 47 SYNMAP types. By combining these 47 sets of LAI and fPAR maps and the yearly MsTMIP LULCC data, the time-evolving and land use/cover class explicit LAI and fPAR data products were created. If a grid cell did not contain a particular SYNMAP class in a specific year, a standard missing value was inserted into the corresponding LAI and fPAR maps. A model would then extract the LAI and fPAR values for a particular SYNMAP class in each year and use it for the corresponding tile.

### 3.9 Soil

The Food and Agriculture Organization – United Nations Educational, Science and Cultural Organization (FAO-UNESCO) digitized soil map of the world (FAO, 1971–1981, 1995, 2003), originally published in 1974, is commonly used in terrestrial biosphere modeling. Recently, however, significant improvements in soil mapping and databases of soil properties have led to a new generation of regional and global scale soil maps, such as the International Soil Reference and Information Centre (ISRIC) World Inventory of Soil Emission Potentials (ISRIC-WISE) (Batjes, 2008) and the harmonized world soil database (HWSD) (FAO/IIASA/ISRIC/ISS-CAS/JRC, 2011). This new generation of soil products have increased details in the spatial distribution of soil types and more accurate characterizations of soil physical and chemical properties.

For MsTMIP, we selected and synthesized the HWSD v1.1 for global simulations because it was the most recent global soil database that incorporates updated soil data from Europe, Africa, and China. However, in both the ISRIC-WISE and HWSD databases, soil information for North America is based on an outdated FAO-UNESCO soil map from the 1970s. Thus, even in the most updated global soil databases, North America is less reliable than the other regions due to the use of an obsolete database (Batjes, 2005; FAO/IIASA/ISRIC/ISS-CAS/JRC, 2011). We therefore developed the Unified North American Soil Map (UNASM) by fusing the United States Department of Agriculture Natural Resources Conservation Services (USDA-NRCS) State Soil Geographic (STATSGO2) data set with both the soil landscapes of Canada (SLC) version 3.2 and 2.2 products, and the HWSD v1.1 (Liu et al., 2013).

Both data prepared for MsTMIP, the gridded  $0.5^\circ$  HWSD for global simulations and  $0.25^\circ$  UNASM for North American simulations, contain two standardized soil layers. The topsoil layer ranges from 0 to 30 cm and the subsoil layer ranges from 30 to 100 cm. For each soil layer, eight physical and chemical soil properties, including clay/sand/silt fractions, pH, organic carbon, cation exchange capacity, reference bulk density and gravel content, were compiled (Table 1). These variables are used by TBMs to calculate soil column hydrological characteristics that determine the dynamics of available soil water for plant transpiration and soil evaporation. Organic carbon content is provided for models that make use of an estimate for initialization.

#### 3.9.1 Global soil: gridded HWSD

The HWSD had been widely used as input for global-scale carbon cycle modeling and MIP activities (e.g., ISI-MIP; Warszawski et al., 2013), and therefore was used to define MsTMIP global soil data. The original HWSD is a 30 arcsec raster database with over 16 000 different soil mapping units that combines existing regional and national updates of the

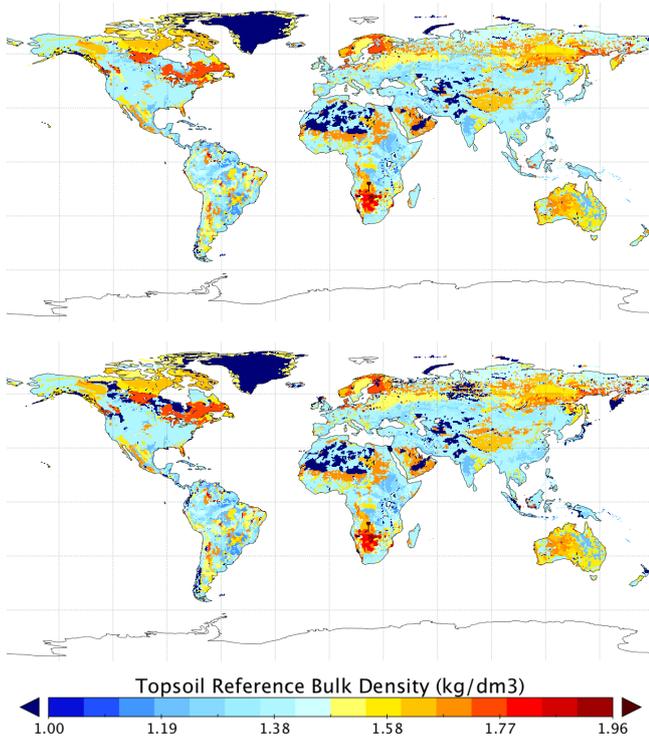
soil information worldwide, including the Soil and Terrain database (SOTER), European Soil Database (ESD), Soil Map of China, and WISE, with the information contained within the 1 : 5 000 000 scale FAO-UNESCO soil map of the world (FAO/IIASA/ISRIC/ISSCAS/JRC, 2011).

