

Supplement of Geosci. Model Dev., 13, 5277–5310, 2020  
<https://doi.org/10.5194/gmd-13-5277-2020-supplement>  
© Author(s) 2020. This work is distributed under  
the Creative Commons Attribution 4.0 License.



*Supplement of*

## **Flex\_extract v7.1.2 – a software package to retrieve and prepare ECMWF data for use in FLEXPART**

**Anne Tipka et al.**

*Correspondence to:* Anne Tipka ([anne.tipka@univie.ac.at](mailto:anne.tipka@univie.ac.at))

The copyright of individual parts of the supplement might differ from the CC BY 4.0 License.

# Contents

	<b>1 Execution script parameters</b>	<b>1</b>
	1.1 <code>setup.sh</code> parameters . . . . .	1
	1.2 <code>run.sh</code> parameters . . . . .	2
5	<b>2 CONTROL file parameters</b>	<b>4</b>
	2.1 User section . . . . .	4
	2.2 Data section . . . . .	5
	2.3 Data field section . . . . .	6
	2.4 Time section . . . . .	7
10	2.5 General section . . . . .	7
	2.6 Flux data section . . . . .	9
	2.7 Domain section . . . . .	9
	2.8 Vertical wind section . . . . .	11
	2.9 Additional data section . . . . .	12
15	<b>3 Quality assurance</b>	<b>12</b>
	3.1 Unit tests . . . . .	12
	3.2 Regression testing for MARS requests . . . . .	12
	3.3 Regression testing for GRIB files . . . . .	13
	3.4 Functionality and performance tests for the Fortran code . . . . .	13
20	3.5 Generic test using predefined CONTROL files . . . . .	13
	3.6 Code Metrics . . . . .	13

## S1. Execution script parameters

### S1.1. `setup.sh` parameters

This shell script defines the command-line parameters for the installation process and executes the Python script `install.py` for the installation of `flex_extract`. The following parameters can be set:

Name: <b>TARGET</b>	Type: String	Value range: local, ecbate, cca, ccb	Default: None
Description: Defines which <code>flex_extract</code> application mode (location of installation) will be used. Local = local mode; ecbate = Remote or Gateway mode, cca/ccb = Remote or Gateway mode. Whether the local mode is for public or member state users doesn't matter during the installation process.			
Name: <b>MAKEFILE</b>	Type: String	Value range: any makefile present, according to environment	Default: None
Description: Name of the makefile in <code>Source/Fortran</code> directory to be used for compiling the Fortran program. <code>makefile_ecgate</code> has the configuration for the ecbate environment and <code>makefile_cray</code> has the configuration for the HPC environment. For local server versions there is <code>makefile_local_gfortran</code> which has to be adapted (paths to the ecCodes library) by the user.			

30

Name: <b>ECUID</b>	Type: String	Value range: -	Default: None
Description: User id on ECMWF server.			
Name: <b>ECGID</b>	Type: String	Value range: -	Default: None
Description: User group id on ECMWF server.			
Name: <b>DESTINATION</b>	Type: String	Value range: <name>@ generic <scope>	Default: None
Description: Ectrans destination which is used to transfer files from ECMWF servers to local gateway. This has to be set up by users on the local gateway. See installation instruction for more information.			
Name: <b>GATEWAY</b>	Type: String	Value range: IP address / name	Default: None
Description: Name or ip address of member gateway.			
Name: <b>INSTALLDIR</b>	Type: String	Value range: full path	Default: \$HOME on ECMWF server and current flex_extract root path on local server
Description: Root path where flex_extract should be installed. It will always be set to \$HOME on ECMWF servers and on local hosts it will be set to the current flex_extract root path if not set.			
Name: <b>JOB_TEMPLAT</b>	Type: String	Value range: installscript.template	Default: installscript.template
Description: The rudimentary template file to create a batch job template for submission to ECMWF servers. Should not be changed since it is optimized for ECMWF server. (Remote and Gateway mode)			
Name: <b>CONTROLFILE</b>	Type: String	Value range: any CONTROL file	Default: CONTROL_ERA5
Description: The file with all CONTROL parameters.			

35

## S1.2. run.sh parameters

This shell script defines the command-line parameters and executes the Python script `submit.py` for running `flex_extract`. The following parameters can be set:

Name: <b>QUEUE</b>	Type: String	Value range: ecgate; cca; ccb	Default: None
Description: Name of ECMWF server for submission of the job script to its batch system.			

