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# Supplement of

# SuperflexPy 1.3.0: an open-source Python framework for building, testing, and improving conceptual hydrological models

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# **SuperflexPy**

Version 1.3.0

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# **NOTE**

This PDF is a snapshot of the documentation created on the date reported on the cover page. The sole purpose of this document is to become the supplement of the paper submitted to Geoscientific Model Development.

The current (most up-to-date) version of the documentation can be found at the address superflexpy.readthedocs.io. From the website, it is also possible to generate a PDF snapshot of the documentation. At the moment, this can be done accessing the menu on the bottom left of the webpage.

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#### SUPERFLEXPY

SuperflexPy is an open-source Python framework for constructing conceptual hydrological models for lumped and semi-distributed applications.

SuperflexPy builds on our 10 year experience with the development and application of Superflex, including collaborations with colleagues at the Eawag (Switzerland), TU-Delft (Netherlands), LIST (Luxembourg), University of Adelaide (Australia), and others. The SuperflexPy framework offers a brand new implementation of Superflex, allowing the modeler to build fully customized, spatially-distributed hydrological models.

Thanks to its object-oriented architecture, SuperflexPy can be easily extended to meet your modelling requirements, including the creation of new components with customized internal structure, in just a few lines of Python code.

Constructing a hydrological model is straightforward with SuperflexPy:

- inputs and outputs are handled directly by the modeler using common Python libraries (e.g. Numpy or Pandas). The modeller can use hence data files of their own design, without the need to pre- and/or post- process data into text formats prescribed by the framework itself;
- the framework components are declared and initialized through a Python script;
- the framework components are implemented as classes with built-in functionalities for handling parameters and states, routing fluxes, and solving the model equations (e.g. describing reservoirs, lag functions, etc.);
- the numerical implementation is separated from the conceptual model, allowing the use of different numerical methods for solving the model equations;
- the framework can be run at multiple levels of complexity, from a single-bucket model to a model that represents an entire river network;
- the framework is available as an open source Python package from Github;
- the framework can be easily interfaced with other Python modules for calibration and uncertainty analysis.

#### **Team**

SuperflexPy is actively developed by researchers in the Hydrological Modelling Group at Eawag, with the support of external collaborators.

The core team consists of:

- Marco Dal Molin (implementation and design)
- Dr. Fabrizio Fenicia (design and supervision)
- Prof. Dmitri Kavetski (design and supervision)

# Stay in touch

If you wish to receive e-mails about future SuperflexPy developments, please subscribe to our mailing list clicking here.

**Note:** Using SuperflexPy requires a general knowledge of Python and Numpy. Other Python libraries may be needed for pre- and post- processing of the data.

In line with the Python terminology, we will use the word **define** when referring to the definition of a class, and **initialize** when referring to the creation of an instance of a class, i.e. an object.

2 CONTENTS

**CHAPTER** 

**ONE** 

# **INSTALLATION**

SuperflexPy is implemented using Python 3 (version 3.7.3). It is not compatible with Python 2.

SuperflexPy is available as a Python package at PyPI repository

The simplest way to install SuperflexPy is to use the package installer for Python (pip). Open the operating system command prompt and run the command

```
pip install superflexpy
```

To upgrade to a newer SuperflexPy version (when available), run the following command

```
pip install --upgrade superflexpy
```

# 1.1 Dependencies

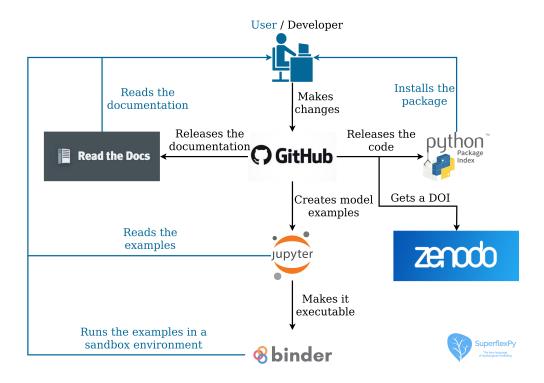
SuperflexPy requires the following Python packages

- Numpy
- Numba

All dependencies are available through pip and will be installed automatically when installing SuperflexPy.

Note that Numba is required only if the modeler wishes to use the Numba optimized implementation of the numerical solvers. GPU acceleration (CUDA) is currently not supported but will be explored in future versions.

### SOFTWARE ORGANIZATION AND CONTRIBUTION



The SuperflexPy framework comprises the following components:

- **Source code**: Latest version of all the code necessary to use the framework. The source code would normally be accessed only by advanced users, e.g. to understand the internal organization of the framework, to install manually the latest version, to extend the framework with new functionality, etc.
- Packaged release: Latest stable version of the framework available for users.
- **Documentation**: Detailed explanation of the framework.
- Examples: Introduction to SuperflexPy for a new user, providing working models and demonstrating potential applications.
- Scientific references: Publications that present and/or use the framework in scientific contexts.

The source code, documentation, and examples are part of the official repository of SuperflexPy hosted on GitHub. A user who wishes to read the source code and/or modify any aspect of SuperflexPy (source code, documentation, and examples) can do it using GitHub.

New releases of the software are available from the official Python Package Index (PyPI), where SuperflexPy has a dedicated page.

The documentation builds automatically from the source folder on GitHub and is published online in Read the Docs.

Examples are available on GitHub as Jupyter notebooks. These examples can be visualized statically or run in a sandbox environment (Binder). Refer to *Examples* for a list of the available examples.

The scientific publication introducing SuperflexPy has been submitted to *Geoscientific Model Development* and is currently under review. The draft is available here.

# 2.1 Contributions

Contributions to the framework can be made in the following ways:

- Submit issues on bugs, desired features, etc;
- · Solve open issues;
- Extend the documentation with new demos and examples;
- Extend and/or modify the framework;
- Use and cite the framework in your publications.

Code contribution by external users will be mainly additive (i.e., adding new components, as illustrated in *Expand SuperflexPy: Build customized elements* and *Expand SuperflexPy: Build customized components*) and should include also appropriate testing (*Automated testing*).

Contributors will maintain authorship of the contributed code and are invited to include, in all files, their contact information to facilitate future collaboration. The authors and maintainers of SuperflexPy will undertake a basic inspection of the contributed code to identify any quality issues.

The typical workflow that should be followed when contributing to a GitHub project is described here.

In summary, the following steps should be followed:

- 1. Fork the SuperflexPy repository to the user GitHub account;
- 2. Clone the fork on the user computer;
- 3. Modify the code, commit the changes, and push them to the GitHub fork of SuperflexPy;
- 4. Make a pull request on GitHub to the SuperflexPy repository.

# 2.1.1 Branching scheme of the GitHub repository

Updates to SuperflexPy are made directly in the branch master, which is the most up-to-date branch. The branch release is used only for the staging of new software releases and, therefore, code should not be pushed directly to it.

When a code update is merged from master to release, a new version of the package is automatically released on PyPI. Remember to update the version number in the setup.py file to avoid conflicts.

Developers are free to create new branches, but pull requests must be directed to master and not to release.

Documentation and examples are generated from the master branch.

#### PRINCIPLES OF SUPERFLEXPY

Hydrological models are widely used in environmental science and engineering for process understanding and prediction.

Models can differ depending on how the processes are represented (conceptual vs. physical based models), and how the physical domain is discretized (from simple lumped configurations to detailed fully-distributed models).

At the catchment scale, conceptual models are the most widely used class of models, due to their ability to capture hydrological dynamics in a parsimonious and computationally fast way.

# 3.1 Conceptual models

Conceptual models describe hydrological dynamics directly at the scale of interest. For example, in catchment-scale applications, they are based on relationships between catchment storage and outflow. Such models are usually relatively simple and cheap to run; their simplicity allows extensive explorations of many different process representations, uncertainty quantification using Monte Carlo methods, and so forth.

Many conceptual models have been proposed over the last 40 years. These models have in common that they are composed by general elements such as reservoirs, lag functions, and connections. That said, existing models do differ from each other in a multitude of major and minor aspects, which complicates model comparison and selection.

Model differences may appear on several levels:

- conceptualization: different models may represent a different set of hydrological processes;
- mathematical model: the same process (e.g. a flux) may be represented by different equations;
- numerical model: the same equation may be solved using different numerical techniques.

Several flexible modeling frameworks have been proposed in the last decade to facilitate the implementation and comparison of the diverse set of hydrological models.

# 3.2 Flexible modelling frameworks

A flexible modeling framework can be seen as a language for building conceptual hydrological models, which allows to build a (potentially complex) model from simpler low-level components.

The main objective of a flexible modeling framework is to facilitate the process of model building and comparison, giving modelers the possibility to adjust the model structure to help achieve their application objectives.

Although several flexible modeling frameworks have been proposed in the last decade, there are still some notable challenges. For example:

• implementation constraints can limit the originally envisaged flexibility of the framework;

- the choice of numerical model can be fixed;
- the spatial organization can be limited to lumped configurations;
- the ease of use can be limited by a complex software design.

These challenges can impact on usability, practicality and performance, and ultimately limit the types of modeling problems that can be tackled. The SuperflexPy framework is designed to address many of these challenges, providing a framework suitable for a wide range of research and operational applications.

# 3.3 Spatial organization

Hydrologists are increasingly interested in modeling large catchments where spatial heterogeneity becomes important. The following categories of spatial model organization can be distinguished:

- lumped configuration, where the entire physical domain is considered uniform;
- semi-distributed configuration, where the physical domain is subdivided into (usually coarse) areal fractions that are assumed to have the same hydrological response and operate in parallel (usually without connectivity between them);
- **fully-distributed configuration**, where the physical domain is subdivided into a (usually fine) grid. This configuration typically includes flux exchanges between neighboring grid cells.

The lumped approach yields the simplest models, with a low number of parameters and often sufficiently good predictions. However, the obvious limitation is that if the catchment properties vary substantially in space, the lumped model will not capture these variations. Nor can a lumped model produce spatially distributed streamflow predictions.

The fully-distributed approach typically yields models with a large number of parameters and high computational demands, usually related to the resolution of the grid that is used.

The semi-distributed approach is intermediate between the other two approaches in terms of spatial complexity and number of parameters. A typical example is the discretisation of the catchment into Hydrological Response Units (HRUs), defined as catchment areas assumed to behave in a hydrologically "similar" way. The definition of HRUs represents a modelling choice and depends on the process understanding available in the catchment of interest.

# 3.4 SuperflexPy

SuperflexPy is a new flexible framework for building hydrological models. It is designed to accommodate models with a wide range of structural complexity, and to support spatial configurations ranging from lumped to distributed. The design of SuperflexPy is informed by the extensive experience of its authors and their colleagues in developing and applying conceptual hydrological models.

In order to balance flexibility and ease of use, SuperflexPy is organized in four different levels, which correspond to different degrees of spatial complexity:

- 1. elements;
- 2. units;
- 3. nodes;
- 4. network.

The first level is represented by "elements", which comprise reservoirs, lag functions, and connections. Elements can represent entire models or individual model components, and are intended to represent specific processes within the hydrological cycle (e.g. soil dynamics).

The second level is represented by "units", which connect together multiple elements. This level can be used to build lumped models or to represent HRUs within a spatially distributed model.

The third level is represented by "nodes", where each node contains several units that operate in parallel. Nodes can be used to distinguish the behavior of distinct units within a catchment, e.g., when building a (semi)-distributed model where the units are used to represent HRUs (defined according to soil, vegetation, topography, etc).

The fourth level is represented by the "network", which connects multiple nodes and routes the fluxes from upstream to downstream nodes. This level enables the representation of large watersheds and river networks that comprise several subcatchments with substantial flow routing delays. A SuperflexPy model configuration can contain only a single network.

Technical details on these components are provided in the *Organization of SuperflexPy* page.

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### ORGANIZATION OF SUPERFLEXPY

SuperflexPy is designed to operate at multiple levels of complexity, from a single reservoir to a complex river network.

All SuperflexPy components, namely elements, units, nodes, network, are designed to operate alone or as part of other components. For this reason, all components have methods that enable the execution of basic functionality (e.g. parameter handling) at all levels. For example, consider a unit that contains multiple elements. The unit will then provide the functionality for setting the parameter values for its elements.

Note that, programmatically, SuperflexPy component types are classes, and the actual model components are then class instances (objects).

We will first describe each component type in specific detail, and then highlight some *Generalities* that apply to all components.

## 4.1 Elements

Elements represent the basic level of the SuperflexPy. Conceptually, SuperflexPy uses the following elements: reservoirs, lag functions, and connections. Elements can be used to represent a complete model structure, or combined together to form one or more *Unit*.

Depending on their type, conceptual elements can have parameters and/or states, can handle multiple fluxes as inputs and/or as outputs, can be designed to operate with one or more elements upstream or downstream, can be controlled by differential equations or by a convolution operations, etc.

Programmatically, the conceptual elements can be implemented by extending the following classes:

- BaseElement: for elements without states and parameters (e.g., junctions);
- StateElement: for elements with states but without parameters;
- ParameterizedElement: for elements with parameters but without states (e.g., junctions);
- StateParameterizedElement: for elements with states and parameters (e.g., reservoirs and lag functions).

For example, consider the conceptual element "junction", which sums the fluxes coming from multiple elements upstream without needing states or parameters. This element can be built by extending the class BaseElement to implement the method that sums the fluxes.

To facilitate usage, SuperflexPy provides a set of "pre-packaged" classes that already implement already most of the functionality needed to specify reservoirs, lag functions, and connections. The next sections focus on these classes.

#### 4.1.1 Reservoirs

A reservoir is a storage element described by the differential equation (or, more generally, a system of differential equations)

$$\frac{d\mathbf{S}}{dt} = \mathbf{I}(\theta, t) - \mathbf{O}(\mathbf{S}, \theta, t)$$

where S represents the internal states of the reservoir, I represents the sum of all input fluxes, O represents the sum of all output fluxes, and  $\theta$  represents the parameters that control the behavior of the reservoir. In most conceptual models, reservoir elements have a single state variable (representing water storage), however multiple state variables can be accommodated when necessary (e.g., to represent transport).

SuperflexPy provides the class ODEsElement that contains all the logic needed to represent an element controlled by a differential equation. The user needs only to specify the equations defining input and output fluxes.

The differential equation is solved numerically, though analytical solutions could be possible. The choice of solution method (e.g. the implicit Euler scheme) is made by the user when initializing the reservoir element.

SuperflexPy provides several "numerical approximators" to solve decoupled ODEs, including the implicit and the explicit Euler schemes. The user can either employ the numerical routines provided by the framework, or implement the interface necessary to use an external solver (e.g. from scipy), which may be needed when the numerical problem becomes more complex (e.g. coupled differential equations). For more information about the numerical solver refer to the page *Numerical implementation*.

#### 4.1.2 Lag functions

A lag function is an element that applies a delay to the incoming fluxes. In mathematical terms, the lag function represents a convolution of the incoming fluxes with a weight function. Here, the convolution is implemented by distributing the fluxes at a given time step into the subsequent time steps, according to a weight array. The same procedure is then repeated over multiple time steps, adding together the contributions originating from the preceding time steps.

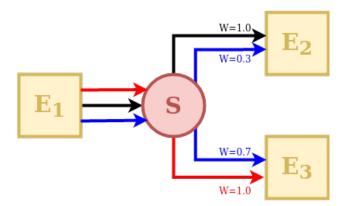
SuperflexPy provides the class LagElement that implements all the methods needed to represent a lag function. The user only needs to define the weight array.

#### 4.1.3 Connections

Connection elements are used to link together multiple elements when building a unit.

SuperflexPy provides several types of connection elements. For example, a Splitter is used to split the output flux from a single upstream element and distribute the respective portions to multiple downstream elements. Conversely, a Junction is used to collect the output fluxes from multiple upstream elements and feed them into a single downstream element. Connection elements are designed to operate with an arbitrarily number of fluxes and upstream/downstream elements.

#### **Splitter**



A Splitter is an element that receives the outputs of a single upstream element and distributes them to several downstream elements.

The behavior of a splitter in SuperflexPy is controlled by two matrices: "direction" and "weight". The direction matrix specifies *which* input fluxes contribute (even fractionally) to the downstream elements and in which order. The weight matrix defines the *proportion* of each of the input fluxes that goes into each the downstream element.

In the illustration schematic, element S receives 3 input fluxes, which are coloured and indexed according to their order: red (index 0), black (index 1), and blue (index 2). Element E2 receives the black flux as its first input (index 0), the blue flux as its second input (index 1), and does not receive any portion of the third flux. Element E3 receives the blue flux as its first input (index 0), the red flux as its second input (index 1), and does not receive any portion of the black flux.

This information is represented by the direction matrix  $\mathbf{D}$  as follows:

$$\mathbf{D} = \begin{pmatrix} 1 & 2 & \text{None} \\ 2 & 0 & \text{None} \end{pmatrix}$$

The direction matrix is a 2D matrix with as many columns as the number of fluxes and as many rows as the number of downstream elements. The row index refers to a downstream element (in this case the first row refers to element E2, and the second row to element E3). The column index refers to the input fluxes received by to the downstream element. Note that care must be taken when indexing the elements and fluxes to correctly reflect the intended model structure.

The values of **D** can be an integer referring to the index of the input flux to the splitter S, or None if an input flux to the splitter S does not reach a downstream element.

As such, the direction matrix can be used to select the fluxes and change the order in which they are transmitted to downstream elements.

Next, we consider the weight matrix W, which describes the fraction of each flux directed to each downstream element. The red flux is taken entirely by element E3, the black flux is taken entirely by element E2, and the blue flux is split at 30% to E2 and 70% to E3. This information is represented as follows:

$$\mathbf{W} = \begin{pmatrix} 0 & 1.0 & 0.3 \\ 1.0 & 0 & 0.7 \end{pmatrix}$$

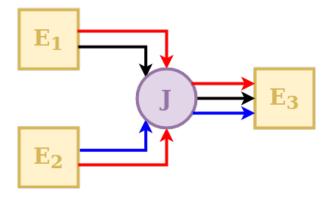
The weight matrix has the same shape as the direction matrix. The row index refers to the downstream element, in the same order as in the direction matrix **D**, whereas the column index refers to the input flux to the splitter **S**.

The elements of W represent the fraction of each input flux received by the splitter S and directed to the downstream element. In the example, the first downstream element (first row of the matrix W) receives 0% of the first (red) flux, 100% of the second (black) flux, and 30% of the third (blue) flux.

Note that the columns of the weight matrix should sum up to 1 to ensure conservation of mass.

4.1. Elements

#### **Junction**



A Junction is an element that receives the outputs of several upstream elements and directs them into a single downstream element.

The behavior of a junction in SuperflexPy is controlled by a direction matrix, which defines how the incoming fluxes are to be combined (summed) to feed the downstream element.

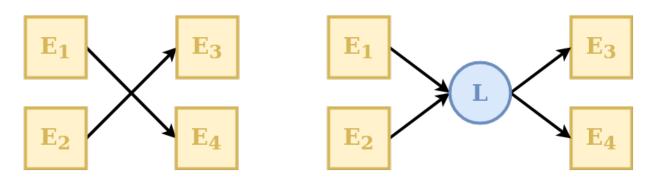
In the schematic, element E3 receives 3 input fluxes, which are indexed based on their order: red (index 0), black (index 1), and blue (index 2). The red flux comes from both upstream elements (index 0 and 1, respectively); the black flux comes only from element E1 (index 1); the blue flux comes only from element E2 (index 2). This information is represented by the direction matrix  $\mathbf{D}$  as follows:

$$\mathbf{D} = \begin{pmatrix} 0 & 1\\ 1 & \text{None}\\ \text{None} & 0 \end{pmatrix}$$

The direction matrix is a 2D matrix that has as many rows as the number of fluxes and as many columns as number of upstream elements. The row index refers to the flux (in this case the first row refers to the red flux, the second row to the black flux, and the third row to the blue flux). The column index refers to the upstream element input flux to the junction (in this case the first column refers to element E1, the second column to element E2).