Each soil mapping unit in the HWSD is composed of several different soil units (or soil types) defined by major soil group code following a combined FAO-74/FAO-85/FAO-90 soil classification system. For the global simulations, the original HWSD was regridded to a spatial resolution of  $0.5^\circ \times 0.5^\circ$  by selecting the dominant soil type within each grid cell. Eight physical and chemical soil properties associated with the dominant soil type in each soil layer were then selected. In addition to physical and chemical soil properties for each dominant soil type, we also provided modelers with the HWSD reference soil depth, as a proxy for mineral soil depth, even though this reference soil depth is not precise.

The reference bulk density values provided in HWSD v1.1 were calculated following the method developed by Saxton et al. (1986) that relates bulk density to soil texture. This method, although generally reliable, tends to overestimate the bulk density in soils that have a high porosity (e.g., Andosols) or that are high in organic matter content (e.g., Histosols). Therefore, the bulk density values of these two soil types were corrected using the corresponding depth-weighted average values from ISRIC-WISE, version 1.0. Figure 7 shows the globally gridded HWSD topsoil reference bulk density before and after correction. The correction mainly impacts the North American boreal region and a few places of southeastern Asia where Andosols and Histosols dominate.

#### 3.9.2 North American soil: Unified North American Soil Map (UNASM)

A new gridded database of harmonized soil physical and chemical properties for North America was created for MsTMIP by fusing the most recent regional soil information from US STATSGO2, SLC version 3.2 and 2.2, and the HWSD v1.1. The fused database was then harmonized into two standardized soil layers as for the HWSD. The top soil layer ranges from 0 to 30 cm and the sub-soil layer ranges from 30 to 100 cm. The comparison with the subset of HWSD demonstrates the pronounced difference in the spatial distributions of soil properties and soil organic carbon mass between the UNASM and HWSD, but overall the UNASM provides more accurate and detailed information particularly in Alaska and central Canada. The methods used to develop the UNASM and the comparisons with HWSD are described in detail in Liu et al. (2013).



**Figure 7.** HWSD topsoil reference bulk density before (top) and after (bottom) correction at  $0.5^\circ$  resolution.

#### 4 Spin-up data package

A consistent spin-up data package shared among models eliminates any differences in prediction due to spin-up data choices. We created the spin-up data package using the standardized environmental driver data sets described above. MsTMIP requires that all simulations assume steady-state initial conditions in 1801. The spin-up driver data package contains a 100-year time series for each required environmental driver data product (Table 2) that can be recycled until steady state is reached. For climatology, the 100-year spin-up time series was created by randomly selecting from the first 30 (1901–1930, global) or 15 (1979–1993, North America) years of climate driver data on the yearly time step. Using the first 30 or 15 years of climate driver data ensures a smooth transition from the spin-up to transient simulations, while preserving the seasonal cycle of the meteorological variables. A 100-year period for the spin-up package was chosen to minimize any long-term trend in spin-up climate data; thus, minimizing drift in reference simulations, which use constant driver data (Huntzinger et al., 2013). Nitrogen depositions were held constant at 1860 values and atmospheric  $\text{CO}_2$  concentrations were held constant at 1801 values to represent near-pre-industrial conditions and ensure a smooth transition between spin-up and transient simulations. Similarly, LULCC and phenology data were held constant at 1801 values so that near-pre-industrial land cover characteristics and

corresponding phenology could be captured in model spin-up. Soil data was assumed to be constant across the whole spin-up period.