Name: <b>START_DATE</b>	Type: String [YYYYMMDD]	Value range: depends on data set	Default: None
Description: The first day of the retrieval period. If END_DATE is set, START_DATE must be prior to END_DATE.			
Name: <b>END_DATE</b>	Type: String [YYYYMMDD]	Value range: depends on data set	Default: None
Description: The last day of the retrieval period. This value is optional and if it is not set, it will be automatically set equal to START_DATE. For a one day retrieval it has to be equal to START_DATE. If set, it has to be greater than or equal to START_DATE.			
Name: <b>DATE_CHUNK</b>	Type: Integer	Value range: depends on resolution	Default: 3
Description: Maximum number of days retrieved within one MARS request. This number is limited due to maximum allowed memory and time limit for one MARS request. Be careful in changing this number. It can be larger for coarse domains and time intervals but may be too large for very high-resolution retrievals.			
Name: <b>JOB_CHUNK</b>	Type: Integer	Value range: depends on resolution	Default: None
Description: # of days to be retrieved within a single job. Can be selected to start the submission script once and to automatically split the time period into smaller job chunks. For example, it could be very useful if you want to retrieve a month with a resolution of 0.1° and a time resolution of 1 hour. Then only 1 day per job is possible.			
Name: <b>BASETIME</b>	Type: Integer	Value range: [0;12]	Default: None
Description: This parameter is intended for half-day retrievals. Only half a day will be retrieved starting from BASETIME going back 12 hours. E.g. 20180510 with a BASETIME = 00 would lead to a data retrieval of 20180509 12h until 20180510 00h. Can be set to 00 or 12 only.			
Name: <b>LEVELIST</b>	Type: String [start/to/end]	Value range: 1/to/[60,91,137] depends on data set	Default: None
Description: List of vertical levels. It can be a subset of levels but it has to include the maximum level (end) which is the surface. If full list of levels is needed and parameter LEVEL is set, the LEVELIST parameter is not needed. "end" has to be the maximum number of possible levels and has to be the same as in LEVEL (if LEVEL specified).			
Name: <b>AREA</b>	Type: Double [f/f/f/f]	Value range: any float within latitude and longitude boundaries	Default: None
Description: Domain defined as north/west/south/east			
Name: <b>INPUTDIR</b>	Type: String	Value range: any path	Default: None
Description: Path to the temporary directory for the retrieved GRIB files and other processing files. The temporary directory will be created if it does not already exist.			

Name: <b>OUTPUTDIR</b>	Type: String	Value range: any path	Default: None
Description: Path to the final directory where the final FLEXPART ready input files are stored. The final output directory will be created if it does not already exist.			
Name: <b>PPID</b>	Type: Integer	Value range: -	Default: None
Description: This is the specific parent process id of a single flex_extract run to identify the files. It is the second number in the GRIB filenames. This is usually only necessary if the GRIB data were retrieved and a rerun of the post-processing has to be done. Then PPID is used to select the files.			
Name: <b>JOB_TEMPLATE</b>	Type: String	Value range: submitscript.template	Default: submitscript.template
Description: Template file which is used remote and gateway modes to create the korn shell job script to be submitted to the batch system on an ECMWF server.			
Name: <b>CONTROLFILE</b>	Type: String	Value range: any CONTROL file	Default: CONTROL_ERA5
Description: The file with all CONTROL parameters to define a flex_extract retrieval.			
Name: <b>DEBUG</b>	Type: Integer	Value range: [0,1]	Default: 0
Description: If set to "1" all temporary output files from the MARS requests are kept and some extra information is written out to the log file. Usually all temporary files except the FLEXPART ready input files are deleted at the end of flex_extract.			
Name: <b>REQUEST</b>	Type: Integer	Value range: [0,1,2]	Default: 2
Description: This parameter allows to write out the MARS requests in a separate file. The requests are stored in the file "mars_requests.csv". Possible selections are: 1: normal data retrieval; 2: neglect data retrieval and just writes out the MARS requests to the file; 3: retrieve data and write out the MARS requests.			

50

## 55 S2. CONTROL file parameters

### S2.1. User section

Name: <b>ECUID</b>	Type: String	Value range: -	Default: None
Description: User id on ECMWF server.			
Name: <b>ECGID</b>	Type: String	Value range: -	Default: None
Description: User group id on ECMWF server.			

60

Name: <b>DESTINATION</b>	Type: String	Value range: <name>@ generic <scope>	Default: None
Description: Ectrans destination which is used to transfer files from ECMWF servers to local gateway. This has to be set up by users on the local gateway. See installation instruction for more information.			
Name: <b>GATEWAY</b>	Type: String	Value range: IP address / name	Default: None
Description: Name or ip address of member gateway.			