The value of the matrix element can be an integer referring to the index of the input flux to junction J coming from the specific upstream element, or None if an input flux to junction J does not come from the upstream element.

#### Linker

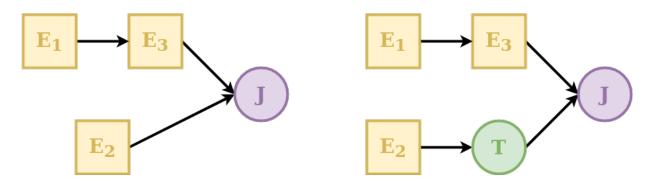


A Linker is an element that can be used to connect multiple elements upstream to multiple elements downstream without mixing fluxes.

Linkers are useful in SuperflexPy because the structure of the unit is defined as an ordered list of elements (see *Unit*). This means that if we want to connect the first element of a layer to the second element of the following layer (e.g.,

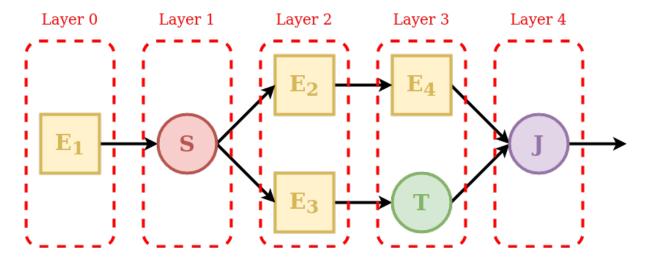
direct the output from upstream element E1 to downstream element E4, in the example above) we have to insert an additional intermediate layer with a linker that directs the fluxes to the correct downstream element. Further details on the organization of the units in layers are presented in section *Unit*.

#### **Transparent**



A transparent element is an element that returns, as output, the same fluxes that it receives as input. This element is needed to fill "gaps" in the structure defining a unit. See *Unit* for further details. An example is shown in the schematic above where the transparent element is used to make the two rows have the same number of elements.

#### 4.2 Unit



A unit is a collection of multiple connected elements. The unit can be used either alone, when intended to represent a lumped catchment model, or as part of a *Node*, to create a semi-distributed model.

As shown in the schematic, elements are organized as a succession of layers, from left (upstream) to right (down-stream).

The first and last layers must contain only a single element, since the inputs of the unit are "given" to the first element and the outputs of the unit are "taken" from the last element.

The order of elements inside each layer defines how they are connected: the first element of a layer (e.g. element E2 in the schematic) will transfer its outputs to the first element of the downstream layer (e.g. element E4); the second element of a layer (e.g. element E3) will transfer its outputs to the second element of the downstream layer (e.g. element T), and so on.

4.2. Unit 15

When the output of an element is split between multiple downstream elements an additional intermediate layer with a splitter is needed. For example, element E1 is intended to provide its outputs to elements E2 and E3. In this case the splitter S has two downstream elements (E2 and E3); the framework will route the first group of outputs of the splitter to element E2 and the second group of outputs to element E3.

Whenever there is a "gap" in the structure, a transparent element should be used to fill the gap. In the example, the output of element E3 is combined with the output of element E4. Since these elements belong to different layers, making this connection directly would create a gap in Layer 3. This problem is solved by specifying a transparent element in Layer 3, i.e., in the same layer as element E4.

Finally, since the unit must have a single element in its last layer, the outputs of elements E4 and T must be collected using the junction J.

Each element is aware of its expected number of upstream and downstream elements. For example, a reservoir must have a single upstream element and a single downstream element, a splitter must have a single upstream element and potentially multiple downstream elements, and so on. A unit is valid only if all layers connect to each other using the expected number of elements. In the example, Layer 1 must have two downstream elements that is consistent with the configuration of Layer 2.

Elements are *copied* into the unit. This means that an element that belongs to a unit is completely independent from the originally defined element and from any other copy of the same element in other units. This SuperflexPy design choice ensures that changes to the state or to the parameters of an element within a given unit will not affect any element outside of that unit. The code below illustrates this behavior:

```
el = Element(parameters={'pl': 0.1}, states={'S': 10.0})

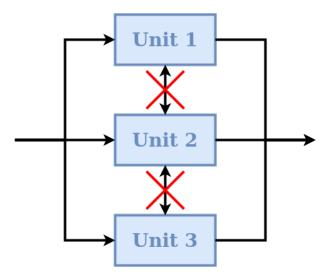
ul = Unit([e1])
u2 = Unit([e1])

el.set_parameters({'el_pl': 0.2})
ul.set_parameters({'ul_el_pl': 0.3})
u2.set_parameters({'u2_el_pl': 0.4})
```

In the code, element e1 is included in units u1 and u2. In lines 6-8 the value of parameter p1 of element e1 is changed at the element level and at the unit level. Since elements are *copied* into a unit, these changes apply to three different elements (in the sense of different Python objects in memory), the "originally defined" e1 and the copies of e1 in u1 and u2.

For more information on how to define a unit structure in SuperflexPy, refer to the page *Application: implementation of existing conceptual models*, where the framework is used to reproduce some existing lumped models.

## 4.3 Node



A node is a collection of multiple units assumed to operate in parallel. In the context of semi-distributed models, a node represents a single catchment and the units represent multiple landscape elements (areas) within the catchment. A node can be run either alone or as part of a bigger *Network*.

The default behavior of nodes is that parameters are *shared* between elements of the same unit, even if that unit belongs to multiple nodes. This SuperflexPy design choice is motivated by the unit being intended to represent areas that have the same hydrological response. The idea is that the hydrological response is controlled by the parameters, and therefore elements of the same unit (e.g. HRU) belonging to multiple nodes should have the *same* parameter values.

On the other hand, each node has its own states that are tracked separately from the states of other nodes. In particular, when multiple nodes that share the same parameter values receive different inputs (e.g., rainfall), their states will evolve differently. This SuperflexPy design choice supports the most common use of nodes, which is the discretisation of a catchment into potentially overlapping HRUs and subcatchments. Parameters are then assumed constant within HRUs (units), and inputs are assumed to be constant within subcatchments (nodes).

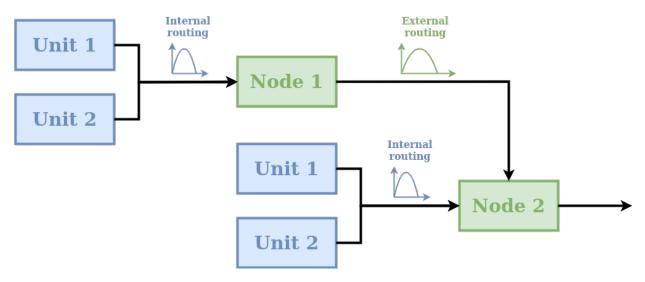
In term of SuperflexPy code, this behavior is achieved by (1) copying the states of the elements belonging to the unit when this unit becomes part of a node; (2) sharing, rather than copying, the parameter values. This means that changes to the parameter values of an element within a node will affect the parameter values of that elements of all other nodes that share the same unit. In contrast, changes to the states will be node-specific.

This default behavior can be changed by setting shared\_parameters=False at the initialization of the node. In this case, all parameters become node-specific, with no sharing of parameter values even within the same unit.

Refer to the section Simple semi-distributed model for details on how to incorporate units into nodes.

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# 4.3.1 Routing



A node can include routing functions that delay the fluxes. As shown in the schematic, two types of routing are possible:

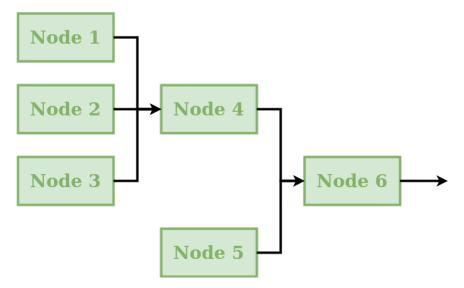
- · internal routing;
- · external routing.

A typical usage of these routing functions in semi-distributed hydrological modelling is as follows. Internal routing is used to represent delays associated with the routing of fluxes across the catchment towards the river network. External routing is used to represent delays associated with the routing of fluxes within the river network, i.e., from the outlets of the given node to the inlet of the downstream node.

More generally, routing functions can be used for representing any type of delay between the units and the node, as well as delays between nodes.

In the default implementation of a node in SuperflexPy, the two routing functions simply return their input (i.e. no delay is applied). The user can implement a different behavior, e.g., see section *Adding routing to a node*.

# 4.4 Network



A network connects multiple nodes into a tree structure, and is typically intended to develop a distributed model that generates predictions at internal subcatchment locations (e.g. to represent a "nested" catchment setup).

The connectivity of the network is defined by assigning to each node the information about its downstream node. The network will then compute the node output fluxes, starting from the inlets and then moving downstream, calculating the outflows of the remaining nodes and routing the fluxes towards the outlet.

The network is the only component of SuperflexPy that does not have the set\_input method (see *Generalities*), because inputs are assumed to be node-specific and hence have to be assigned to each node within the network.

A node is *inserted* (rather than *copied*) into the network. In other words, we initialize a node object and then insert it into the network. This node can then be configured either directly or through the network. Any changes occurring within the node as part of the network affect also the originally defined node (because they are the same Python object).

The output of the network is a dictionary that contains the output of all nodes within the network.

# 4.5 Generalities

#### 4.5.1 Common methods

All components share the following methods.

- Parameters and states: each component has its own parameters and/or states with unique identifiers. Each component of SuperflexPy has methods to set and get the states and parameters of the component itself as well as the states and parameters of its contained components:
  - set\_parameters: change the current parameter values
  - get\_parameters: get the current parameter values
  - get\_parameters\_name: get the identifiers of the parameters
  - set states: change the current state values
  - get\_states: get the current state value
  - get\_states\_name: get the identifiers of the states

4.4. Network 19

- reset states: reset the states to their initialization value
- Time step: as common in hydrological modeling, inputs and outputs are assumed to have the same time resolution, i.e., the input and output data must share the same time stamps. There is no requirement for timestamps to be uniformly spaced, meaning that the time series can have irregular time step sizes. In SuperflexPy, all components that require the definition of a time step (e.g. reservoirs described by a differential equation) contain methods that set and get the time step size. In case of non-uniform time resolution, an array of time steps needs to be provided by the user.
  - set\_timestep: set the time step used in the model. All components at a higher level (e.g. units) have this method; when called, it applies the change to all elements within the component;
  - get\_timestep: returns the time step size used in the model.
- Inputs and outputs: all components have functionalities to receive inputs and generate outputs.
  - set\_input: set the component inputs; inputs can be fluxes (e.g., precipitation) or other relevant variables (e.g., temperature influencing the behavior of a snow element).
  - get\_output: run the component (and all components contained in it) and return the output fluxes.

# 4.5.2 Component identifiers

In SuperflexPy, ll parameters, states, and components (except for the network) are identified using an identifier string assigned by the user. The identifier string can have an arbitrary length, with the only restriction being that it cannot contain the underscore \_, as this is a special character used internally by SuperflexPy.

When an element is inserted into a unit or when the unit is inserted into the node, the identifier of the component is prepended to the name of the parameter using the underscore \_ as separator.

For example, if the element with identifier el has the parameter parl, the name of the parameter becomes, at initialization, el\_parl. If element el is inserted into unit ul, the parameter name becomes ul\_el\_parl, and so on.

In this way, every parameter and state of the model has its own unique identifier that can be used to change its value from within any component of the model.

# 4.5.3 Time varying parameters

In hydrological modelling, time varying parameters can be useful for representing certain types of model variability, e.g., seasonal phenomena and/or stochasticity.

SuperflexPy can be used with both constant and time varying parameters. Parameters can be specified as either scalar float numbers or as Numpy 1D arrays of the same length as the arrays of input fluxes. In the first case, the parameter will be interpreted as time constant. In the second case, the parameter will be considered as time varying and may have a different value at each time step.

# 4.5.4 Length of the simulation

In SuperflexPy, there is no model parameter controlling the length of the simulation. The number of model time steps that need to be run is determined automatically at runtime from the length of the arrays containing the input fluxes. For this reason, all input data time series must have the same length.

# 4.5.5 Format of inputs and outputs

The input and output fluxes of all SuperflexPy components are represented using 1D Numpy arrays.

For the inputs, regardless of the number of fluxes, the method set\_input takes a list of Numpy arrays (one array per flux). The order of arrays inside the list is important and must follow the indications of the docstring of the method. All input fluxes must have the same length because the number of time steps in the model simulation is determined by the length of the input time series; see also *Length of the simulation*.

The outputs are also returned as a list of Numpy 1D arrays, using the get\_output method.

Note an important exception for *Connections*: whenever the number of upstream or downstream elements is different from one, the set\_input or the get\_output methods will use 2D lists of Numpy arrays. This solution is used to route fluxes between multiple elements.

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## NUMERICAL IMPLEMENTATION

*Reservoirs* are the most common elements in conceptual hydrological models. Reservoirs are controlled by one (or more) ordinary differential equations (ODEs) of the form

$$\frac{d\mathbf{S}}{dt} = \mathbf{I}(\theta, t) - \mathbf{O}(\mathbf{S}, \theta, t)$$

and associated initial conditions.

Such differential equations are usually difficult or impossible to solve analytically, therefore, numerical methods are employed. These numerical methods take the form of time stepping schemes.

# 5.1 Available numerical routines to facilitate the solution of ODEs

The current implementation of SuperflexPy conceptualizes the solution of the ODE as a two-step procedure:

- 1. Construct the discrete-time equations defining the numerical approximation of the ODEs at a single time step, e.g. using Euler methods.
- 2. Solve the numerical approximation for the storage(s). This step usually require some iterative procedure since the algebraic equations resulting from point 1 are usually implicit.

These steps can be performed extending two SuperflexPy components: NumericalApproximator and RootFinder.

SuperflexPy provides three built-in numerical approximators (implicit and explicit Euler, Runge Kutta 4) and a three root finders (one implementing the Pegasus method, one the Newton method, and one for explicit algebraic equations).

The suggested configuration, used in several modelling studies with the SUPERFLEX framework, is to use the Implicit Euler approximation and the Pegasus root finder. This setup, together with a "one-element-at-a-time" strategy to solve the elements, enables a very robust solution of the ODEs, since the numerical routines operate on a single ODE at a time. In such cases, the root finder also operates on a single algebraic equation at a time. Moreover, the Pegasus root finder implements bracketing methods, which are guaranteed to converge (to a tolerance within the common constraints of floating point arithmetic) as long as the initial solution bounds are known. The Pegasus algorithm is a bracket-based nonlinear solver similar to the well-known Regula Falsi algorithm. It employs a re-scaling of function values at the bracket endpoints to accelerate convergence for strongly curved functions. The authors of the paper (Dowell and Jarratt, 1972) claim that the algorithm exhibit superior asymptotic convergence properties to other modified linear methods.

In order to facilitate the convergence of the root finders and to reduce problems in calibration, we suggest to use smooth flux functions when implementing the elements (see Kavetski and Kuczera, 2007). If a user wants to experiment with discontinuous flux function, specific ODE solution algorithms should be carefully selected.

The following sections describe how to implement extensions of the classes Numerical Approximator and RootFinder and how to write solver that interfaces directly with the ODEsElement, bypassing the current architecture.

# 5.1.1 Creating a customized numerical approximator

A customized numerical approximator can be implemented by extending the class Numerical Approximator and implementing two methods: \_get\_fluxes and \_differential\_equation.

```
class CustomNumericalApproximator(NumericalApproximator):
2
       @staticmethod
3
       def _get_fluxes(fluxes_fun, S, S0, args, dt):
5
            # Some code here
6
7
           return fluxes
       @staticmethod
       def _differential_equation(fluxes_fun, S, S0, dt, args, ind):
11
12
            # Some code here
13
14
           return [diff_eq, min_val, max_val, d_diff_eq]
```

where fluxes\_fun is a list of functions used to calculate the fluxes and their derivatives, S is the state that solves the ODE, S0 is the initial state, dt is the time step, args is a list of additional arguments used by the functions in fluxes\_fun, and ind is the index of the input arrays to use.

The method \_get\_fluxes is responsible for calculating the fluxes after the ODE has been solved. This method operates with a vector of states.

The method \_differential\_equation calculates the approximation of the ODE. It returns the residual of the approximated mass balance equations for a given value of S, the minimum and maximum bounds for the search of the solution, and the value of the derivative of the residual of the approximated mass balance equations for a given value of S w.r.t. S. This method is designed to be interfaced with the root finder.

For further details, please see the implementation of Implicit and Explicit Euler.

# 5.1.2 Creating a customized root finder

RootFinder implementing the method solve.

```
class CustomRootFinder(RootFinder):

def solve(self, diff_eq_fun, fluxes_fun, S0, dt, ind, args):

# Some code here

return root
```

where diff\_eq\_fun is a function that calculates the value of the approximated ODE, fluxes\_fun is a list of functions used to calculate the fluxes and their derivatives, S0 is the initial state, dt is the time step, args is a list of additional arguments used by the functions in fluxes fun, and ind is the index of the input arrays to use.

The method solve is responsible for finding the numerical solution of the approximated ODE. In case of failure, the method should either raise a RuntimeError (Python implementation) or return numpy.nan (this is not ideal but it is the suggested workaround because Numba does not support exceptions handling).

To understand better how the method solve works, please see the implementation of the Pegasus and of the Newton root finders that are currently used in the SuperflexPy applications.

# 5.1.3 Building a numerical solver from scratch

When implementing more advanced numerical schemes, the usage of Numerical Approximator and RootFinder may be limiting. One example may be when the user wants to use a numerical solver from an existing library.

In this case the user has to implement a new class from scratch that implements a solve and a get\_fluxes method. This class interfaces directly with the ODEsElement and substitutes the combined usage of NumericalApproximator and RootFinder.

```
class CustomODESolver():

# The class may implement other methods

def solve(self, fluxes_fun, S0, dt, args):

# Some code here

return states

def get_fluxes(self, fluxes_fun, S, S0, dt, args):

# Some code here

# Some code here

return fluxes
```

where fluxes\_fun is a list of functions used to calculate the fluxes and their derivatives, S0 is the initial state, dt is the time step, args is a list of additional arguments used by the functions in fluxes\_fun (e.g, input fluxes, parameters, etc), and S is this the state of the reservoir.

The solve method is responsible for "assembling" and solving the differential equations and their derivatives. The fluxes controlling the differential equations can be calculated, for any possible state and parameters, using the functions contained in fluxes\_fun, which are implemented in the single ODEsElement. The method returns an array (time series) containing the values of the states according to the time step dt. It is important to notice that nothing forbids to calculate the states at intermediate time steps, keeping in mind the additional error introduced by considering the fluxes constant over dt (see Sequential solution of the elements and numerical approximations).

The get\_fluxes method is responsible for calculating the fluxes, once the ODEs have already been solved.