All transient simulations defined by MsTMIP require driver data sets covering the period of 1801–2010 (Huntzinger et al., 2013). However, several of the environmental driver data sets, including climate, nitrogen deposition, and soil, do not cover the full period. The spin-up data package was thus recycled to fill these temporal gaps. For global climate data, the spin-up data were used directly to fill the gap between 1801 and 1900. For the NARR climate (North American) data, the full 100-year time series plus the first 78 years of the North American spin-up climate data were used to fill the gap between 1801 and 1978. The nitrogen deposition data in 1860 were repeated to fill the gap between 1801 and 1859 for nitrogen deposition driver data. Finally, constant soil data were used throughout the simulation period of 1801–2010.

#### 5 Lessons learned

Some of the lessons learned in the process of data preparation and distribution for MsTMIP have implications beyond the MsTMIP project. These are described here in order to provide some guidance for future data-intensive activities, especially those that involve assembling consistent data sets for use by multiple modeling teams. Some of these lessons have previously been described in the context of other MIPs (e.g., Kittel, et al., 1995 and 2004), but continue to present challenges and should therefore be taken into account in the design of future efforts.

##### 1. Study the past

Scientific discoveries rely heavily on findings from past activities. This is especially true for data-intensive, multi-partner MIP activities like MsTMIP. Beginning with VEMAP in the 1990s, there have been several MIPs conducted that have advanced our understanding of ecosystem dynamics and supported model development. The preparation of environmental driver data sets for MsTMIP has been inspired by past/current MIPs, such as VEMAP, GCP-TRENDY and NACP interim synthesis activities. The design of the MsTMIP environmental driver data sets benefited from studying the lessons learned from these past activities and helped us to avoid pitfalls (e.g., biases in some reanalysis climate variables) or duplicate work unnecessarily (e.g., leveraging climate data prepared for GCP-TRENDY), and thus helped to reduce data preparation time.

##### 2. Resources for data planning, preparation and management

Dedicated funding and expertise are needed to develop a plan with the modeling teams and to conduct the

**Table 2.** The MsTMIP spin-up environmental driver data summary.

Category	Global	Regional (North American)
Climate	A 100-year time series with no significant trend by randomizing CRU–NCEP in 1901–1930 (30 years)	A 100-year time series with no significant trend by randomizing NARR in 1979–1993 (15 years)
Atmospheric CO <sub>2</sub> concentration	A 100-year time series by repeating atmospheric CO <sub>2</sub> concentration driver data in 1801	
Nitrogen deposition	A 100-year time series by repeating nitrogen deposition driver data in 1860	
Land cover and land cover change	A 100-year time series by repeating harmonized Hurtt-SYNMAP land cover change driver data in 1801	
Phenology	A 100-year time series by repeating phenology driver data in 1801	
Soil	Constant gridded HWSD	Constant UNASM
Land–water mask	Constant global land–water mask	Constant North American land–water mask

driver data compilation. The preparation of standardized model input driver data sets, especially for a project with many different collaborators, takes a significant amount of time and effort. Besides data processing, detailed documentation has to be compiled to capture all the processing steps and trace the origin of each data file. A long-term data management plan is needed to preserve and share the data after a project ends and maximize the value of the data products whenever they are used. Data centers should be identified for long-term data preservation, and the data center's requirements for metadata and documentation should be established at the beginning of the project.

### 3. Collaboration between informatics and science researchers

For a project like MsTMIP, informatics personnel and modeling teams need to work closely together to develop a shared set of requirements for the data products and to ensure that useful data products suitable for long-term preservation are produced. Close collaboration is required for acquisition, harmonization and organization of the scientific data products both for the project and for future use.

### 4. Proper data formats and standards

Non-proprietary and standard data and metadata formats (e.g., netCDF, comma-separated values (CSV), geotiff, CF metadata convention, or FGDC metadata standard<sup>8</sup>) should be used to maximize the interoperability of the data. Standards make data easier to understand and minimize the ambiguity and potential errors when using a given data product, especially beyond its original intended use. Standards also help with the

long-term preservation and usability of data (Hook et al., 2010). In addition, a data management effort should consider both current and future needs when choosing appropriate data and metadata formats.

### 5. Version control of data files

A controlled repository and versioning system should be used to control data files, not only for final data products to be released to modeling teams and the community but also for intermediate data to be shared between different processing steps and among project collaborators. When working with a large volume of data files with complicated data processing steps, version control is critical for ensuring that intermediate data files are self-consistent, that the provenance of data is correctly captured, and that final data products are properly distributed to data users.