## S2.2. Data section

65

Name: <b>CLASS</b>	Type: String [xx]	Value range: EI, E4, EA, EP, OD	Default: None
Description: ECMWF data classification identifier for data sets. EI: ERA-Interim; E4: ERA-40; EA: ERA5; EP: CERA-20C; OD: operational;			
Name: <b>DATASET</b>	Type: String	Value range: CERA20C, INTERIM	Default: None
Description: This keyword has to be defined for retrievals of public data sets ERA-Interim and CERA-20C with ECMWF Web API. Public data are stored in a different MARS database and are available for everyone after registration at ECMWF (see installation plan). Even though ERA5 is also a public data set, the retrieval works differently (with CDS API) and does not need this parameter.			
Name: <b>STREAM</b>	Type: String [xxxx]	Value range: OPER, ENFO, ENDO	Default: None
Description: Identifies the forecasting system used to generate the data. Most times the operational data stream OPER is appropriate. Use ENFO for ensemble forecasts and ENDO for CERA data.			
Name: <b>EXPVER</b>	Type: Integer	Value range: -	Default: 1
Description: Experiment version number. Only necessary for R&D experiments or E-suites; otherwise use 1.			
Name: <b>NUMBER</b>	Type: String [start/to/end] or Integer	Value range: depends on availability	Default: "OFF"
Description: In most cases this can be set to "OFF"; for access to individual ensemble members of an ensemble forecast the individual numbers have to be selected. Note, however, that model level data are not stored in MARS for individual ensemble members except the control run. They exist only for a few days before they are discarded. For retrieving CERA20C data, a number has to be selected explicitly. Select "0" for the control run.			

Name: <b>FORMAT</b>	Type: String	Value range: [GRIB1, GRIB2]	Default: GRIB1
Description: Output format (either GRIB1 or GRIB2). Use GRIB2 only when using FLEXPART versions >9.2 or FLEXPART has to be adapted for reading GRIB2. Nowadays, 3D-model level fields are automatically stored on GRIB2 since some GRIB message parameters are not able to be stored in GRIB1 anymore. Surface level fields are always retrieved in GRIB1 and by selecting GRIB2 they are converted to GRIB2 by flex_extract. Be careful with this option, especially if using the ADDPAR parameter to add other fields. Sometimes other surface fields as already defined in flex_extract can't be converted to GRIB2.			

### 70 S2.3. Data field section

Name: <b>TYPE</b>	Type: list of Strings [xx xx ...xx]	Value range: [AN, FC, CV, CF, 4V, PF]	Default: None
Description: A list of field types for each retrieving hour per day. E.g. "AN FC FC FC AN FC FC FC" for a day with 3-hourly retrieval (DTIME=3). At 0 and 12 UTC we retrieve analysis fields and at 3, 6, 9, 15, 18 and 21 UTC forecast fields. So far, flex_extract is using ANalysis (AN), ForeCast (FC), Control Forecast (CF), Perturbed Forecast (PF), Calibration/Validation forecast (CV) and 4D Variational analysis (4V). Other types might be also possible but were not tested. The analysis fields are usually (depending on data set) available at 00/06/12/18 UTC. For better temporal resolution, the time in-between the AN fields can be filled with forecasts (FC). Additionally, it is recommended to use analysis fields only at 00 and 12 UTC and fill the rest of the times with other field types, such as forecasts.			

Name: <b>TIME</b>	Type: list of Integer [ii ii ... ii]	Value range: [00 – 23]	Default: None
Description: The time of the corresponding field type (TYPE) in hours. It is important to set the correct forecast times, e. g. in the ERA-Interim data set, forecast times of 00 UTC are normally used to obtain forecast fields between +1 and +11 h; to obtain fields between 13 and 23 UTC, a forecast time of 12 UTC is used. In most cases, there are two forecasts starting per day. TIME has to have the same number of values as TYPE! The start times of forecasts can vary from one data set to another, for example CERA20C has only a single forecast per day (18 UTC).			

Name: <b>STEP</b>	Type: list of Integer [ii ii ... ii]	Value range: [00 – max available STEP in data set]	Default: None
Description: This is the forecast time step in hours for each corresponding field type (TYPE). Counting of the steps starts from the forecast times, e. g. in ERA-Interim, for forecasts verifying at 3, 6, 9 UTC, the STEPS 3, 6 and 9 are used with a forecast TIME 00 UTC. Has to have the same number of values as in TYPE and TIME and has to match with DTIME! For analysis (AN) fields, the STEP has to be 00 always!			