SuperflexPy does not implement functioning customized ODEs solvers created from scratch (e.g., encapsulating the functionality of external libraries). However, to understand better how to implement a custom ODEs solver from scratch the user can have a look at the implementation of the abstract class NumericalApproximator, which represents itself an ODEs solver implemented from scratch.

# 5.2 Sequential solution of the elements and numerical approximations

The SuperflexPy framework is built on a model representation that maps to a directional acyclic graph. Model elements are solved sequentially from upstream to downstream, with the output from each element being used as input to its downstream elements.

Moreover, inputs and outputs of the elements are considered constant over the time step dt whereas in reality fluxes vary within the time step; this choice simplifies the implementation of the framework and is coherent with the typical format of forcing data such as rainfall, PET, etc, which is tabulated in discrete steps.

When fixed-step solvers are used (e.g. implicit Euler), this "one-element-at-a-time" strategy is equivalent to applying the same (fixed-step) solver to the entire ODE system simultaneously (i.e., no additional approximation error is introduced), as fixed-step solvers transform the ODE system into a lower triangular system of nonlinear algebraic equations, which can be solved using forward elimination. The usage of constant fluxes does not introduce approximations in this case, since intermediate fluxes are not needed.

However, when solvers with internal substepping are used, the "constant fluxes" choice introduces additional approximation error, since solvers cannot access the actual value of the fluxes within the time step but only their approximation to the average value.

These numerical approximations could be removed only by the coupled solution of the ODEs system. Alternative solutions could be adopted to reduce the approximation, while respecting the "one-element-at-a-time" strategy; one option could be, for the elements to output instead of a single number, an array of values, or a function, or a specific data structure that allows for returning the values at intermediate time steps. However, all the possibilities listed in this paragraph are currently not supported by SuperflexPy and not foreseen as development in the near future.

# 5.3 Computational efficiency with Numpy and Numba

Conceptual hydrological models are often used in computationally demanding contexts, such as parameter calibration and uncertainty quantification, which require many model runs (thousands or even millions). Computational efficiency is therefore an important requirement of SuperflexPy.

Computational efficiency is a potential limitation of pure Python, but libraries like Numpy and Numba can help in pushing the performance closer to traditionally fast languages such as Fortran and C.

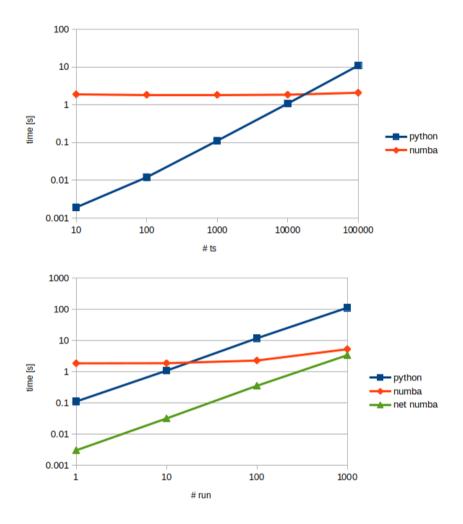
Numpy provides highly efficient arrays for vectorized operations (i.e. elementwise operations between arrays). Numba provides a "just-in-time compiler" that can be used to compile (at runtime) a normal Python method to machine code that operates efficiently with Numpy arrays. The combined use of Numpy and Numba is extremely effective when solving ODEs using time stepping schemes, where the method loops through a vector to perform elementwise operations.

SuperflexPy includes Numba-optimized versions of Numerical Approximator and RootFinder, which enable efficient solution of ODEs describing the reservoir elements.

The figure below compares the execution times of pure Python vs. the Numba implementation, as a function of the length of the time series (upper panel) and the number of model runs (lower panel). Simulations were run on a laptop (single thread), using the *HYMOD* model, solved using the implicit Euler numerical solver.

The plot clearly shows the tradeoff between compilation time (which is zero for Python and around 2 seconds for Numba) versus run time (where Numba is 30 times faster than Python). For example, a single run of 1000 time steps takes 0.11 seconds with Python and 1.85 seconds with Numba. In contrast, if the same model is run 100 times (e.g., as part of a calibration) the Python version takes 11.75 seconds while the Numba version takes 2.35 seconds.

**Note:** The objective of these plots is to give an idea of time that is topically required to perform common modelling applications (e.g., calibration) with SuperflexPy, to show the impact of the Numba implementation, and to explain the tradeoff between compilation and run time. The results do not have to be considered as accurate measurements of the performance of SuperflexPy (i.e., rigorous benchmarking).



The green line "net numba" in the lower panel express the run time of the Numba implementation, i.e., excluding the compilation time.

**CHAPTER** 

SIX

### **HOW TO BUILD A MODEL WITH SUPERFLEXPY**

This page shows how to build a complete semi-distributed conceptual model using SuperflexPy, including:

- 1. how the elements are initialized, configured, and run
- 2. how to use the model at any level of complexity, from single element to multiple nodes.

All models presented in this page are available as runnable examples (see *Examples*).

Examples of the implementation of more realistic models are given in the pages Application: implementation of existing conceptual models and Case studies.

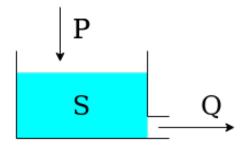
# 6.1 Importing SuperflexPy

Assuming that SuperflexPy is already installed (see *Installation* guide), the elements needed to build the model are imported from the SuperflexPy package. In this demo, the import is done with the following lines

Lines 1-2 import two elements (a reservoir and a lag function), lines 3-4 import the numerical solver used to solve the reservoir equation, and lines 5-7 import the SuperflexPy components needed to implement spatially distributed model.

A complete list of the elements already implemented in SuperflexPy, including their equations and import path, is available in page *List of currently implemented elements*. If the desired element is not available, it can be built following the instructions given in page *Expand SuperflexPy: Build customized elements*.

# 6.2 Simplest lumped model structure with single element



The single-element model is composed by a single reservoir governed by the differential equation

$$\frac{\mathrm{d}S}{\mathrm{d}t} = P - Q$$

where S is the state (storage) of the reservoir, P is the precipitation input, and Q is the outflow.

The outflow is defined by the equation:

$$Q = kS^{\alpha}$$

where k and  $\alpha$  are parameters of the element.

For simplicity, evapotranspiration is not considered in this demo.

The first step is to initialize the numerical approximator (see *Numerical implementation*). In this case, we will use the native Python implementation (i.e. not Numba) of the implicit Euler algorithm (numerical approximator) and the Pegasus algorithm (root finder). The initialization can be done with the following code, where the default settings of the solver are used (refer to the solver docstring).

```
solver_python = PegasusPython()
approximator = ImplicitEulerPython(root_finder=solver_python)
```

Note that the object approximator does not have internal states. Therefore, the same instance can be assigned to multiple elements.

The element is initialized next

```
reservoir = PowerReservoir(
   parameters={'k': 0.01, 'alpha': 2.0},
   states={'S0': 10.0},
   approximation=approximator,
   id='R'
   )
}
```

During initialization, the two parameters (line 2) and the single initial state (line 3) are defined, together with the numerical approximator and the identifier. The identifier must be unique and cannot contain the character \_, see *Component identifiers*.

After initialization, we specify the time step used to solve the differential equation and the inputs of the element.

```
reservoir.set_timestep(1.0)
reservoir.set_input([precipitation])
```

Here, precipitation is a Numpy array containing the precipitation time series. The length of the simulation (i.e., the number of time steps to run the model) is automatically set to the length of the input arrays.

The element can now be run

```
output = reservoir.get_output()[0]
```

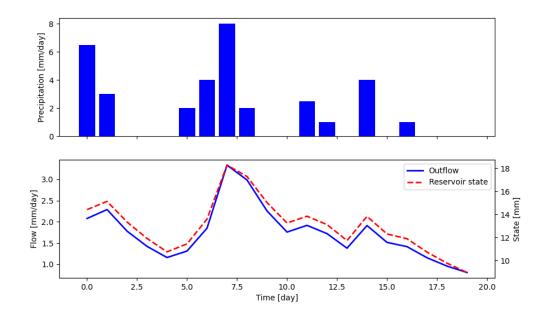
The method  $get\_output$  will run the element for all the time steps (solving the differential equation) and return a list containing all output arrays of the element. In this specific case there is only one output array, namely., the flow time series Q.

The state of the reservoir at all time steps is saved in the attribute state\_array of the element and can be accessed as follows

```
reservoir_state = reservoir.state_array[:, 0]
```

Here, state\_array is a 2D array with the number of rows equal to the number of time steps, and the number of columns equal to the number of states. The order of states is defined in the docstring of the element.

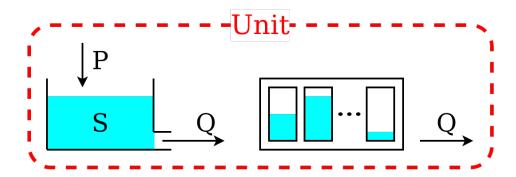
Finally, the simulation outputs can be plotted using standard Matplotlib functions, as follows



Note that the method get\_output also sets the element states to their value at the final time step (in this case 8.98). As a consequence, if the method is called again, it will use this value as initial state instead of the one defined at initialization. This enables the modeler to continue the simulation at a later time, which can be useful in applications where new inputs arrive in real time. The states of the model can be reset using the method reset\_states.

```
reservoir.reset_states()
```

# 6.3 Lumped model structure with 2 elements



We now move to a more complex model structure, where multiple elements are connected in a unit. For simplicity, we limit the complexity to two elements; more complex configurations can be found in the *Application: implementation of existing conceptual models* page.

The unit structure comprises a reservoir that feeds a lag function. The lag function applies a convolution operation on the incoming fluxes

$$Q_{
m out}(t) = \int_0^t Q_{
m in}(t- au) h( au,t_{
m lag}) {
m d} au$$

The behavior of the lag function is controlled by parameter  $t_{\text{lag}}$ .

First, we initialize the two elements that compose the unit structure

```
reservoir = PowerReservoir(
    parameters={'k': 0.01, 'alpha': 2.0},
    states={'S0': 10.0},
    approximation=approximator,
    id='R'

} lag_function = UnitHydrograph1(
    parameters={'lag-time': 2.3},
    states={'lag': None},
    id='lag-fun'
}
```

Note that the initial state of the lag function is set to None (line 10). The element will then initialize the state to an arrays of zeros of appropriate length, depending on the value of  $t_{\text{lag}}$ ; in this specific case, ceil (2.3) = 3.

Next, we initialize the unit that combines the elements

```
unit_1 = Unit(
layers=[[reservoir], [lag_function]],
id='unit-1'
)
```

Line 2 defines the unit structure: it is a 2D list where the inner level sets the elements belonging to each layer and the outer level lists the layers.

After initialization, time step size and inputs are defined

```
unit_1.set_timestep(1.0)
unit_1.set_input([precipitation])
```

The unit sets the time step size for all its elements and transfers the inputs to the first element (in this example, to the reservoir).

The unit can now be run

```
output = unit_1.get_output()[0]
```

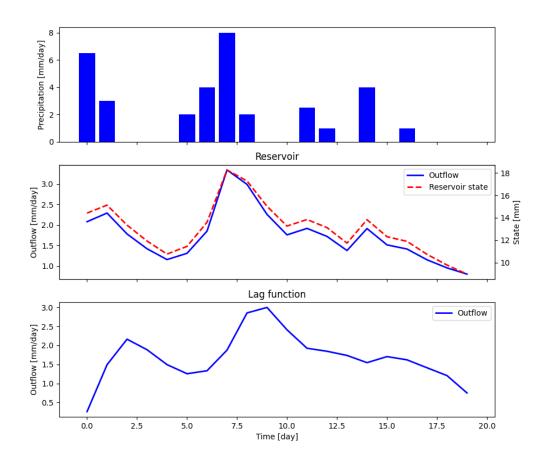
In this code, the unit will call the get\_output method of all its elements (from upstream to downstream), set the inputs of the downstream elements to the output of their respective upstream elements, and return the output of the last element.

After the unit simulation has completed, the outputs and the states of its elements can be retrieved as follows

```
r_state = unit_1.get_internal(id='R', attribute='state_array')[:, 0]
r_output = unit_1.call_internal(id='R', method='get_output', solve=False)[0]
```

Note that in line 2 we pass the argument solve=False to the function get\_output, in order to access the computed states and outputs without re-running the reservoir element.

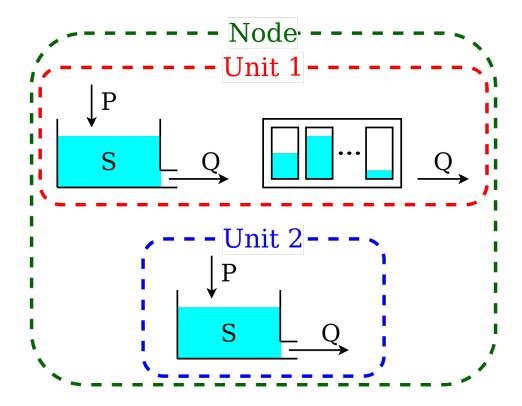
The plot shows the output of the simulation (obtained by plotting output, r\_state, and r\_output).



If we wish to re-run the model, the elements of the unit can be re-set to their initial state

```
unit_1.reset_states()
```

# 6.4 Simple semi-distributed model



This model is intended to represent a spatially semi-distributed configuration. A node is used to represent a catchment with multiple areas that react differently to the same inputs. In this example, we represent 70% of the catchment using the structure described in *Lumped model structure with 2 elements*, and the remaining 30% using a single reservoir.

This model configuration is achieved using a node with multiple units.

First, we initialize the two units and the elements composing them, in the same way as in the previous sections.

```
reservoir = PowerReservoir(
       parameters={'k': 0.01, 'alpha': 2.0},
2
       states={'S0': 10.0},
       approximation=approximator,
4
       id='R'
   )
6
   lag_function = UnitHydrograph1(
       parameters={'lag-time': 2.3},
9
       states={'lag': None},
10
       id='lag-fun'
11
12
13
   unit_1 = Unit(
```

```
layers=[[reservoir], [lag_function]],
id='unit-1'
)

unit_2 = Unit(
    layers=[[reservoir]],
id='unit-2'
)
```

Note that, once the elements are added to a unit, they become independent, meaning that any change to the reservoir contained in unit 1 does not affect the reservoir contained in unit 2 (see *Unit*).

The next step is to initialize the node, which combines the two units

```
node_1 = Node(
    units=[unit_1, unit_2],
    weights=[0.7, 0.3],
    area=10.0,
    id='node-1'
)
```

Line 2 contains the list of units that belong to the node, and line 3 gives their weight (i.e. the portion of the node outflow coming from each unit). Line 4 specifies the representative area of the node.

Next, we define the time step size and the model inputs

```
node_1.set_timestep(1.0)
node_1.set_input([precipitation])
```

The same time step size will be assigned to all elements within the node, and the inputs will be passed to all the units of the node.

We can now run the node and collect its output

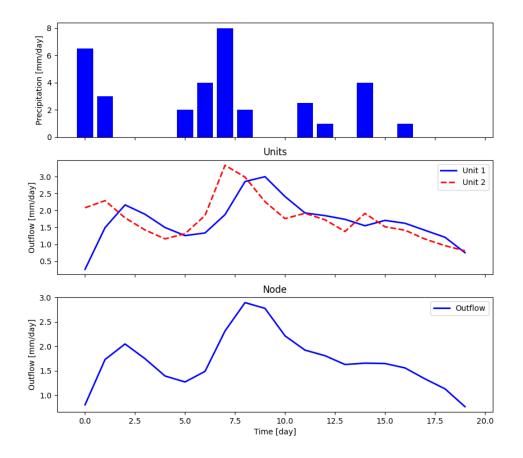
```
output = node_1.get_output()[0]
```

The node will call the method get\_output of all its units and aggregate their outputs using the weights.

The outputs of the single units, as well as the states and fluxes of the elements composing them, can be retrieved using the method call\_internal

```
output_unit_1 = node_1.call_internal(id='unit-1', method='get_output', solve=False)[0]
output_unit_2 = node_1.call_internal(id='unit-2', method='get_output', solve=False)[0]
```

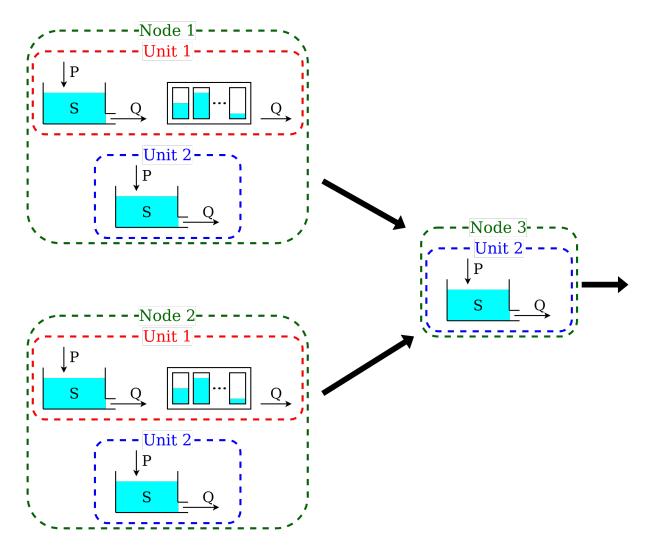
The plot shows the output of the simulation.



All elements within the node can be re-set to their initial states

node\_1.reset\_states()





A catchment can be composed by several subcatchments (nodes) connected in a network. Each subcatchment receives its own inputs, but may share parameter values with other subcatchments with the same units.

This semi-distributed configuration can be implemented in SuperflexPy by creating a network with multiple nodes.

First, we initialize the nodes

```
reservoir = PowerReservoir(
    parameters={'k': 0.01, 'alpha': 2.0},
    states={'S0': 10.0},
    approximation=approximator,
    id='R'

lag_function = UnitHydrograph1(
    parameters={'lag-time': 2.3},
    states={'lag': None},
    id='lag-fun'
)
```

```
13
   unit 1 = Unit(
14
        layers=[[reservoir], [lag_function]],
15
        id='unit-1'
17
18
   unit_2 = Unit(
19
        layers=[[reservoir]],
20
        id='unit-2'
21
22
   )
23
   node_1 = Node(
25
        units=[unit_1, unit_2],
        weights=[0.7, 0.3],
26
        area=10.0,
27
        id='node-1'
28
29
30
   node_2 = Node(
31
        units=[unit_1, unit_2],
32
        weights=[0.3, 0.7],
33
        area=5.0,
34
        id='node-2'
35
36
   )
38
   node_3 = Node(
        units=[unit_2],
39
        weights=[1.0],
40
        area=3.0,
41
        id='node-3'
42
```

Here, nodes node\_1 and node\_2 contain both units, unit\_1 and unit\_2, but in different proportions. Node node\_3 contains only a single unit, unit\_2.

When units are added to a node, the states of the elements within the units remain independent while the parameters stay linked. In this example the change of a parameter in unit\_1 in node\_1 is applied also to unit\_1 in node\_2. This "shared parameters" behavior can be disabled by setting the parameter shared\_parameters to False when initializing the nodes (see *Node*)

The network is initialized as follows

Line 2 provides the list of the nodes belonging to the network. Lines 4-6 define the connectivity of the network; this is done using a dictionary with the keys given by the node identifiers and values given by the single downstream node. The most downstream node has, by convention, its value set to None.