### 6. Workflow systems to improve reproducibility and collaboration among team members

Data processing is an error-prone activity. Even if every processing step is performed correctly, the processing algorithms themselves usually need adjustments to create better quality data products. Requirements on final data products sometimes change unexpectedly. In practice, therefore, similar data processing activities will usually be done multiple times before data products are finalized. In MsTMIP, a workflow system (e.g., VisTrails<sup>9</sup> and Kepler<sup>10</sup>) was not used, and as a result significant dedicated time was required to properly capture and adjust the settings and executing environment associated with each processing step. If a workflow system had been used, different data processing steps could have been packaged as individual modules and chained

<sup>8</sup>Federal Geographic Data Committee geospatial metadata, <http://www.fgdc.gov/metadata>.

<sup>9</sup>VisTrails, <http://www.vistrails.org>.

<sup>10</sup>Kepler, <https://kepler-project.org>.

together as workflows, minimizing the time required to trace and reproduce processing steps (Santos et al., 2013). In addition, data reprocessing could have been automated.

#### 7. QA/QC

Quality assurance and quality control (QA/QC) is necessary not only for the final data products, but also for any intermediate data product produced. Depending on the characteristics of data products, different manual and automatic QA/QC approaches (e.g., visualization, statistics and long-term trend analysis) can be used to identify potential errors. The best way to QA/QC data products is always to collaborate with domain researchers and test data with real science applications.

#### 8. On-demand approach to distribute data

For a project such as MsTMIP that involves over 20 modeling teams, it is not possible to prepare a single set of data that meets the requirements of all models. TBMs have different native temporal resolutions, for example, and modelers may therefore need to regrid data. Similarly, if the products are used for future applications (outside the projects for which they were created), they may need to be subset to a smaller geographic region, rescaled to a different spatial resolution, or translated to a different geographic projection. On-demand data distribution systems, like the Thematic Realtime Environmental Distributed Data Services<sup>11</sup> (THREDDS) data server and Open Geospatial Consortium (OGC) Web Coverage Services (WCS), can perform spatial and temporal subsetting, as well as resampling, and can therefore help address the diverse needs of different research activities (Wei et al., 2009).

#### 9. “Better is the enemy of good enough”

There is constant pressure to create the best data sets possible, but this must be balanced against the overall priority of completing the simulations. If too much time is spent improving the driver data, the time available for model simulations and the evaluation of modeling results is compromised. Therefore, in order to maintain momentum, there comes a time when a decision has to be made to freeze data improvement activities and release a specific version of data products to modeling teams.

## 6 Conclusions

This paper presents the reasoning for, and a description of, driver data and spin-up procedures used in the setup of

<sup>11</sup>Thematic Realtime Environmental Distributed Data Services (THREDDS), <http://www.unidata.ucar.edu/software/thredds/current/tds/>

the global and North American simulations that are part of the MsTMIP activity. These data sets include climate, atmospheric CO<sub>2</sub> concentration, nitrogen deposition, LULCC, C3 / C4 grasses fraction, major crop, phenology, soil data and land–water mask information. In many cases, we found it necessary to develop new or enhanced data sets to serve the needs of long-term, high-resolution TBM simulations. In addition, the need for the data sets to be compatible with over 20 participating TBMs resulted in strict requirements for all data sets considered. These standardized drivers are designed to provide consistent inputs for models participating MsTMIP to minimize the inter-model variability caused by differences in environmental drivers and initial conditions. Thus, these consistent driver inputs, together with the sensitivity simulations defined by MsTMIP, enable better interpretation and quantification of structural and parameter uncertainties of model estimates.

In addition to serving the needs of the MsTMIP activity, this work is intended to serve the needs of researchers wishing to leverage the data products produced by MsTMIP for follow-on studies or related applications. Finally, we offer our experience with MsTMIP as a case study in the development of data sets for collaborative scientific use. The lessons learned from the work reported here, including the need for dedicated support for data development and sharing, for iterative product development, and for the generation of easily accessible and traceable products, among others, are thus broadly applicable. As such, we aim for this work to inform future efforts focused on assembling consistent data sets for use by multiple modeling teams.

All standardized model input driver data sets are archived in the ORNL DAAC to provide long-term data management, preservation, and distribution to the community (Wei et al., 2014).

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