Name: <b>MAXSTEP</b>	Type: Integer	Value range: > 24	Default: None
Description: This parameter allows to retrieve data from forecasts longer than 24 hours. With MAXSTEP >24, the forecasts from different days overlap, the naming scheme of the output files changes from <PREFIX>YYMMDD to <PREFIX>YYMMDD.HH.SSS where HH is the hour of the start of the forecast and SSS is the forecast step in hours. Optional parameter.			

75

## S2.4. Time section

Name: <b>START_DATE</b>	Type: String [YYYYMMDD]	Value range: depends on data set	Default: None
Description: The first day of the retrieval period. If END_DATE is set, START_DATE must be prior to END_DATE.			
Name: <b>END_DATE</b>	Type: String [YYYYMMDD]	Value range: depends on data set	Default: None
Description: The last day of the retrieval period. This value is optional and if it is not set, it will be automatically set equal to START_DATE. For a one day retrieval it has to be equal to START_DATE. If set, it has to be greater than or equal to START_DATE.			
Name: <b>DTIME</b>	Type: Integer	Value range: 1,3,6	Default: None
Description: Time step of retrieved data. Detects TYPE, TIME, STEP, ACCTYPE, ACCTIME according to DTIME. Therefore CONTROL file can have more values than needed. Available resolution in time depends on availability in the data set. Coarser resolution can always be selected.			
Name: <b>DATE_CHUNK</b>	Type: Integer	Value range: depends on resolution	Default: 3
Description: Maximum number of days retrieved within one MARS request. This number is limited due to maximum allowed memory and time limit for one MARS request. Be careful in changing this number. It can be larger for coarse domains and time intervals but may be too large for very high resolution retrievals.			
Name: <b>BASETIME</b>	Type: Integer	Value range: [0;12]	Default: None
Description: This parameter is intended for half-day retrievals. Only half a day will be retrieved starting from BASETIME going back 12 hours. E.g. 20180510 with a BASETIME = 00 would lead to a data retrieval of 20180509 12h until 20180510 00h. Can be set to 00 or 12 only.			

80

## S2.5. General section

Name: <b>DEBUG</b>	Type: Integer	Value range: [0,1]	Default: 0
Description: If set to "1" all temporary output files from the MARS requests are kept and some extra information is written out to the log file. Usually all temporary files except the FLEXPART ready output files are deleted at the end of flex_extract .			
Name: <b>REQUEST</b>	Type: Integer	Value range: [0,1,2]	Default: 2
Description: This parameter allows to write out the MARS requests in a separate file. The requests are stored in the file "mars_requests.csv". Possible selections are: 1: normal data retrieval; 2: neglect data retrieval and just writes out the MARS requests; 3: retrieve data and write out the MARS requests.			

85



Name: <b>PUBLIC</b>	Type: Integer	Value range: [0,1]	Default: 0
Description: This specifies the selection of the kind of ECMWF Web Api access and therefore the kind of available data sets. Public data sets (1) and Member-state data sets (0). Selecting the public access method, the DATASET parameter has explicitly to be set to select the data set. (CLASS is not enough.) ATTENTION: For public data sets, users have to accept the licence of the data set to be retrieved. See here for available data sets and their licences: <a href="https://software.ecmwf.int/wiki/display/WEBAPI/Available+ECMWF+Public+Datasets">https://software.ecmwf.int/wiki/display/WEBAPI/Available+ECMWF+Public+Datasets</a>			
Name: <b>OPER</b>	Type: Integer	Value range: [0,1]	Default: 0
Description: Switch to prepare the operational job script. START_DATE, END_DATE and BASETIME will be prepared with environment variables at ECMWF server. This is only necessary if extraction of half-day retrievals should be done automatically. Specific extra feature which is usually not used by normal flex_extract users.			
Name: <b>ECSTORAGE</b>	Type: Integer	Value range: [0,1]	Default: 0
Description: Switch to store FLEXPART ready input files in the ECFS file system. Mind the data limit.			
Name: <b>ECTRANS</b>	Type: Integer	Value range: [0,1]	Default: 0
Description: Switch to transfer FLEXPART ready input files to the gateway server. The gateway has to be up and running. A destination has to be configured. See installation instructions for more information.			
Name: <b>PREFIX</b>	Type: String	Value range: anything	Default: EN
Description: Prefix of FLEXPART ready input files. The files usually have the format <PREFIX>YYMMDDHH.			
Name: <b>ECFSDIR</b>	Type: String	Value range: any path available	Default: ectmp: /\$USER/econdemand/
Description: The destination directory on ECFS file system if the retrieved data should be stored on ECMWF servers. This is only used if parameter ECSTORAGE is set to "1".			
Name: <b>MAILLOPS</b>	Type: list of String [m1, m2, ...]	Value range: any number of mail addresses, separated by comma	Default: ["\$USER"]
Description: Email list for operational log files on ECMWF servers. The email addresses should be separated by a comma. For the ECMWF server it is enough to give \$USER as input. On local system an actual email is preferred to operate correctly.			
Name: <b>MAILFAIL</b>	Type: list of String [m1, m2, ...]	Value range: any number of mail addresses, separated by comma	Default: ["\$USER"]
Description: Email list for operational log files on ECMWF servers. The email addresses should be separated by a comma. For the ECMWF server it is enough to give \$USER as input. On local system an actual email is preferred to operate correctly.			