The inputs are catchment-specific and must be provided to each node.

```
node_1.set_input([precipitation])
node_2.set_input([precipitation * 0.5])
node_3.set_input([precipitation + 1.0])
```

The time step size is defined at the network level.

```
net.set_timestep(1.0)
```

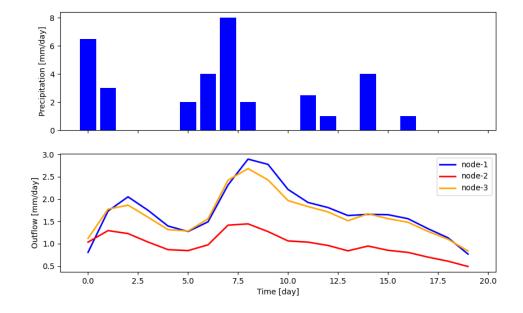
We can now run the network and get the output values

```
output = net.get_output()
```

The network runs the nodes from upstream to downstream, collects their outputs, and routes them to the outlet. The output of the network is a dictionary, with keys given by the node identifiers and values given by the list of output fluxes of the nodes. It is also possible to retrieve the internals (e.g. fluxes, states, etc.) of the nodes.

```
output_unit_1_node_1 = net.call_internal(id='node-1_unit-1',
method='get_output',
solve=False)[0]
```

The plot shows the results of the simulation.



## LIST OF CURRENTLY IMPLEMENTED ELEMENTS

SuperflexPy provides four levels of components (elements, units, nodes and network) for constructing conceptual hydrological models. The components presented in the page *Organization of SuperflexPy* represent the core of SuperflexPy. These components can be extended to create customized models.

Most of the customization efforts will be required for elements (i.e., reservoirs, lag, and connection elements). This page describes all elements that have been created and shared by the community of SuperflexPy. These elements can be used to construct a wide range of model structures.

This section lists elements according to their type, namely

- Reservoir
- · Lag elements
- Connections

Within each section, the elements are listed in alphabetical order.

## 7.1 Reservoirs

## 7.1.1 Interception filter

This reservoir is used to simulate interception in models, including GR4J. Further details are provided in the page *GR4J*.

```
from superflexpy.implementation.elements.gr4j import InterceptionFilter
```

#### Inputs

- Potential evapotranspiration  $E_{POT}^{in}$  [ $LT^{-1}$ ]
- Precipitation  $P^{\text{in}}[LT^{-1}]$

#### Outputs from get\_output

- Net potential evapotranspiration  $E_{\mathrm{POT}}^{\mathrm{out}} \; [LT^{-1}]$
- Net precipitation  $P^{\text{out}} [LT^{-1}]$

## **Governing equations**

$$\begin{split} &\text{if } P^{\text{in}} > E^{\text{in}}_{\text{POT}}: \\ &P^{\text{out}} = P^{\text{in}} - E^{\text{in}}_{\text{POT}} \\ &E^{\text{out}}_{\text{POT}} = 0 \end{split}$$
 
$$&\text{if } P^{\text{in}} < E^{\text{in}}_{\text{POT}}: \\ &P^{\text{out}} = 0 \\ &E^{\text{out}}_{\text{POT}} = E^{\text{in}}_{\text{POT}} - P^{\text{in}} \end{split}$$

## 7.1.2 Linear reservoir

This reservoir assumes a linear storage-discharge relationship. It represents arguably the simplest hydrological model. For example, it is used in the model HYMOD to simulate channel routing and lower-zone storage processes. Further details are provided in the page *HYMOD*.

from superflexpy.implementation.elements.hymod import LinearReservoir

## Inputs

• Precipitation  $P[LT^{-1}]$ 

## Outputs from get\_output

• Total outflow  $Q[LT^{-1}]$ 

## **Governing equations**

$$\frac{\mathrm{d}S}{\mathrm{d}t} = P - Q$$
$$Q = kS$$

#### 7.1.3 Power reservoir

This reservoir assumes that the storage-discharge relationship is described by a power function. This type of reservoir is common in hydrological models. For example, it is used in the HBV family of models to represent the fast response of a catchment.

from superflexpy.implementation.elements.hbv import PowerReservoir

## Inputs

• Precipitation  $P[LT^{-1}]$ 

## Outputs from get\_output

• Total outflow  $Q[LT^{-1}]$ 

#### **Governing equations**

$$\frac{\mathrm{d}S}{\mathrm{d}t} = P - Q$$
$$Q = kS^{\alpha}$$

## 7.1.4 Production store (GR4J)

This reservoir is used to simulate runoff generation in the model GR4J. Further details are provided in the page GR4J.

from superflexpy.implementation.elements.gr4j import ProductionStore

## **Inputs**

- Potential evapotranspiration  $E_{pot}$  [ $LT^{-1}$ ]
- Precipitation  $P[LT^{-1}]$

## Outputs from get\_output

• Total outflow  $P_r$  [ $LT^{-1}$ ]

#### **Secondary outputs**

- Actual evapotranspiration  $E_{\rm act} \, [LT^{-1}] \, {\rm get\_aet}$  ()

## **Governing equations**

$$\begin{split} &\frac{\mathrm{d}S}{\mathrm{d}t} = P_{\mathrm{s}} - E_{\mathrm{act}} - Q_{\mathrm{perc}} \\ &P_{\mathrm{s}} = P \left( 1 - \left( \frac{S}{x_1} \right)^{\alpha} \right) \\ &E_{\mathrm{act}} = E_{\mathrm{pot}} \left( 2 \frac{S}{x_1} - \left( \frac{S}{x_1} \right)^{\alpha} \right) \\ &Q_{\mathrm{perc}} = \frac{x^{1-\beta}}{(\beta-1)} \nu^{\beta-1} S^{\beta} \\ &P_{\mathrm{r}} = P - P_{\mathrm{s}} + Q_{\mathrm{perc}} \end{split}$$

## 7.1.5 Routing store (GR4J)

This reservoir is used to simulate routing in the model GR4J. Further details are provided in the page GR4J.

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from superflexpy.implementation.elements.gr4j import RoutingStore

## Inputs

• Precipitation  $P[LT^{-1}]$ 

## Outputs from get\_output

- Outflow  $Q[LT^{-1}]$
- Loss term  $F[LT^{-1}]$

## **Governing equations**

$$\frac{\mathrm{d}S}{\mathrm{d}t} = P - Q - F$$

$$Q = \frac{x_3^{1-\gamma}}{(\gamma - 1)} S^{\gamma}$$

$$F = \frac{x_2}{x_3^{\omega}} S^{\omega}$$

## 7.1.6 Snow reservoir

This reservoir is used to simulate snow processes based on temperature. Further details are provided in the section *Dal Molin et al.*, 2020, *HESS*.

from superflexpy.implementation.elements.thur\_model\_hess import SnowReservoir

### Inputs

- Precipitation  $P[LT^{-1}]$
- Temperature T[C]

## Outputs from get\_output

• Sum of snow melt and rainfall input =  $P - P_{\text{snow}} + M [LT^{-1}]$ 

## **Governing equations**

$$\begin{split} \frac{\mathrm{d}S}{\mathrm{d}t} &= P_{\mathrm{snow}} - M \\ P_{\mathrm{snow}} &= P \quad \text{if } T \leq T_0; \quad \text{else } 0 \\ M &= M_{\mathrm{pot}} \left( 1 - \exp\left( -\frac{S}{m} \right) \right) \\ M_{\mathrm{pot}} &= kT \quad \text{if } T \geq T_0; \quad \text{else } 0 \end{split}$$

## 7.1.7 Unsaturated reservoir (inspired to HBV)

This reservoir specifies the actual evapotranspiration as a smoothed threshold function of storage, in combination with the storage-discharge relationship being set to a power function. It is inspired by the HBV family of models, where a similar approach (but without smoothing) is used to represent unsaturated soil dynamics.

from superflexpy.implementation.elements.hbv import UnsaturatedReservoir

#### **Inputs**

- Precipitation  $P[LT^{-1}]$
- Potential evapotranspiration  $E_{\rm pot}$   $[LT^{-1}]$

## Outputs from get\_output

• Total outflow  $Q[LT^{-1}]$ 

#### Secondary outputs

• Actual evapotranspiration  $E_{\rm act}$  get\_AET ()

### **Governing equations**

$$\begin{split} \frac{\mathrm{d}S}{\mathrm{d}t} &= P - E_{\mathrm{act}} - Q \\ \overline{S} &= \frac{S}{S_{\mathrm{max}}} \\ E_{\mathrm{act}} &= C_{\mathrm{e}} E_{\mathrm{pot}} \left( \frac{\overline{S}(1+m)}{\overline{S}+m} \right) \\ Q &= P \left( \overline{S} \right)^{\beta} \end{split}$$

## 7.1.8 Upper zone (Hymod)

This reservoir is part of the HYMOD model and is used to simulate the upper soil zone. Further details are provided in the page *HYMOD*.

from superflexpy.implementation.elements.hymod import UpperZone

#### Inputs

- Precipitation  $P[LT^{-1}]$
- Potential evapotranspiration  $E_{pot}$  [ $LT^{-1}$ ]

#### Outputs from get\_output

• Total outflow  $Q[LT^{-1}]$ 

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## Secondary outputs

- Actual evapotranspiration  $E_{\rm act} \, [LT^{-1}] \, {\rm get\_AET}$  ( )

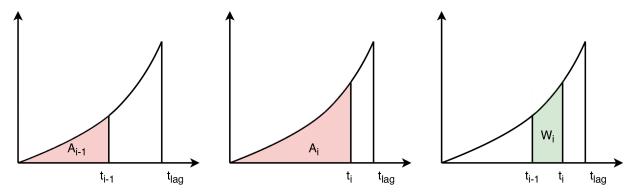
#### **Governing equations**

$$\begin{split} \frac{\mathrm{d}S}{\mathrm{d}t} &= P - E_{\mathrm{act}} - Q \\ \overline{S} &= \frac{S}{S_{\mathrm{max}}} \\ E_{\mathrm{act}} &= E_{\mathrm{pot}} \left( \frac{\overline{S}(1+m)}{\overline{S}+m} \right) \\ Q &= P \left( 1 - \left( 1 - \overline{S} \right)^{\beta} \right) \end{split}$$

# 7.2 Lag elements

All lag elements implemented in SuperflexPy can accommodate an arbitrary number of input fluxes, and apply a convolution based on a weight array that defines the shape of the lag function.

Lag elements differ solely in the definition of the weight array. The nature (i.e., number and order) of inputs and outputs depend on the element upstream of the lag element.



The weight array can be defined by giving the area below the lag function as a function of the time coordinate. The maximum lag  $t_{\text{lag}}$  must also be specified. The weights are then given by differences between the values of the area at consecutive lags. This approach is shown in the figure above, where the weight  $W_i$  is calculated as the difference between areas  $A_i$  and  $A_{i-1}$ .

## 7.2.1 Half triangular lag

This lag element implements the element present in the case study *Dal Molin et al.*, 2020, *HESS* and used in other Superflex studies.

from superflexpy.implementation.elements.thur\_model\_hess import HalfTriangularLag

## **Definition of weight array**

The area below the lag function is given by

$$A_{\mathrm{lag}}(t)=0$$
 for  $t\leq 0$  
$$A_{\mathrm{lag}}(t)=\left(\frac{t}{t_{\mathrm{lag}}}\right)^2$$
 for  $0< t\leq t_{\mathrm{lag}}$  
$$A_{\mathrm{lag}}(t)=1$$
 for  $t>t_{\mathrm{lag}}$ 

The weight array is then calculated as

$$w(t_i) = A_{\text{lag}}(t_i) - A_{\text{lag}}(t_{i-1})$$

## 7.2.2 Unit hydrograph 1 (GR4J)

This lag element implements the unit hydrograph 1 of *GR4J*.

from superflexpy.implementation.elements.gr4j import UnitHydrograph1

## **Definition of weight array**

The area below the lag function is given by

$$A_{\mathrm{lag}}(t) = 0$$
 for  $t \leq 0$  
$$A_{\mathrm{lag}}(t) = \left(\frac{t}{t_{\mathrm{lag}}}\right)^{\frac{5}{2}}$$
 for  $0 < t \leq t_{\mathrm{lag}}$  
$$A_{\mathrm{lag}}(t) = 1$$
 for  $t > t_{\mathrm{lag}}$ 

The weight array is then calculated as

$$w(t_i) = A_{\text{lag}}(t_i) - A_{\text{lag}}(t_{i-1})$$

## 7.2.3 Unit hydrograph 2 (GR4J)

This lag element implements the unit hydrograph 2 of *GR4J*.

from superflexpy.implementation.elements.gr4j import UnitHydrograph2

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## **Definition of weight array**

The area below the lag function is given by

$$\begin{split} A_{\mathrm{lag}}(t) &= 0\\ \text{for } t \leq 0 \\ A_{\mathrm{lag}}(t) &= \frac{1}{2} \left(\frac{2t}{t_{\mathrm{lag}}}\right)^{\frac{5}{2}} \\ \text{for } 0 < t \leq \frac{t_{\mathrm{lag}}}{2} \\ A_{\mathrm{lag}}(t) &= 1 - \frac{1}{2} \left(2 - \frac{2t}{t_{\mathrm{lag}}}\right)^{\frac{5}{2}} \end{split}$$
 for  $\frac{t_{\mathrm{lag}}}{2} < t \leq t_{\mathrm{lag}}$  
$$A_{\mathrm{lag}}(t) = 1$$
 for  $t > t_{\mathrm{lag}}$ 

The weight array is then calculated as

$$w(t_i) = A_{\text{lag}}(t_i) - A_{\text{lag}}(t_{i-1})$$

## 7.3 Connections

SuperflexPy implements four connection elements:

- splitter
- junction
- · linker
- · transparent element

In addition, customized connectors have been implemented to achieve specific model designs. These customized elements are listed in this section.

# 7.3.1 Flux aggregator (GR4J)

This element is used to combine routing, exchange and outflow fluxes in the GR4J model. Further details are provided in the page *GR4J*.

from superflexpy.implementation.elements.gr4j import FluxAggregator

## **Inputs**

- Outflow routing store  $Q_{RR}$  [ $LT^{-1}$ ]
- Exchange flux  $Q_{RF}$  [ $LT^{-1}$ ]
- Outflow UH2  $Q_{\mathrm{UH2}}$   $[LT^{-1}]$

# Main outputs

• Outflow  $Q[LT^{-1}]$ 

# **Governing equations**

$$Q = Q_{\mathsf{RR}} + \max(0; Q_{\mathsf{UH2}} - Q_{\mathsf{RF}})$$

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## **EXPAND SUPERFLEXPY: BUILD CUSTOMIZED ELEMENTS**

**Note:** If you build your own SuperflexPy element, we would appreciate if you share your implementation with the community (see *Software organization and contribution*). Please remember to update the *List of currently implemented elements* page to make other users aware of your implementation.

This page illustrates how to create customized elements using the SuperflexPy framework.

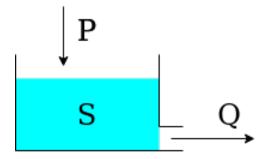
The examples include three elements:

- · Linear reservoir
- Half-triangular lag function
- Parameterized splitter

The customized elements presented here are relatively simple, in order to provide a clear illustration of the programming approach. To gain a deeper understanding of SuperflexPy functionalities, please familiarize with the code of existing elements (importing "path" superflexpy.implementation.elements).

## 8.1 Linear reservoir

This section presents the implementation of a linear reservoir element from the generic class ODEsElement.



The reservoir is controlled by the following differential equation

$$\frac{\mathrm{d}S}{\mathrm{d}t} = P - Q$$

with

$$Q = kS$$

Note that the differential equation can be solved analytically, and (if applied) the implicit Euler numerical approximation does not require iteration. However, we will still use the numerical approximator offered by SuperflexPy (see *Numerical implementation*) to illustrate how to proceed in a more general case where analytical solutions are not available.

The SuperflexPy framework provides the class <code>ODEsElement</code>, which has most of the methods required to implement the linear reservoir element. The class implementing the reservoir will inherit from <code>ODEsElement</code> and implement only a few methods needed to specify its behavior.

```
import numba as nb
from superflexpy.framework.element import ODEsElement

class LinearReservoir(ODEsElement):
```

The first method to implement is the class initializer \_\_init\_\_

```
def __init__(self, parameters, states, approximation, id):
2
           ODEsElement.__init__(self,
                                 parameters=parameters,
                                 states=states,
                                 approximation=approximation,
6
                                 id=id)
8
           self._fluxes_python = [self._fluxes_function_python] # Used by get fluxes,_
   →regardless of the architecture
10
           if approximation.architecture == 'numba':
11
               self._fluxes = [self._fluxes_function_numba]
12
           elif approximation.architecture == 'python':
13
               self._fluxes = [self._fluxes_function_python]
14
15
               message = '{}The architecture ({}) of the approximation is not correct'.
16
   →format(self._error_message,
17
          approximation.architecture)
               raise ValueError (message)
18
```

In the context of SuperflexPy, the main purpose of the method \_\_init\_\_ (lines 9-16) is to deal with the numerical solver. In this case we can accept two architectures: pure Python or Numba. The option selected will control the method used to calculate the fluxes. Note that, since some methods of the approximator may require the Python implementation of the fluxes, the Python implementation must be always provided.

The second method to implement is set\_input, which converts the (ordered) list of input fluxes to a dictionary that gives a name to these fluxes.

```
def set_input(self, input):
    self.input = {'P': input[0]}
```

Note that the key used to identify the input flux must match the name of the corresponding variable in the flux functions.

The third method to implement is get\_output, which runs the model and returns the output flux.

```
def get_output(self, solve=True):

if solve:
    self._solver_states = [self._states[self._prefix_states + 'S0']]
```

```
self._solve_differential_equation()
6
                self.set_states({self._prefix_states + 'S0': self.state_array[-1, 0]})
           fluxes = self._num_app.get_fluxes(fluxes=self._fluxes_python,
                                               S=self.state_array,
10
                                               S0=self._solver_states,
11
                                               dt=self._dt,
12
                                               **self.input,
13
                                               **{k[len(self._prefix_parameters):]: self._
14
   →parameters[k] for k in self._parameters},
           return [- fluxes[0][1]]
```

The method receives, as input, the argument solve: if False, the state array of the reservoir will not be recalculated and the outputs will be computed based on the current state (e.g., computed in a previous run of the reservoir). This option is needed for post-run inspection, when we want to check the output of the reservoir without solving it again.

Line 4 transforms the states dictionary to an ordered list. Line 5 calls the built-in ODE solver. Line 7 updates the state of the model to the last value calculated. Lines 9-14 call the external numerical approximator to get the values of the fluxes. Note that, for this operation, the Python implementation of the fluxes method is always used because the vectorized operation executed by the method get\_fluxes of the numerical approximator does not benefit from the Numba optimization.