90

95 **S2.6. Flux data section**

Name: <b>ACCTYPE</b>	Type: String [xx]	Value range: [FC, CV, CF, 4V, PF]	Default: None
Description: The type of field for accumulated data retrieval. The accumulated data fields are only available from forecast fields. Therefore it is separated from the normal TYPE parameter to allow, for example, retrieval solely of hourly analysis fields in the Era5 data set. For downward compatibility to older versions: if ACCTYPE is not specified, the default value is taken from the second position of the original TYPE parameter (TYPE[2]). NOTE: This is important at the moment the original TYPE parameter is changed from FC to AN for example.			
Name: <b>ACCTIME</b>	Type: String	Value range: E.g.: Operational, Era-Interim: 00/12 CERA: 18 ERA5: 06/18	Default: None
Description: Forecast start times of accumulated fields (fluxes). The starting times of forecast fields varies between different data sets. For downward compatibility to older versions: if ACCTIME is not specified, the default value is 00/12 for ERA-Interim and operational data, 06/18 for ERA5 data and 18 for CERA-20C data.			
Name: <b>ACCMAXSTEP</b>	Type: Integer	Value range: 12, 24 or larger	Default: None
Description: This parameter specifies the maximum step in hours for a specific accumulated forecast start time. For daily retrievals with one forecast time the step shouldn't be greater than 24h. For two forecast times the ACCMAXSTEP should be 12. If the parameter MAXSTEP is specified to retrieve forecasts longer than 24 hours, this parameter must have the same value. For downward compatibility to older versions: if ACCMAXSTEP is not specified, the default value is set to 12 for ERA5, Era-Interim and operational data or 24 for CERA-20C data, according to one or two forecast times of the data set.			
Name: <b>RRINT</b>	Type: Integer	Value range: [0,1]	Default: None
Description: Switch to select method of disaggregation for precipitation fields. Old method (0) with a simple linear disaggregation or new method (1) with 2 additional subsequent intervals per time interval. For more information see article Hittmeir et al. (2018).			

100

**S2.7. Domain section**

Name: <b>UPPER</b>	Type: String	Value range: -90+GRID to 90	Default: None
Description: Latitude of upper right corner of grid area.			
Name: <b>LOWER</b>	Type: String	Value range: -90+GRID to 90	Default: None
Description: Latitude of lower left corner of grid area.			

105

Name: <b>LEFT</b>	Type: String	Value range: -180+GRID to 180	Default: None
Description: Longitude of lower left corner of grid area. For cyclic (global) grids, use e.g. LEFT = - 180 + GRID, RIGHT = 180. For noncyclic grids crossing the dateline (180W), RIGHT may be smaller than LEFT.			
Name: <b>RIGHT</b>	Type: String	Value range: -180+GRID to 180	Default: None
Description: Longitude of upper right corner of grid area. For cyclic (global) grids, use e.g. LEFT = - 180 + GRID, RIGHT = 180. For noncyclic grids crossing the dateline (180W), RIGHT may be smaller than LEFT.			
Name: <b>LEVEL</b>	Type: Integer	Value range: 60, 91, 137 depends on data set	Default: None
Description: Maximum number of vertical levels. ERA-Interim has 60 levels; ERA5 has 137 levels; CERA-20C has 91 levels; Operational data can have different number of model levels depending on the date. Check upfront in the MARS catalogue. If LEVELIST is set , this parameter is not needed.			
Name: <b>LEVELIST</b>	Type: String [start/to/end]	Value range: 1/to/[60,91,137] depends on data set	Default: None
Description: List of vertical levels. It can be a subset of levels but it has to include the maximum level (end) which is the surface. If full list of levels is needed and parameter LEVEL is set, the LEVELIST parameter is not needed. "end" has to be the maximum number of possible levels and has to be the same as in LEVEL (if LEVEL specified).			
Name: <b>GRID</b>	Type: Integer [i/i]	Value range: 0.1° - appropriate value e.g. 2°	Default: None
Description: Horizontal resolution of Latitude/Longitude grid. Best possible resolution varies for different data sets. E.g in operational data it 's 0.1° whereas in Era-Interim it is 0.75°. It can be specified in tenth degrees (1°) or thousandth degrees (1000 for 1°).			
Name: <b>RESOL</b>	Type: String	Value range: depends on GRID	Default: None
Description: Horizontal resolution of spectral fields. Specifies the desired triangular truncation of retrieved data, before carrying out any other selected post-processing.			
Name: <b>SMOOTH</b>	Type: Integer	Value range: appropriate number	Default: 0
Description: Spectral truncation of ETADOT after calculation on Gaussian grid. For more information see Sardeshmukh and Hoskins (1984).			

110

## S2.8. Vertical wind section

115

Name: <b>GAUSS</b>	Type: Integer	Value range: [0,1]	Default: 0
Description: A switch to calculate ETADOT from Lat/Lon grid (0) or from Gaussian grid (1).			
Name: <b>ACCURACY</b>	Type: Integer	Value range: -	Default: 24
Description: Specifies the number of bits per value to be used in the generated GRIB coded fields.			
Name: <b>OMEGA</b>	Type: Integer	Value range: [0,1]	Default: 0
Description: Retrieve Omega from MARS and put it to file OMEGAyymmddhh. Only useful for debugging reasons.			
Name: <b>OMEGADIFF</b>	Type: Integer	Value range: [0,1]	Default: 0
Description: Calculate Omega and Dps/Dt from continuity equation for diagnostic purposes and include it in file OMEGAyymmddhh. Only useful for debugging reasons.			
Name: <b>ETA</b>	Type: Integer	Value range: [0,1]	Default: 0
Description: Switch to read ETADOT precalculated by ECMWF and multiply it with DPDETA to be compatible with ETADOT calculated from continuity equation. ETADOT calculation from continuity equation on either Gaussian or lat/lon grid is disabled unless ETADIFF is set to 1 as well. ETADOT is available in ERA5, CERA-20C and operational data sets. Precalculated ETADOT is operationally available from September 2008 onwards. However, it is not available in the ERA-40 and ERA-Interim data set. If ETA is selected in the last two data sets, flex_extract fails.			
Name: <b>ETADIFF</b>	Type: Integer	Value range: [0,1]	Default: 0
Description: Switch to calculate etadot and Dps/Dt from continuity equation for diagnostic purposes and include it in file ETAYymmddhh. Expensive option, only for debugging purposes.			
Name: <b>DPDETA</b>	Type: Integer	Value range: [0,1]	Default: 1
Description: Switch to multiply etadot with dpdeta – this is the default. In some future version this may change.			
Name: <b>ETAPAR</b>	Type: Integer	Value range: 77	Default: 77
Description: GRIB parameter number for ETADOT/DPDETA.			

120

## S2.9. Additional data section

Name: <b>CWC</b>	Type: Integer	Value range: [0,1]	Default: 0
Description: Switch to retrieve cloud water content (sum of cloud liquid water and cloud ice) (1) or not (0).			
Name: <b>ADDPAR</b>	Type: String [p1/p2/./pn]	Value range:	Default: None
Description: Additional optional surface parameters (2D fields, non-accumulated) Mostly: 27/28/173/186/187/188/235/139/39. Parameters can be specified as the Integer IDs or with the short names.			
Name: <b>DOUBLEELDA</b>	Type: Integer	Value range: [0,1]	Default: 0
Description: Switch to select the calculation of extra ensemble members for the ELDA stream. It doubles the number of retrieved ensemble members. Each ensemble member is used to create a new synthesized ensemble member by subtracting $2 * (\text{current time step value} - \text{last time step value})$ from the current time step value.			

125

## S3. Quality assurance

This section adds additional information to the main manuscript by adding details about the single tests and the code metrics. The corresponding test data is large and therefore not distributed with the release tarball but rather as an supplement. Download links can be found on the community website.

130

### S3.1. Unit tests

We used the `pytest` package which is a part of standard Python as well as the `mock` package which simulates external dependencies or results for the tests solely. This gives the opportunity to test the good and bad paths in a function and usually a function holds as many unit tests as there are different branches. It is a matter of defining all possible results depending on the input states and verify the expected results. The first set of unit tests were applied for functions from the `install` and `tools` modules as well as for the `UIOFiles` and `EcFlexpart` class. The details for each test are not described here; their functionality is obvious from the code.