The last methods to implement are \_fluxes\_function\_python (pure Python) and \_fluxes\_function\_numba (Numba optimized), which calculate the reservoir fluxes and their derivatives.

```
@staticmethod
        def _fluxes_function_python(S, S0, ind, P, k, dt):
2
             if ind is None:
                  return (
                       [
                            P,
                             k * S,
                       ],
                       0.0,
10
                       S0 + P * dt
11
12
             else:
                  return (
                       [
15
                            P[ind],
16
                            - k[ind] * S,
17
                       ],
18
                       0.0,
19
                       SO + P[ind] * dt[ind],
20
21
                            0.0,
22
                            - k[ind]
23
                       ]
24
                  )
25
26
        @staticmethod
27
        @nb.jit('Tuple((UniTuple(f8, 2), f8, f8))(optional(f8), f8, i4, f8[:], f8[:],...
28
    \rightarrowf8[:])',
                  nopython=True)
29
                                                                                            (continues on next page)
```

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```
def _fluxes_function_numba(S, S0, ind, P, k, dt):
30
31
             return (
32
33
                  (
                      P[ind],
                       - k[ind] * S,
35
                  ),
36
                  0.0,
37
                  S0 + P[ind] * dt[ind],
38
                  (
                      0.0,
                       - k[ind]
             )
```

\_fluxes\_function\_python and \_fluxes\_function\_numba are both private static methods.

Their inputs are: the state used to compute the fluxes (S), the initial state (S0), the index to use in the arrays (ind), the input fluxes (P), and the parameters (k). All inputs are arrays and ind is used, when solving for a single time step, to indicate the time step to look for.

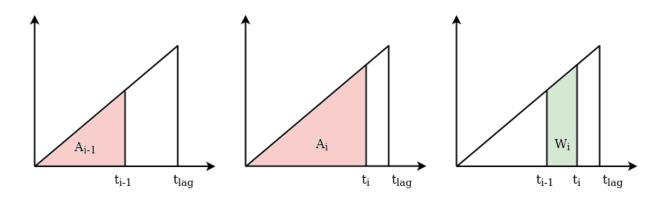
The output is a tuple containing three elements:

- tuple with the computed values of the fluxes; positive sign for incoming fluxes (e.g. precipitation, P), negative sign for outgoing fluxes (e.g. streamflow, k \* S);
- lower bound for the search of the state;
- upper bound for the search of the state;
- tuple with the computed values of the derivatives of fluxes w.r.t. the state S; we maintain the convention of positive sign for incoming fluxes (e.g. derivative of the precipitation, 0), negative sign for outgoing fluxes (e.g. derivative of the streamflow, k);

The implementation for the Numba solver differs from the pure Python implementation in two aspects:

- the usage of the Numba decorator that defines the types of input variables (lines 28-29)
- the method works only for a single time step and not for the vectorized solution. For the vectorized solution the Python implementation (with Numpy) is considered sufficient, and hence a Numba implementation is not pursued.

# 8.2 Half-triangular lag function



The half-triangular lag function grows linearly until  $t_{\text{lag}}$  and then drops to zero, as shown in the schematic. The slope  $\alpha$  is determined from the constraint that the total area of the triangle must be equal to 1.

$$f_{ ext{lag}} = lpha t$$
 for  $t \leq t_{ ext{lag}}$   $f_{ ext{lag}} = 0$  for  $t > t_{ ext{lag}}$ 

SuperflexPy provides the class LagElement that contains most of the functionalities needed to calculate the output of a lag function. The class implementing a customized lag function will inherit from LagElement, and implement only the methods needed to compute the weight array.

```
import numpy as np
class TriangularLag(LagElement):
```

The only method requiring implementation is the private method used to calculate the weight array.

```
def _build_weight(self, lag_time):
2
            weight = []
3
            for t in lag_time:
5
                array_length = np.ceil(t)
                w_i = []
                for i in range(int(array_length)):
                    w_i.append(self._calculate_lag_area(i + 1, t)
10
                                 - self._calculate_lag_area(i, t))
11
12
                weight.append(np.array(w_i))
13
14
15
            return weight
```

The method \_build\_weight makes use of a secondary private static method

This method returns the area  $A_i$  of the red triangle in the figure, which has base  $t_i$  (bin). The method \_build\_weight uses this function to calculate the weight array  $W_i$ , as the difference between  $A_i$  and  $A_{i-1}$ .

Note that the method \_build\_weight can be implemented using other approaches, e.g., without using auxiliary methods.

## 8.3 Parameterized splitter

A splitter is an element that takes the flux from an upstream element and distributes it to feed multiple downstream elements. The element is controlled by parameters that define the portions of the flux that go into specific elements.

The simple case that we consider here has a single input flux that is split to two downstream elements. In this case, the splitter requires only one parameter  $\alpha_{\text{split}}$ . The fluxes to the downstream elements are

$$egin{aligned} Q_1^{ ext{out}} &= lpha_{ ext{split}} Q^{ ext{in}} \ Q_2^{ ext{out}} &= (1 - lpha_{ ext{split}}) \, Q^{ ext{in}} \end{aligned}$$

SuperflexPy provides the class ParameterizedElement, which can be extended to implement all elements that are controlled by parameters but do not have a state. The class implementing the parameterized splitter will inherit from ParameterizedElement and implement only the methods required for the new functionality.

```
from superflexpy.framework.element import ParameterizedElement

class ParameterizedSingleFluxSplitter(ParameterizedElement):
```

First, we define two private attributes that specify the number of upstream and downstream elements of the splitter. This information is used by the unit when constructing the model structure.

```
_num_downstream = 2
_num_upstream = 1
```

We then define the method that receives the inputs, and the method that calculates the outputs.

The two methods have the same structure as the ones implemented as part of the earlier *Linear reservoir* example. Note that, in this case, the argument solve of the method get\_output is not used, but is still required to maintain a consistent interface.

## **EXPAND SUPERFLEXPY: BUILD CUSTOMIZED COMPONENTS**

# 9.1 Adding routing to a node

Nodes in SuperflexPy have the capability to apply a lag to the fluxes simulated by the units. Such lags can represent routing delays in the fluxes as they propagate through the catchment ("internal" routing), or routing delays associated with the river network ("external" routing). Both types of routing can be implemented within a SuperflexPy node.

The default implementation of the node (Node class in superflexpy.framework.node) does not provide the routing functionality. The methods \_internal\_routing and external\_routing exist but are set to simply return the incoming fluxes without any transformation.

To support routing within a node, we need to create a customized node that implements the methods \_\_internal\_routing and external\_routing for given lag functions. The object-oriented design of SuperflexPy simplifies this operation, because the new node class inherits all the methods from the original class, and has to overwrite only the two methods that are responsible for the routing.

In this example, we illustrate an implementation of routing with a lag function in the shape of an isosceles triangle with base t\_internal and t\_external, for internal and external routing respectively. This new implementation is similar to the implementation of the *Half-triangular lag function*.

The first step is to import the Node component from SuperflexPy and define the class RoutedNode

```
from superflexpy.framework.node import Node

class RoutedNone(Node):
```

We then need to implement the methods \_internal\_routing and external\_routing. Both methods receive as input a list of fluxes, and return as output the fluxes (in the same order of the inputs) with the delay applied.

```
flux_out = []

for f in flux:
    flux_out.append(self._route(f, t_external))

return flux_out
```

In this simple example, the two routing mechanisms are handled using the same lag functional form. Hence, the methods \_internal\_routing and external\_routing take advantage of the method \_route (line 7 and 17).

The method \_route is implemented as follows

```
def _route(self, flux, time):
            state = np.zeros(int(np.ceil(time)))
            weight = self._calculate_weight(time)
            out = []
6
            for value in flux:
                 state = state + weight * value
                out.append(state[0])
                 state[0] = 0
10
                 state = np.roll(state, shift=-1)
11
12
13
            return np.array(out)
14
       def _calculate_weight(self, time):
15
16
            weight = []
17
18
            array_length = np.ceil(time)
19
20
            for i in range(int(array_length)):
21
                 weight.append(self._calculate_lag_area(i + 1, time)
22
                                 - self._calculate_lag_area(i, time))
23
24
            return weight
25
26
        @staticmethod
27
        def _calculate_lag_area(portion, time):
28
29
            half_time = time / 2
30
31
            if portion <= 0:</pre>
32
                value = 0
33
            elif portion < half_time:</pre>
34
                 value = 2 * (portion / time) ** 2
35
            elif portion < time:</pre>
36
                 value = 1 - 2 * ((time - portion) / time) * *2
37
            else:
38
                value = 1
39
40
            return value
```

Note that the code in this block is similar to the code implemented in *Half-triangular lag function*. The methods in this last code block are "support" methods that make the code more organized and easier to maintain. The same

numerical results can be obtained by moving the functionality of these methods directly into \_internal\_routing and external\_routing, though the resulting code would be less modular.

# APPLICATION: IMPLEMENTATION OF EXISTING CONCEPTUAL MODELS

This page describes the SuperflexPy implementation of several existing conceptual hydrological models. The "translation" of a model into SuperflexPy requires the following steps:

- 1. Design of a structure that reflects the original model but satisfies the requirements of SuperflexPy (e.g. does not contain mutual interaction between elements, see *Unit*);
- 2. Extension of the framework, coding the required elements (as explained in the page *Expand SuperflexPy: Build customized elements*)
- 3. Construction of the model structure using the elements implemented at step 2

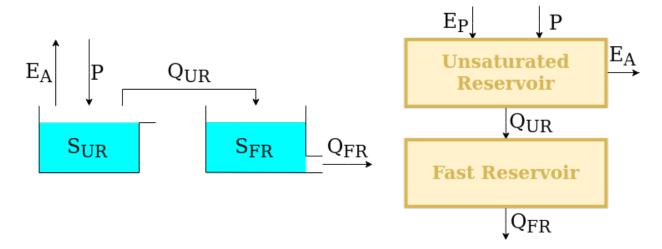
# 10.1 Model M4 from Kavetski and Fenicia, WRR, 2011

M4 is a simple lumped conceptual model presented, as part of a model comparison study, in the article

Kavetski, D., and F. Fenicia (2011), **Elements of a flexible approach for conceptual hydrological modeling: 2. Application and experimental insights**, WaterResour.Res.,47, W11511, doi:10.1029/2011WR010748.

## 10.1.1 Design of the model structure

M4 has a simple structure that can be implemented in SuperflexPy without using connection elements. The figure shows, on the left, the structure as presented in the original M4 publication; on the right, a schematic of the SuperflexPy implementation is shown.



The upstream element, namely, the unsaturated reservoir (UR), is intended to represent runoff generation processes (e.g. separation between evaporation and runoff). It is controlled by the differential equation

$$\begin{split} \overline{S} &= \frac{S_{\text{UR}}}{S_{\text{max}}} \\ \frac{\text{d}S_{\text{UR}}}{\text{d}t} &= P - E_{\text{P}} \left( \frac{\overline{S} \left( 1 + m \right)}{\overline{S} + m} \right) - P \left( \overline{S} \right)^{\beta} \end{split}$$

The downstream element, namely, the fast reservoir (FR), is intended to represent runoff propagation processes (e.g. routing). It is controlled by the differential equation

$$\frac{\mathrm{d}S_{\mathrm{FR}}}{\mathrm{d}t} = P - kS_{\mathrm{FR}}^{\alpha}$$

 $S_{\text{UR}}$  and  $S_{\text{FR}}$  are the model states, P is the precipitation input flux,  $E_{\text{P}}$  is the potential evapotranspiration (a model input), and  $S_{\text{max}}$ , m,  $\beta$ , k,  $\alpha$  are the model parameters.

### 10.1.2 Element creation

We now show how to use SuperflexPy to implement the elements described in the previous section. A detailed explanation of how to use the framework to build new elements can be found in the page *Expand SuperflexPy: Build customized elements*.

Note that, most of the times, when implementing a model structure with SuperflexPym the elements have already been implemented in SuperflexPy and, therefore, the modeller does not need to implement them. A list of the currently implemented elements is provided in the page *List of currently implemented elements*.

#### **Unsaturated reservoir**

This element can be implemented by extending the class ODEsElement.

```
approximation=approximation,
                                   id=id)
10
            self._fluxes_python = [self._fluxes_function_python]
12
            if approximation.architecture == 'numba':
13
                self._fluxes = [self._fluxes_function_numba]
14
            elif approximation.architecture == 'python':
15
                self._fluxes = [self._fluxes_function_python]
16
17
       def set_input(self, input):
18
20
            self.input = {'P': input[0],
                           'PET': input[1]}
21
22
       def get_output(self, solve=True):
23
24
            if solve:
25
                self._solver_states = [self._states[self._prefix_states + 'S0']]
26
27
                self._solve_differential_equation()
28
29
                # Update the state
30
                self.set_states({self._prefix_states + 'S0': self.state_array[-1, 0]})
31
32
33
            fluxes = self._num_app.get_fluxes(fluxes=self._fluxes_python,
                                                 S=self.state_array,
34
                                                 S0=self._solver_states,
35
                                                 dt=self._dt,
36
                                                 **self.input,
37
                                                 **{k[len(self._prefix_parameters):]: self._
38
    →parameters[k] for k in self._parameters},
39
            return [-fluxes[0][2]]
40
41
       def get_AET(self):
42.
43
            try:
                S = self.state_array
            except AttributeError:
46
                message = '{}get_aet method has to be run after running '.format(self._
47
    →error message)
                message += 'the model using the method get_output'
48
                raise AttributeError(message)
49
50
            fluxes = self._num_app.get_fluxes(fluxes=self._fluxes_python,
51
52
                                                 S0=self._solver_states,
53
                                                 dt=self._dt,
54
                                                 **self.input,
55
                                                 **{k[len(self._prefix_parameters):]: self._
    →parameters[k] for k in self._parameters},
57
            return [- fluxes[0][1]]
58
59
        # PROTECTED METHODS
60
```

```
@staticmethod
62
        def _fluxes_function_python(S, S0, ind, P, Smax, m, beta, PET, dt):
63
64
             if ind is None:
65
                  return (
67
                      [
                           Ρ,
68
                           - PET \star ((S / Smax) \star (1 + m)) / ((S / Smax) + m),
69
                           - P * (S / Smax) **beta,
70
71
                      ],
                      0.0,
72
                      S0 + P * dt
73
                  )
             else:
75
                  return (
76
77
                      [
                           P[ind],
78
                           - PET[ind] * ((S / Smax[ind]) * (1 + m[ind])) / ((S / Smax[ind])_
    \hookrightarrow+ m[ind]),
                           - P[ind] * (S / Smax[ind]) **beta[ind],
80
                      ],
81
                      0.0,
82
                      SO + P[ind] * dt[ind],
83
84
                      [
                           0.0,
85
86
                           - (Ce[ind] * PET[ind] * m[ind] * (m[ind] + 1) * Smax[ind])/((S + ...
    \rightarrowm[ind] * Smax[ind])**2),
                           - (P[ind] * beta[ind] / Smax[ind]) * (S / Smax[ind]) ** (beta[ind] -
87
    \hookrightarrow 1),
                      ]
88
89
                  )
90
91
        @nb.jit('Tuple((UniTuple(f8, 3), f8, f8, UniTuple(f8, 3)))(optional(f8), f8, i4,...
92
    →f8[:], f8[:], f8[:], f8[:], f8[:], f8[:])',
                 nopython=True)
93
94
        def _fluxes_function_numba(S, S0, ind, P, Smax, m, beta, PET, dt):
             return (
                  (
97
                      P[ind],
98
                      PET[ind] * ((S / Smax[ind]) * (1 + m[ind])) / ((S / Smax[ind]) +__
    \rightarrowm[ind]),
                      - P[ind] * (S / Smax[ind]) **beta[ind],
100
101
                  ),
102
                  S0 + P[ind] * dt[ind],
103
                  (
104
                      0.0,
105
                      - (Ce[ind] * PET[ind] * m[ind] * (m[ind] + 1) * Smax[ind])/((S +_
106
    \rightarrowm[ind] * Smax[ind])**2),
                      - (P[ind] * beta[ind] / Smax[ind]) * (S / Smax[ind]) ** (beta[ind] - 1),
107
                  )
108
             )
109
```

#### Fast reservoir

This element can be implemented by extending the class ODEsElement.

```
class PowerReservoir(ODEsElement):
       def __init__(self, parameters, states, approximation, id):
            ODEsElement.__init__(self,
                                  parameters=parameters,
                                  states=states,
                                  approximation=approximation,
                                  id=id)
10
           self._fluxes_python = [self._fluxes_function_python] # Used by get fluxes,...
11
    →regardless of the architecture
12
            if approximation.architecture == 'numba':
13
                self._fluxes = [self._fluxes_function_numba]
            elif approximation.architecture == 'python':
15
                self._fluxes = [self._fluxes_function_python]
16
17
        # METHODS FOR THE USER
18
19
       def set_input(self, input):
20
21
            self.input = {'P': input[0]}
22
23
       def get_output(self, solve=True):
24
25
            if solve:
26
                self._solver_states = [self._states[self._prefix_states + 'S0']]
27
                self._solve_differential_equation()
28
29
                # Update the state
30
                self.set_states({self._prefix_states + 'S0': self.state_array[-1, 0]})
31
32
            fluxes = self._num_app.get_fluxes(fluxes=self._fluxes_python, # I can use_
    →the python method since it is fast
                                                S=self.state_array,
34
                                                S0=self._solver_states,
35
                                                dt=self._dt,
36
                                                **self.input,
37
                                                **{k[len(self._prefix_parameters):]: self._
    →parameters[k] for k in self._parameters},
40
            return [- fluxes[0][1]]
41
42
        # PROTECTED METHODS
43
44
       @staticmethod
45
       def _fluxes_function_python(S, S0, ind, P, k, alpha, dt):
46
47
            if ind is None:
48
                return (
49
50
                    ſ
                         Ρ,
```

```
- k * S**alpha,
52
                      ],
53
                      0.0.
54
                      S0 + P * dt
                 )
            else:
57
                 return (
58
                      [
59
                          P[ind],
60
                          - k[ind] * S**alpha[ind],
61
62
                      ],
                      0.0,
                      SO + P[ind] * dt[ind],
                      [
65
                          0.0,
66
                          - k[ind] * alpha[ind] * S**(alpha[ind] - 1)
67
                      ]
68
                 )
70
        @staticmethod
71
        @nb.jit('Tuple((UniTuple(f8, 2), f8, f8, UniTuple(f8, 2)))(optional(f8), f8, i4,...
72
    →f8[:], f8[:], f8[:], f8[:])',
                 nopython=True)
73
        def _fluxes_function_numba(S, S0, ind, P, k, alpha, dt):
74
75
76
            return (
                 (
77
                      P[ind],
78
                      - k[ind] * S**alpha[ind],
79
                 ),
80
81
                 0.0,
                 S0 + P[ind] * dt[ind],
82
                 (
83
                      0.0,
84
                      - k[ind] * alpha[ind] * S**(alpha[ind] - 1)
85
                 )
86
            )
```

#### 10.1.3 Model initialization

Now that all elements are implemented, they can be combined to build the model structure. For details refer to *How to build a model with SuperflexPy*.

First, we initialize all elements.