135

### S3.2. Regression testing for MARS requests

The release comes with a predefined set of `CONTROL` files explicitly for this test as well as with a set of `MARS` request reference files from the previous version 7.0.4 and version 7.1. The test can compare any number of `MARS` request files emerging from a set of `CONTROL` files. However, one has to make sure that the reference version contains the request files from the same `CONTROL` files. Results are saved in log files. Instructions on how the test can be conducted are given in a `README.md` file. The comparison between version 7.0.4 and 7.1 only showed expected differences related to a bug fix in the determination of the time period.

140

### 145 S3.3. Regression testing for GRIB files

The current release version 7.1 includes a minimal set of reference data from version 7.0.4 and 7.1, one for each type of data set. There will be more test data in the future which can then be downloaded from the community website to limit the size of the distributed release tarball. The corresponding reference `CONTROL` files are also distributed with the tarball to enable the retrieval of the data with the new version. This has to be done manually followed by placing the resulting GRIB files in a  
150 specific path as described in the *README.md* file.

### S3.4. Functionality and performance tests for the Fortran code

The code package contains a set of reference outputs, and scripts to create the reference output and to run the actual regression tests. It checks for bitwise identity of the output files (data files and `standard output` written to a log file). A quantitative comparison of the resulting  $\dot{\eta}_p$  which would be useful for modifications that affect the results is not yet implemented. The  
155 scripts run each test with both the fast and the debug version of the executable. The script for creating the reference also ensures that both yield identical results. In addition, the runtimes are saved to a `csv` file.

### S3.5. Generic test using predefined CONTROL files

This has been verified for version 7.1 by manually executing the software with all these files, and inspecting the results produced. Note that public users can only use files ending with `.public` except for ERA5; they were tested in local public  
160 mode. All other cases were tested both in local and in gateway mode. Since the remote mode does not differ much from the gateway mode, only a subset of files were also tested in this mode. Results were evaluated by inspecting the log files for “success” messages and, where possible, with the regression test for GRIB file comparison (Sect. 3.3). Regarding new features, the files were inspected manually for the expected result.

### S3.6. Code Metrics

165 The *cyclomatic complexity* (CC) is calculated as:

$$CC = E - N + 2P, \tag{1}$$

where  $E$  is the number of edges (or also called links) of the graph,  $N$  is the number of nodes of the graph and  $P$  is the number of connected components (which are sub-graphs from functions independent of the super-graph) (Lacchia, 2019; Beizer, 1990; Sneed et al., 2010). The nodes represent the conditional branch instructions and program junctions, and edges are the segments  
170 between such points. This metric was calculated with the `radon` package (Lacchia, 2019) and provides the CC rank for each function, class and class method. Table 1 shows a summary of all code blocks from version 7.0.4 and 7.1. while Table 2 lists the code blocks which are worse-rated.

The maintainability index (MI), ranking between 0 and 100, is a function of SLOC, CC and the Halstead volume ( $V$ ) (Lacchia, 2019):

$$MI = \max \left[ 0, \frac{100}{171} \left( 171 - 5.2 \ln V - 0.23 CC - \right. \right. \\ \left. \left. 16.2 \ln \text{SLOC} + 50 \sin \sqrt{2.4C} \right) \right] \tag{2}$$

**Table 1.** Number of code blocks (classes, methods, functions) with a specific rank of cyclomatic complexity and the percentage of the total blocks for version 7.1 (116 in total) and 7.0.4 (45 in total). Determined with the Python package `radon` (Lacchia, 2019).

Rank	Version 7.0.4		Version 7.1	
A	21	44.6 %	76	65.52 %
B	10	22.2 %	28	24.14 %
C	3	6.6 %	9	7.76 %
D	4	8.8 %	1	0.86 %
E	3	6.6 %	1	0.86 %
F	4	8.8 %	1	0.86 %

where  $C$  is the fraction of comment lines (converted to radians) (Lacchia, 2019). The Halstead volume is defined as

$$V = (N_1 + N_2) \log_2(\eta_1 + \eta_2) \quad (3)$$

with  $\eta_1$  being the number of distinct operators,  $\eta_2$  being the number of distinct operands,  $N_1$  the total number of operators and  $N_2$  the total number of operands.

180 The index applies for a complete Python file and Table 3 shows the ranks of version 7.0.4 and 7.1 respectively.

**Table 2.** Python code blocks and their cyclomatic complexity (CC) which ranks between C and F and their corresponding CC score. The block types are classes (C), class methods (M) and functions (F).