```
root_finder = PegasusPython()
numeric_approximator = ImplicitEulerPython(root_finder=root_finder)

ur = UnsaturatedReservoir(
    parameters={'Smax': 50.0, 'Ce': 1.0, 'm': 0.01, 'beta': 2.0},
    states={'S0': 25.0},
    approximation=numeric_approximator,
    id='UR'
)
```

```
fr = PowerReservoir(
    parameters={'k': 0.1, 'alpha': 1.0},
    states={'S0': 10.0},
    approximation=numeric_approximator,
    id='FR'
```

Next, the elements can be put together to create a Unit that reflects the structure presented in the figure.

### 10.2 GR4J

GR4J is a widely used conceptual hydrological model introduced in the article

Perrin, C., Michel, C., and Andréassian, V.: Improvement of a parsimonious model for streamflow simulation, Journal of Hydrology, 279, 275-289, https://doi.org/10.1016/S0022-1694(03)00225-7, 2003.

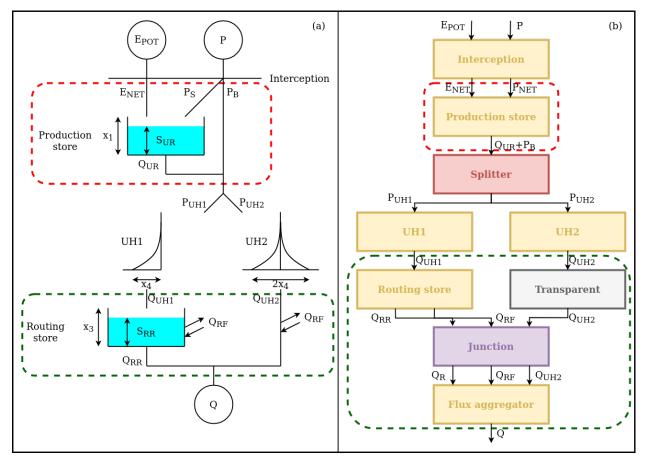
The solution adopted here follows the "continuous state-space representation" presented in

Santos, L., Thirel, G., and Perrin, C.: Continuous state-space representation of a bucket-type rainfall-runoff model: a case study with the GR4 model using state-space GR4 (version 1.0), Geosci. Model Dev., 11, 1591-1605, 10.5194/gmd-11-1591-2018, 2018.

## 10.2.1 Design of the model structure

The figure shows, on the left, the model structure as presented in Perrin et al., 2003; on the right, the adaptation to SuperflexPy is shown.

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The potential evaporation and the precipitation are "filtered" by an interception element, that calculates the net fluxes by setting the smallest to zero and the largest to the difference between the two fluxes.

$$\begin{split} &\text{if } P > E_{\text{POT}}: \\ &P_{\text{NET}} = P - E_{\text{POT}} \\ &E_{\text{NET}} = 0 \\ &\text{else}: \\ &P_{\text{NET}} = 0 \\ &E_{\text{NET}} = E_{\text{POT}} - P \end{split}$$

This element is implemented in SuperflexPy using the "interception filter".

After the interception filter, the SuperflexPy implementation starts to differ from the original. In the original implementation of GR4J, the precipitation is split between a part  $P_{\rm s}$  that flows into the production store and the remaining part  $P_{\rm b}$  that bypasses the reservoir.  $P_{\rm s}$  and  $P_{\rm b}$  are both functions of the state of the reservoir

$$P_{\rm s} = P_{\rm NET} \left( 1 - \left( \frac{S_{\rm UR}}{x_1} \right)^{\alpha} \right)$$

$$P_{\rm b} = P_{\rm NET} \left( \frac{S_{\rm UR}}{x_1} \right)^{\alpha}$$

When we implement this part of the model in SuperflexPy, these two fluxes cannot be calculated before solving the reservoir (due to the representation of the *Unit* as a succession of layers).

To solve this problem, in the SuperflexPy implementation of GR4J, all precipitation (and not only  $P_s$ ) flows into an element that incorporates the production store. This element takes care of dividing the precipitation internally, while

solving the differential equation

$$\frac{\mathrm{d}S_{\mathrm{UR}}}{\mathrm{d}t} = P_{\mathrm{NET}} \left( 1 - \left( \frac{S_{\mathrm{UR}}}{x_1} \right)^{\alpha} \right) - E_{\mathrm{NET}} \left( 2 \frac{S_{\mathrm{UR}}}{x_1} - \left( \frac{S_{\mathrm{UR}}}{x_1} \right)^{\alpha} \right) - \frac{x_1^{1-\beta}}{(\beta-1)} \nu^{\beta-1} S_{\mathrm{UR}}^{\beta}$$

where the first term is the precipitation  $P_s$ , the second term is the actual evaporation, and the third term represents the output of the reservoir, which here corresponds to "percolation".

Once the reservoir is solved (i.e. the values of  $S_{UR}$  that solve the discretized differential equation over a time step are found), the element outputs the sum of percolation and bypassing precipitation  $(P_b)$ .

The flux is then divided between two lag functions, referred to as "unit hydrographs" and abbreviated UH: 90% of the flux goes to UH1 and 10% goes to UH2. In this part of the model structure the correspondence between the elements of GR4J and their SuperflexPy implementation is quite clear.

The output of UH1 provides the input of the routing store, which is a reservoir controlled by the differential equation

$$\frac{dS_{RR}}{dt} = Q_{UH1} - \frac{x_3^{1-\gamma}}{(\gamma - 1)} S_{RR}^{\gamma} - \frac{x_2}{x_3^{\omega}} S_{RR}^{\omega}$$

where the second term is the output of the reservoir and the last is a gain/loss term (called  $Q_{RF}$ ).

The gain/loss term  $Q_{\rm RF}$ , which is a function of the state  $S_{\rm RR}$  of the reservoir, is also subtracted from the output of UH2. In SuperflexPy, this operation cannot be done in the same unit layer as the solution of the routing store, and instead it is done afterwards. For this reason, the SuperflexPy implementation of GR4J has an additional element (called "flux aggregator") that uses a junction element to combine the output of the routing store, the gain/loss term, and the output of UH2. The flux aggregator then computes the outflow of the model using the equation

$$Q = Q_{RR} + \max(0; Q_{UH2} - Q_{RF})$$

#### 10.2.2 Elements creation

We now show how to use SuperflexPy to implement the elements described in the previous section. A detailed explanation of how to use the framework to build new elements can be found in the page *Expand SuperflexPy: Build customized elements*.

Note that, most of the times, when implementing a model structure with SuperflexPym the elements have already been implemented in SuperflexPy and, therefore, the modeller does not need to implement them. A list of the currently implemented elements is provided in the page *List of currently implemented elements*.

#### Interception

The interception filter can be implemented by extending the class BaseElement

```
class InterceptionFilter(BaseElement):

__num_upstream = 1
__num_downstream = 1

def set_input(self, input):

self.input = {}
self.input['PET'] = input[0]
self.input['P'] = input[1]

def get_output(self, solve=True):
```

(continues on next page)

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```
remove = np.minimum(self.input['PET'], self.input['P'])

return [self.input['PET'] - remove, self.input['P'] - remove]
```

#### **Production store**

The production store is controlled by a differential equation and, therefore, can be constructed by extending the class ODEsElement

```
class ProductionStore(ODEsElement):
2
       def __init__(self, parameters, states, approximation, id):
3
            ODEsElement.__init__(self,
5
                                  parameters=parameters,
6
                                  states=states,
                                  approximation=approximation,
                                  id=id)
10
            self._fluxes_python = [self._flux_function_python]
11
12
            if approximation.architecture == 'numba':
13
                self._fluxes = [self._flux_function_numba]
14
            elif approximation.architecture == 'python':
15
                self._fluxes = [self._flux_function_python]
16
17
       def set_input(self, input):
18
19
            self.input = {}
20
            self.input['PET'] = input[0]
21
            self.input['P'] = input[1]
22
23
       def get_output(self, solve=True):
24
25
            if solve:
26
                # Solve the differential equation
27
                self._solver_states = [self._states[self._prefix_states + 'S0']]
                self._solve_differential_equation()
29
30
                # Update the states
31
                self.set_states({self._prefix_states + 'S0': self.state_array[-1, 0]})
32
33
            fluxes = self._num_app.get_fluxes(fluxes=self._fluxes_python,
34
35
                                                S=self.state_array,
                                                S0=self._solver_states,
                                                dt=self._dt,
37
                                                **self.input,
38
                                                **{k[len(self._prefix_parameters):]: self._
39
    →parameters[k] for k in self._parameters},
40
41
            Pn_minus_Ps = self.input['P'] - fluxes[0][0]
42
            Perc = - fluxes[0][2]
43
            return [Pn_minus_Ps + Perc]
44
```

(continues on next page)

70

```
45
        def get_aet(self):
46
47
48
            try:
                S = self.state_array
            except AttributeError:
50
                message = '{}get_aet method has to be run after running '.format(self._
51
    →error_message)
                message += 'the model using the method get_output'
52
                raise AttributeError(message)
53
54
            fluxes = self._num_app.get_fluxes(fluxes=self._fluxes_python,
55
                                                  S=S.
                                                  S0=self._solver_states,
57
                                                  dt=self. dt,
58
                                                  **self.input,
59
                                                  **{k[len(self._prefix_parameters):]: self._
60
    →parameters[k] for k in self._parameters},
61
62
            return [- fluxes[0][1]]
63
64
        @staticmethod
65
        def _flux_function_python(S, S0, ind, P, x1, alpha, beta, ni, PET, dt):
66
68
            if ind is None:
                return (
69
                     [
70
                         P * (1 - (S / x1) **alpha), # Ps
71
                         - PET * (2 * (S / x1) - (S / x1) **alpha), # Evaporation
72
                          - ((x1**(1 - beta)) / ((beta - 1))) * (ni**(beta - 1)) *...
73

→ (S**beta)
                # Perc
                     ],
74
                     0.0,
75
                     SO + P * (1 - (S / x1) **alpha) * dt
76
77
            else:
78
                return (
                     [
                         P[ind] * (1 - (S / x1[ind]) * *alpha[ind]), # Ps
81
                         - PET[ind] * (2 * (S / x1[ind]) - (S / x1[ind]) * *alpha[ind]), #__
82
    → Evaporation
                         - ((x1[ind] **(1 - beta[ind])) / ((beta[ind] - 1))) *_
83
    \hookrightarrow (ni[ind] ** (beta[ind] - 1)) * (S**beta[ind]) # Perc
                     ],
85
                     SO + P[ind] * (1 - (S / x1[ind]) * *alpha[ind]) * dt[ind],
86
87
                         - (P[ind] * alpha[ind] / x1[ind]) * ((S / x1[ind]) ** (alpha[ind] -_
88
    \hookrightarrow 1)),
                         - (PET[ind] / x1[ind]) * (2 - alpha[ind] * ((S /_
    \rightarrowx1[ind]) **(alpha[ind] - 1))),
                         - beta[ind] * ((x1[ind]**(1 - beta[ind])) / ((beta[ind] - 1) *...
    →dt[ind])) * (ni[ind] ** (beta[ind] - 1)) * (S** (beta[ind] - 1))
91
                     ]
                )
92
```

(continues on next page)

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```
@staticmethod
94
        @nb.jit('Tuple((UniTuple(f8, 3), f8, f8, UniTuple(f8, 3)))(optional(f8), f8, i4,_
95
    →f8[:], f8[:], f8[:], f8[:], f8[:], f8[:])',
                  nopython=True)
        def _flux_function_numba(S, S0, ind, P, x1, alpha, beta, ni, PET, dt):
98
             return(
                  (
100
                      P[ind] * (1 - (S / x1[ind]) * *alpha[ind]), # Ps
101
                      - PET[ind] * (2 * (S / x1[ind]) - (S / x1[ind]) **alpha[ind]), #_
102
    \hookrightarrow Evaporation
                      - ((x1[ind] **(1 - beta[ind])) / ((beta[ind] - 1))) *_
103
    \hookrightarrow (ni[ind] ** (beta[ind] - 1)) * (S**beta[ind]) # Perc
                  ),
104
                  0.0,
105
                 SO + P[ind] * (1 - (S / x1[ind]) * *alpha[ind]) * dt[ind],
106
107
                       - (P[ind] \star alpha[ind] / \times1[ind]) \star ((S / \times1[ind]) \star\star (alpha[ind] - 1)),
108
                      - (PET[ind] / x1[ind]) * (2 - alpha[ind] * ((S /_
109
    \rightarrowx1[ind]) **(alpha[ind] - 1))),
                      - beta[ind] * ((x1[ind]**(1 - beta[ind])) / ((beta[ind] - 1) *...
110
    \rightarrowdt[ind])) * (ni[ind] ** (beta[ind] - 1)) * (S** (beta[ind] - 1))
111
             )
112
```

#### **Unit hydrographs**

The unit hydrographs are an extension of the LagElement, and can be implemented as follows

```
class UnitHydrograph1(LagElement):
2
       def __init__(self, parameters, states, id):
3
            LagElement.__init__(self, parameters, states, id)
       def _build_weight(self, lag_time):
            weight = []
10
            for t in lag_time:
11
                array_length = np.ceil(t)
12
13
                w_i = []
                for i in range(int(array_length)):
14
                     w_i.append(self._calculate_lag_area(i + 1, t)
15
                                 - self._calculate_lag_area(i, t))
16
                weight.append(np.array(w_i))
17
18
            return weight
20
21
       @staticmethod
       def _calculate_lag_area(bin, len):
22
            if bin <= 0:
23
                value = 0
24
            elif bin < len:</pre>
25
                value = (bin / len) **2.5
```

```
else:
                 value = 1
28
            return value
29
   class UnitHydrograph2 (LagElement):
        def __init__(self, parameters, states, id):
            LagElement.__init__(self, parameters, states, id)
6
        def _build_weight(self, lag_time):
7
            weight = []
10
            for t in lag_time:
12
                array_length = np.ceil(t)
                w_i = []
13
                 for i in range(int(array_length)):
14
                     w_i.append(self._calculate_lag_area(i + 1, t)
15
                                 - self._calculate_lag_area(i, t))
16
                 weight.append(np.array(w_i))
18
            return weight
19
20
        @staticmethod
21
        def _calculate_lag_area(bin, len):
22
            half_len = len / 2
23
            if bin <= 0:
24
                value = 0
25
            elif bin < half_len:</pre>
26
                value = 0.5 * (bin / half_len) **2.5
27
            elif bin < len:</pre>
28
                value = 1 - 0.5 * (2 - bin / half_len) **2.5
29
            else:
                 value = 1
31
            return value
32
```

#### **Routing store**

27

The routing store is an ODEsElement

```
class RoutingStore(ODEsElement):
       def __init__(self, parameters, states, approximation, id):
3
           ODEsElement.__init__(self,
                                 parameters=parameters,
                                 states=states,
                                 approximation=approximation,
8
                                 id=id)
10
           self._fluxes_python = [self._flux_function_python]
11
12
           if approximation.architecture == 'numba':
13
               self._fluxes = [self._flux_function_numba]
```

(continues on next page)

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```
elif approximation.architecture == 'python':
15
                self._fluxes = [self._flux_function_python]
16
17
        def set_input(self, input):
18
19
            self.input = {}
20
            self.input['P'] = input[0]
21
22
       def get_output(self, solve=True):
23
24
            if solve:
25
                # Solve the differential equation
27
                self._solver_states = [self._states[self._prefix_states + 'S0']]
                self._solve_differential_equation()
28
29
                 # Update the states
30
                self.set_states({self._prefix_states + 'S0': self.state_array[-1, 0]})
31
32
            fluxes = self._num_app.get_fluxes(fluxes=self._fluxes_python,
33
                                                  S=self.state_array,
34
                                                  S0=self._solver_states,
35
                                                  dt=self._dt,
36
                                                  **self.input,
37
                                                  **{k[len(self._prefix_parameters):]: self._
38
    →parameters[k] for k in self._parameters},
40
            Or = - fluxes[0][1]
41
            F = -fluxes[0][2]
42
43
44
            return [Qr, F]
45
        @staticmethod
46
        def _flux_function_python(S, S0, ind, P, x2, x3, gamma, omega, dt):
47
48
            if ind is None:
49
                return(
50
51
                     [
52
                         P, # P
53
                          -((x3**(1 - qamma)) / ((qamma - 1))) * (S**qamma), # Qr
                          - (x2 * (S / x3) **omega), # F
54
55
                     ],
                     0.0,
56
                     S0 + P * dt
57
58
            else:
59
                return (
60
                     Γ
61
                         P[ind], # P
62.
                          -((x3[ind]**(1 - gamma[ind])) / ((gamma[ind] - 1))) *_{...}
63
    \hookrightarrow (S**gamma[ind]),
                        # Qr
                          - (x2[ind] * (S / x3[ind]) **omega[ind]), # F
                     ],
65
                     0.0,
66
                     SO + P[ind] * dt[ind],
67
68
                     [
                         0.0,
```

```
- ((x3[ind]**(1 - gamma[ind])) / ((gamma[ind] - 1) * dt[ind])) *__
70
    \hookrightarrow (S**(gamma[ind] - 1)) * gamma[ind],
                           - (omega[ind] * x2[ind] * ((S / x3[ind]) ** (omega[ind] - 1)))
71
                      ]
72
73
74
        @staticmethod
75
        @nb.jit('Tuple((UniTuple(f8, 3), f8, f8, UniTuple(f8, 3)))(optional(f8), f8, i4,...
76
    \hookrightarrowf8[:], f8[:], f8[:], f8[:], f8[:], f8[:])',
                 nopython=True)
77
        def _flux_function_numba(S, S0, ind, P, x2, x3, gamma, omega, dt):
78
            return (
                 (
81
                      P[ind], # P
82
                      -((x3[ind]**(1 - gamma[ind])) / ((gamma[ind] - 1))) *_{\Box}
83
    \hookrightarrow (S**gamma[ind]), # Qr
                      - (x2[ind] * (S / x3[ind]) **omega[ind]), # F
84
85
                 ),
                 0.0,
86
                 S0 + P[ind] * dt[ind],
87
                 (
88
                      0.0,
89
                      -((x3[ind]**(1 - gamma[ind])) / ((gamma[ind] - 1) * dt[ind])) *_{...}
    \hookrightarrow (S**(gamma[ind] - 1)) * gamma[ind],
91
                      - (omega[ind] * x2[ind] * ((S / x3[ind])**(omega[ind] - 1)))
92
            )
```

#### Flux aggregator

The flux aggregator can be implemented by extending a BaseElement

```
class FluxAggregator(BaseElement):
       _num_downstream = 1
       _num_upstream = 1
       def set_input(self, input):
6
           self.input = {}
           self.input['Qr'] = input[0]
           self.input['F'] = input[1]
10
           self.input['Q2_out'] = input[2]
11
12
       def get_output(self, solve=True):
13
14
           return [self.input['Qr']
                   + np.maximum(0, self.input['Q2_out'] - self.input['F'])]
```

#### 10.2.3 Model initialization

Now that all elements are implemented, we can combine them to build the model structure. For details refer to *How to build a model with SuperflexPy*.

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First, we initialize all elements.

```
x1, x2, x3, x4 = (50.0, 0.1, 20.0, 3.5)
2
   root_finder = PegasusPython() # Use the default parameters
3
   numerical_approximation = ImplicitEulerPython(root_finder)
4
   interception_filter = InterceptionFilter(id='ir')
   production_store = ProductionStore(parameters={'x1': x1, 'alpha': 2.0,
                                                     'beta': 5.0, 'ni': 4/9},
                                        states={'S0': 10.0},
10
                                        approximation=numerical_approximation,
11
                                        id='ps')
12
13
   splitter = Splitter(weight=[[0.9], [0.1]],
                        direction=[[0], [0]],
15
                        id='spl')
16
17
   unit_hydrograph_1 = UnitHydrograph1(parameters={'lag-time': x4},
18
                                          states={'lag': None},
19
                                          id='uh1')
20
21
   unit_hydrograph_2 = UnitHydrograph2(parameters={'lag-time': 2*x4},
22
                                          states={'lag': None},
23
                                          id='uh2')
24
25
   routing_store = RoutingStore(parameters={'x2': x2, 'x3': x3,
26
                                               'gamma': 5.0, 'omega': 3.5},
27
                                  states={'S0': 10.0},
28
                                  approximation=numerical_approximation,
29
30
31
   transparent = Transparent(id='tr')
32
33
   junction = Junction(direction=[[0, None], # First output
34
                                    [1, None], # Second output
35
                                    [None, 0]], # Third output
36
                        id='jun')
37
38
   flux_aggregator = FluxAggregator(id='fa')
```

The elements are then put together to define a Unit that reflects the GR4J structure presented in the figure.

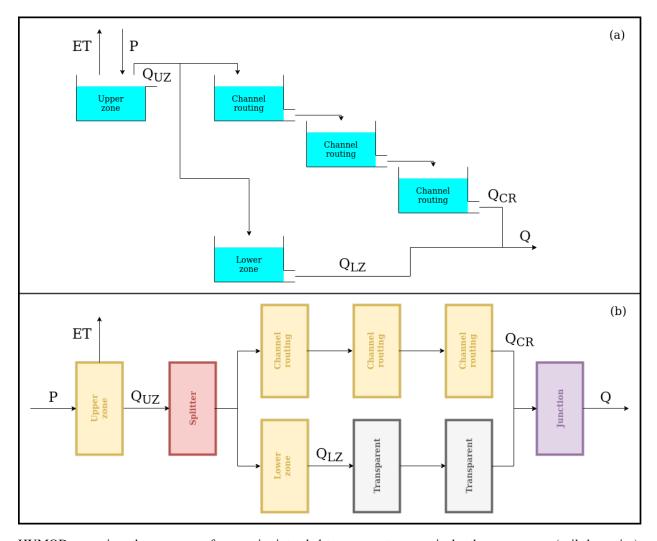
## **10.3 HYMOD**

HYMOD is another widely used conceptual hydrological model. It was first published in

Boyle, D. P. (2001). **Multicriteria calibration of hydrologic models**, The University of Arizona. Link The solution proposed here follows the model structure presented in

Wagener, T., Boyle, D. P., Lees, M. J., Wheater, H. S., Gupta, H. V., and Sorooshian, S.: **A framework for development and application of hydrological models**, Hydrol. Earth Syst. Sci., 5, 13–26, https://doi.org/10.5194/hess-5-13-2001, 2001.

## 10.3.1 Design of the structure



HYMOD comprises three groups of reservoirs intended to represent, respectively, the upper zone (soil dynamics), channel routing (surface runoff), and lower zone (subsurface flow).

As can be seen in the figure, the original structure of HYMOD already meets the design constrains of SuperflexPy (it does not contains feedbacks between elements). Therefore the SuperflexPy implementation of HYMOD is more straightforward than for GR4J.

The upper zone is a reservoir intended to represent streamflow generation processes and evaporation. It is controlled

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by the differential equation

$$\begin{split} \overline{S} &= \frac{S_{\text{UR}}}{S_{\text{max}}} \\ \frac{\text{d}S_{\text{UR}}}{\text{d}t} &= P - E - P \left(1 - \left(1 - \overline{S}\right)^{\beta}\right) \end{split}$$

where the first term is the precipitation input, the second term is the actual evaporation (which is equal to the potential evaporation as long as there is sufficient storage in the reservoir), and the third term is the outflow from the reservoir.

The outflow from the reservoir is then split between the channel routing (3 reservoirs) and the lower zone (1 reservoir). All these elements are represented by linear reservoirs controlled by the differential equation

$$\frac{\mathrm{d}S}{\mathrm{d}t} = P - kS$$

where the first term is the input (here, the outflow from the upstream element) and the second term represents the outflow from the reservoir.

Channel routing and lower zone differ from each other in the number of reservoirs used (3 in the first case and 1 in the second), and in the value of the parameter k, which controls the outflow rate. Based on intended model operation, k should have a larger value for channel routing because this element is intended to represent faster processes.

The outputs of these two flowpaths are collected by a junction, which generates the final model output.

Comparing the two panels in the figure, the only difference is the presence of the two transparent elements that are needed to fill the "gaps" in the SuperflexPy structure (see *Unit*).

#### 10.3.2 Elements creation

We now show how to use SuperflexPy to implement the elements described in the previous section. A detailed explanation of how to use the framework to build new elements can be found in the page *Expand SuperflexPy: Build customized elements*.

Note that, most of the times, when implementing a model structure with SuperflexPym the elements have already been implemented in SuperflexPy and, therefore, the modeller does not need to implement them. A list of the currently implemented elements is provided in the page *List of currently implemented elements*.

#### **Upper zone**

The code used to simulate the upper zone present a change in the equation used to calculate the actual evaporation. In the original version (Wagener et al., 1) the equation is "described" in the text

The actual evapotranspiration is equal to the potential value if sufficient soil moisture is available; otherwise it is equal to the available soil moisture content.

which translates to the equation

$$\begin{aligned} &\text{if } S>0:\\ &E=E_{\text{POT}}\\ &\text{else}:\\ &E=0 \end{aligned}$$

Note that this solution is not smooth and the resulting sharp threshold can cause problematic discontinuities in the model behavior. A smooth version of this equation is given by

$$\overline{S} = rac{S_{
m UR}}{S_{
m max}}$$
 
$$E = E_{
m POT} \left(rac{\overline{S}(1+m)}{\overline{S}+m}
ight)$$

The upper zone reservoir can be implemented by extending the class ODEsElement.

```
class UpperZone (ODEsElement):
2
       def __init__(self, parameters, states, approximation, id):
            ODEsElement.__init__(self,
                                  parameters=parameters,
                                  states=states,
                                  approximation=approximation,
                                  id=id)
10
            self._fluxes_python = [self._fluxes_function_python]
11
12
            if approximation.architecture == 'numba':
13
                self._fluxes = [self._fluxes_function_numba]
            elif approximation.architecture == 'python':
15
                self._fluxes = [self._fluxes_function_python]
16
17
       def set_input(self, input):
18
19
            self.input = {'P': input[0],
20
                           'PET': input[1]}
21
22
       def get_output(self, solve=True):
23
24
            if solve:
25
                self._solver_states = [self._states[self._prefix_states + 'S0']]
26
27
                self._solve_differential_equation()
28
29
                # Update the state
30
                self.set_states({self._prefix_states + 'S0': self.state_array[-1, 0]})
31
32
            fluxes = self._num_app.get_fluxes(fluxes=self._fluxes_python,
33
                                                S=self.state_array,
34
                                                S0=self._solver_states,
35
                                                dt=self._dt,
36
                                                 **self.input,
37
                                                 **{k[len(self._prefix_parameters):]: self._
38
    →parameters[k] for k in self._parameters},
39
            return [-fluxes[0][2]]
41
       def get_AET(self):
42
43
           try:
44
                S = self.state_array
45
            except AttributeError:
46
                message = '{}get_aet method has to be run after running '.format(self._
    →error_message)
                message += 'the model using the method get_output'
48
                raise AttributeError(message)
49
50
            fluxes = self._num_app.get_fluxes(fluxes=self._fluxes_python,
51
                                                 S=S,
52
                                                S0=self._solver_states,
53
                                                 dt=self._dt,
54
```

(continues on next page)

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```
**self.input,
55
                                                    **{k[len(self._prefix_parameters):]: self._
56
    →parameters[k] for k in self._parameters},
57
             return [- fluxes[0][1]]
58
59
        # PROTECTED METHODS
60
61
        @staticmethod
62
        def _fluxes_function_python(S, S0, ind, P, Smax, m, beta, PET, dt):
63
             if ind is None:
65
                 return (
66
                      [
67
68
                           - \text{ PET} * ((S / Smax) * (1 + m)) / ((S / Smax) + m),
69
                           - P * (1 - (1 - (S / Smax)) **beta),
70
                      ],
71
                      0.0,
72
                      S0 + P * dt
73
                 )
74
             else:
75
                 return (
76
77
                      [
                          P[ind],
                           - PET[ind] * ((S / Smax[ind]) * (1 + m[ind])) / ((S / Smax[ind])...
    \rightarrow+ m[ind]),
                          - P[ind] * (1 - (1 - (S / Smax[ind])) **beta[ind]),
80
                      ],
81
                      0.0,
82
                      SO + P[ind] * dt[ind],
83
84
85
                           - PET[ind] * m[ind] * Smax[ind] * (1 + m[ind]) / ((S + Smax[ind])
86
    \rightarrow * m[ind])**2),
                          - P[ind] * beta[ind] * ((1 - (S / Smax[ind])) ** (beta[ind] - 1)) / _
87
    \rightarrowSmax[ind],
                      ]
                 )
90
        @staticmethod
91
        @nb.jit('Tuple((UniTuple(f8, 3), f8, f8, UniTuple(f8, 3)))(optional(f8), f8, i4,_
92
    →f8[:], f8[:], f8[:], f8[:], f8[:], f8[:])',
                 nopython=True)
93
94
        def _fluxes_function_numba(S, S0, ind, P, Smax, m, beta, PET, dt):
95
             return (
96
                  (
97
98
                      P[ind],
                      - PET[ind] * ((S / Smax[ind]) * (1 + m[ind])) / ((S / Smax[ind]) +__
    \rightarrowm[ind]),
                      -P[ind] * (1 - (1 - (S / Smax[ind])) **beta[ind]),
100
                 ),
101
                 0.0,
102
                 S0 + P[ind] * dt[ind],
103
104
                  (
                      0.0,
```

#### Channel routing and lower zone

The elements representing channel routing and lower zone are all linear reservoirs that can be implemented by extending the class <code>ODEsElement</code>.

```
class LinearReservoir(ODEsElement):
2
       def __init__(self, parameters, states, approximation, id):
3
           ODEsElement.__init__(self,
                                  parameters=parameters,
                                  states=states,
                                  approximation=approximation,
10
           self._fluxes_python = [self._fluxes_function_python] # Used by get fluxes,_
11
   →regardless of the architecture
12
           if approximation.architecture == 'numba':
13
                self._fluxes = [self._fluxes_function_numba]
14
           elif approximation.architecture == 'python':
15
                self._fluxes = [self._fluxes_function_python]
16
17
       # METHODS FOR THE USER
18
       def set_input(self, input):
20
21
           self.input = {'P': input[0]}
22
23
       def get_output(self, solve=True):
24
25
           if solve:
26
                self._solver_states = [self._states[self._prefix_states + 'S0']]
27
                self._solve_differential_equation()
28
29
                # Update the state
30
                self.set_states({self._prefix_states + 'S0': self.state_array[-1, 0]})
31
32
           fluxes = self._num_app.get_fluxes(fluxes=self._fluxes_python, # I can use_
   →the python method since it is fast
                                                S=self.state_array,
34
                                               S0=self._solver_states,
35
                                               dt=self._dt,
36
                                                **self.input,
37
                                                **{k[len(self._prefix_parameters):]: self._
   →parameters[k] for k in self._parameters},
39
           return [- fluxes[0][1]]
```

(continues on next page)

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```
41
        # PROTECTED METHODS
42
43
        @staticmethod
44
        def _fluxes_function_python(S, S0, ind, P, k, dt):
45
46
             if ind is None:
47
                 return (
48
                      [
49
50
                           - k * S,
51
                      ],
52
53
                      0.0,
                      S0 + P * dt
54
                 )
55
             else:
56
                 return (
57
                      [
58
                           P[ind],
59
                           - k[ind] * S,
60
                      ],
61
                      0.0,
62.
                      SO + P[ind] * dt[ind],
63
                      [
                           0.0,
                           - k[ind]
                      ]
67
68
69
        @staticmethod
70
        @nb.jit('Tuple((UniTuple(f8, 2), f8, f8, UniTuple(f8, 2)))(optional(f8), f8, i4,_
71
    →f8[:], f8[:], f8[:])',
                 nopython=True)
72
        def _fluxes_function_numba(S, S0, ind, P, k, dt):
73
74
             return (
75
                 (
                      P[ind],
                      - k[ind] * S,
                 ),
79
                 0.0,
80
                 SO + P[ind] * dt[ind],
81
82
                  (
                      0.0,
83
                      - k[ind]
84
85
             )
```

#### 10.3.3 Model initialization

Now that all elements are implemented, we can combine them to build the HYMOD model structure. For details refer to *How to build a model with SuperflexPy*.

First, we initialize all elements.

```
root_finder = PegasusPython() # Use the default parameters
   numerical_approximation = ImplicitEulerPython(root_finder)
2
   upper_zone = UpperZone(parameters={'Smax': 50.0, 'm': 0.01, 'beta': 2.0},
                            states={'S0': 10.0},
                            approximation=numerical_approximation,
                            id='uz')
   splitter = Splitter(weight=[[0.6], [0.4]],
9
                         direction=[[0], [0]],
10
                         id='spl')
11
12
13
   channel_routing_1 = LinearReservoir(parameters={'k': 0.1},
                                         states={'S0': 10.0},
14
                                         approximation=numerical_approximation,
15
                                         id='cr1')
16
17
   channel_routing_2 = LinearReservoir(parameters={'k': 0.1},
                                         states={'S0': 10.0},
                                         approximation=numerical_approximation,
20
                                         id='cr2')
21
22
   channel_routing_3 = LinearReservoir(parameters={'k': 0.1},
23
                                         states={'S0': 10.0},
24
                                         approximation=numerical_approximation,
25
                                         id='cr3')
26
27
   lower_zone = LinearReservoir(parameters={'k': 0.1},
28
                                  states={'S0': 10.0},
29
                                  approximation=numerical_approximation,
30
31
32
   transparent_1 = Transparent(id='tr1')
33
34
   transparent_2 = Transparent(id='tr2')
35
   junction = Junction(direction=[[0, 0]], # First output
37
                         id='jun')
```

The elements are now combined to define a Unit that reflects the structure shown in the figure.

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## **CASE STUDIES**

This page describes the model configurations used in research publications based on Superflex and SuperflexPy.

## 11.1 Dal Molin et al., 2020, HESS

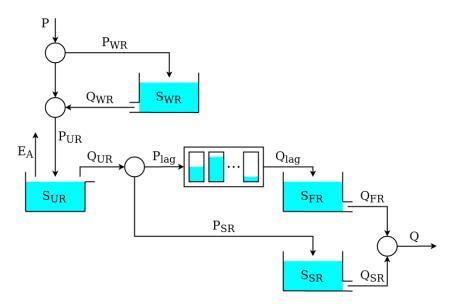
This section describes the implementation of the semi-distributed hydrological model M02 presented in the article:

Dal Molin, M., Schirmer, M., Zappa, M., and Fenicia, F.: Understanding dominant controls on streamflow spatial variability to set up a semi-distributed hydrological model: the case study of the Thur catchment, Hydrol. Earth Syst. Sci., 24, 1319–1345, https://doi.org/10.5194/hess-24-1319-2020, 2020.

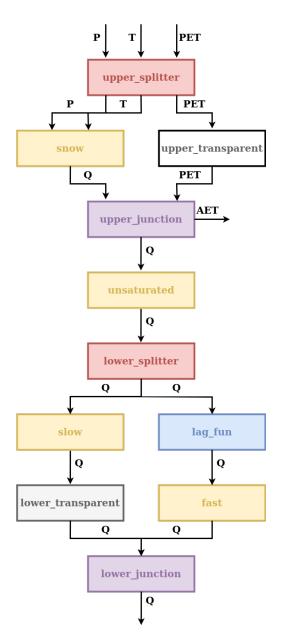
In this application, the Thur catchment is discretized into 10 subcatchments and 2 hydrological response units (HRUs). Please refer to the article for the details; here we only show the SuperflexPy code needed to reproduce the model from the publication.

#### 11.1.1 Model structure

The two HRUs are represented using the same model structure, shown in the figure below.



This model structure is similar to HYMOD; its implementation using SuperflexPy is presented next.

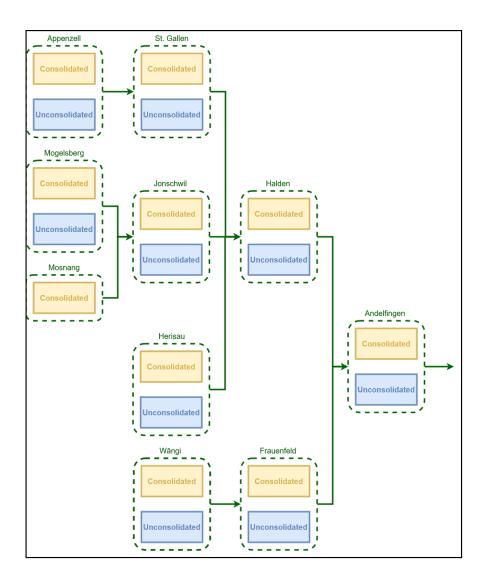


This model structure includes a snow model, and hence requires time series of temperature as an input in addition to precipitation and PET. Inputs are assigned to the element in the first layer of the unit and the model structure then propagates these inputs through all the elements until they reach the element (snow reservoir) where they are actually needed. Consequently, all the elements upstream of the snow reservoir have to be able to handle (i.e. to input and output) that input.

In this model, the choice of temperature as input is convenient because temperature is required by the element appearing first in the model structure.

In other cases, an alternative solution would have been to design the snow reservoir such that the temperature is one of its state variables. This solution would be preferable if the snow reservoir is not the first element of the structure, given that temperature is not an input commonly used by other elements.

The discretization of the Thur catchment into units (HRUs) and nodes (subcatchments) is represented in the figure below.