(a) Version 7.0.4			
Block	Block type	Rank	CC score
<b>Class methods</b>			
GribTools.setkeys	M	C	11
MARSretrieval.dataRetrieve	M	C	15
Control	C	D	23
EIFlexpart.process_output	M	D	26
EIFlexpart	C	E	31
EIFlexpart.deacc_fluxes	M	E	34
EIFlexpart.retrieve	M	F	43
EIFlexpart.__init__	M	F	49
Control.__init__	M	F	56
EIFlexpart.create	M	F	57
<b>Module functions</b>			
install_args_and_control	F	C	12
getMARSdata	F	D	25
install_via_gateway	F	D	30
interpret_args_and_control	F	E	34
(b) Version 7.1			
Block	Block type	Rank	CC score
<b>Class methods</b>			
EcFlexpart	C	C	13
EcFlexpart._create_params	M	C	13
EcFlexpart._prep_new_rrint	M	C	14
EcFlexpart._create_field_types	M	C	15
MarsRetrieval.data_retrieve	M	C	16
ControlFile._read_controlfile	M	C	17
EcFlexpart.retrieve	M	D	25
EcFlexpart.create	M	E	36
EcFlexpart.deacc_fluxes	M	F	57
<b>Module functions</b>			
install.py::check_install_conditions	F	C	11
install.py::mk_tarball	F	C	17
disaggregation.py::IA3	F	C	18



**Table 3.** Maintainability index in increasing order for the Python files of both versions. This was determined with the Python package `radon` (Lacchia, 2019).

Version 7.0.4		
File	Rank	MI score
Classes/EcFlexpart.py	B	10.79
Classes/MarsRetrieval.py	A	26.92
Mods/checks.py	A	26.15
Classes/MarsRetrieval.py	A	26.92
Mods/disaggregation.py	A	28.55
Mods/profiling.py	A	38.10
Mods/tools.py	A	38.32
Mods/get_mars_data.py	A	44.77
install.py	A	47.33
Mods/prepare_flexpart.py	A	47.47
Classes/GribUtil.py	A	57.07
submit.py	A	58.90
_config.py	A	77.35
Classes/UioFiles.py	A	100.00

  

Version 7.1		
File	Rank	MI score
FlexpartTools.py	C	0.00
opposite.py	A	45.25
install.py	A	48.96
getMARSdata.py	A	56.28
GribTools.py	A	59.10
submit.py	A	67.72
prepareFLEXPART.py	A	71.40
UIOTools.py	A	85.18

## References

- Beizer, B.: Software Testing Techniques, Van Nostrand Reinhold, 2nd edn., 1990.
- Hittmeir, S., Philipp, A., and Seibert, P.: A conservative reconstruction scheme for the interpolation of extensive quantities in the Lagrangian particle dispersion model FLEXPART, *Geoscientific Model Development*, 11, 2503–2523, <https://doi.org/10.5194/gmd-11-2503-2018>,  
185 <https://www.geosci-model-dev.net/11/2503/2018/>, 2018.
- Lacchia, M.: `radon 4.0.0` - Project description, <https://pypi.org/project/radon/>, 2019.
- Sardeshmukh, P. D. and Hoskins, B. I.: Spatial Smoothing on the Sphere, *Monthly Weather Review*, 112, 2524–2529, [https://doi.org/10.1175/1520-0493\(1984\)112<2524:SSOTS>2.0.CO;2](https://doi.org/10.1175/1520-0493(1984)112<2524:SSOTS>2.0.CO;2), [https://doi.org/10.1175/1520-0493\(1984\)112<2524:SSOTS>2.0.CO;2](https://doi.org/10.1175/1520-0493(1984)112<2524:SSOTS>2.0.CO;2), 1984.
- 190 Sneed, H. M., Seidl, R., and Baumgartner, M.: *Software in Zahlen*, Hanser, 2010.
- Spillner, Andreas; Linz, T.: *Basiswissen Softwaretest*, dpunkt, 5th edn., 2012.
- Thénault, S.: *Pylint*, <https://www.pylint.org/>, 2001.
- van Rossum, G., Warsaw, B., and Coghlan, N.: *PEP 8 – Style Guide for Python Code*, Online, <https://www.python.org/dev/peps/pep-0008/>, 2001.
- 195 Wolff, E.: *Continuous Delivery. Der pragmatische Einstieg*, Dpunkt Verlag, Heidelberg, 282 pp., 2014.