### 11.1.2 Initializing the elements

All elements required for this model structure are already available in SuperflexPy. Therefore they just need to be imported.

```
from superflexpy.implementation.elements.thur_model_hess import SnowReservoir,

UnsaturatedReservoir, HalfTriangularLag, PowerReservoir

from superflexpy.implementation.elements.structure_elements import Transparent,

Junction, Splitter

from superflexpy.implementation.root_finders.pegasus import PegasusPython

from superflexpy.implementation.numerical_approximators.implicit_euler import_

JImplicitEulerPython
```

Elements are then initialized, defining the initial state and parameter values.

```
solver = PegasusPython()
approximator = ImplicitEulerPython(root_finder=solver)

upper_splitter = Splitter(
```

```
direction=[
            [0, 1, None],
                              # P and T go to the snow reservoir
6
            [2, None, None] # PET goes to the transparent element
7
        ],
        weight=[
            [1.0, 1.0, 0.0],
10
            [0.0, 0.0, 1.0]
11
12
        id='upper-splitter'
13
14
15
   snow = SnowReservoir(
17
       parameters={'t0': 0.0, 'k': 0.01, 'm': 2.0},
        states={'S0': 0.0},
18
        approximation=approximator,
19
        id='snow'
20
21
22
   upper_transparent = Transparent(
23
        id='upper-transparent'
24
25
26
   upper_junction = Junction(
27
        direction=[
28
            [0, None],
            [None, 0]
31
        id='upper-junction'
32
33
34
   unsaturated = UnsaturatedReservoir(
35
       parameters={'Smax': 50.0, 'Ce': 1.0, 'm': 0.01, 'beta': 2.0},
36
        states={'S0': 10.0},
37
        approximation=approximator,
38
        id='unsaturated'
39
40
41
   lower_splitter = Splitter(
42
       direction=[
43
44
            [0],
            [0]
45
46
        ],
        weight=[
47
                      # Portion to slow reservoir
            [0.3],
48
                      # Portion to fast reservoir
            [0.7]
50
        id='lower-splitter'
51
52
53
   lag_fun = HalfTriangularLag(
54
        parameters={'lag-time': 2.0},
55
        states={'lag': None},
57
        id='lag-fun'
58
59
   fast = PowerReservoir(
60
       parameters={'k': 0.01, 'alpha': 3.0},
```

```
states={'S0': 0.0},
62
        approximation=approximator,
63
        id='fast'
   slow = PowerReservoir(
67
       parameters={'k': 1e-4, 'alpha': 1.0},
68
        states={'S0': 0.0},
69
        approximation=approximator,
70
        id='slow'
71
72
   lower_transparent = Transparent(
75
        id='lower-transparent'
76
77
   lower_junction = Junction(
78
        direction=[
            [0, 0]
80
        ],
81
        id='lower-junction'
82
83
```

## 11.1.3 Initializing the HRUs structure

We now define the two units that represent the HRUs.

```
consolidated = Unit(
       layers=[
            [upper_splitter],
            [snow, upper_transparent],
            [upper_junction],
            [unsaturated],
            [lower_splitter],
            [slow, lag_fun],
            [lower_transparent, fast],
            [lower_junction],
10
11
        id='consolidated'
12
13
14
   unconsolidated = Unit(
       layers=[
            [upper_splitter],
17
            [snow, upper_transparent],
18
            [upper_junction],
19
            [unsaturated],
20
            [lower_splitter],
21
            [slow, lag_fun],
22
            [lower_transparent, fast],
23
            [lower_junction],
24
25
        id='unconsolidated'
26
27
```

## 11.1.4 Initializing the catchments

We now assign the units (HRUs) to the nodes (catchments).

```
andelfingen = Node(
       units=[consolidated, unconsolidated],
       weights=[0.24, 0.76],
       area=403.3,
        id='andelfingen'
   appenzell = Node(
       units=[consolidated, unconsolidated],
       weights=[0.92, 0.08],
10
       area=74.4,
11
        id='appenzell'
12
13
   frauenfeld = Node(
       units=[consolidated, unconsolidated],
16
       weights=[0.49, 0.51],
17
       area=134.4,
18
        id='frauenfeld'
19
20
21
   halden = Node(
22
        units=[consolidated, unconsolidated],
23
       weights=[0.34, 0.66],
24
       area=314.3,
25
        id='halden'
26
   herisau = Node(
       units=[consolidated, unconsolidated],
30
       weights=[0.88, 0.12],
31
       area=16.7,
32
        id='herisau'
33
   jonschwil = Node(
36
       units=[consolidated, unconsolidated],
37
       weights=[0.9, 0.1],
38
       area=401.6,
39
       id='jonschwil'
41
42
   mogelsberg = Node(
43
       units=[consolidated, unconsolidated],
44
       weights=[0.92, 0.08],
45
       area=88.1,
46
        id='mogelsberg'
47
49
   mosnang = Node(
50
       units=[consolidated],
51
       weights=[1.0],
52
       area=3.1,
53
        id='mosnang'
```

```
55
56
   stgallen = Node(
57
       units=[consolidated, unconsolidated],
58
        weights=[0.87, 0.13],
        area=186.6,
60
        id='stgallen'
61
   )
62
63
   waengi = Node(
64
       units=[consolidated, unconsolidated],
65
       weights=[0.63, 0.37],
       area=78.9,
        id='waengi'
68
```

Note that all nodes incorporate the information about their area, which is used by the network to calculate their contribution to the total outflow.

There is no requirement for a node to contain all units. For example, the unit unconsolidated is not present in the Mosnang subcatchment. Hence, as shown in line 50, the node mosnang is defined to contain only the unit consolidated.

## 11.1.5 Initializing the network

The last step consists in creating the network that connects all the nodes previously initialized.

```
thur_catchment = Network(
2
       nodes=[
            andelfingen,
            appenzell,
            frauenfeld,
            halden,
6
            herisau,
            jonschwil,
            mogelsberg,
            mosnang,
10
            stgallen,
11
            waengi,
12
        ],
13
        topology={
            'andelfingen': None,
            'appenzell': 'stgallen',
16
            'frauenfeld': 'andelfingen',
17
            'halden': 'andelfingen',
18
            'herisau': 'halden',
19
            'jonschwil': 'halden',
20
            'mogelsberg': 'jonschwil',
            'mosnang': 'jonschwil',
            'stgallen': 'halden',
23
            'waengi': 'frauenfeld',
24
        }
25
```

## 11.1.6 Running the model

Before the model can be run, we need to set the input fluxes and the time step size.

The input fluxes are assigned to the individual nodes (catchments). Here, the data is available as a Pandas DataFrame, with columns names P\_name\_of\_the\_catchment, T\_name\_of\_the\_catchment, and PET\_name\_of\_the\_catchment.

The inputs can be set using a for loop

```
for cat, cat_name in zip(catchments, catchments_names):
    cat.set_input([
         df['P_{{}}'.format(cat_name)].values,
         df['T_{{}}'.format(cat_name)].values,
         df['PET_{{}}'.format(cat_name)].values,
         ])
```

The model time step size is set next. This can be done directly at the network level, which automatically sets the time step size to all lower-level model components.

```
thur_catchment.set_timestep(1.0)
```

We can now run the model and access its output (see demo\_network for details).

```
output = thur_catchment.get_output()
```

**CHAPTER** 

**TWELVE** 

## SUPERFLEXPY IN THE SCIENTIFIC LITERATURE

This page lists the scientific publications presenting SuperflexPy or using it in specific applications.

## 12.1 Publications on SuperflexPy

• Dal Molin, M., Kavetski, D., and Fenicia, F.: SuperflexPy 1.3.0: an open source framework for building, testing and improving conceptual hydrological models, Geosci. Model Dev., *under review*, 2020.

## 12.2 Publications using SuperflexPy

• Jansen, K. F., Teuling, A.J., Craig, J. R., Dal Molin, M., Knoben, W. J. M., Parajka, J., Vis, M., and Melsen, L. A.: Mimicry of a conceptual hydrological model (HBV): what's in a name?, Water Resources Research, 57, e2020WR029143. https://doi.org/10.1029/2020WR029143

**CHAPTER** 

#### THIRTEEN

## SHARING MODEL CONFIGURATIONS

A key goal of SuperflexPy is to facilitate collaboration between research groups, and to help compare and improve modelling solutions. To this end, users can share model configurations that can be imported and run by other users. Note that models built with SuperflexPy are Python objects that, once initialized, can be imported into other scripts.

A user who wishes to share their model configuration with the community can create a Python script (with a descriptive name) that initializes the model (without running it) and "upload" it to the GitHub repository in the folder superflexpy/implementation/models/. This "upload" requires the following steps: (1) fork the SuperflexPy repository, (2) add the script to the local fork of the repository, and (3) make a pull request to the original repository (see *Software organization and contribution* for further details). The contributed code will be checked by the repository maintainers. Assuming all checks are passed the newly incorporated code will be incorporated in the following release of SuperflexPy and thus made available to other SuperflexPy users.

The user will maintain authorship on the contributed code, which will be released with the same *License* as SuperflexPy. It is good practice to include unit tests to enable users to ensure the new code is operating as expected (see *Automated testing*).

## 13.1 Practical example with M4

We illustrate of how to distribute SuperflexPy models to colleagues using as an example the model *Model M4 from Kavetski and Fenicia*, WRR, 2011.

First, we create the file m4\_sf\_2011.py that contains the code to initialize the model

```
from superflexpy.implementation.root_finders.pegasus import PegasusPython
   from superflexpy.implementation.numerical_approximators.implicit_euler import__
   → ImplicitEulerPython
   from superflexpy.implementation.elements.hbv import UnsaturatedReservoir,...
   →PowerReservoir
   from superflexpy.framework.unit import Unit
   root_finder = PegasusPython()
   numeric_approximator = ImplicitEulerPython(root_finder=root_finder)
   ur = UnsaturatedReservoir(
9
       parameters={'Smax': 50.0, 'Ce': 1.0, 'm': 0.01, 'beta': 2.0},
10
       states={'S0': 25.0},
11
       approximation=numeric_approximator,
12
       id='UR'
13
14
15
   fr = PowerReservoir(
16
       parameters={'k': 0.1, 'alpha': 1.0},
```

```
states={'S0': 10.0},
18
         approximation=numeric_approximator,
19
         id='FR'
20
21
22
   model = Unit(
23
         layers=[
24
              [ur],
25
             [fr]
26
         ],
27
         id='M4'
28
```

Then we incorporate the file m4\_sf\_2011.py into the SuperflexPy repository in the folder superflexpy/implementation/models/following the steps illustrated in the previous section (fork, change, and pull request).

Once the next release of SuperflexPy is available, the M4 model implementation will be available in the updated installed package. General users can then use this new model in their own application, by importing it as shown below.

```
from superflexpy.implementation.models.m4_sf_2011 import model

model.set_input([P, E])
model.set_timestep(1.0)
model.reset_states()

output = model.get_output()
```

## 13.2 Sharing models "privately" with other users

Model configurations can be shared "privately" between research groups without waiting for a new release of the framework.

This can be done by creating a my\_new\_model.py file that initializes the model and then sharing the file "privately" with other users.

The recipients of the new file can then save it on their machines and use local importing. Assuming that the script that the recipients use to run the model is in the same folder as the file initializing the model, the new model can be used as follows

```
from .my_new_model import model

model.set_input([P, E])
model.set_timestep(1.0)
model.reset_states()

output = model.get_output()
```

Note the local import in line 1.

As we believe in the F.A.I.R. principles, we encourage modelers to share their models with the whole community, using the procedure detailed earlier.

# 13.3 Dumping objects with Pickle

Python offers the module Pickle to serialize objects to binary files. This approach enables the distribution of binary files, but has the disadvantage of lacking transparency in the model structure.

**CHAPTER** 

### **FOURTEEN**

### INTERFACING SUPERFLEXPY WITH OTHER FRAMEWORKS

SuperflexPy does not integrate tools for calibration or uncertainty analysis. In this page we show an example on how a model built using SuperflexPy can be interfaced with other tools to perform this task.

## 14.1 SuperflexPy + SPOTPY

**Note:** This example is for illustration purposes only, and as such does not represent a specific recommendation of SPOTPY or of any specific calibration algorithm.

SPOTPY is a Python framework for calibration, uncertainty, and sensitivity analysis.

A model can be interfaced with SPOTPY by defining a class that wraps the model and implements the following methods:

- \_\_init\_\_\_: initializes the class, defining some attributes;
- parameters: returns the parameters considered in the analysis (note that they may not be all the parameters used by the SuperflexPy model but only the ones that we want to vary in the analysis);
- simulation: returns the output of the simulation;
- evaluation: returns the observed output;
- objectivefunction: defines the objective function to use to evaluate the simulation results.

### 14.1.1 init

```
import spotpy
   class spotpy_model(object):
       def __init__(self, model, inputs, dt, observations, parameters, parameter_names,_
   →output_index):
6
           self._model = model
7
           self._model.set_input(inputs)
           self._model.set_timestep(dt)
           self._parameters = parameters
11
           self._parameter_names = parameter_names
12
           self._observarions = observations
13
           self._output_index = output_index
```

The class spotpy\_model is initialized defining the SuperflexPy model that is used. The model, which can be any SuperflexPy component (from element to network), must be defined before; the spotpy\_model class sets only the inputs and the dt.

Other variables necessary to initialize the class <code>spotpy\_model</code> are:

- parameters and parameters\_names, which define the parameters considered in the calibration. The first variable is a list of spotpy.parameter objects, the second variable is a list of the names of the SuperflexPy parameters;
- observations, which is an array of observed output values;
- output\_index, which is the index of the output flux to be considered when evaluating the SuperflexPy simulation. This specification is necessary in the case of multiple output fluxes.

### 14.1.2 parameters

```
def parameters(self):
    return spotpy.parameter.generate(self._parameters)
```

The method parameters generates a new parameter set using the SPOTPY functionalities.

#### 14.1.3 simulation

The method simulation sets the parameters (lines 3-7), resets the states to their initial value (line 8), runs the SuperflexPy model (line 9), and returns the output flux for the evaluation of the objective function (line 11).

#### 14.1.4 evaluation

```
def evaluation(self):
    return self._observarions
```

The method evaluation returns the observed flux, used for the evaluation of the objective function.

### 14.1.5 objectivefunction

```
def objectivefunction(self, simulation, evaluation):

obj_fun = spotpy.objectivefunctions.nashsutcliffe(evaluation=evaluation, simulation=simulation)
```

```
return obj_fun
```

The method objective function defines the objective function used to measure the model fit to the observed data. In this case, the Nash-Sutcliffe efficiency is used.

### 14.1.6 Example of use

We now show how to employ the implementation above to calibrate a lumped model composed of 2 reservoirs.

First, we initialize the SuperflexPy model, as follows (see *How to build a model with SuperflexPy* for more details on how to set-up a model).

```
from superflexpy.implementation.numerical_approximators.implicit_euler import_
   → ImplicitEulerPython
   from superflexpy.implementation.root_finders.pegasus import PegasusPython
   from superflexpy.implementation.elements.hbv import PowerReservoir
   from superflexpy.framework.unit import Unit
   root_finder = PegasusPython()
   num_app = ImplicitEulerPython(root_finder=root_finder)
   reservoir_1 = PowerReservoir(parameters={'k': 0.1, 'alpha': 2.0},
                                states={'S0': 10.0},
10
                                approximation=num_app,
                                id='FR1')
12
   reservoir_2 = PowerReservoir(parameters={'k': 0.5, 'alpha': 1.0},
13
                                states={'S0': 10.0},
14
                                approximation=num_app,
15
                                id='FR2')
16
   hyd_mod = Unit(layers=[[reservoir_1],
18
                           [reservoir_2]],
19
                   id='model')
20
```

Then, we initialize an instance of the spotpy\_model class

```
spotpy_hyd_mod = spotpy_model(
    model=hyd_mod,
    inputs=[P],
    dt=1.0,
    observations=Q_obs,
    parameters=[
        spotpy.parameter.Uniform('model_FR1_k', 1e-4, 1e-1),
        spotpy.parameter.Uniform('model_FR2_k', 1e-3, 1.0),
    ],
    parameter_names=['model_FR1_k', 'model_FR2_k'],
    output_index=0
)
```

The arrays P and Q\_obs in lines 3 and 5 contain time series of precipitation (input) and observed streamflow (output). In this example, lines 6-10 indicate the two parameters that we calibrate (model\_FR1\_k and model\_FR2\_k) together with their range of variability.

We can now call the SPOTPY method to calibrate the model. Here, the SCE algorithm option is used.

#### **CHAPTER**

# **FIFTEEN**

# **EXAMPLES**

The following examples are available as Jupyter notebooks. All examples can be either visualized on GitHub or run in a sandbox environment.

- Run a simple model visualize run
- Calibrate a model visualize run
- Initialize a single element model visualize run
- Initialize a single unit model: visualize run
- Initialize a simple node model: visualize run
- Initialize a complete (network) model: visualize run
- Create a new reservoir: visualize run
- Replicate GR4J: visualize run
- Replicate Hymod: visualize run
- Replicate M02 in Dal Molin et al., HESS, 2020: visualize run
- Replicate M4 in Kavetski and Fenicia, WRR, 2011: visualize run
- Modify M4 in Kavetski and Fenicia, WRR, 2011: visualize run

### **AUTOMATED TESTING**

Current testing of SuperflexPy consists of validating its numerical results against the original implementation of Superflex. This testing is done for selected model configurations and selected sets of parameters and inputs.

This testing strategy implicitly checks auxiliary methods, including setting parameters and states, retrieving the internal fluxes of the model, setting inputs and getting outputs, etc..

The testing code is contained in folder test and uses the Python module unittest. The folder contains reference\_results and unittest containing the scripts that run the tests.

#### Current testing covers:

- Specific elements (reservoirs and lag functions) that are implemented in Superflex (e.g. 01\_FR.py, 02\_UR.py);
- Multiple elements in a unit (e.g. 03\_UR\_FR.py, 04\_UR\_FR\_SR.py);
- Multiple units in a node (e.g. 05\_2HRUs.py);
- Multiple nodes inside a network (e.g. 06\_3Cats\_2HRUs.py);
- Auxiliary methods, which are tested implicitly, i.e. assuming that errors in the auxiliary methods propagate to
  the results.

#### Current testing does not cover:

- Elements for which numerical results are not available (e.g. some components of GR4J);
- Usage of the Explicit Euler solver;
- Edge cases (e.g. extreme values of parameters and states)

Users contributing SuperflexPy extensions should provide reference results and the code that tests them (including input data and model parameter values).

As the SuperflexPy framework continues to develop, additional facilities for unit-testing and integrated-testing will be employed.

### 16.1 Automation

Any push of new code to any branch on the github repository will trigger automatic testing based on the scripts contained in the folder test/unittest.

#### **CHAPTER**

## **SEVENTEEN**

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**CHAPTER** 

## **EIGHTEEN**

## **CHANGE LOG**

## 18.1 Version 1.3.0

### 18.1.1 Major changes to existing components

- ODEsElements can now return the derivative of the fluxes together with the fluxes. This enables the usage of numerical solvers that use the derivatives (e.g., Newton methods).
- Folder structure improved. The numerical approximators and the root finders have been moved from the folder implementation/computation to implementation/numerical\_approximators and implementation/root\_finders, respectively. Names of the files have been slightly modified to be coherent with this new folder organization.

#### 18.1.2 New code

- Implemented a new numerical approximator implementing Runge Kutta 4
- Implemented a new root finder implementing a Newton-bisection method
- Implemented a new root finder implementing a trivial algorithm to solve explicit algebraic equations.

## 18.2 Version 1.2.1

### 18.2.1 Minor changes

• The network attribute topography has been changed to topology.

### 18.3 Version 1.2.0

### 18.3.1 Major changes to existing components

- The abbreviation of "differential equation" changes, in the code, from dif\_eq to diff\_eq. This change regards variables names, both in the methods arguments and implementation.
- The class FastReservoir has been changed to PowerReservoir. No changes in the functionality of the class.

## 18.3.2 Minor changes

• Testing improved.

## 18.4 Version 1.1.0

## 18.4.1 Major changes to existing components

• Form this version, SuperflexPy is released under license LGPL. For details, read License

## 18.4.2 Minor changes to existing components

Bug fix on the solution of the differential equations of the reservoirs. The calculation of the maximum storage
was not correct.

## 18.5 Version 1.0.0

Version 1.0.0 represents the first mature release of SuperflexPy. Many aspects have changed since earlier 0.x releases both in terms of code organization and conceptualization of the framework. **Models built with versions 0.x are not compatible with this version and with the following releases**.

## 18.5.1 Major changes to existing components

- New numerical solver structure for elements controlled by ordinary differential equations (ODEs). A new component, the NumericaApproximator is introduced; its task it to get the fluxes from the elements and construct an approximation of the ODEs. In the previous release of the framework the approximation was hard coded in the element implementation.
- ODEsElement have now to implement the methods \_fluxes and \_fluxes\_python instead of \_differential\_equation
- Added the possibility for nodes and units to have local states and parameters. To this end, some internal functionalities for finding the element given the id have been changed to account for the presence of states and parameters at a level higher then the elements.

## 18.5.2 Minor changes to existing components

- Added implicit or explicit check at initialization of units, nodes, and network that the components that they contain are of the right type (e.g. a node must contain units)
- Minor changes to RootFinder to accommodate the new numerical implementation.
- Added Numba implementation of GR4J elements

#### 18.5.3 New code

• Added hymod elements

# **NINETEEN**

# **CODE ORGANIZATION**

The following schematic follows the standards of UML and shows the organization of the classes composing the framework. This schematic is limited to the core framework (i.e. content of <code>superflexpy/framework/</code> and <code>superflexpy/utils/</code>). Particular implementations of components (i.e. the content of <code>superflexpy/implementation/</code>) are not included. All the classes in the diagram can be extended through inheritance to create customized components.

